

<sup>17</sup>The transverse-momentum distributions are quite similar for small  $p_{\parallel}$  in the different data samples.

<sup>18</sup>For comparison, corresponding values in Reaction (3) are  $0.396 \pm 0.013$ ,  $0.302 \pm 0.009$ , and  $0.319 \pm 0.015$ , respectively, at 8, 18.5, and 24.8 GeV/c. The errors here and in the figures include only statistical errors on the distributions and on the cross-section determinations. Some allowance should be made for systematic uncertainties of approximately a few percent in the evaluations of cross sections, where somewhat different procedures (see Ref. 14) had to be used with the different samples.

<sup>19</sup>The shapes differ at larger  $p_{\parallel}$ , the 18.5-GeV/c distribution falling less rapidly with increasing  $p_{\parallel}$  than the

7-GeV/c distribution.

<sup>20</sup>The fit to the data for  $p_{\parallel} < 0$ , where target fragmentation is most surely expected to dominate, has a  $\chi^2$  probability of 8.2% with no allowance for systematic effects such as differences in normalization of cross sections.

<sup>21</sup>As  $p_{\parallel}$  is varied, systematic effects can be observed in Figs. 3 and 4 which indicate the limitations of the Regge model in its present form. For higher incident momenta the particle densities decrease less rapidly as  $p_{\parallel}$  increases. This is consistent with the presence of significant contributions at  $p_{\parallel} \gtrsim 0.6$  GeV/c from sources other than target fragmentation which become larger at higher energies.

## Two-Component Poincaré-Invariant Equations for Massive Charged Leptons\*

L. C. Biedenharn and M. Y. Han

*Department of Physics, Duke University, Durham, North Carolina 27706*

and

H. van Dam

*Department of Physics, University of North Carolina, Chapel Hill, North Carolina 27706*

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An alternative to Dirac's factorization of the Klein-Gordon equation is developed; the resulting two-component,  $m \neq 0$  equations are proved Poincaré invariant. The particles interact *chirally* and *minimally* with the electromagnetic field ( $g=2$ ). Our equations yield factorizations of Kramers's equations and a conserved chiral current (without  $\gamma_5$  projection) to implement in a natural way the Feynman-Gell-Mann approach to weak interactions. Besides possessing sharp chirality, the particles possess a new dichotomic quantum number.

The theory of spin- $\frac{1}{2}$  particles was constructed by Dirac by means of a factorization of the Klein-Gordon equation over the field of four-component spinors. Wigner's subsequent analysis of the irreducible representations (irreps) of the Poincaré group provided a secure general foundation and extension of Dirac's construction. It is a familiar result of this analysis that a two-component spin- $\frac{1}{2}$  irrep (particle) can be characterized only by sharp  $CP$  and not  $P$  or  $C$  separately. The neutrinos ( $m=0$ ) provide a well-known physical example.

It is commonly believed that for mass  $m \neq 0$  Dirac's construction is unique, and that, in particular, a two-component particle having  $m \neq 0$  cannot possess a first-order Poincaré-invariant wave equation. We will show that this belief is incorrect; we shall explicitly construct Poincaré-invariant first-order wave equations for two-component massive charged particles, characterized by chirality and a second new quantum number.

Let us note that our construction in no way contradicts Dirac's work. Dirac explicitly states that the uniqueness of his construction hinged on a fundamental assumption: that the matrices entering the factorization are to be *independent of space-time*, that is, *they are to represent independent new degrees of freedom*. This assumption is omitted in our construction.

That the factorizing matrices now involve space-time complicates the proof of invariance; accordingly we first applied these equations to an external Coulomb field<sup>2</sup> which—by singling out a particular point and a particular Lorentz frame—makes invariance questions irrelevant. We found precisely the usual Dirac-Coulomb levels, with this distinction: The new quantum number splits the (degenerate) spectrum into two *nondegenerate* spectra (omitting spatial degeneracy).

The Feynman-Gell-Mann theory of the Fermi interaction<sup>3</sup> began from the iterated Dirac equation in the presence of arbitrary electromagnetic

fields, that is,

$$(\gamma \cdot \Pi + m)(\gamma \cdot \Pi - m)\psi = [\Pi \cdot \Pi + e\vec{\sigma} \cdot (i\rho_1 \vec{E} + \vec{B}) - m^2]\psi = 0, \quad (1)$$

where

$$\gamma \equiv (\rho_3, \rho_2 \vec{\sigma}), \quad \Pi \equiv (p_0 - eA_0, \vec{p} - e\vec{A}).$$

We may project onto states of sharp chirality splitting Eq. (1) into the two (two-component) equations first given by Kramers and by van der Waerden.<sup>4</sup> These equations are, for  $\rho_1 = +1$ ,

$$(\Pi^+ \Pi^+ - m^2)\varphi = 0; \quad (2a)$$

for  $\rho_1 = -1$ ,

$$(\Pi^+ \Pi^- - m^2)\varphi = 0; \quad (2b)$$

here

$$\Pi^\pm \equiv \Pi_0 \pm \vec{\sigma} \cdot \vec{\Pi}. \quad (2c)$$

Note that Eqs. (2a), 2(b) are distinguished by chirality and are distinct only in the presence of electromagnetic fields. The Klein-Gordon (KG) equation is the field-free special case.

Let us introduce in the KG equation the two-component space-time-dependent anticommuting operators<sup>5</sup> defined by

$$\eta_1 \equiv \vec{\sigma} \cdot \hat{r}, \quad \eta_2 \equiv i\eta_1 \eta_3, \quad \eta_3 \equiv (-)^{j(\kappa)+1/2} S(\mathcal{K}), \quad (3)$$

where

$$\mathcal{K} \equiv -(\vec{\sigma} \cdot \vec{L} + 1), \quad S(\mathcal{K}) \equiv \frac{\mathcal{K}}{|\mathcal{K}|} = -\frac{\vec{\sigma} \cdot \vec{L} + 1}{j(\mathcal{K}) + \frac{1}{2}}. \quad (4)$$

The operator  $\mathcal{K}$  is a two-component form of Dirac's  $K$  quantum number; since the eigenvalues,  $\mathcal{K} = \kappa$ , are the ( $\pm$ ) integers *excluding* zero, (4) is well defined. The operator  $j(\mathcal{K})$  has eigenvalues  $j = |\kappa| - \frac{1}{2}$ , and satisfies  $\mathcal{J}^2 = j(j+1)$ .

The significance of the  $\eta_i$  is that they obey  $\eta_i \eta_j = ie_{ijk} \eta_k$ . The operator  $\eta_3$  has the special significance that it is an explicit space-time-dependent form of the parity operator acting in the particle configuration space. This allows a factorization of the KG equation,

$$(p_0^2 - \vec{p}^2 - m^2) = (p_0 - \vec{\sigma} \cdot \vec{p} - \eta_3 m) \times (p_0 + \vec{\sigma} \cdot \vec{p} + \eta_3 m). \quad (5a)$$

To see this note that  $p_0 [\equiv i(\partial/\partial t)]$  commutes with  $\vec{\sigma} \cdot \vec{p}$ , and with  $\eta_3$ , since (1) these operators have no explicit time dependence, and (2)  $p_0$  is a true scalar operator (even parity). That the operators  $\vec{\sigma} \cdot \vec{p}$  and  $\eta_3$  anticommute can be shown directly,<sup>5</sup> but results more simply from noting that  $\vec{\sigma} \cdot \vec{p}$  is pseudoscalar. A more convenient form of (5a) results if we multiply on both sides

by  $\eta_3$ :

$$p_0^2 - \vec{p}^2 - m^2 = [\eta_3(p_0 - \vec{\sigma} \cdot \vec{p}) + m] \times [\eta_3(p_0 - \vec{\sigma} \cdot \vec{p}) - m]. \quad (5b)$$

To incorporate general electromagnetic fields we use gauge invariance:

$$p_0 \pm \vec{\sigma} \cdot \vec{p} \rightarrow \Pi_0 \pm \vec{\sigma} \cdot \vec{\Pi} = \Pi^\pm. \quad (6)$$

The factorization given in (5b) implies the wave equations ( $\rho_1 = -1$ )

$$[\eta_3(\Pi_0 + \rho_1 \vec{\sigma} \cdot \vec{\Pi}) \pm m]\varphi = 0. \quad (7a)$$

The case  $\rho_1 = +1$  is also allowed, hence (7a) is true in general. For  $A_\mu = 0$ , we have

$$[\eta_3(p_0 + \rho_1 \vec{\sigma} \cdot \vec{p}) \pm m]\varphi = 0. \quad (7b)$$

To prove that (7a) implies a factorization of (2a), (2b), consider the product  $(\rho_1 - 1)[\eta_3(\Pi_0 + \vec{\sigma} \cdot \vec{\Pi}) + m][\eta_3(\Pi_0 + \vec{\sigma} \cdot \vec{\Pi}) - m]$ . First note that  $\eta_3$  commutes with  $eA_0$  since  $A_0$ , like  $p_0$ , is a true scalar, hence  $[\eta_3, \Pi_0] = 0$ . The crucial step is to show that  $[\eta_3, \vec{\sigma} \cdot \vec{\Pi}]_+ = 0$ , or equivalently,  $[\eta_3, \vec{\sigma} \cdot \vec{A}]_+ = 0$ . To verify this consider first a constant magnetic field:  $\vec{A} = \frac{1}{2}(\vec{B} \times \vec{r})$ , where  $\vec{B}$  is a constant axial vector. The odd-parity information in  $\vec{A}$  is now *explicitly* carried by  $\vec{r}$  and  $\eta_3$  as a particle-space parity operator "sees" the odd parity:  $[\eta_3, \vec{\sigma} \cdot \frac{1}{2}(\vec{B} \times \vec{r})]_+ = 0$ . By superposing sufficiently many locally constant fields, and using algebraic linearity, we obtain the general case, i.e.,  $[\eta_3, \vec{\sigma} \cdot \vec{A}]_+ = 0$  for a general polar vector  $\vec{A}$ . To incorporate the general situation more expediently, we extend the meaning of  $\eta_3$  to include *implicit* parity information. Thus,  $\eta_3$  has henceforth the significance of a *general* parity operator.<sup>6</sup>

We have thus factorized Kramers equation for general electromagnetic fields; reintroducing  $\rho_1$  shows that we have, in fact, a factorization of Eq. (1) itself—a factorization which splits into chiral two-component wave equations.

The four cases for Eq. (7) each possess a conserved current:

$$j \equiv (\varphi^\dagger [\Pi, \rho_1 \vec{\sigma}] \varphi), \quad \partial \cdot j = 0. \quad (8)$$

The proof of Poincaré invariance for (7) is rather delicate. The difficulty stems from two sources: the space-time dependence of the  $\eta$ 's and the discreteness of reflection operators.

For Lorentz invariance we can restrict attention to proving the invariance of  $\eta_3(p_0 + \vec{\sigma} \cdot \vec{p})$  for Lorentz boosts. The boosts are generated by the polar vector operator  $\vec{K} \equiv i(\vec{N} + \frac{1}{2}\vec{\sigma})$ , where  $\vec{N} \equiv M_{k4}$ ,  $M_{\mu\nu} \equiv e_{\mu\nu\alpha\beta} X_\alpha p_\beta$ . A finite boost is gen-

erated by  $V \equiv (i\vec{v} \cdot \vec{K})$ . Note that  $\vec{v}$  is a *constant polar vector* parametrizing the boost velocity. Hence,

$$\eta_3(p_0 + \vec{\sigma} \cdot \vec{p}) \equiv \eta_3 P_\mu \sigma_\mu \rightarrow V^{-1}(\eta_3 p_\mu \sigma_\mu) V. \quad (9)$$

Since  $[\vec{\sigma}, \vec{N}] = 0$ , we have  $V = UW = WU$ , where  $U = \exp(-\vec{v} \cdot \vec{N})$ ,  $W = \exp(-\frac{1}{2}\vec{v} \cdot \vec{\sigma})$ . Observe now that  $\vec{v} \cdot \vec{N}$  has *even parity*; hence  $U$  commutes with  $\eta_3$  and generates on  $p$  the finite transformation  $p_\mu \rightarrow a_{\mu\nu} p_\nu$ . By contrast, the *odd-parity* operator  $\vec{v} \cdot \vec{\sigma}$  *anticommutes* with  $\eta_3$ ; hence  $W^{-1}\eta_3 = \eta_3 W$ . Precisely as required, then, the  $\sigma_\mu$  transform as  $W\sigma_\mu W = a_{\mu\tau} \sigma_\tau$ . By definition,  $a_{\mu\nu} a_{\mu\tau} = \delta_{\nu\tau}$ ; Lorentz invariance follows.

Accordingly we have shown that in (7) the *chiral* four-vectors,  $\eta_3(\Pi, \rho_1 \vec{\sigma})$ , enter in the scalar product with  $p_\mu$ ; moreover by comparison with the current, (8), we see that the analog to the Pauli adjoint  $\bar{\psi}$  is here  $\bar{\varphi} \equiv \varphi^\dagger \eta_3$ . (Using this inner product one can verify invariance in an alternative way.)

Consider the translational invariance of (7). Again we consider finite transformations, the finite space-time displacement operator being  $D \equiv \exp(i\vec{d} \cdot \vec{p})$ . We need consider only the behavior of  $\eta_3$ . Note now that  $\vec{d} \cdot \vec{p}$  has in every case *even parity*; clearly  $\eta_3$  commutes and the equations are Poincaré invariant.

{ To appreciate the delicate nature of these arguments note that the infinitesimal generator of spatial displacements,  $\vec{p}$ , does *not* commute with (7b) and hence is *not* constant under the Hamiltonian. Yet the equations *are* displacement invariant! This "paradox" was avoided by considering finite transformations; more generally we see the necessity of defining infinitesimal boosts, say, by

$$\begin{aligned} \theta &\rightarrow \theta' \equiv \theta + \delta\theta, \\ \delta\theta &\equiv [\delta\vec{v} \cdot \vec{K}, \theta], \end{aligned} \quad (10)$$

and the latter commutator is *not* necessarily the same as  $\delta\vec{v} \cdot [\vec{K}, \theta]$ , despite the fact that  $\delta\vec{v}$  is a parametric constant vector. The peculiar phenomena inherent to (7) are associated with a new phenomenon: rapid fluctuations  $t \approx m^{-1}$  between positive- and negative-parity states.}

Although Eq. (7b) does indeed possess a rest frame, one must be careful to interpret this concept properly, since *the rest frame is qualitatively distinct from a general frame in the following ways:* (1) In every frame, except the rest frame,  $\vec{p}$  fluctuates and parity is *not* sharp; (2) half of the solutions to (7b) *vanish* in the rest

frame; (3) rest-frame solutions properly belong to the space of KG solutions. To avoid any possible inconsistency one may imagine  $\vec{p}$  to contain an infinitesimal imaginary part  $i\epsilon$ , which then regularizes the system. [More physically one may imagine (for continuum states) an infinitesimally weak Coulomb field—which accomplishes the same end.]

Following convention, we must now designate the new quantum number contained in (7a). To rewrite (7a) we first define a dichotomic operator<sup>7</sup>:

$$\zeta \equiv m^{-1} \eta_3 (\Pi_0 + \rho_1 \vec{\sigma} \cdot \vec{\Pi}), \quad (\zeta)^2 = \Pi. \quad (11)$$

Equation (7a) assumes the form  $\zeta \varphi \rightarrow \pm \varphi$ . (If we wish to include the neutrino we can extend this operator to three values by defining stigma  $\rightarrow 0$  in this case.)

*Concluding remarks.*—(a) It has been shown<sup>4</sup> that Kramers equation has the remarkable property of allowing only  $g=2$ . This property clearly accords with (7a), where one observes that there simply are no operators—analogueous to  $[\gamma_\mu, \gamma_\nu]$ —available to form an invariant from  $F_{\mu\nu}$ . Thus, *this system is inherently characterized by minimal electrodynamics* and correspondingly the  $g$  factor is *exactly two*. It seems reasonable in view of this fact to designate (7) as a sort of "primitive" lepton equation, describing four types of  $m \neq 0$  leptons ( $\rho_1 \rightarrow \pm 1$ ,  $\zeta \rightarrow \pm 1$ ) and two types of  $m = 0$  leptons ( $\rho_1 \rightarrow \pm 1$ ). (b) It will be observed that we have *deduced the existence of "leptonic" conserved chiral currents*, Eq. (8), which, following Feynman and Gell-Mann, should be the appropriate basis for a current-current theory of the Fermi interaction. (c) The *physical* massive leptons have  $(g-2)/2 \approx \alpha/2\pi$ . Assuming that the electron and muon are almost pure "leptons," we conclude that any chirality breaking in purely leptonic decays is of order  $\alpha/2\pi$ , i.e.,  $|V/A| - 1 \approx \alpha/2\pi$  in muon decay.

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<sup>1</sup>P. A. M. Dirac, Proc. Roy. Soc., Ser. A 117, 610 (1928); cf. p. 613.

<sup>2</sup>L. C. Biedenharn and M. Y. Han, to be published.

<sup>3</sup>R. P. Feynman and M. Gell-Mann, Phys. Rev. 109, 193 (1958).

<sup>4</sup>L. M. Brown, in *Lectures in Theoretical Physics*, edited by W. E. Brittin *et al.* (Interscience, New York, 1962), Vol. IV.

<sup>5</sup>A detailed discussion (and original references) may

be found in L. C. Biedenharn and P. J. Brussaard, *Coulomb Excitation* (Oxford U. Press, Oxford, England, 1965).

<sup>6</sup>This step was in error in Ref. 2; we wrongly argued that  $\vec{\sigma} \cdot \vec{A}$  was a *pseudoscalar* under  $\vec{J} = \vec{L} + \vec{\sigma}/2$ , hence " $\eta_3$ " =  $S(\mathbb{K})$  anticommutes. In fact,  $\vec{\sigma} \cdot \vec{A}$  is a *polar vector* under  $\vec{J}$  and to achieve anticommutation we must re-

define  $\eta_3$  as given in (3). The use of the incorrect operator for  $\eta_3$  is responsible for our statement in Ref. 2 that Lorentz invariance fails.

<sup>7</sup>The Greek letter  $\varsigma$  is called "stigma" [see O. Neugebauer, *The Exact Sciences in Antiquity* (Princeton U. Press, Princeton, N. J., 1952), pp. 10 and 24], with the quantum number being a distinguishing "mark."