

sion²⁴ in Nb-Mo revealed Kohn anomalies in those concentrated alloys, but ours is perhaps the first observation on Fermi-surface effects where the mass disparity is large. Our results are also consistent with a very long-range oscillatory potential for Cu-Au and, if our comparison with Walker and Keating is more than coincidence, for β -CuZn as well.

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THEORY OF SURFACE-SPIN PINNING IN FERROMAGNETIC RESONANCE

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A theory is presented which, in conjunction with previous theories, explains for the first time all notable experimental results on exchange and magnetostatic modes in thin films, including surface and bulk modes. Roughly speaking, a surface inhomogeneity thinner than $\sim(\frac{1}{2}\Lambda)^{1/2}$ gives little pinning, while one thicker than $\sim(\frac{1}{2}\Lambda)^{1/2}$ pins the low-order modes. The results, obtained by considering the change of M_z in the surface region, reconcile the different boundary conditions used for thin-film exchange modes and for magnetostatic modes.

Since Kittel's suggestion¹ in 1958 that the exchange integral in ferromagnetic materials could be obtained by ferromagnetic-resonance measurements in thin films, interest in this field has increased steadily.¹⁻⁵ Interpretation of experi-

mental results has been obscured by a lack of understanding of the boundary conditions⁶ at the film surfaces. The theories of Wigen and Kooi⁴ (saturation magnetization M_z of surface layer different from that of the bulk) and Portis³ (para-

bolic M_z) explain the positions and critical-angle depinning, but not the intensities, of exchange modes.

A theory of surface-spin pinning⁶ is presented which explains the intensities as well as the positions in metallic and insulator films. It applies to exchange and magnetostatic modes, both bulk and surface types. Consider a saturation magnetization M_z which varies across the thickness of the film as illustrated in Fig. 1.⁷ In the surface region of thickness ϵ , M_z drops from its bulk value to zero. In the bulk region M_z is some function of z' such as³ $M_0 - (2z'/S)^2 \Delta M$.

In \perp resonance,⁶ the ferromagnetic normal modes having exchange energy $\leq 2\pi\hbar|\gamma|\Delta M$ are pinned in the bulk region according to the theory of Portis.³ For $\epsilon = 0$ and $\Delta M = 0$ the modes are unpinned⁶ since all spins must precess at the same frequency in a normal mode and a nonzero slope would give an extra torque on the surface spins because of the missing neighbors. For $\Delta M = 0$ and $\epsilon \neq 0$, the modes will remain unpinned for $\epsilon^2 \ll \epsilon_{cr}^2$, where $\epsilon_{cr}^2 \cong \frac{1}{2}\Lambda$ and Λ is the exchange constant. For "80-20" Permalloy, $\sqrt{\Lambda} = 160 \text{ \AA}$, and for yttrium iron garnet (YIG), $\sqrt{\Lambda} = 568 \text{ \AA}$.

If $\epsilon^2 \ll \epsilon_{cr}^2$ is not satisfied, the surface exchange cannot hold $d\vec{m}/dz' = 0$ at the surface. Since the spins in the surface region are "off resonance" when the spins in the bulk are "on resonance" and the surface spins are exchange and dipole coupled to the bulk spins, the low-order modes are pinned. However, the higher order modes are only partially pinned, as will be shown.

Consider the equation of motion $d\vec{M}/dt = -|\gamma|\vec{M} \times \vec{H}$, where the total effective field is $\vec{H} = \vec{H}_i + \Lambda \nabla^2 \vec{M} + \vec{h}_d$, where $\vec{H}_i + \vec{H}_{app} - \hat{z}' 4\pi M_z \cos \theta_m$, \vec{H}_{app} is the applied field, $\vec{h}_d = \hat{z}' 4\pi M_x \sin \theta_m$ is the microwave demagnetization field, and θ_m and the coordinate axes are shown in Fig. 2. With $\vec{M} = \hat{z} M_z + \vec{m}$ and with $\exp(i\omega t)$ time dependence, the linearized equations of motion of m_x and m_y can be diagonalized to give $\Lambda M_z d^2 m/dz'^2 + \kappa_+^2 m = 0$, where m is the linear combination of m_x and m_y (e.g., $m = m_x + im_y$ in the circular precession approximation⁸) obtained from the diagonalization and

$$\kappa_+^2 = [(2\pi M_z \sin^2 \theta_m)^2 + \omega^2/\gamma^2]^{1/2} - H_i - 2\pi M_z \sin^2 \theta_m - \Lambda d^2 M_z/dz'^2. \quad (1)$$

In the surface region, the term $\Lambda d^2 M_z/dz'^2$ is of the order of $D(\pi/\epsilon^2)$. First consider the sharp-boundary case in which $D(\pi/\epsilon^2)$ is much larger than the sum of the other terms on the right-hand side of (1). For perpendicular resonance this means $D(\pi/\epsilon^2) \gg 2\pi M_0 + (\Delta\omega_\perp/|\gamma|)$, or

$$\epsilon^2 \ll \epsilon_{cr}^2, \quad \epsilon_{cr} = (\frac{1}{2}\Lambda)^{1/2} [1 + \Delta\omega_\perp/2\pi|\gamma|M_0]^{-1/2}, \quad (2)$$

where $\Delta\omega_\perp \equiv \omega - |\gamma|(H_{app} - 4\pi M_0) \cong |\gamma|Dk_n^2$ for the n th exchange mode. Since modes with $Dk_n^2 \gg 2\pi M_0$ are seldom observed in practice, (2) gives $\epsilon_{cr} \cong (\frac{1}{2}\Lambda)^{1/2}$. When (2) is satisfied, the equation above (1) for m reduces to $M_z d^2 m/dz'^2 = m d^2 M_z/dz'^2$ in the surface region. Integrating once with $m = M_z = 0$ at $z' = \pm \frac{1}{2}S$ gives $M_z dm/dz' = m dM_z/dz'$. Since m and M_z are continuous at $z' = \pm(\frac{1}{2}S - \epsilon)$, the equation above (1) for m can be solved in the bulk region $|z'| < \frac{1}{2}S - \epsilon$ with the boundary condition $(1/m)dm/dz' = (1/M_z)dM_z/dz'$ at $z' = \pm(\frac{1}{2}S - \epsilon)$.

Thus the effect of the sharp surface region is to establish this boundary condition for the bulk region. This result can also be obtained quite simply by requiring that the net additional torque on a surface spin caused by the missing neighboring spins be equal to zero for a perfectly sharp

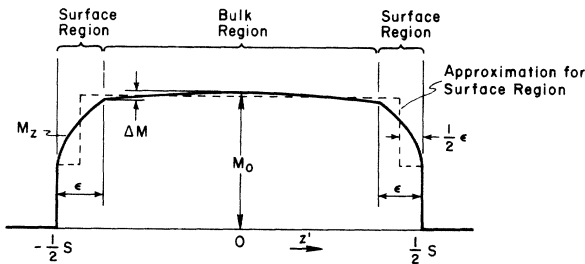


FIG. 1. Variation of the saturation magnetization M_z across the thickness (z' axis) of the film.

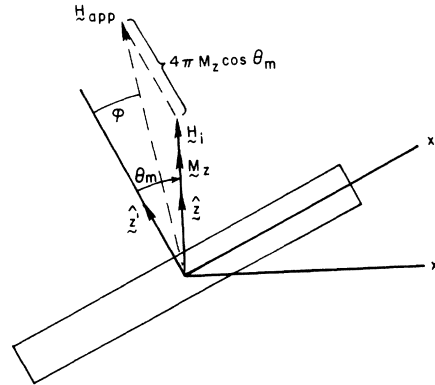


FIG. 2. Schematic illustration showing fields, angles, and coordinate systems used in the text. The axis of quantization is z , and the z' axis is normal to the film surface.

boundary ($\epsilon = 0$). The equation-of-motion method shows that the result is also valid for $\epsilon \neq 0$ as long as (2) is satisfied. It is not difficult to show^{9,10} that this boundary condition gives only a small amount of pinning for the small values of ΔM observed to date.⁴

For $\epsilon^2 \ll \epsilon_{cr}^2$ not satisfied, which we call the thick-boundary case, consider the simple step model of $M_z = M_B$ in the bulk region and $M_z = M_S$ in the surface regions, as illustrated by the dashed lines in Fig. 1. Since the gross features of the pinning do not depend strongly on the shape of M_z in the surface region, this model is appropriate for a smoothly decreasing M_z of thickness ϵ , as well as for a sharp surface layer of thickness $\frac{1}{2}\epsilon$.

It can be shown from the equation of motion or by considering the torques on the spins at the sharp boundary at $z' = -\frac{1}{2}(S-\epsilon)$ between M_S and M_B that $m_B'/m_B = (M_S/M_B)^2(M_S'/M_S)$, where the prime denotes derivative with respect to z' and B and S denote

$$z' = \lim_{\delta \rightarrow 0} [-\frac{1}{2}(S-\epsilon) \pm \delta],$$

respectively. Solving for m in \perp resonance with this continuity condition and with $M_S < M_B$ gives $m = m_0 \cos k_n z'$, where k_n are the roots of $\tan p = \frac{1}{2}(M_S/M_B)^2 |k_{+S}| S \tanh(\frac{1}{2}|k_{+S}|\epsilon) / p$. Here $p \equiv \frac{1}{2}k_n S$ and $k_{+S}^2 \equiv [4\pi(M_B - M_S) - Dk_n^2] / \Lambda M_S$ is the magnitude of $\kappa_+^2 / \Lambda M_z$ from (1) in the surface region. From this result with $\epsilon \geq 2/|k_{+S}|$, $M_S = \frac{1}{2}M_B$, and $Dk_n^2 \lesssim 2\pi M_B$, it is easy to show that

$$I_n = \frac{8}{\pi^2} \frac{1}{n^2} \text{ for } n < n_{2-4} \equiv \frac{1}{2\sqrt{\pi}} \frac{S}{\sqrt{\Lambda}} + \frac{1}{2}, \quad (3)$$

$$I_n = \frac{2}{\pi^3} G \tanh^2 \left[\left(\frac{\pi G}{n} \right)^{1/2} \epsilon \right] \frac{1}{(n-1)^4}, \quad n > n_{2-4}; \quad (4)$$

$$k_n = (n-p)_n \pi / S, \quad n = 1, 2, 3, \dots, \quad 0 < p_n < 1, \quad (5)$$

where $G \equiv |1 - Dk_n^2 / 2\pi M_0|$. The present pinning mechanism gives the same value of critical angle for depinning as predicted by the previous theory⁵; the spins are unpinned when $k_+ \equiv \kappa_+ / \Lambda M_z$ has the same value in the bulk and surface regions.

For \perp resonance, (3) and (4) are the central results. For very high-order modes, the Dk_n^2 term in G dominates and $I_n \sim (n-1)^{-8}$; otherwise (4) gives $I_n \sim (n-1)^{-4}$. The value np of n below which the modes are pinned by the Portis mechanism is determined by the relation $Dk_n^2 \approx 2\pi \Delta M$, with k_n given by (5). If the linewidths ΔH_n are not the same for all modes, the values of I_n given in (3) and (4) [which are normalized as $I_n = S^{-1} |\int dz m(z)|^2$

with $\int dz m(z)^2 = 1$] must be multiplied by $1/\Delta H_n$.

The experimental intensities and spacing of the \perp resonance lines in the classic sample PK-23 of Kooi, Wigen, and co-workers⁴ agree well with the theoretical results (3) and (4). For this sample, $n_{2-4} \approx 13$ and $np \approx 10$. The first five even modes, which have $n < np$, have large intensities and nearly linear spacings, in agreement with Portis's theory.³ For the modes with $n \geq 13$ (so that $n \geq n_{2-4}$ is satisfied) the experimental (in square brackets) and normalized theoretical (in parentheses) values $I_n \sim (n-1)^{-4}$ are as follows: I_{13} , [3.50], (3.71); I_{15} , [1.90], (1.86); I_{17} , [1], (1); I_{19} , [0.60], (0.56); I_{21} , [0.34], (0.32); I_{23} , [0.17], (0.18). The orders of magnitude are also correct: I_{23}/I_1 , [(2-3) $\times 10^{-3}$], (1.4×10^{-3}).

The agreement with the theory for the thick-boundary case is excellent. Nisenoff and Terhune² also found that their data for Permalloy films could be explained by a surface layer (corresponding to the thick-boundary case in the present theory). These results and others^{5,11} are reasonable for metallic films, which are well known to have quite inhomogeneous M_z in general; there should be sufficient rounding of M_z at the surfaces such that $\epsilon^2 \ll \epsilon_{cr}^2 \approx (110 \text{ \AA})^2$ is not satisfied. This appears to be the case in all metallic-film results in the literature with only one exception,¹¹ where M_z was purposely made as nearly constant as possible.

For extremely homogeneous films it is apparently possible to have $\epsilon^2 \ll \epsilon_{cr}^2$ so that there is very little pinning. Waksman, Massenet, Escudier, and Kooi¹¹ found that for epitaxial 81%Ni, 19%Fe films deposited on silver on freshly cleaved mica at 330°C and less than 10^{-6} Torr, the intensities of all higher order modes were less than 10^{-3} times the intensity of the principal mode. For single-crystal YIG thin films grown by Mee and co-workers,¹⁰ M_z is expected to be much more homogeneous than in metallic films, and ϵ_{cr}^2 is ~ 13 times larger than in Permalloy. Thus the theory predicts very little pinning at the large surfaces of these films, as verified by preliminary measurements ($I_3/I_1 \approx 0.7 \times 10^{-3}$).¹⁰

For \parallel resonance, the central experimental result for a large number of measurements in metallic films is that fewer lines are observed in \parallel resonance than in \perp resonance, there being typically two or three strong lines in \parallel resonance.⁴ There are several reasons for this, the most important one being that n_{2-4} is usually less than 4. For example, for $S = 0.3 \mu$ and $\sqrt{\Lambda} = 160 \text{ \AA}$, $(n_{2-4})_{\parallel} \approx 2.7$. Thus, only the first two even modes (n

= 1, 3) are strongly excited. Second, the potential well is "negative"⁴ in \parallel resonance; thus there is no Portis-type pinning.³ Third, ϵ_{CR}^2 is larger in \parallel resonance than in \perp resonance (typically ~ 6 times larger in Permalloy films). Fourth, the intensities are smaller in \parallel resonance than in \perp resonance even when $\epsilon^2 \ll \epsilon_{\text{CR}}^2$.¹⁰ Finally, if a two-magnon process controls the linewidth ΔH , then ΔH is usually larger in \parallel resonance than in \perp resonance. This last factor should be more important in YIG films than in metallic films.

The pinning at the large surfaces of single-crystal YIG films is small for the exchange and magnetostatic bulk and surface modes, as discussed above. The spins within a distance $\sim S$ from the small edges of the film have internal fields and microwave demagnetization fields which are different from those of the bulk spins. This pins the edge spins in much the same manner as does a surface layer of M_S .⁷ The results are¹⁰ that the modes with $\Delta\omega < 3\pi|\gamma|M_0$ {where $\Delta\omega \equiv \omega - |\gamma|H_i$ for \perp resonance and $\Delta\omega \equiv \omega - \gamma[H_{\text{app}} \times (H_{\text{app}} + 4\pi M_0)]^{1/2}$ for \parallel resonance} are pinned and those with $\Delta\omega > 3\pi|\gamma|M_0$ have $I_n \sim 1/n^4$. Since the largest value of $\Delta\omega/|\gamma|$ observed to date is $\cong 500$ Oe, and $3\pi M_0 \cong 1310$ Oe in YIG at room temperature, the theory predicts that all these modes should be pinned at the film edges, in agreement with experiments.¹⁰

Since the experiments and theory indicate that $dm/dz \cong 0$ at the large surfaces of the YIG films, it would appear that the Damon and Eshbach¹² (DE) surface modes, which have nonzero slopes at these surfaces, would not exist. Fortunately this is not the case. The slope of m is small at the surface, but m bends down and rapidly approaches the exponentially decaying m of DE.¹³ In particular, $m_{\text{DE}} \sim \exp(-k_x x)$ is a good approximate solution as long as $Dk_x^2 \ll \omega/|\gamma|$.¹⁰ For YIG at X band in \parallel resonance with $k_z = 0$ (so that $k_y = \pm k_x$), the DE solutions should be valid for $|k_y| \lesssim 6 \times 10^4 \text{ cm}^{-1}$. This result is consistent with the observations of surface waves with $|k_y| = 0-700 \text{ cm}^{-1}$ in thin single-crystal YIG films.¹⁴

The present theory affects the interpretation of experiments to determine D and the spin-wave dispersion relation: Phillips's¹ assumption of temperature-independent pinning is supported by the theory. Kooi, Shanabarger, and Wigen¹⁵ showed that the spacing of the Portis-pinned modes should decrease as $T^{3/2}$ and suggested that the same might be true for the modes pinned at the sample surface. The present theory indicates that this is not the case. The temperature

independence of the roots $k_n = n\pi/S$ of the $\tan\phi$ equation for $n \lesssim n_{2-4}$ shows that the pinning of these modes is independent of temperature. Indeed, the n^2 spacings and $1/n^2$ amplitudes indicate that the modes are pinned at the surfaces. The theoretical values of $n_{2-4} \cong 5$ and 9 for $S = 1800$ and 4200 \AA , respectively, are consistent with $I_n \sim 1/n^2$.

The experimental and theoretical agreement of the mode intensities of the beautiful experimental data of Weber and Tannenwald¹ is excellent: I_{17} , [2.25], (2.33); I_{19} , [1.5], (1.49); I_{21} , [1], (1); I_{23} , [0.80], (0.70); I_{25} , [0.49], (0.50); I_{27} , [0.32], (0.36); I_{29} , [0.125], (0.125); I_{31} , [0.09], (0.073); I_{33} , [data missing]; I_{35} , [0.28], (0.28); I_{37} , [0.016], (0.018); I_{39} , [0.011], (0.012); I_{41} , [0.0071], (0.0078); I_{43} , [0.0037], (0.0053). The theoretical values, which are normalized at I_{21} and I_{29} , are $I_n \sim (n-1)^{-4}$ for $n = 17-27$ (for $n > n_{2-4}$ and $\Delta\omega_{\perp}/|\gamma| \cong Dk_n^2 < 2\pi M_0 \cong 5 \text{ kOe}$) and $I_n \sim (n-1)^{-8}$ for $n = 29-43$ (for $Dk_n^2 > 2\pi M_0$).

The deviation from linearity of $\ln(H_n - H_0)$ vs $\ln n$, which Weber and Tannenwald attributed to a long-range exchange interaction, is eliminated when the pinning of the present theory is used. The modes with $n \gtrsim 15$ are nearly unpinned and have $k_n \cong (n-1)\pi/S$, rather than $n\pi/S$. The experimental intensities verify that the modes cannot be pinned, as previously assumed, since $I_n \sim (n-1)^{-4}$ and $(n-1)^{-8}$ rather than $1/n^2$. Replotting the points in their Fig. 1 one unit to the left entirely eliminates the deviation of $\ln(H_n - H_0)$ vs $\ln n$ from linearity, thus removing the disagreement with the neutron results of Menzinger and co-workers.¹⁶

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