Abnormal Bifurcation of the Double Binding Energy Differences and Proton-Neutron Pairing: Nuclei Close to $N = Z$ Line from Ni to Rb

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The recently observed abnormal bifurcation of the double binding energy differences δV_{pn} between the odd-odd and even-even nuclei along the $N = Z$ line from Ni to Rb has challenged the nuclear theories. To solve this problem, a shell-model-like approach based on the relativistic density functional theory is established, by treating simultaneously the neutron-neutron, proton-neutron, and proton-proton pairing correlations both microscopically and self-consistently. Without any *ad hoc* parameters, the calculated results well reproduce the observations, and the mechanism for this abnormal bifurcation is found to be due to the enhanced proton-neutron pairing correlations in the odd-odd $N = Z$ nuclei, compared with the eveneven ones. The present results provide an excellent interpretation for the abnormal δV_{pn} bifurcation, and provide a clear signal for the existence of the proton-neutron pairing correlations for nuclei close to the $N = Z$ line.

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The double binding energy difference δV_{nn} , is an important mass filter for atomic nuclei, and has been frequently used to isolate the residual proton-neutron (pn) interaction [\[1,](#page-4-2)[2](#page-4-3)]. It is closely related to many nuclear structure phenomena, such as the onset of collectivity and deformation [\[3](#page-4-4)–[6](#page-4-5)], the evolution of the underlying shell structure [\[7](#page-4-6)], and the phase transition behavior in nuclei [[4](#page-4-7),[8\]](#page-4-8). The study of δV_{pn} , particularly along the line of the nuclei with equal numbers of protons and neutrons, is of great importance to deepen our understanding of the nuclear force [[9](#page-4-9)]. For example, the considerable enhancement of δV_{pn} for $N = Z$ nuclei in the light sd shell has been regarded as the fingerprint for the Wigner's SU(4) sym-metry of the nuclear force [[10](#page-4-10)]. For heavier nuclei in the sd shell and even lower fp shell, the decrease of δV_{nn} with mass number is related to the breaking of the Wigner's SU(4) symmetry due to the increasing spin-orbit and Coulomb interactions.

For the upper f p-shell nuclei, however, the δV_{pn} shows quite puzzling behavior. On one hand, restrengthening δV_{pn} values have been observed [\[11,](#page-4-11)[12](#page-4-12)] for the odd-odd nuclei, and this phenomenon might be attributed to the restoration of the pseudo-SU(4) symmetry [\[13\]](#page-4-13), the enhanced overlaps of the proton and neutron wave functions [\[14](#page-4-14)–[16\]](#page-4-15) or the nuclear deformation [[6,](#page-4-5)[17](#page-4-16)]. On the other hand, a recent experiment has reported an abnormal bifurcation, namely, opposite evolving trends of δV_{nn} with mass number for the even-even and odd-odd $N = Z$ nuclei from Ni to Rb [[18](#page-4-17)], which cannot be understood by the aforementioned physical mechanisms and, thus, brought severe challenges to the theoretical models, including the macroscopic-microscopic models [[19](#page-4-18)–[23](#page-4-19)], the shell model [\[24\]](#page-4-20), and the density functional theories (DFTs) [\[25](#page-4-21)–[27](#page-4-22)]. Note that the macroscopic-microscopic models and DFTs are quite successful for a global description of nuclear masses over the whole nuclear chart. Their failure in describing the observed δV_{pn} bifurcation indicates that important physics may be missing in the current nuclear models.

The valence-space in-medium similarity renormalization group calculations imply that the three-nucleon force has a significant impact on the behavior of δV_{pn} , but the obtained amplitudes of the δV_{pn} bifurcation between the even-even and odd-odd $N = Z$ nuclei are dramatically overestimated [\[18\]](#page-4-17). The inclusion of a phenomenological Wigner term in the macroscopic-microscopic models [\[19](#page-4-18)–[23\]](#page-4-19) and DFT [\[27\]](#page-4-22) also results in a δV_{pn} bifurcation between the eveneven and odd-odd $N = Z$ nuclei, but the δV_{pn} for the oddodd nuclei are systematically underestimated [[18](#page-4-17)].

For $N = Z$ nuclei, it is very important to take into account the pn pairing correlations. In particular, for odd-odd $N = Z$ nuclei, the last valence neutron and proton could be paired due to the pn pairing correlations, which are responsible for the phenomenological Wigner terms [[28](#page-4-23)–[31\]](#page-4-24). To solve the puzzle of the δV_{pn} bifurcation, a microscopic model which could treat the neutron-neutron (nn) , proton-proton (pp) , and pn pairing correlations simultaneously and selfconsistently is necessary. The blocking effects for oddodd nuclei should be treated carefully.

The nuclear DFT starts from a universal density functional and has achieved great successes in describing many nuclear phenomena [\[32](#page-4-25)–[37\]](#page-4-26). It is a promising framework to consider the pn pairing correlations in a microscopic way.

FIG. 1. Odd-even mass differences $\Delta_n^{(3)}$ (a) and $\Delta_p^{(3)}$ (b) for the $N = Z + 2$ nuclei from Ni to Rb calculated by RDFT-SLAP (lines) in comparison with the data (symbols). The gray bands correspond to the odd-even mass in comparison with the data (symbols). The gray bands correspond to the odd-even mass differences for the pairing strength G varying by 10%.

In the conventional Bardeen-Cooper-Schrieffer and Bogoliubov methods, the particle number conservation is violated and the Pauli blocking effects in odd-nucleon systems cannot be treated exactly [[38](#page-4-27)]. The shell-modellike approach (SLAP), also known as particle number conserving method, treats the pairing correlations and the blocking effects exactly by diagonalizing the many-body Hamiltonian in a properly truncated many-particle configuration (MPC) space with good particle number [\[39,](#page-4-28)[40](#page-4-29)]. It has been implemented in the relativistic [[41](#page-4-30)–[46\]](#page-4-31) and nonrelativistic [\[47,](#page-4-32)[48](#page-4-33)] DFTs to treat the *nn* and *pp* pairing correlations and widely used to investigate both the nuclear ground-state and excited-state properties. Nevertheless, a self-consistent treatment of the *pn* pairing correlations is missing.

In this Letter, based on the relativistic DFT (RDFT), a SLAP is developed, which allows a microscopic and selfconsistent treatment of the nn, pp, and pn pairing correlations simultaneously. The developed approach will be applied to investigate the abnormal δV_{nn} bifurcation for the $N = Z$ nuclei from Ni to Rb.

In the SLAP based on RDFT (RDFT-SLAP), the manybody Hamiltonian reads

$$
\hat{H} = \hat{H}_0 + \hat{H}_{\text{pair}},\tag{1}
$$

where \hat{H}_0 is the one-body part, and \hat{H}_{pair} is the pairing part. The one-body part reads

$$
\hat{H}_0 = \sum_{k>0} \left[\varepsilon_k^{\pi} (a_k^{\dagger} a_k + a_k^{\dagger} a_k) + \varepsilon_k^{\nu} (b_k^{\dagger} b_k + b_k^{\dagger} b_k) \right], \tag{2}
$$

where \bar{k} represents the time conjugate of the state k, and $\varepsilon_k^{\pi(\nu)}$ are the single-proton (neutron) energies obtained from the Dirac equation,

$$
[-i\boldsymbol{\alpha}\cdot\boldsymbol{\nabla}+\beta(m+S)+V]\psi_k=\epsilon_k\psi_k.
$$
 (3)

Here, the scalar field S and vector field V are connected in a self-consistent way to the scalar and vector densities, for details see Ref. [\[41\]](#page-4-30). The pairing part reads

$$
\hat{H}_{\text{pair}} = \sum_{T_z = 0, \pm 1} \hat{H}_{\text{pair}}^{T_z}, \qquad \hat{H}_{\text{pair}}^{T_z} = -G \sum_{k, k' > 0}^{k \neq k'} P_{k, T_z}^{\dagger} P_{k', T_z}, (4)
$$

where G is the effective pairing strength, and $k \neq k'$ means that the self-scattering for the nucleon pairs is forbidden [\[41\]](#page-4-30). The *nn* and *pp* pair creation operators are $P_{k,1}^{\dagger} = b_k^{\dagger} b_k^{\dagger}$ and $P_{k,-1}^{\dagger} = a_k^{\dagger} a_k^{\dagger}$ for $T_z = \pm 1$, and the pn pair creation operator is $P_{k,0}^{\dagger} = (1/\sqrt{2})(b_k^{\dagger} a_k^{\dagger} + a_k^{\dagger} b_k^{\dagger})$ for $T_z = 0$.
The nuclear wave functions are expressed as

The nuclear wave functions are expressed as

$$
|\Psi\rangle = \sum_{i,\{s_k\}} C_i^{\{s_k\}} |\text{MPC}_i^{\{s_k\}}\rangle. \tag{5}
$$

The many-particle configurations $|MPC_i^{\{s_k\}}\rangle$ with exact proton number Z and neutron number N are expressed as proton number Z and neutron number N are expressed as $|l_1 l_2 \cdots l_N m_1 m_2 \cdots m_Z\rangle = b_{l_1}^{\dagger} b_{l_2}^{\dagger} \cdots b_{l_N}^{\dagger} a_{m_1}^{\dagger} a_{m_2}^{\dagger} \cdots a_{m_Z}^{\dagger} |0\rangle,$ and the corresponding configuration energy is denoted as E_i . Here, s_k represents the eigenvalue of the seniority operator \hat{s}_k for the state k [\[49\]](#page-4-34), and it is a good quantum number. The expansion coefficients $C_i^{\{s_k\}}$ and, thus, the wave functions are determined by diagonalizing the Hamiltonian \hat{H} in the MPC space.

Note that the obtained wave function $|\Psi\rangle$ is used to determine the occupation probabilities for the singleparticle states, and thus the nucleon densities should be updated, which in turn determines the scalar and vector fields S and V in the Dirac equation (3) . Therefore, the full framework should be solved iteratively to achieve the selfconsistency [[41](#page-4-30),[44](#page-4-35),[45](#page-4-36)]. Once a self-consistent solution is obtained, one can calculate the pairing energy,

FIG. 2. Differences between the calculated binding energies and the data for the $N = Z$, $Z \pm 1$, $Z \pm 2$ nuclei around Ni and Rb region without pairing (a), with the $n-n$ and pp pairing (b), and with the nn, pp, and pn pairing (c). The root-mean-square deviation for the $N = Z$ ($N \neq Z$) nuclei $\sigma_{N=Z}$ ($\sigma_{N\neq Z}$) is also given.

$$
E_{\text{pair}} = \langle \Psi | \hat{H}_{\text{pair}} | \Psi \rangle
$$

=
$$
\sum_{ij} C_i^* C_j \langle \text{MPC}_i | \hat{H}_{\text{pair}} | \text{MPC}_j \rangle,
$$
 (6)

which is added to the total energy of RDFT.

In this work, the relativistic density functional PC-PK1 [\[50\]](#page-4-37) is adopted. The Dirac equation [\(3\)](#page-1-0) is solved in the three-dimensional harmonic oscillator basis in Cartesian coordinates [\[51\]](#page-4-38) with ten major shells, and the quadru pole deformation including triaxiality is considered selfconsistently.

The dimension of the MPC space and the corresponding pairing strength G are determined by the odd-even mass differences of the $N = Z + 2$ nuclei from Ni to Rb. In Fig. [1](#page-1-1), the calculated odd-even mass differences $\Delta_n^{(3)}(N,Z) =$ $[B(N-1,Z)+B(N+1,Z)]/2-B(N,Z)$ and $\Delta_p^{(3)}(N,Z) =$
 $[B(N,Z-1)+B(N,Z+1)]/2-B(N,Z)$ [52] are shown $\left[\overline{B}(N, Z-1) + \overline{B}(N, Z+1)\right]/2 - \overline{B}(N, Z)$ [\[52\]](#page-4-39) are shown,
in comparison with the experimental ones extracted from in comparison with the experimental ones extracted from AME'20 [[53](#page-4-40)[,54\]](#page-4-41). The experimental odd-even mass differences are well reproduced by the calculation with the pairing strength $G = 0.8$ MeV. The corresponding MPC space is truncated by $E_{\text{cut}} = 16$ MeV, which means only the MPCs with the energies $E_i \le 16$ MeV are included in the model space. In addition, a variation of the pairing strength by 10% does not change the odd-even mass differences $\Delta_n^{(3)}$ and $\Delta_p^{(3)}$ significantly.

With the pairing strength G thus determined, the binding energies for the $N = Z, Z \pm 1, Z \pm 2$ nuclei around Ni and Rb region are calculated. The differences between the calculated binding energies and the data [[53](#page-4-40)[,54\]](#page-4-41) are shown in Fig. [2](#page-2-0). As shown in Fig. [2\(a\),](#page-2-0) without the pairing correlations, the deviations between the calculated binding energies and the data are large. The root-mean-square (rms) deviations are 3.388 MeV for $N = Z$ nuclei and 3.380 MeV for $N \neq Z$ ones. After the inclusion of the *nn* and *pp* pairing, as shown in Fig. [2\(b\),](#page-2-0) the descriptions of the binding energies are improved. The rms deviation for $N = Z$ nuclei changes to 1.460 MeV, and for $N \neq Z$ nuclei changes to 0.832 MeV. After including the pn pairing, as shown in Fig. [2\(c\),](#page-2-0) the agreements become better. The rms deviation is 0.832 MeV for $N = Z$ nuclei and 0.671 MeV for $N \neq Z$ nuclei [\[55\]](#page-4-42). These results illustrate the importance of the pairing correlations for nuclei near the $N = Z$ line, in particular the pn pairing correlations.

From the binding energies, the δV_{pn} can be extracted as [[10](#page-4-10)]

$$
\delta V_{pn}^{\text{ee}}(N, Z) = \frac{1}{4} [B(N, Z) - B(N - 2, Z) - B(N, Z - 2) + B(N - 2, Z - 2)],\tag{7}
$$

for even-even nuclei with $N = Z$, and

$$
\delta V_{pn}^{\rm oo}(N,Z) = [B(N,Z) - B(N-1,Z) - B(N,Z-1) + B(N-1,Z-1)],
$$
\n(8)

for odd-odd nuclei with $N = Z$. The extracted theoretical results and data [[18](#page-4-17)] are shown in Fig. [3](#page-3-0). The experimental $\delta V_{nn}^{\text{ee}}$ (red circles) decrease smoothly with the mass number, while the $\delta V_{\text{pn}}^{\text{oo}}$ (blue squares) exhibit a distinct tendency; this is the challenging puzzle of the abnormal δV_{pn} bifurcation observed in Ref. [[18](#page-4-17)]. When the *nn*, *pp*, and pn pairing correlations are taken into account simultaneously (solid lines), the calculated results well reproduce the evolution of δV_{pn} for both the odd-odd and eveneven nuclei. The agreement remains even by changing the pairing strength by 10%. In contrast, if the pn pairing correlations are switched off (dashed lines), the calculated results cannot reproduce the bifurcation. As shown clearly in Fig. [3](#page-3-0), the successful reproducing for the abnormal δV_{pn} bifurcation is due to the enhancement of the δV_{pn} for the odd-odd $N = Z$ nuclei by the pn pairing correlations.

To further understand why the pn pairing correlations have more significant influence on the δV_{nn} for the odd-odd nuclei as compared to the even-even ones, in

FIG. 3. Calculated δV_{nn} for the even-even (red lines) and oddodd (blue lines) $N = Z$ nuclei from Ni to Rb with (solid lines) and without (dashed lines) the pn pairing, in comparison with the data (symbols) [\[18\]](#page-4-17). The gray bands correspond to the results with the pairing strength G varying by 10%.

Fig. [4\(a\)](#page-3-1), the calculated pn pairing energies, $E_{\text{pair}}^{pn} =$ $\langle \Psi | \hat{H}_{pair}^{T_z=0} | \Psi \rangle = \sum_{ij} C_i^* C_j \langle \text{MPC}_i | \hat{H}_{pair}^{T_z=0} | \text{MPC}_j \rangle$, are shown as functions of the sums of the configuration energies for $N = Z$ odd-odd nucleus ⁶⁶As and even-even nucleus ⁶⁴Ge. For ⁶⁶As, the nonvanishing value of E_{pair}^{pn} starts at about 3.5 MeV, while for ⁶⁴Ge, about 6.9 MeV. This can be understood from the lowest MPC and the lowest excitation contributing to the *pn* pairing energy for 66 As and 64 Ge as shown in Figs. [4\(b\)](#page-3-1) and [4\(c\).](#page-3-1) With the increase of $E_i + E_j$, the *pn* pairing energy for 66 As is significantly larger than that for 64 Ge. Changing the pairing strength G by 10% will influence the *pn* pairing energy by around 1 MeV for 66 As,

and by around 0.4 MeV 0.4 MeV 0.4 MeV for ⁶⁴Ge. These results in Fig. 4 suggest that the *pn* pairing correlations have more influence on the odd-odd nuclei than on the even-even nuclei, and this explains why the pn pairing correlations would significantly enhance the δV_{pn} for the odd-odd $N = Z$ nuclei in Fig. [3](#page-3-0), and thus result in the abnormal δV_{nn} bifurcation.

In summary, a shell-model-like approach is developed based on the relativistic density functional theory, which allows a microscopic and self-consistent treatment of the neutron-neutron, proton-proton, and proton-neutron pairing correlations simultaneously. The challenging puzzle of the abnormal δV_{pn} bifurcation between the odd-odd and even-even nuclei along the $N = Z$ line from Ni to Rb is found to be originated from the proton-neutron pairing correlations. The proton-neutron pairing correlations would significantly enhance the δV_{pn} for odd-odd $N = Z$ nuclei, and thus result in the δV_{pn} bifurcation. The proton-neutron pairing correlations improve the description of the masses not only for $N = Z$ nuclei, but also for these nuclei near the $N = Z$ line. These conclusions remain true even if the pairing strength is changed by 10%. The present results provide an excellent interpretation to the challenging puzzle of the abnormal δV_{pn} bifurcation, and provide a clear signal for the existence of the proton-neutron pairing correlations for $N = Z$ nuclei.

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FIG. 4. (a) Calculated pn pairing energies $E_{\text{pair}}^{\text{pn}}$ as functions of the sums of the configuration energies for the *i*th and *j*th MPC, $E_i + E_j$, for ⁶⁶As and ⁶⁴Ge. The gray hands correspond to the results for t for ⁶⁶As and ⁶⁴Ge. The gray bands correspond to the results for the pairing strength G varying by 10%. (b) Single-particle energies for the odd-odd nucleus ⁶⁶As. The single-proton levels are renormalized to the first single-neutron level above the $N = Z = 28$ shell. The lowest-energy MPC and the lowest excitation with nonvanishing contribution to the pn pairing energy E_{pair}^{pn} are schematically shown. (c) Same as (b), but for the even-even nucleus 64 Ge.

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- [55] The expectation values for the isospin operator \ddot{T} [[38](#page-4-27)] are calculated for the ground states of all these nuclei, and they agree with the experimental data, except for ⁵⁸Cu. For ⁵⁸Cu, consistent with the data, the state with $\langle \Psi | \hat{T} | \Psi \rangle = 0$ is chosen as the ground state.