

## Colossal $c$ -Axis Response and Lack of Rotational Symmetry Breaking within the Kagome Planes of the $\text{CsV}_3\text{Sb}_5$ Superconductor

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The kagome materials  $\text{AV}_3\text{Sb}_5$  ( $A = \text{K, Rb, Cs}$ ) host an intriguing interplay between unconventional superconductivity and charge-density waves. Here, we investigate  $\text{CsV}_3\text{Sb}_5$  by combining high-resolution thermal-expansion, heat-capacity, and electrical resistance under strain measurements. We directly unveil that the superconducting and charge-ordered states strongly compete, and that this competition is dramatically influenced by tuning the crystallographic  $c$  axis. In addition, we report the absence of additional bulk phase transitions within the charge-ordered state, notably associated with rotational symmetry breaking within the kagome planes. This suggests that any breaking of the  $C_6$  invariance occurs via different stacking of  $C_6$ -symmetric kagome patterns. Finally, we find that the charge-density-wave phase exhibits an enhanced  $A_{1g}$ -symmetric elasto-resistance coefficient, whose large increase at low temperature is driven by electronic degrees of freedom.

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The unique electronic band structure of delocalized electrons in kagome lattices features Dirac points, flat bands, and multiple van Hove singularities (vHS) close to the Fermi level [1]. Theoretical studies of kagome lattices demonstrate that the large density of state near van Hove filling can promote various exotic electronic orders, including charge-bond order, chiral charge-density wave, orbital-current order, and superconducting states of various gap symmetries [2–4].

In this context, the family of recently discovered kagome metals  $\text{AV}_3\text{Sb}_5$  ( $A = \text{K, Rb, Cs}$ ), crystallizing in the  $P6/mmm$  hexagonal space group with perfect vanadium kagome networks, has emerged as an exciting realization of such physics with nontrivial topological properties, unconventional superconductivity, and intertwined symmetry-broken states [5,6]. Experimentally, two electronic instabilities are well established in all  $\text{AV}_3\text{Sb}_5$ , i.e., a charge-density wave (CDW) below  $T_{\text{CDW}} \approx 94$  K, and bulk superconductivity (SC) that reaches  $T_c \approx 2.5$  K in  $\text{CsV}_3\text{Sb}_5$ . The CDW state features a triple- $\mathbf{q}$ -modulation with wave vectors connecting the three inequivalent sublattices and the corresponding  $M$  saddle points vHS. Below  $T_{\text{CDW}}$ , the translational symmetry of the crystal lattice is broken, but the  $c$  axis periodicity remains highly debated as, e.g.,  $2 \times 2 \times 2$  [7,8] and  $2 \times 2 \times 4$  [9] superstructures, or a combination thereof [10,11], are reported.

The fate of the sixfold rotational invariance of the hexagonal lattice is controversial. Several experiments including x-ray diffraction (XRD), nuclear magnetic resonance (NMR), and scanning tunneling microscopy (STM) point to a lowering to  $C_2$  rotational symmetry [11–14]. In addition, in  $\text{CsV}_3\text{Sb}_5$ , measurements of the electrical resistance under strain, namely elasto-resistance, have been interpreted as an evidence for a growing electronic nematic susceptibility within the  $E_{2g}$  ( $x^2 - y^2$ ) symmetry channel, ultimately leading to an ordered nematic state at  $T_{\text{nem}} = 35$  K [14,15]. However, different experiments suggest different critical temperatures for the  $C_6$ -symmetry breaking, ranging from  $T_{\text{nem}}$  to  $T_{\text{CDW}}$ . However, no thermodynamic evidence for such transition has been found and transport measurements on nearly-strain-free samples indicate that sixfold symmetry is preserved across the whole temperature range [16]. Further, conflicting results regarding a possible time-reversal symmetry breaking at  $T_{\text{CDW}}$  were reported [17–20], such that it remains unsettled whether  $\text{AV}_3\text{Sb}_5$  could be the hosts of, e.g., a long-sought loop current order [19–21].

Although a conventional mechanism is unable to explain the superconducting state of  $\text{AV}_3\text{Sb}_5$  [8], its nature remains unsettled. No consensus has been reached concerning the gap symmetry and the existence of gap nodes [22–24]. Further, it has been proposed that the SC and CDW states

conspire to form a pair-density wave [25], and, importantly, that electronic nematicity plays a key role in the mechanism of superconductivity in, e.g.,  $\text{Cs}(\text{V}_{1-x}\text{Ti}_x)_3\text{Sb}_5$  [15].

In this Letter, we use a powerful combination of bulk thermodynamic measurements, including high-resolution thermal expansion and heat capacity, with elastoresistance on  $\text{CsV}_3\text{Sb}_5$  single crystals from two different sources to gain further insights into the CDW state and its connection with superconductivity. Our results directly demonstrate (i) a strong competition between the CDW and the SC states, dramatically influenced by  $c$ -axis tuning, (ii) the absence of an orthorhombic distortion for  $T \leq T_{\text{CDW}}$ , implying that any sixfold symmetry breaking is largely decoupled from in-plane anisotropic strain and (iii) that the CDW is characterized by a strongly enhanced  $A_{1g}$ -symmetric elastoresistance, which further increases with decreasing temperature.

Single crystals of  $\text{CsV}_3\text{Sb}_5$  were grown in Shanghai (batch A) and Karlsruhe (batch B) by the flux method and characterized by x-ray diffraction and energy-dispersive x-ray analysis (see Ref. [26]). In-plane thermal-expansion measurements were performed using a homebuilt high-resolution capacitive dilatometer on a large single crystal from batch A. Because of the large aspect ratio,  $c$ -axis measurements were performed using a stack of 20 smaller crystals from batch B, glued together with GE7031 varnish to a thickness of  $\approx 2$  mm. Elastoresistivity measurements were carried out by gluing samples from batch B on a piezoelectric stack (Pst 150/5x5/7 from Piezomechanik) using DevCon 5-min two-component epoxy (Part. No. X0039) as described in Ref. [27]. To extract the symmetry-resolved elastoresistance coefficients we assumed a temperature-independent Poisson ratio  $\nu_p = -\epsilon_{yy}/\epsilon_{xx} \approx 0.43$  [28] for the piezoelectric stack ( $x$  is the poling direction). Heat-capacity measurements  $C(T)$  were carried out on the same sample from batch A in a physical property measurement system from Quantum Design.

Figure 1(a) shows the relative length changes,  $\Delta L/L$ , of our sample as a function of temperature. A clear first-order discontinuity, for both  $a$  and  $c$  crystal axes (in the following, we use  $P6/mmm$  space-group notations), accompanied by a large peak in the specific heat [see Fig. 1(e)] are observed at  $T_{\text{CDW}} \approx 93.5$  K, marking the transition to the CDW state. Although the CDW transition is clearly of first order, we also observe significant fluctuation effects both above and below the transition in an appropriate Grüneisen parameter (see Ref. [26]). At lower temperature, second-order discontinuities are clearly resolved at  $T_c = 2.5$  K in both thermal-expansion coefficient,  $\alpha_i(T) = 1/L_i(dL_i/dT)$  with  $i = \{a, c\}$ , and  $C(T)$ , as illustrated in Figs. 1(d) and 1(f), respectively. However, we find no evidence of a phase transition around 60 K [see Figs. 1(a) and 1(c)], especially in the  $c$ -axis thermal expansion, where sharp changes in the intensity of the superstructure reflections, accompanying the change of

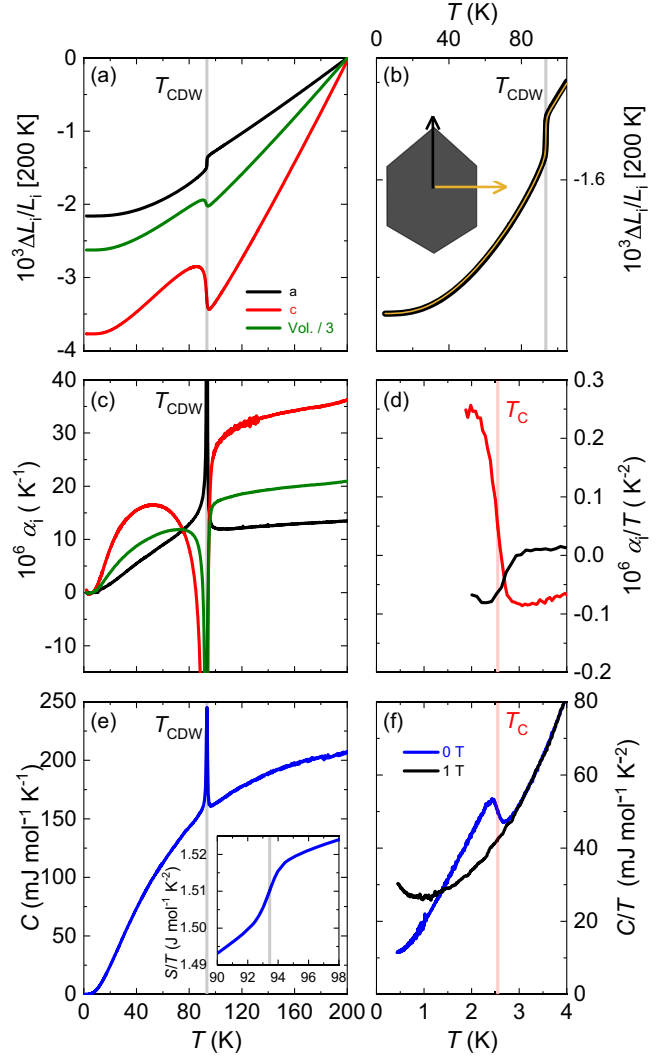


FIG. 1. (a) Relative length changes  $\Delta L/L$  along the hexagonal directions and corresponding volume change as a function of temperature. The gray vertical line indicates  $T_{\text{CDW}}$ . (b) Comparison of  $\Delta L_i/L_i$  measured along two orthogonal in-plane directions on the same single crystal. (c) Corresponding thermal-expansion coefficient,  $\alpha = 1/L(dL/dT)$ . (d) The thermal-expansion data for  $T < 4$  K on a magnified view. (e) Heat capacity  $C(T)$  showing the first-order transition at  $T_{\text{CDW}}$  and the corresponding entropy discontinuity (inset). (f) The superconducting transition in the specific heat on an extended view. The red vertical line indicates  $T_c$ .

interlayer ordering, were observed by XRD [11]. This is rather surprising since band folding, resulting from a changing superstructure, is expected to substantially modify the Fermi surface and therefore the electronic entropy. Yet, no signature of this transition is resolved in either  $C(T)$  or  $\alpha(T)$ , which measure the  $T$  and  $p$  derivative of the entropy, respectively.

Our measurements, however, clearly demonstrate a huge dependence of both CDW and SC on uniaxial pressure. Table I summarizes the initial uniaxial- and

TABLE I. Relative variations of  $T_c$ ,  $T_{CDW}$ , and the Sommerfeld coefficient  $\gamma$  with uniaxial pressure along the  $a$  and  $c$  axes, calculated using the Ehrenfest, Clausius-Clapeyron, and Maxwell relations, respectively. The last column refers to the hydrostatic pressure dependence (see Ref. [26]).

	$a$	$c$	Volume
$d \ln T_c / dp_i$ (GPa $^{-1}$ )	-1.3	+4.7	+2.1
$d \ln T_{CDW} / dp_i$ (GPa $^{-1}$ )	+0.24	-1.3	-0.81
$d \ln \gamma / dp_i$ (GPa $^{-1}$ )	-0.06	+0.73	+0.58

hydrostatic-pressure dependences of  $T_{CDW}$  and  $T_c$  inferred from the application of the Clausius-Clapeyron and Ehrenfest relations (see Ref. [26]), respectively. The largest effect is found for the  $c$  axis where  $dT_{CDW}/dp_c$  amounts to  $\approx -120$  K GPa $^{-1}$ . This demonstrates that the large hydrostatic-pressure sensitivity of the CDW instability of CsV<sub>3</sub>Sb<sub>5</sub> [40,41] originates predominantly from  $c$ -axis stress and highlights the importance of the apical Sb bonds and Sb-derived bands [42,43]. This is equally true for SC which also exhibits large uniaxial-pressure dependences but opposite in sign, confirming that both orders are competing for the same electronic states [29].

Remarkably, the relative change of  $T_c$  with  $c$ -axis stress,  $1/T_c(dT_c/dp_c)$ , is roughly a factor of 4 larger than that of  $T_{CDW}$ . Furthermore, the relative changes of the Sommerfeld coefficient  $\gamma$  and  $T_c$  with  $c$ -axis pressure are both positive. Thus, the increase of  $T_c$  under  $c$ -axis pressure is likely explained by an increase in the density of states due to the reduction of the CDW gap. Interestingly, this correlates with the convergence of  $M$  saddle points vHS toward the Fermi level [44].

Interestingly, we find no evidence for additional phase transition, in contrast to the reports of  $C_6$ -symmetry breaking at  $T_{nem} = 35$  K by elasto-resistance and NMR [14,15], or directly below  $T_{CDW}$  by x-ray diffraction [11]. Such symmetry breaking should be detected using our high-resolution capacitive dilatometer by comparing the strains measured along two in-plane orthogonal directions [see Fig. 1(b)], as has been demonstrated for several Fe-based superconductors [45,46]. This is because our spring-loaded dilatometer exerts a non-negligible force of about 0.2 N along the measurement direction. Thus, for a measurement along directions perpendicular to the hexagonal faces (yellow curve), the population of possible structural domains with the shorter orthorhombic axis should be favored, resulting in an *in situ* detwinning of the sample below  $T_{CDW}$ , if the crystal symmetry were lowered. On the other hand, the twin population would remain unaffected by the applied force for measurements along the orthogonal direction (black curve), which probe a mixture of both orthorhombic axes. We find no discernible difference between the two measurements revealing the absence of in-plane orthorhombic distortion.

The lack of evidence for in-plane  $C_6$ -symmetry breaking in our thermal-expansion measurements motivates a closer inspection of the changes of electrical resistance,  $\Delta R_{ii} = R_{ii}(\epsilon_{xx}) - R_{ii}(\epsilon_{xx} = 0)$  with  $i = \{x, y\}$ , in response to an applied strain  $\epsilon_{xx}$ . Here, we induce a small symmetry-breaking strain to our crystals by gluing them on a piezostack [47], as depicted in the inset of Fig. 2(a), and we extract

$$\left( \frac{\Delta R}{R - R^0} \right)_{xx} - \left( \frac{\Delta R}{R - R^0} \right)_{yy} = m_{E_{2g}}(\epsilon_{xx} - \epsilon_{yy}), \quad (1)$$

$$\left( \frac{\Delta R}{R - R^0} \right)_{xx} + \left( \frac{\Delta R}{R - R^0} \right)_{yy} = m_{A_{1g}}(\epsilon_{xx} + \epsilon_{yy}), \quad (2)$$

where  $R^0$  is the  $T \rightarrow 0$  residual resistance and  $R_{xx}$  and  $R_{yy}$  correspond, respectively, to resistance measurements along and transverse to the poling direction. Hereafter, we denote them longitudinal and transverse measurements, respectively.  $m_{A_{1g}}$  and  $m_{E_{2g}}$  represent the respective elasto-resistance coefficients that transform according to the  $A_{1g}$  and  $E_{2g}$  irreducible representations of the  $D_{6h}$  point group (see Ref. [26]). We note that the quite large resistivity anisotropy  $(\rho_c/\rho_{ab})(50 \text{ K}) \approx 25$  in CsV<sub>3</sub>Sb<sub>5</sub> implies that our transport data are dominated by the in-plane response [13].

In Fig. 2, we report the results of our elasto-resistance measurements on CsV<sub>3</sub>Sb<sub>5</sub> (see Ref. [26]). The linear slopes  $(dR_{ii}/d\epsilon_{xx})$  of the resistance-vs-strain curves normalized by the total  $R_{ii}$  and  $(R_{ii} - R_{ii}^0)$  are compared in Fig. 2(a). At lower temperatures the effect of normalization by  $R_{ii}^0$  makes a huge difference and is necessary to obtain physically meaningful results, as discussed in Ref. [48]. For  $T > T_{CDW}$ , the strain response is weakly temperature dependent and amounts to  $\approx 2-4$ , as expected in any metals. At  $T \approx T_{CDW}$ , a sharp peak is resolved in both directions. This peak, that has not been resolved in any AV<sub>3</sub>Sb<sub>5</sub> [14,15] is, however, naturally expected given the strong uniaxial-pressure dependence of  $T_{CDW}$  according to

$$\left( \frac{dR}{d\epsilon_{xx}} \right)_{T_{CDW}} \propto \left( \frac{\partial R}{\partial T} \right)_{T_{CDW}} \left( \frac{dT_{CDW}}{dp_a} \right). \quad (3)$$

The validity of Eq. (3) is provided by the essentially similar strain conditions achieved under uniaxial pressure and in-plane biaxial and anisotropic strain induced by the piezostack (see details in [26]). Thus, a positive elasto-resistance peak implies that  $dT_{CDW}/dp_a > 0$ , in excellent agreement with our thermal-expansion measurements (see Table I) and previous direct uniaxial-stress experiments [29].

For  $T \lesssim T_{CDW}$ , the elasto-resistance does not turn back to a typical metallic value. It is rather significantly enhanced [14,15] for both longitudinal and transverse

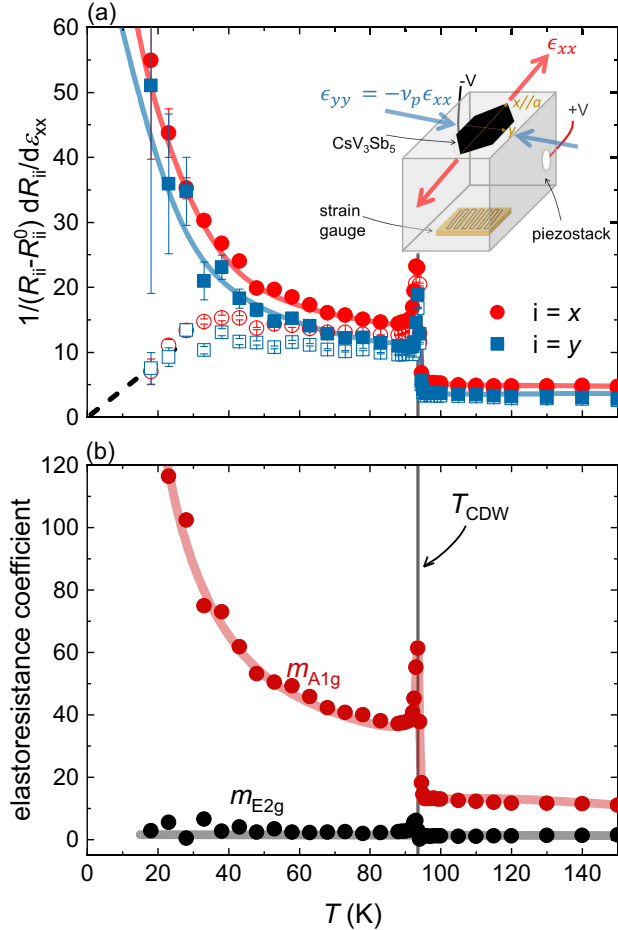


FIG. 2. (a) Linear slopes of the resistance versus strain curves,  $1/(R_{ii} - R_{ii}^0)(dR_{ii}/d\epsilon_{xx})$ , in the longitudinal ( $i = x$ , red symbols) and transverse ( $i = y$ , blue symbols) channels. The empty symbols correspond to resistance variation relative to the total resistance (including the residual term),  $1/R_{ii}(dR_{ii}/d\epsilon_{xx})$ , as discussed in earlier works [14,15]. The inset shows a sketch of the experimental setup with the sample (black) glued on the top side of a piezoelectric stack (gray) with the crystallographic  $a$  axis aligned along the poling direction ( $x$ ). (b) Corresponding  $E_{2g}$  and  $A_{1g}$  symmetry-resolved elastoresistance coefficients. The vertical line indicates  $T_{CDW}$ .

channels, as illustrated in Fig. 2(a). Hence, the electronic properties of  $\text{CsV}_3\text{Sb}_5$  in the CDW state are mainly sensitive to a symmetry-preserving stress, in excellent accord with our thermodynamic results. In contrast to previous reports [14,15], both our longitudinal and transverse measurements were carried out on the same sample, i.e., under similar strain-transmission conditions, which is crucial for extracting the symmetry-resolved elastoresistance coefficients defined by Eqs. (1) and (2). As shown in Fig. 2(b), the enhanced elastoresistance response within the CDW phase is dominated by the symmetry-preserving  $A_{1g}$  channel. The  $E_{2g}$  response, in contrast, remains weak over the entire temperature range studied, in agreement with both the absence of in-plane  $C_6$ -symmetry breaking and the

direct uniaxial-strain measurements of Qian *et al.* [29]. In light of our thermodynamic results, the large  $m_{A_{1g}}$  likely originates from a dominant  $c$ -axis contribution.

Strikingly, the  $A_{1g}$ -symmetric elastoresistance increases further in the CDW phase and reaches extremely high values at low temperature, with  $m_{A_{1g}}(20 \text{ K}) \approx 120$ , which we attribute to the strain-sensitive electron-electron scattering. Indeed, from the decrease of  $\gamma$  with in-plane uniaxial pressure (see Table I) and by virtue of the Kadowaki-Woods relation,  $A \propto \gamma^2$  ( $A$  being the coefficient of the  $T^2$  term in a Fermi-liquid picture), one expects the electron-electron contribution to  $m_{A_{1g}}$  to be positive at low temperature [48] as effectively observed. At higher temperatures, electron-phonon scattering will play an increasingly important role in the elastoresistivity, that in turns decreases (see Ref. [26]). Finally, the downturn of the elastoresistance found below  $T_{nem} \approx 35 \text{ K}$  [14,15,49] is a direct consequence of not accounting for the residual resistivity [48] [see open symbols in Fig. 2(a) and [26]].

In conclusion, we have demonstrated that the CDW in  $\text{CsV}_3\text{Sb}_5$  exhibits a colossal response to  $c$ -axis stress and strongly competes with SC for the same electronic states. The simultaneous enhancement of  $T_c$  and decrease of  $T_{CDW}$  under  $c$ -axis compression is in line with the strong shift of the apical Sb-derived electronic states toward the Fermi energy [43,44], highlighting the importance of Sb-derived bands in any minimal microscopic description of this system [21,42,43]. Besides CDW and SC, we find no thermodynamic evidence of additional phase transition within the CDW state. Our data reveal the absence of an orthorhombic distortion consistent with a negligibly small  $m_{E_{2g}}$  response for  $T < T_{CDW}$ , implying that sixfold rotational symmetry is preserved within the individual  $\text{V}_3\text{Sb}_5$  layers. Our results remain, however, consistent with x-ray diffraction [11] if the reported breaking of  $C_6$  invariance arises from a stacking of different CDW patterns along the  $c$  axis, as suggested theoretically in Ref. [43]. Our data further show that the large elastoresistance, previously assigned to the nematic  $E_{2g}$  channel, originates largely from an enhanced  $A_{1g}$  symmetry-preserving channel, that emerges from electron-electron scattering within the CDW phase. A careful comparison of thermodynamic and spectroscopic experiments under  $c$ -axis compression is a promising way to shed light on the microscopic origin of the CDW and SC formation in  $\text{AV}_3\text{Sb}_5$ .

*Note added.*—After the completion of the manuscript, we became aware of the preprints of Liu *et al.* [32] and Asaba *et al.* [50]. We share the conclusions of Liu *et al.* [32] about the absence of nematicity within the CDW state of  $\text{CsV}_3\text{Sb}_5$ . However, our thermal-expansion results and the elastocaloric measurements of Liu *et al.* [32] are at odds concerning the putative crystal-symmetry breaking well above the CDW transition reported by Asaba *et al.* [50].

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