Experimental Determination of α Widths of ²¹Ne Levels in the Region of Astrophysical Interest: New ${}^{17}O + \alpha$ Reaction Rates and Impact on the Weak s Process

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The efficiency of the weak s process in low-metallicity rotating massive stars depends strongly on the rates of the competing ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ reactions that determine the potency of the ${}^{16}O$ neutron poison. Their reaction rates are poorly known in the astrophysical energy range of interest for core helium burning in massive stars because of the lack of spectroscopic information (partial widths, spin parities) for the relevant states in the compound nucleus ²¹Ne. In this Letter, we report on the first experimental determination of the α -particle spectroscopic factors and partial widths of these states using the ${}^{17}O({}^{7}Li, t){}^{21}Ne \alpha$ -transfer reaction. With these the ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ reaction rates were evaluated with uncertainties reduced by a factor more than 3 with respect to previous evaluations and the present ${}^{17}\text{O}(\alpha, n){}^{20}\text{Ne}$ reaction rate is more than 20 times larger. The present $(\alpha, n)/(\alpha, \gamma)$ rate ratio favors neutron recycling and suggests an enhancement of the weak s process in the Zr-Nd region by more than 1.5 dex in metal-poor rotating massive stars.

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Nearly half of the abundance of heavy elements originates from the s process, a sequence of slow neutron-capture reactions, occurring in two major astrophysical sites: asymptotic giant branch stars and massive stars. During core-He burning in massive stars, the ${}^{22}Ne(\alpha, n){}^{25}Mg$ reaction is the main neutron source for the weak s process component, producing elements between iron and strontium. Models of rotating low-metallicity massive stars [1,2] show a potential large production of heavier s elements between strontium and barium during the helium core burning phase.

The efficiency of the *s* process in these stars depends on two competing thermonuclear reactions: ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ [1–3]. Their ratio determines the poisoning effect of ¹⁶O consuming neutrons released by the ²²Ne(α , n)²⁵Mg reaction by ¹⁶O(n, γ)¹⁷O. The neutrons may be recycled by ${}^{17}O(\alpha, n){}^{20}Ne$ or lost for good through ${}^{17}O(\alpha,\gamma){}^{21}Ne$. At He-core burning temperatures of 0.2-0.3 GK the ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ reactions are dominated by resonances of energy $E_r^{\rm c.m.} \sim$ 0.28-0.65 MeV corresponding to excited states between $E_x = 7.620$ and 8.00 MeV [$S_\alpha = 7347.93(4)$ keV [4]] in ²¹Ne. For these excited states, the spectroscopic data (α -particle, neutron, and γ -ray partial widths and spin parities) are poorly known or unknown resulting in large uncertainties in the (α, n) and (α, γ) reaction rates [5].

To investigate the neutron recycling efficiency and reduce the large uncertainties on both reaction rates, we report here on the pioneering experimental determination of the α widths of the resonance states of interest in ²¹Ne through the measurement of α -spectroscopic factors. These were determined from the α -transfer reaction ¹⁷O(⁷Li, *t*)²¹Ne performed at MLL-Munich, using the highenergy resolution Q3D magnetic spectrograph [6].

A 28 MeV ⁷Li³⁺ beam was delivered by the 14 MV Tandem of MLL-Munich with typical intensity around 100 enA measured with a suppressed Faraday cup at 0° downstream from the target. An enriched 40(1) μ g/cm² W¹⁷O₃ target with 35% enrichment in ¹⁷O on a 34(1) μ g/cm² C backing was used. A target of natural 39(1) μ g/cm² WO₃ on 35(1) μ g/cm² C was used for calibration and background evaluation. The absolute amount of ¹⁷O, ¹⁶O, ¹⁸O, and ¹²C present in the enriched and natural targets was deduced from Rutherford backscattering at JANNus/SCALP [7]. Trace contamination of ¹⁴N was observed in both enriched and natural targets.

The reaction products were momentum analyzed in the Q3D with solid angle between 6 and 12.4 msr depending on the measured angle. Tritons were unambiguously identified at the focal plane [8] using two proportional gas counters providing the focal-plane position and energy losses of the detected particles backed by a plastic scintillator measuring the residual energy.

Tritons were detected at nine laboratory angles (6°–36°), covering astrophysically relevant excitation energies from 7.1 to 8.25 MeV. The energy resolution was 30–70 keV, worsening with increasing angle. Triton position spectra measured at 9° and 28° with the enriched W¹⁷O₃ target are displayed in Fig. 1.

All known states of ²¹Ne in the region of interest $(E_x = 7.420-8.155 \text{ MeV})$ are observed except the 7.469 $(J^{\pi} = 1/2^{-}, 3/2^{-})$ and the 8.009 MeV $(J^{\pi} = 1/2^{-})$ states, which are not or very weakly populated. Some states could not be observed at all angles because of the presence of the $E_x = 6.92$ and 7.12 MeV contaminant states in ¹⁶O.

The triton spectra were fitted using a sum of exponentially modified Gaussian functions accounting for the Q3D response. At each angle, a common width and exponential factor from the isolated 7.420 MeV state were used for all ²¹Ne states. Given the energy resolution, the triplet of states at $E_x = 8.146$, 8.155, and 8.160 MeV was treated as a single state, as was the $E_x = 7.980$ and 7.982 MeV doublet. The ¹⁶O contaminant peaks were either excluded from the fit or included when close to the states of interest.

The χ^2 minimization provides the peak yields and uncertainty which varies by state and angle from 3% for the strongest at small angles to nearly 77% for the weakest at larger angles due to degraded energy resolution and smaller differential cross sections. The contamination due to ${}^{16}\text{O}({}^{7}\text{Li}, t){}^{20}\text{Ne}$ and ${}^{14}\text{N}({}^{7}\text{Li}, t){}^{18}\text{F}$ reactions was evaluated with the natural WO₃ target and subtracted from the ${}^{21}\text{Ne}$ peak yields after charge and target composition normalization. The level of ${}^{22}\text{Ne}$ contamination due ${}^{18}\text{O}({}^{7}\text{Li}, t){}^{22}\text{Ne}$ reaction was evaluated to be below or, at most, at background level (see Supplemental Material [9]).

The measured ¹⁷O(⁷Li, t)²¹Ne differential cross sections are displayed in Fig. 2 including the $E_x = 7.655$, 7.74,



FIG. 1. Triton position spectra at spectrograph angles of 9° (top) and 28° (bottom). ²¹Ne excited states are labeled in black, while states labeled in red and green belong to ²⁰Ne and ¹⁶O contaminants, respectively. The best fit is shown as a red line, while the individual contributions are in pink (²¹Ne) and green (¹⁶O). The yellow flat curve corresponds to the background.



FIG. 2. Experimental differential cross sections of the ${}^{17}\text{O}({}^{7}\text{Li}, t){}^{21}\text{Ne}$ reaction obtained at $E_{{}^{7}\text{Li}} = 28$ MeV for different populated states in ${}^{21}\text{Ne}$ together with DWBA calculations normalized to the data. See text for details.

7.82, and 7.96 MeV states of interest. Those of the $E_x = 7.559$, 7.602, and 7.620 states are displayed in Supplemental Material [9]. The uncertainties of the cross sections arise from the quadratic sum of the peak yield uncertainty determined at each measured angle, the number of target atoms, the solid angle, and the total number of incoming ⁷Li particles.

Theoretical cross sections were obtained from FRESCO finite-range distorted-wave Born approximation (DWBA) calculations [14]. The α -spectroscopic factors C^2S_{α} were obtained by normalization of the theoretical curves to the experimental data, $C^2S_{\alpha} = [\sigma_{exp}/(\sigma_{DW}.C^2S_{\alpha}^{7\text{Li}})]$. The $\alpha + t$ overlap spectroscopic factor $C^2S_{\alpha}^{7\text{Li}} = 1$ [15]. With the C^2S_{α} , the partial α widths (Γ_{α}) were evaluated following Ref. [16] at the interaction radius r = 7.5 fm where the $\alpha + {}^{17}$ O wave function reaches an asymptotic behavior [17].

The normalized DWBA curves in Fig. 2 were obtained with $\alpha + {}^{17}\text{O}$ Woods-Saxon parameters $r_0 = 1.3$ and a = 0.70 fm [18]. The optical potential parameters are given in Supplemental Material [9]. Since the states considered in the present Letter are all unbound, the radial form factor was calculated using the weakly bound approximation prescription [19,20].

The good agreement between the DWBA calculations and the measured differential cross sections gives strong evidence of the direct nature of the $(^{7}\text{Li}, t)$ reaction populating most of the levels.

Given that the spin of ¹⁷O is $5/2^+$, we checked that higher α orbital angular momenta (ℓ_{α}) do not contribute significantly [9].

The experimental differential cross section of the 8.146/8.155/8.160 MeV triplet is fitted using a combination of two $\ell_{\alpha} = 2$ components, associated with the 8.146 MeV, $J^{\pi} = 3/2^+$ and the 8.155 MeV, $J^{\pi} = (9/2^+)$ states and an $\ell_{\alpha} = 0$ component for the 8.160 MeV, $J^{\pi} = 5/2^+$ state. The C^2S_{α} of the 8.146 and 8.160 MeV states were derived using their corresponding α widths measured in [21], while that of the 8.155 MeV state was deduced here from the fit of the three combined DWBA calculations to the extracted data. Since the spin parity of the 8.155 MeV state may also be $9/2^-$ [4], a further fit was performed taking this into account with $\ell_{\alpha} = 3$. This led to an α width inconsistent with the ${}^{17}O(\alpha, \gamma)^{21}$ Ne resonance strength [22] and a $J^{\pi} = 9/2^-$ assignment is not supported.

For the 7.980/7.982 MeV doublet, the data were fitted by a combination of an $\ell_{\alpha} = 1$ (7.980 MeV, $3/2^{-}$) component for which the C^2S_{α} was deduced using the $\omega\gamma_{(\alpha,n)}$ resonance strength of [23,24], the Γ_n from Ref. [5], and the Γ_{γ} from Ref. [25], and an $\ell_{\alpha} = 2$ [7.982 MeV, (7/2⁺)] component for which the C^2S_{α} was deduced from the fit to the present data. A fit using the other possible [$J^{\pi} = 11/2^{+}$, $\ell_{\alpha} = 4$] assignment for the $E_x =$ 7.982 MeV state [4] was also performed. This, too, was inconsistent with the measured resonance strength [22]. Concerning the 7.74 and 7.82 MeV states, for which the J^{π} are unknown, the experimental differential cross sections were fitted considering different ℓ_{α} , from 0 to 4. The $E_x = 7.74$ MeV state data do not discriminate between the different assignments. For the 7.82 MeV state, χ^2 minimization favors $\ell_{\alpha} = 0$ -2. However, with $\ell_{\alpha} = 0$, the obtained C^2S_{α} is unreasonably large (0.61) for such a high excitation energy considering that the α strength is already shared among the three $5/2^+$ low-lying states which C^2S_{α} are found large [26].

The obtained $C^2 S_{\alpha}$ for each populated state in ²¹Ne are displayed in Table I together with their deduced α partial widths. The $E_x = 7.74$ and $E_x = 7.82$ MeV states are reported for $\ell_{\alpha} = 0$ and $\ell_{\alpha} = 1$, respectively. These are the values used for the calculation of the reaction rates displayed in Fig. 3. The choice of $\ell_{\alpha} = 0$ for the 7.74 MeV ensures a consistent comparison with the previous ones.

The uncertainties on the C^2S_{α} were evaluated by investigating different entrance [11,12,29,30] and exit [13,31] optical potentials as well as different geometry for the $\alpha + {}^{17}$ O interaction potential [18]; they were found to be of

TABLE I. Spectroscopic factors and α widths from present Letter (unless otherwise specified) for relevant ²¹Ne states. The tabulated excitation energies and their uncertainties come from Ref. [5] except the $E_x = 8.155$, 8.009, 7.980, and 7.74 MeV states which come from Ref. [4].

E_x (keV)	$E_r^{\text{c.m.}}$	(keV)	J^{π}	ℓ_{α}	$C^2 S_{\alpha}^{a}$	$\Gamma_{\alpha}^{\ b}$ (meV)
7420.4(15)	72.5	5(15)	11/2-	3	0.110(5)	$258(88) \times 10^{-34}$
7470(2)	122	2(2)	$3/2^{-}$	1	0.029(19)	$101(75) \times 10^{-22}$
7559.1(15)	211.	2(15)	$(5/2^+)$	0	0.173(21)	$328(120) \times 10^{-13}$
7602.0(15)	254.	1(15)	$7/2^{-}$	1	0.066(10)	$150(55) \times 10^{-11}$
7619.9(10)	272.	0(10)	$3/2^{-}$	1	0.042(15)	$619(300) \times 10^{-11}$
7655.7(22)	307.	8(22)	$7/2^{+}$	2	0.0200(74)	$176(88) \times 10^{-10}$
7740(10)	392	(10)	$(5/2^+)$	0	0.155(36)	$343(140) \times 10^{-6}$
7820.1(15)	472.	2(15)	$(7/2^{-})$	1	$0.260(39)^{c}$	$157(59) \times 10^{-4^{\circ}}$
7960(2)	612	2(2)	$11/2^{-}$	3	0.0110(15)	$300(111) \times 10^{-5}$
7980(10)	632	(10)	$3/2^{-}$	1	0.03^{d}	$410(52) \times 10^{-3d}$
7982.1(7)	634	.2(7)	$(7/2^+)$	2	0.0050(12)	$191(76) \times 10^{-4}$
8009(10)	661	(10)	$1/2^{-}$	3	$\leq 0.001^{\text{e}}$	$\leq 6.50 \times 10^{-4^{\rm e}}$
8069(1)	72	1(1)	$3/2^{+}$	2	0.0470(47)	1.54(54)
8146(2)	798	8(2)	$3/2^{+}$	2	0.34^{f}	$54.7(55)^{f}$
8155.0(10)	80′	7(1)	$(9/2^+)$	2	0.1500(165)	28.4(102)
8160(2)	812	2(2)	$5/2^{+}$	0	0.012 ^f	$16.0(16)^{f}$

^aUncertainties are statistical. See text for systematic uncertainties.

^bUncertainties are the quadratic sum of the statistical and the DWBA uncertainties (see text for details).

^cFor $\ell_{\alpha} = 0$, $J^{\pi} = 5/2^+$, $C^2 S_{\alpha} = 0.610(9)$, and $\Gamma_{\alpha} = 683 \times 10^{-4}$ meV. For $\ell_{\alpha} = 2$, $J^{\pi} = 3/2^+$, $C^2 S_{\alpha} = 0.310(5)$, and $\Gamma_{\alpha} = 465 \times 10^{-5}$ meV.

 ${}^{d}C^{2}S_{\alpha}$ is deduced from the (α, n) resonant strength [23,24].

^eAn upper limit for C^2S_{α} was deduced from the nonobservation of this state.

 ${}^{t}C^{2}S_{\alpha}$ are derived from the Γ_{α} of Ref. [21].



FIG. 3. The ¹⁷O(α , n)²⁰Ne (top) and ¹⁷O(α , γ)²¹Ne (middle) reaction rates from the present Letter (black lines) and those of [5] (green), [21] (red), NACRE [27] and CF88 [28] (turquoise) normalized to our recommended rate. See text for details. The indicated blue range of 0.2–0.3 GK corresponds to He-core burning in massive stars. Bottom: the ¹⁷O(α , n)²⁰Ne to ¹⁷O(α , γ)²¹Ne reaction rates ratio. The solid black line displays the ratio of our recommended rates and the dashed black lines display the ratios of the upper (lower) ¹⁷O(α , n)²⁰Ne rate to the lower (upper) ¹⁷O(α , γ)²¹Ne reaction rates.

about 24% each. Nevertheless, the uncertainty on the Γ_{α} due to the well geometry was less than 5% due to compensation between C^2S_{α} and the radial part of the $\alpha + {}^{17}$ O wave function. The number of nodes *N* (five or six including the origin) was found to have a limited impact on the α width ($\leq 6\%$) (see Ref. [19]), while on C^2S_{α} it is about 25%. The total uncertainty on Γ_{α} due to the DWBA model is about 35%.

¹⁷O(α , n)²⁰Ne and ¹⁷O(α , γ)²¹Ne reaction rates were calculated using the Monte Carlo code RatesMC [32]. A Gaussian probability density function is assumed for the resonance energies (Table I) and a log-normal distribution for the partial widths. The resonance parameters of the excited states from 7.420 to 8.160 MeV used in the calculations are given in [9]. For the resonant states of astrophysical interest at $E_x = 8.155$ and below $E_x = 8.069$ MeV except the 7.980 MeV, the α widths, and their associated uncertainties considered in the calculations are those deduced experimentally for the first time in the present Letter (see Table I).

For the neutron partial widths, the experimental values determined in Ref. [5], using the ²⁰Ne(d, p)²¹Ne transfer reaction, were used, except for the 7.820 MeV state for which a neutron width of $\Gamma_n = 29(3)$ eV was calculated

using the C^2S_n deduced from the analysis of the 7.820 MeV angular distributions of Ref. [5] also consistent with a neutron angular momentum $l_n = 3$. For the γ partial widths, the mean value 0.20(14) eV deduced from the mean measured lifetime [25] was considered as in Ref. [5]. For states where the neutron width is unknown, the assumption of Ref. [21] for the ratio of the γ width to the neutron width was adopted.

For the states at and above $E_x = 8.069$ MeV except the 8.155 MeV, the Γ_{α} and the Γ_n coming from the (α, n) measurements of Ref. [21] were considered. Since no uncertainties were associated with the given values, an arbitrary 10% precision was assumed for all of them. For the ¹⁷O(α, γ)²¹Ne rate calculation, the recent adopted (α, γ) resonance strengths given in Ref. [22] for the $E_r^{\text{c.m.}} = 634.2, 721$, and 807 keV resonances were used.

To properly account for the uncertain ℓ_{α} values for the 7.740 and 7.820 MeV states, the rates have been calculated separately for two extreme cases considering the largest and smallest contribution for each state [9]. This prevents the median rate from falling between the two likely rate values, see Ref. [33] for an example of this effect.

The calculated ¹⁷O(α , n)²⁰Ne and ¹⁷O(α , γ)²¹Ne reaction rates from Refs. [5,21,27,28] normalized to our recommended rates (corresponding to the 50th percentile of the cumulative rate distribution) given numerically in [9] are shown in Fig. 3 together with our evaluated high and low rates which represent a coverage probability of 68%. At the temperatures of interest (0.2–0.3 GK), our (α , n) recommended reaction rate is higher by a factor of about 30, 20 and 6 in comparison to the rates of Refs. [5,21,27], respectively. This is due to the major improvement brought by the present Letter: the first experimental determination of the C^2S_{α} and α widths of the astrophysically important states. In Ref. [21] the α widths for all the states below 8.069 MeV were evaluated assuming a C^2S_{α} of 0.01 and in Ref. [5] were sampled from a Porter-Thomas distribution assuming a dimensionless reduced α width of 0.01.

For the (α, γ) rate, our recommended rate in the region of interest is 18 times larger, comparable within a factor of 2, and 5 times smaller than the rates of Refs. [5,21,28], respectively.

The ratio of the present ${}^{17}O(\alpha, n){}^{20}Ne$ to ${}^{17}O(\alpha, \gamma){}^{21}Ne$ reaction rates is also shown in Fig. 3 together with those of Refs. [5,21,27,28] rate ratio. The present evaluated reaction rate ratio is found to be larger by a factor of about 2 and 20 than those of Refs. [5,21], respectively, and by a factor of about 30 than the NACRE/CF88 ratio at the temperatures between 0.2 and 0.3 GK. The uncertainties on the ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ rates of about 32% and 77%, respectively, were drastically reduced in the region of interest in comparison to Ref. [5] thanks to the present experimental determination of the α widths of ${}^{21}Ne$ states.

From the fractional contribution of each resonance to the rates at each temperature [9], the dominant contributions at



FIG. 4. Overproduction factors in the *s* process for a metal-poor rotating massive star of $25M_{\odot}$ at a metallicity of Z = 0.001. The results using the present rates are in red and green (see text for details), those using Ref. [21] are in black. The thickness of the red and green curves represent the uncertainties due to those of our recommended rates.

0.2–0.3 GK come from the $E_r^{\text{c.m.}} = 392$ and 472.2 keV resonances for (α, n) and those at $E_r^{\text{c.m.}} = 308$ and 472.2 keV for (α, γ) .

Given the unconstrained ℓ_{α} value for the 7.74 MeV $(E_r^{\text{c.m.}} = 392 \text{ keV})$ state and the three possible values for the 7.82 MeV $(E_r^{\text{c.m.}} = 472.2 \text{ keV})$ state, the J^{π} of both states need to be constrained. Reaction rate calculations with $\ell_{\alpha} = 2$ for the 7.82 MeV state and no contribution from the 7.74 MeV state, the smallest contribution case based on the current study, were also performed and reported in Tables IV and V of Supplemental Material [9]. Even in this extreme case the $(\alpha, n)/(\alpha, \gamma)$ reaction rate ratio is 20 (10) times larger than NACRE/CF88 (Best *et al.* [21]).

The impact of the new ${}^{17}O(\alpha, n){}^{20}Ne$ and ${}^{17}O(\alpha, \gamma){}^{21}Ne$ rates on the *s* process nucleosynthesis in a $25M_{\odot}$ metalpoor rotating massive star was investigated with a one-zone nucleosynthesis calculation mimicking rotation-induced mixing during core-He burning [5,34]. A large enhancement (> 1.5 dex) of *s* elements between Zr (*Z* = 40) and Nd (*Z* = 60) is found with the present rates calculated with $\ell_{\alpha} = 0$ and $\ell_{\alpha} = 1$ for the 7.74 and 7.82 MeV states, respectively (red curve), compared to Ref. [21] (see Fig. 4).

For Ba, the enhancement is even larger: 2 dex. This enhancement is still much larger than that of Ref. [21] even with the smallest expected rate (green curve) calculated with $\ell_{\alpha} = 2$ for the 7.82 MeV state and no contribution of the 7.74 MeV state.

This boosted *s* process is in line with the observation of an enhanced *s* process in globular cluster NGC6522 [35] and in the carbon-enhanced metal-poor stars [36].

In this Letter, we reported the first experimental determination of the α -spectroscopic factors and α widths of ²¹Ne states within the energy range for He-core burning in massive stars. The uncertainties of the ¹⁷O(α , n)²⁰Ne and ¹⁷O(α , γ)²¹Ne reaction rates were improved by a factor more than 3 and their ratio is found to greatly exceed previous evaluations. This favors efficient recycling of neutrons and an enhancement of the weak *s* process yields in the Zr-Nd region by more than 1.5 dex in low-metallicity rotating massive stars.

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