

## Quantum-Geometry-Induced Anomalous Hall Effect in Nonunitary Superconductors and Application to $\text{Sr}_2\text{RuO}_4$

Jia-Long Zhang<sup>1,2,\*</sup>, Weipeng Chen,<sup>2,3,4,\*</sup> Hao-Tian Liu<sup>2,3,4</sup>, Yu Li<sup>5,6</sup>, Zhiqiang Wang<sup>7</sup>, and Wen Huang<sup>2,3,4,†</sup>

<sup>1</sup>Department of Physics, The Hong Kong University of Science and Technology, Hong Kong SAR, China

<sup>2</sup>Shenzhen Institute for Quantum Science and Engineering, Southern University of Science and Technology, Shenzhen 518055, Guangdong, China

<sup>3</sup>International Quantum Academy, Shenzhen 518048, China

<sup>4</sup>Guangdong Provincial Key Laboratory of Quantum Science and Engineering, Southern University of Science and Technology, Shenzhen 518055, China

<sup>5</sup>Institute of Quantum Materials and Physics, Henan Academy of Sciences, Zhengzhou, Henan 450046, China

<sup>6</sup>Kavli Institute for Theoretical Sciences, University of Chinese Academy of Sciences, Beijing 100190, China

<sup>7</sup>Department of Physics and James Franck Institute, University of Chicago, Chicago, Illinois 60637, USA



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The polar Kerr effect and the closely related anomalous charge Hall effect are among the most distinguishing signatures of the superconducting state in  $\text{Sr}_2\text{RuO}_4$ , as well as in several other compounds. These effects are often thought to be derived from chiral superconducting pairing, and different mechanisms have been invoked for the explanation. However, the intrinsic mechanisms proposed previously often involve unrealistically strong interband Cooper pairing. We show in this Letter that, even without interband pairing, nonunitary superconducting states can support the intrinsic anomalous charge Hall effect, thanks to the quantum geometric properties of the Bloch electrons. The key here is to have a normal-state spin Hall effect, for which a nonzero spin-orbit coupling is essential. A finite charge Hall effect then naturally arises at the onset of a spin-polarized nonunitary superconducting pairing. It depends on both the spin polarization and the normal-state electron Berry curvature, the latter of which is the imaginary part of the quantum geometric tensor of the Bloch states. Applying our results to the weakly paired  $\text{Sr}_2\text{RuO}_4$  we conclude that, if the reported Kerr effect is of intrinsic origin, the superconducting state is most likely nonunitary and has odd parity. Our theory may be generalized to other superconductors that exhibit the polar Kerr effect.

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*Introduction.*—Cooper pairs in chiral superconductors carry a nonzero and quantized orbital angular momentum [1]. A condensate of such time-reversal-symmetry-breaking (TRSB) Cooper pairs may support spontaneous Hall-like response even in the absence of an external magnetic field, i.e., an anomalous Hall effect [2,3]. This Hall effect at optical frequency is directly related to the polar Kerr effect reported in a number of much-debated chiral superconductor candidates, including  $\text{Sr}_2\text{RuO}_4$  [4],  $\text{UPt}_3$  [5], and  $\text{URu}_2\text{Si}_2$  [6]. However, understanding the Hall effect in chiral superconductors is much more challenging than in quantum Hall insulators. General Galilean invariance principle [7] dictates that the Hall conductivity should vanish in a clean single-band chiral superconductor, because the center-of-mass (c.m.) motion of a Cooper pair subject to external electric field is oblivious to the relative motion between the two paired electrons (and is, therefore, independent of the chiral nature of the pairing). In a semiclassical perspective, this invariance is related to the vanishing of the anomalous velocity [8,9] associated with Bogoliubov quasiparticles that travel under the influence of the electric field.

One way to break the Galilean invariance and hence to entangle the relative and c.m. motion is by breaking translation symmetry [10–13], which can be achieved by extrinsic disorder. The invariance may also be broken, in an intrinsic manner, in clean multiband superconductors [14–19]. Here, the requirement for sizable interband Cooper pairing is implicit in the analyses [14–19]. Owing to the different group velocities on different bands, a generic interband pair of electrons at opposite momenta typically carries a nonvanishing c.m. momentum. Note that most of the previous multiband studies were performed in the orbital-basis language. Hence, when translated into the band-basis description, the pertinent models almost by default encapsulate comparable intraband and interband pairings. This has been met with skepticism [20], as the weak superconductivity in the above-mentioned superconductors is not expected to develop interband pairing of the size needed to explain the observed Kerr rotation angle.

Another necessary ingredient for the intrinsic anomalous Hall effect in chiral superconductors is the interband velocity [14,21], i.e., the off-diagonal elements of the velocity matrix in band basis. The underlying physics,

however, has not been fully elucidated. In fact, this quantity has its origin in the quantum geometric properties of the Bloch bands [22–24]. Specifically, the  $\mu$ th component of the interband velocity is given by [25,26]

$$V_{\mu k}^{ij} = (\epsilon_{i\mu} - \epsilon_{j\mu}) \langle \partial_{\mu} \psi_{ik} | \psi_{jk} \rangle, \quad (\epsilon_{i\mu} \neq \epsilon_{j\mu}), \quad (1)$$

where  $\partial_{\mu} \equiv \partial_{k_{\mu}}$  and  $\{i, j\}$  label the normal-state electron energy bands.  $\epsilon_{i\mu}$  and  $|\psi_{ik}\rangle$  denote the respective energy dispersion and eigenvector of the  $i$ th band. The object  $i \langle \partial_{\mu} \psi_{ik} | \psi_{jk} \rangle$  defines a non-Abelian Berry connection between the two Bloch states, which is closely related to the definition of the quantum geometric tensor [27],

$$g_{\mu\nu, k}^i = \langle \partial_{\mu} \psi_{ik} | \partial_{\nu} \psi_{ik} \rangle - \langle \partial_{\mu} \psi_{ik} | \psi_{ik} \rangle \langle \psi_{ik} | \partial_{\nu} \psi_{ik} \rangle. \quad (2)$$

Put simply, the interband velocity describes a concerted motion of electrons from different bands, i.e., their charge transport is not independent of each other, even though they belong to distinct energy eigenstates. Such a quantum connection therefore provides another intrinsic route, besides the interband pairing, to entangle the relative and c.m. motion of a Cooper pair, and hence to break the aforementioned Galilean invariance.

A question then follows: Without the disputable interband pairing, is the finite interband velocity alone sufficient to support an intrinsic charge Hall response in chiral or other TRSB superconductors? In this Letter, we answer this question in the affirmative. Two ingredients are key to our proposal. One is spin-orbit coupling (SOC), which results in a finite spin Hall effect in the normal state. The other is nonunitary odd-parity pairing, which causes imbalanced spin occupancy. Taken together, these two ingredients naturally lead to the finite anomalous charge Hall effect and consequently the polar Kerr effect. The charge Hall conductivity is related to the superconducting spin polarization, as well as the normal-state Berry curvature of the Bloch electrons—which is given by the imaginary part of the geometric tensor in Eq. (2) and which vanishes in the absence of SOC.

In fact, a previous study [21] has already pointed out that anomalous charge Hall response can arise in a multiband superconductor with an intraband-only but nonunitary pairing. However, neither the requirement for finite SOC nor the relation to quantum geometry (*i.e.* the aforementioned Bloch Berry curvature) was made explicit. Our Letter fills in these gaps and thereby provides a deeper and more intuitive understanding of the mechanism.

Below, we focus on the compound  $\text{Sr}_2\text{RuO}_4$  [4] to illustrate the physics, although the discussions can be generalized to other superconductors as well. While it has been studied for about 30 years, the pairing symmetry of  $\text{Sr}_2\text{RuO}_4$  is still under vigorous debate [28–34]. A number of early observations point to chiral  $p$ -wave pairing [4,35–38]; however, this has been challenged by recent

experimental advances [39–45], notably the NMR measurements which have completely reshaped our research landscape. Various contending candidate pairing symmetries have been proposed. However, none of them can coherently interpret all key observations. Specific to the Kerr effect, it is only compatible with states that break all vertical mirror symmetries [46]. Thus chiral states such as  $p + ip$  or  $d + id$  and nonunitary helical  $p$ -wave states [47] emerge as prominent candidates [48]. The present study places further constraints on the pairing symmetry of  $\text{Sr}_2\text{RuO}_4$  and several other compounds, if the reported Kerr effect [4–6] is dominated by intrinsic contribution (*i.e.*, not by extrinsic disorder scattering effects) and if interband pairing is irrelevant in these materials.

*Nonunitary  $p$ -wave pairings in  $\text{Sr}_2\text{RuO}_4$ .*—In accordance with the  $D_{4h}$  crystallographic symmetry of  $\text{Sr}_2\text{RuO}_4$ , two types of elemental nonunitary states have been discussed in the literature [33,47,51]. One is formed by complex mixtures of distinct helical  $p$ -wave channels [47], *i.e.*,  $A_{1u} + iA_{2u}$  and  $B_{1u} + iB_{2u}$ , where  $\{A_{1u}, A_{2u}, B_{1u}, B_{2u}\}$  represent the four one-dimensional and odd-parity irreducible representations (*irreps*) of the  $D_{4h}$  group. These states can be viewed as a combination of  $p + ip$  and  $p - ip$  pairings in two respective spin sectors, with unequal pairing amplitudes. As an example, the gap function of the  $A_{1u} + iA_{2u}$  state can be written as

$$\hat{\Delta}_{A_u} = \begin{pmatrix} \Delta_{\uparrow\uparrow} & \Delta_{\uparrow\downarrow} \\ \Delta_{\downarrow\uparrow} & \Delta_{\downarrow\downarrow} \end{pmatrix} = \begin{pmatrix} \Delta(-k_x + ik_y) & 0 \\ 0 & \Delta'(k_x + ik_y) \end{pmatrix}, \quad (3)$$

where the amplitude of the spin-up and spin-down pairings are  $\Delta = \Delta_{A_1} + \Delta_{A_2}$ ,  $\Delta' = \Delta_{A_1} - \Delta_{A_2}$ . Here,  $\Delta_{A_1}$  and  $\Delta_{A_2}$  denote the amplitude of the  $A_{1u}$  and  $A_{2u}$  components, respectively. The nonunitary nature is evident because  $|\Delta_{\uparrow\uparrow}| \neq |\Delta_{\downarrow\downarrow}|$ . Note that, in the presence of SOC, the spins here should be understood as pseudospins.

The other type of nonunitary pairing is three-dimensional (3D), has a chiral  $p$ -wave symmetry, and belongs to the  $E_u$  *irrep*. The chiral  $p$  wave traditionally discussed in the context of  $\text{Sr}_2\text{RuO}_4$  has the in-plane pairing form of  $(k_x + ik_y)\hat{z}$ . Here,  $\hat{z}$  represents the component of  $\mathbf{d}$ -vector which depicts the spin configuration of a spin-triplet pairing. This form characterizes a Cooper pair with orbital angular momentum  $L_z = \hbar$  and spin angular momentum  $S_z = 0$ . When spin rotation symmetry is broken by SOC,  $L_z$  and  $S_z$  are no longer good quantum numbers. In this case, the above pairing shall in general mix with another component that features  $L_z = 0$  and  $S_z = \hbar$  since both have the same  $J_z = L_z + S_z = \hbar$ . The latter turns out to be an out-of-plane  $k_z$ -like pairing  $k_z(\hat{x} + i\hat{y})$ . The resultant pairing acquires a 3D structure with the following general form [51]:

$$\hat{\Delta}_{E_u} = \begin{pmatrix} 2\Delta_{\perp}k_z & \Delta_{\parallel}(k_x + ik_y) \\ \Delta_{\parallel}(k_x + ik_y) & 0 \end{pmatrix}, \quad (4)$$

where  $\Delta_{\parallel(\perp)}$  denotes the amplitude of the in-plane (out-of-plane) pairings.

*Normal-state spin Hall effect.*—Much of the physics can be captured by a two-orbital model consisting of Ru  $d_{xz}$  and  $d_{yz}$  orbitals residing on a square lattice. The corresponding tight-binding Hamiltonian can be written as (see, e.g., Ref. [52]),

$$H_{\mathbf{k}} = \epsilon_{\mathbf{k}} + \tilde{t}_{\mathbf{k}}\sigma_z + \lambda_{\mathbf{k}}\sigma_x + \eta_{\mathbf{k}}\sigma_y s_z, \quad (5)$$

where the Pauli matrices  $\sigma_i$  and  $s_i$  operate, respectively, on the orbital and spin subspace,  $\epsilon_{\mathbf{k}} = t(\cos k_x + \cos k_y) - \mu$ ,  $\tilde{t}_{\mathbf{k}} = \tilde{t}(\cos k_x - \cos k_y)$ ,  $\lambda_{\mathbf{k}} = \lambda \sin k_x \sin k_y$ , and  $\eta_{\mathbf{k}} = \eta_0$ . Here,  $\{t, \tilde{t}\}$  describe the hopping integrals,  $\mu$  is the chemical potential,  $\lambda$  measures the orbital hybridization, and  $\eta_0$  denotes the leading order of SOC. The above Hamiltonian preserves time-reversal and inversion symmetries, and it gives two sets of Kramers-degenerate Bloch bands [see Fig. 1(a)],  $H_{\mathbf{k}}|\psi_{i\mathbf{k}s}\rangle = \epsilon_{i\mathbf{k}}|\psi_{i\mathbf{k}s}\rangle$  with  $i = \{1, 2\}$  and  $s = \{\uparrow, \downarrow\}$ . Note that, since the present SOC term preserves the U(1) spin rotation symmetry about the  $z$  axis, a convenient basis is available where  $s$  represents real spins quantized in the  $z$  direction. More generally, higher order SOC terms, such as  $\eta'\sigma_y \sin k_z (\sin k_x s_x + \sin k_y s_y)$ , are allowed by symmetry, and they break this remaining U(1) symmetry. Nonetheless, one can always choose a smooth gauge under which the orientation of the pseudospins evolves continuously in momentum space. In this case, the matrix element of the velocity operator in the band basis can be expressed as

$$V_{\mu\mathbf{k}s}^{ij} = \delta_{ij}\partial_{\mu}\epsilon_{i\mathbf{k}} + (\epsilon_{i\mathbf{k}} - \epsilon_{j\mathbf{k}})\langle\partial_{\mu}\psi_{i\mathbf{k}s}|\psi_{j\mathbf{k}s}\rangle, \quad (6)$$

where  $\delta_{ij}$  is the Kronecker delta function. The second term above is the interband velocity. By time reversal and

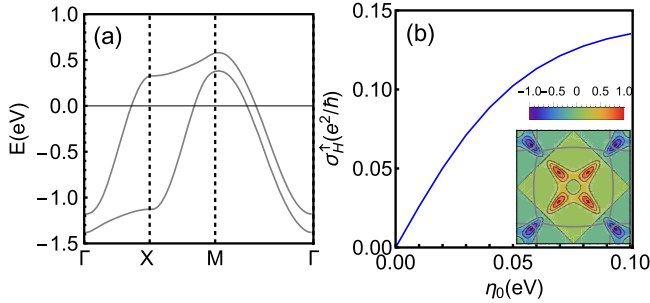


FIG. 1. (a) Band structure of the model in Eq. (5) with parameters  $(t, \tilde{t}, \lambda, \eta_0, \mu) = (-0.44, -0.36, 0.08, 0.1, 0.4)$  eV. There are two energy bands, each of which is twofold Kramers degenerate. (b) The corresponding spin-up and zero-temperature charge Hall conductivity  $\sigma_H^{\uparrow}$ , which is directly related to the spin Hall conductivity  $\sigma_H^{\text{spin}}$  in Eq. (8). The inset shows the momentum-space distribution of the spin-up Berry curvature of the upper band in (a). The thick gray contours in the inset depict the Fermi surfaces.

Hermiticity, one has  $V_{\mu\mathbf{k}s}^{ij} = -(V_{\mu\bar{\mathbf{k}}\bar{s}}^{ij})^* = (V_{\mu\mathbf{k}s}^{ji})^*$  with  $\bar{s} = -s$  and  $\bar{\mathbf{k}} = -\mathbf{k}$ .

One can further define the pseudospin-dependent quantum geometric tensor for band  $i$ ,  $g_{\mu\nu,ks}^i$ , as in Eq. (2). The real part of this tensor, i.e., the quantum metric [23,27], is nonvanishing as long as either the orbital hybridization  $\lambda$  is finite or a momentum-dependent SOC is present. The imaginary part of the tensor is the Berry curvature,

$$\begin{aligned} \mathcal{B}_{\mu\nu,ks}^i &= -2\text{Im}[g_{\mu\nu,ks}^i] = i \sum_{j \neq i} \frac{V_{\mu\mathbf{k}s}^{ij} V_{\nu\mathbf{k}s}^{ji} - V_{\nu\mathbf{k}s}^{ij} V_{\mu\mathbf{k}s}^{ji}}{(\epsilon_{i\mathbf{k}} - \epsilon_{j\mathbf{k}})^2} \\ &= i[\langle\partial_{\mu}\psi_{i\mathbf{k}s}|\partial_{\nu}\psi_{i\mathbf{k}s}\rangle - \langle\partial_{\nu}\psi_{i\mathbf{k}s}|\partial_{\mu}\psi_{i\mathbf{k}s}\rangle]. \end{aligned} \quad (7)$$

Note that the Berry curvature is finite only when both orbital hybridization and SOC are present. The inset of Fig. 1(b) shows the spin-up Berry curvature obtained from Eq. (7) for one of the bands [53]. The spin-down Berry curvature of the corresponding Kramers degenerate band is related by time reversal and is thus opposite in sign. Hence the normal state of the present system exhibits spin Hall but no charge Hall effect, as noted in earlier literature [54,55]. The spin Hall conductivity can be qualitatively described by [56,57]

$$\sigma_H^{\text{spin}} = \left(\frac{e}{2}\right) \frac{2}{N} \sum_{i=1,2} \sum_{\mathbf{k}} \mathcal{B}_{xy,\mathbf{k}\uparrow}^i f(\epsilon_{i\mathbf{k}}), \quad (8)$$

where  $N$  denotes the size of the system, a prefactor 2 accounts for the two spin contributions,  $e/2$  is added to give the correct dimension of spin Hall conductivity [57], and  $f(\epsilon)$  is the Fermi distribution function. The  $\mathbf{k}$  summation runs over the first Brillouin zone. At small SOC,  $\sigma_H^{\text{spin}}$  increases linearly with  $\eta_0$ , as can be seen from Fig. 1(b).

*Intrinsic charge Hall effect.*—At the onset of a spin-polarized nonunitary pairing, the charge Hall conductivity of the two spin species no longer cancel exactly, leading naturally to an intrinsic anomalous charge Hall response. Within linear response theory, the charge Hall conductivity of the superconducting state at optical frequency  $\omega$  is defined as [14,15],

$$\sigma_H(\omega) = \frac{i}{2\omega} [\pi_{xy}(\omega + i0^+) - \pi_{yx}(\omega + i0^+)], \quad (9)$$

in which  $\pi_{xy}$  is the transverse current-current correlator, which in the Matsubara-frequency representation is given by  $\pi_{xy}(i\nu_m) = (e^2/2\hbar^2) \sum_{\mathbf{k}} (k_B T) \sum_{\omega_n} \text{Tr}[\mathcal{V}_{x\mathbf{k}} G(\mathbf{k}, i\omega_n) \times \mathcal{V}_{y\mathbf{k}} G(\mathbf{k}, i\omega_n + i\nu_m)]$ . Here  $\omega_n$  and  $\nu_m$  are the fermionic and bosonic Matsubara frequencies, respectively;  $G(\mathbf{k}, i\omega_n) = (i\omega_n - H_{\mathbf{k}}^{\text{BdG}})^{-1}$  denotes the Gor'kov Green's function of the corresponding Bogoliubov de Gennes (BdG) Hamiltonian  $H_{\mathbf{k}}^{\text{BdG}}$ . In the band basis with the Nambu spinor  $(c_{1\mathbf{k}\uparrow}, c_{1\mathbf{k}\downarrow}, c_{2\mathbf{k}\uparrow}, c_{2\mathbf{k}\downarrow}, c_{1\bar{\mathbf{k}}\uparrow}^{\dagger}, c_{1\bar{\mathbf{k}}\downarrow}^{\dagger}, c_{2\bar{\mathbf{k}}\uparrow}^{\dagger}, c_{2\bar{\mathbf{k}}\downarrow}^{\dagger})^T$ , the

corresponding (charge-current) velocity operator matrix is given by

$$\mathcal{V}_{\mu k} = \begin{pmatrix} V_{\mu k} & \\ & -(V_{\mu \bar{k}})^* \end{pmatrix},$$

where the matrix elements of  $V_{\mu k}$  are given in Eq. (6). To expose the virtual optical transition processes responsible for the Hall response, we use the spectral representation of Green's function to derive the Hall conductivity and obtain

$$\sigma_H(\omega) = \frac{ie^2}{4N\hbar\omega} \sum_{a,b,k} \frac{f(E_{ak}) - f(E_{bk})}{\hbar\omega + i0^+ + E_{ak} - E_{bk}} Q_{xy,k}^{ab}, \quad (10)$$

with

$$Q_{xy,k}^{ab} = \langle bk | \mathcal{V}_{xk} | ak \rangle \langle ak | \mathcal{V}_{yk} | bk \rangle - (x \leftrightarrow y). \quad (11)$$

Here,  $|ak\rangle$  designates the  $a$ th Bogoliubov quasiparticle solution, i.e.,  $H_k^{\text{BdG}} |ak\rangle = E_{ak} |ak\rangle$ , where  $E_{ak}$  contains both positive and negative branches.

In single-band superconductors without SOC, the transition matrix element  $\langle ak | \mathcal{V}_{\mu k} | bk \rangle$  vanishes for  $a \neq b$ , because in that case the velocity operator commutes with the BdG Hamiltonian and, as a result,  $\pi_{xy}(\omega) \equiv \pi_{yx}(\omega)$ . In multiband superconductors without interband Cooper pairing, the Bogoliubov quasiparticle solutions associated with different Bloch bands are fully decoupled. However, the velocity operators in general do not commute with the Hamiltonian. Specifically, interband velocity may couple quasiparticles from different bands, thereby enabling interband transitions. In other words, the aforementioned transition matrix element may not vanish if the two quasiparticle states originate from different Bloch bands. A pair of interband transition processes induced by interband velocity is schematically depicted in Fig. 2.

To be concrete, we now focus on the nonunitary helical  $p$ -wave state described by Eq. (3). Since the pairing takes place between equal pseudospins, the two pseudospin sectors are decoupled and can be discussed separately.

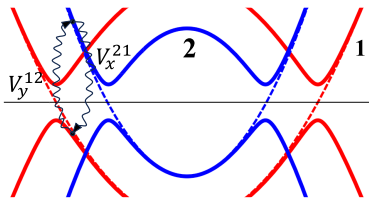


FIG. 2. Sketch of representative virtual optical transitions responsible for the Hall response in one pseudospin sector of the nonunitary helical  $p$ -wave state. The red and blue solid curves represent the Bogoliubov spectra of the two superconducting bands (labeled by 1 and 2) when interband pairing is absent. Their corresponding normal state electronic dispersions are plotted in dashed curves with respective colors.

When interband pairing is neglected, in the Nambu spinor basis  $(c_{iks}, c_{i\bar{k}s}^\dagger)^T$ , we can define  $H_{ks}^{\text{BdG}} |iks\rangle = E_{iks} |iks\rangle$  with  $E_{iks} = \sqrt{(\epsilon_{ik})^2 + |\Delta_{ik,ss}|^2}$  and  $|iks\rangle = (u_{iks}, v_{iks})^T$ , and let  $|\bar{i}ks\rangle = (-v_{i\bar{k}s}^*, u_{i\bar{k}s}^*)^T$  be the particle-hole conjugate counterpart. Consider the quantity  $Q_{xy,k}^{ab}$  originating from the following virtual processes,

$$|\bar{i}ks\rangle \xrightarrow{\mathcal{V}_{y\bar{k}s}^{12}} |2ks\rangle \xrightarrow{\mathcal{V}_{xks}^{21}} |\bar{i}ks\rangle - (x \leftrightarrow y),$$

for which a straightforward derivation leads to

$$\begin{aligned} & u_{2ks}^* v_{1ks}^* u_{1ks} v_{2ks} [(V_{x\bar{k}s}^{12})^* V_{y\bar{k}s}^{21} - (V_{y\bar{k}s}^{12})^* V_{x\bar{k}s}^{21}] \\ & + v_{2ks}^* u_{1ks}^* v_{1ks} u_{2ks} [V_{x\bar{k}s}^{12} (V_{y\bar{k}s}^{21})^* - V_{y\bar{k}s}^{12} (V_{x\bar{k}s}^{21})^*] \\ & + |u_{1ks}|^2 |v_{2ks}|^2 [(V_{x\bar{k}s}^{12})^* (V_{y\bar{k}s}^{21})^* - (V_{y\bar{k}s}^{12})^* (V_{x\bar{k}s}^{21})^*] \\ & + |u_{2ks}|^2 |v_{1ks}|^2 [V_{x\bar{k}s}^{12} V_{y\bar{k}s}^{21} - V_{y\bar{k}s}^{12} V_{x\bar{k}s}^{21}]. \end{aligned} \quad (12)$$

This expression can be significantly simplified in the weak-coupling limit. In a multiband system whose bands are not degenerate at generic wave vectors, the weak pairing gap is typically much smaller than the normal-state band separation. Hence, it is reasonable to take the pairing to be separately finite on one of the bands and negligible on the other. In this approximation, only the last two lines of the above expression contribute. Let us assume, for example, that only band 1 superconducts, then we obtain [58]

$$-i\rho_{1ks}(\epsilon_{1k} - \epsilon_{2k})^2 \mathcal{B}_{xy,ks}^1, \quad (13)$$

where  $\rho_{iks} = |v_{iks}|^2$  is the spin occupancy at zero temperature. It is worth stressing that the spin-resolved Berry curvature  $\mathcal{B}_{xy,ks}^1$  is a normal-state quantity associated with the Bloch electrons, which is completely different from the Berry curvature of Bogoliubov quasiparticles; the latter is a notion widely used in the context of topological superconducting states.

Since the two pseudospin states feature opposite Berry curvature and since their occupancy  $\rho_{iks}$  differs because  $|\Delta_{ik,\uparrow\uparrow}| \neq |\Delta_{ik,\downarrow\downarrow}|$ , from Eq. (13) it is now clear how a charge Hall response may arise in our model. The frequency-dependent Hall conductivity  $\sigma_H$  for our two-band model with a representative set of parameters is plotted in Fig. 3(a). As explained in detail in the Supplemental Material [58], the pairing is first constructed in the original orbital basis and then transformed into the band basis in which the kinetic part of the BdG Hamiltonian is diagonal. A model with only intraband pairing is then obtained by purposely removing the interband part. Numerical results both with and without interband pairing are presented in Fig. 3(a). As one can see, with finite SOC, whether interband pairing is included or not does not qualitatively change the conclusion. On the other hand, in the absence of

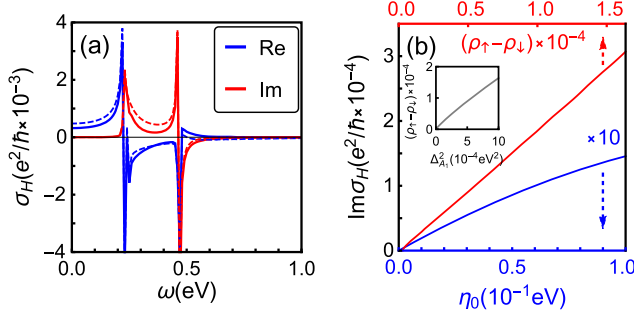


FIG. 3. (a) The zero-temperature Hall conductivity as a function of  $\omega$  for the  $A_{1u} + iA_{2u}$  state. The blue and red curves represent the real and imaginary part of the Hall conductivity, respectively. The solid curves are evaluated with intraband-only pairings while the dashed curves are obtained with both intra- and interband pairings present. We take  $\Delta_{A_1} = 2\Delta_{A_2} = 0.01$  eV. (b) The imaginary part of the Hall conductivity as a function of  $\eta_0$  (blue) and of the spin polarization  $\rho_\uparrow - \rho_\downarrow$  (red), where  $\rho_s = 1/N \sum_{i,k} \rho_{iks}$ . The conductivity is evaluated at a fixed frequency  $\omega_0 = 0.3$  eV. The blue curve is calculated with  $\Delta_{A_1} = 2\Delta_{A_2} = 0.01$  eV, and the result has been scaled up by a factor of 10 for better visualization. The red curve is obtained with  $\eta_0 = 0.1$  eV and with varying overall pairing gap magnitude, while keeping the ratio  $\Delta_{A_1}/\Delta_{A_2} = 2$  fixed. The inset shows the spin polarization as a function of  $\Delta_{A_1}^2$ . Other parameters not mentioned here are the same as in Fig. 1(a).

SOC,  $\sigma_H$  vanishes completely if the interband pairing is absent (not shown).

A notable feature in the imaginary part of  $\sigma_H$  is the low-frequency cutoff that is of the order of normal-state interband energy separation. This further confirms that only interband scattering processes contribute to the intrinsic Hall response and attests to the critical role of interband velocity. In the Supplemental Material [58], we perform another model calculation, wherein the pairing is constructed directly in the band basis, and obtain qualitatively similar results.

Figure 3(b) shows the variation of  $\sigma_H$  with the SOC strength  $\eta_0$  and also with the superconducting-state spin polarization  $\rho_\uparrow - \rho_\downarrow$ . Consistent with the above analyses,  $\sigma_H$  increases roughly linearly with  $\eta_0$  [thus with the normal-state spin Hall conductivity, see Fig. 1(b)] and the spin polarization. In practice, the spin polarization is tuned by varying the overall gap amplitude while keeping their ratio fixed with  $\Delta_{A_1}/\Delta_{A_2} = 2$  [see inset of Fig. 3(b)].

For the 3D chiral  $p$ -wave state, the Hamiltonian in general cannot be block diagonalized into individual pseudospin subspace. One exception is when only the out-of-plane pairing  $\Delta_\perp$  is present in the gap function Eq. (4). The finite charge Hall effect is hence also expected, following the above analyses. An exemplary calculation for a representative 3D chiral  $p$ -wave state is provided in the

Supplemental Material [58]. The results are similar to those obtained for the nonunitary helical  $p$ -wave model. Further taking into account in-plane pairing  $\Delta_\parallel$  does not change this conclusion qualitatively. Thus,  $\sigma_H$  is predominantly determined by  $\Delta_\perp$ , which sets the degree of the nonunitarity of the pairing.

*Summary and final remarks.*—We have shown that superconducting  $\text{Sr}_2\text{RuO}_4$  without interband Cooper pairing can support intrinsic anomalous charge Hall response and the polar Kerr effect, if it condenses into one of the nonunitary odd-parity pairing states. In such case, the Kerr rotation angle at the experimental photon energy of  $\hbar\omega = 0.8$  eV shall be larger for samples with higher quality and shall remain finite even for pristine samples. The charge Hall conductivity is determined by both the nonunitary-pairing-induced spin polarization and the SOC-derived spin Berry curvature of the normal-state Bloch electrons. This mechanism applies even when only one of the bands crosses the Fermi energy and develops Cooper pairing, and may also be applied to other superconductors where Kerr effect has been reported.

To make connection with the Kerr measurement in  $\text{Sr}_2\text{RuO}_4$ , we evaluate the charge Hall conductivity of our two-band model with band parameters and pairing gaps consistent with realistic estimates [59] and estimate the Kerr rotation angle. Details are given in the Supplemental Material [58]. For the 3D chiral  $p$ -wave state with  $\Delta_\parallel = 0.35$  meV and for  $\Delta_\perp$  ranging from 0 to 0.35 meV, one obtains Kerr rotation  $\theta_K \in (0, 2.8)$  nrad. Given the neglect of the third band and the crudeness of our approximation, it is unclear whether this state can explain the experimental value of  $\theta_K \approx 60$  nrad [4] observed at low temperatures. On the other hand, for the  $A_{1u} + iA_{2u}$  state, taking  $\Delta_{A_1} = 0.35$  meV and for  $\Delta_{A_2} \in (0, 0.35)$  meV, we obtain  $\theta_K \in (0, 25.6)$  nrad, which is closer to the experimental result in the limit  $\Delta_{A_1} = \Delta_{A_2}$ —as would be the case if the two order parameters are nearly degenerate.

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\*These authors contributed equally to this work.

†huangw3@sustech.edu.cn

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