


Physical Properties of an Aperiodic Monotile with Graphene-like Features, Chirality, and Zero Modes

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The discovery of the Hat, an aperiodic monotile, has revealed novel mathematical aspects of aperiodic tilings. However, the physics of particles propagating in such a setting remains unexplored. In this work we study spectral and transport properties of a tight-binding model defined on the Hat. We find that (i) the spectral function displays striking similarities to that of graphene, including sixfold symmetry and Dirac-like features; (ii) unlike graphene, the monotile spectral function is chiral, differing for its two enantiomers; (iii) the spectrum has a macroscopic number of degenerate states at zero energy; (iv) when the magnetic flux per plaquette (ϕ) is half of the flux quantum, zero modes are found localized around the reflected “anti-hats”; and (v) its Hofstadter spectrum is periodic in ϕ , unlike for other quasicrystals. Our work serves as a basis to study wave and electron propagation in possible experimental realizations of the Hat, which we suggest.

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Introduction.—Quasicrystals [1,2] exhibit a rich variety of physical properties beyond those observed in periodic crystals [3–5]. While long-range ordered like crystals, they lack periodicity, leading to novel electronic [6–11], optical [12–14], vibrational [3,5], or topological phenomena [15–43]. Quasicrystalline materials derive their exotic behaviors from the symmetries of their quasilattices [44]. In two-dimensions (2D) quasilattice symmetries can often be described by aperiodic tilings of the plane [3,5,45–47].

Recently Smith *et al.* [48,49] discovered the first example of a single, simply connected tile that tiles the plane only aperiodically. Dubbed “the hat,” the shape admits a continuous range of deformations with the same property. As with certain other quasicrystals, the tiling can be understood as a slice through a higher-dimensional periodic lattice [50,51]. The Hat quasilattice (we use capitalization to distinguish the *hat tile* from the *Hat tiling*) is chiral; it has two enantiomers related by mirror symmetry. The tiles are two mirrored images of the same tile [Fig. 1(a)]: the hat, colored white, and the anti-hat, colored blue. A related tile, tile(1,1), does not require its mirror image to tile the plane aperiodically [49].

Does the Hat imprint any novel physical properties on propagating particles compared to other two-dimensional aperiodic lattices? A fruitful strategy to answer this question is to define a vertex tight-binding model [6,9,17,53] on the quasilattice, in which particles hop between nearest-neighbor vertices with equal probability. Vertex models have a single energy scale, the hopping, and hence conveniently isolate the effect of the graph connectivity on particle motion. They reveal unique spectral properties of quasicrystals, such

as multifractal spectra [4,9,11,17,43,54–57], characteristic of critical disorder systems [58], or exact zero modes [6,9,53,59,60]. Vertex models on 2D quasilattices differ from those of periodic 2D lattices by displaying an aperiodic Hofstadter spectrum as a function of an applied perpendicular magnetic flux per plaquette ϕ [15–18,20,22,23,41,43].

In this Letter, we establish the spectral and transport properties of the Hat through its vertex tight-binding model. The momentum-resolved spectral function displays striking similarities with that of graphene, including putative Dirac cones and sixfold symmetry. However, unlike graphene, the Hat’s spectral function is chiral, and displays a predictable finite density of exact zero modes. As the Hat is a monotile, its Hofstadter spectrum is periodic in magnetic field flux, bypassing incommensurability effects of “polytiled” quasicrystals [15–18,20,22,23,41]. The Hofstadter bands carry a Chern number that quantizes the two-terminal conductance in units of e^2/h . Hence, the physical properties of the hat introduce a remarkable new class of phenomena between periodic crystals and aperiodic quasicrystals.

Spectral properties.—The Hat quasilattice can be generated using “inflation rules” in which four basic metatiles (combinations of hats), dubbed H , P , T , and F , divide into smaller versions of the same tiles, which are then inflated (rescaled) so all hats return to their original size [48] (the Supplemental Material [61] contains a brief introduction). We define a tight-binding model on the vertices of inflations of all metatiles. We focus on H metatiles, whose second inflation, $H2$, is shown in Fig. 1(a). Unless

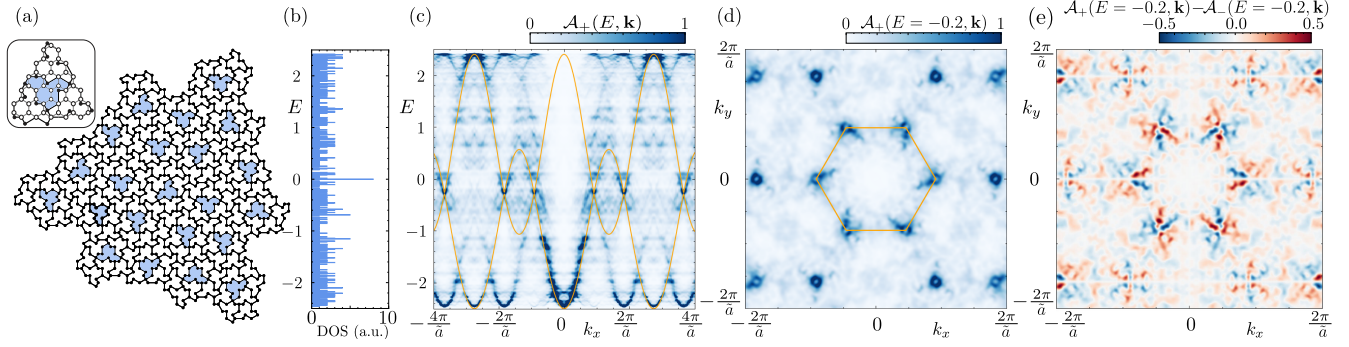


FIG. 1. Spectral properties of the vertex model Eq. (1) on the Hat. (a) $H2$, with 22 anti-hats (reflected images of the hat tile) shown in blue. The inset shows a patch of the Hat quasilattice (solid circles) overlaid with a graphene hexagonal lattice approximant (open circles). (b) Density of states of the vertex model Eq. (1) on $H2$. (c) Momentum-resolved spectral function $\mathcal{A}_+(E, \mathbf{k})$ of the enantiomer in (a) along the k_x momentum direction, calculated using the Kernel polynomial method [52]. The dispersion relation Eq. (2) for the hexagonal lattice [inset of (a)], Eq. (2), is overlaid in orange, with parameters $(a_g, t_1, t_2, \epsilon_0) = (2a/\sqrt{3}, 0.82, -0.025, -0.2)$. (d) $\mathcal{A}_+(E = -0.2, \mathbf{k})$ as a function of momentum $\mathbf{k} = (k_x, k_y)$. (e) Difference between the spectral functions $\mathcal{A}_\pm(E = -0.2, \mathbf{k})$ of the system in (a) and its reflection in the y axis.

stated otherwise, our results apply to all tilings of the same hat tile.

Each vertex is either two-, three-, or fourfold coordinated, separated by three possible bond lengths. The average coordination number is $\langle z \rangle \sim 2.31$ and the average bond length is $\bar{a} = 1.37a$, with a the shortest bond length.

Setting all hoppings equal defines the vertex Hamiltonian [6,9,53]

$$H_{\text{Hat}} = -t \sum_{\langle ij \rangle} c_i^\dagger c_j + \text{H.c.} \quad (1)$$

The sum runs over all pairs of neighboring sites and the operators c_i^\dagger and c_i create and annihilate a particle on site i . We choose $t = 1$ without loss of generality.

The density of states (DOS) is shown in Fig. 1(b). The energy minimum $E_m \approx -2.4$ is well captured by the average coordination number $\langle z \rangle$ [6,23]. Like other quasicrystals [11,13], the Hat exhibits a fractal DOS with a multitude of van Hove singularities.

The probability of finding a state at energy E and momentum \mathbf{k} is determined by the spectral function, $\mathcal{A}(E, \mathbf{k}) = \langle \mathbf{k} | \delta(H_{\text{Hat}} - E) | \mathbf{k} \rangle$, shown in Figs. 1(c) and 1(d) for $H2$. This function is well defined even without translational invariance [68–74] as it measures the overlap of the eigenstates with plane waves of well-defined momentum \mathbf{k} , $\langle \mathbf{r} | \mathbf{k} \rangle = (1/\sqrt{N}) e^{i\mathbf{k} \cdot \mathbf{r}}$, with $i^2 = -1$, N the number of vertices, and $\mathbf{r} = (x, y)$ their positions. The spectral function has been measured using angle-resolved photoemission experiments in quasicrystals [72–74].

The spectral function shows, close to zero energy, Dirac nodelike features reminiscent of graphene’s band structure. To quantify this similarity we define a periodic hexagonal lattice, shown as empty circles in the inset of Fig. 1(a), that we call the graphene approximant. The graphene lattice

constant $a_g = 2a/\sqrt{3}$ is chosen such that the associated honeycomb lattice captures many ($\approx 53\%$) of the Hat’s vertices. The graphene approximant’s dispersion and periodicity capture several features of $\mathcal{A}(E, \mathbf{k})$ [orange lines in Figs. 1(c) and 1(d)]. The dispersion relation is [75]

$$E_\pm(\mathbf{k}) = \pm t_1 \sqrt{3 + f(\mathbf{k})} - t_2 f(\mathbf{k}) + \epsilon_0, \quad (2)$$

where $f(\mathbf{k}) = 2 \cos(\sqrt{3}k_x) + 4 \cos(\sqrt{3}k_x/2) \cos(3k_y/2)$, t_1 and t_2 are the first and second nearest-neighbor hopping amplitudes, and ϵ_0 is the energy offset. Here, we use $(a_g, t_1, t_2, \epsilon_0) = (2a/\sqrt{3}, 0.82, -0.025, -0.2)$. A nonzero t_2 and ϵ_0 mimic the Hat spectrum’s asymmetry. The Brillouin zone of the graphene approximant is represented with orange lines in Fig. 1(d). The zone corners match the location of the Dirac nodelike features close to $E = -0.2$. The Hat’s C_6 symmetry [50] is apparent in Fig. 1(d).

Unlike graphene, we can define an enantiomer of the Hat quasilattice by applying a reflection operator. The difference between the two enantiomorphous spectral functions $\mathcal{A}_+(E, \mathbf{k}) - \mathcal{A}_-(E, \mathbf{k})$ at $E = -0.2$ reveals chiral properties spread across the quasi-Brillouin zone [Fig. 1(e)].

Zero-energy states.—Another striking feature dissimilar to graphene is the existence of a finite density of zero-energy states [Fig. 2(a)]. The number of these zero modes can increase or decrease upon adding tiles (see Supplemental Material [61] for examples). Similar zero modes have been found in numerous quasilattices, including the Penrose tiling [6,59,62,76], the Ammann-Beenker tiling [60,63,77], and quasicrystalline graphene bilayers [78].

Figure 2(b) shows the local density of states (LDOS) at zero energy of $H2$. While some of the zero-mode weight arises from zero modes of underlying metatiles of the

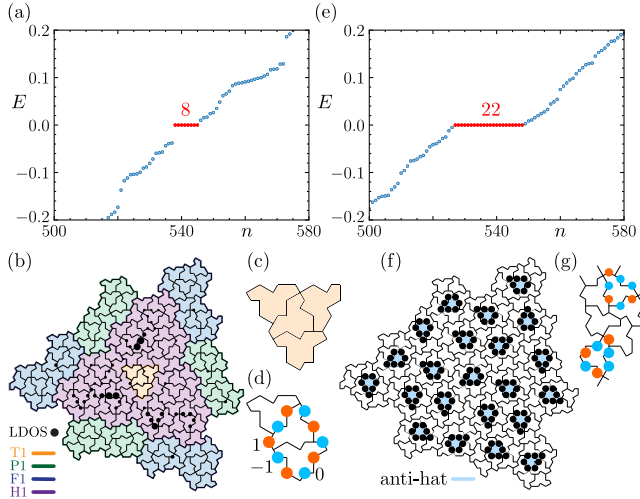


FIG. 2. Zero modes under 0 and π flux. (a) Low-energy spectrum of the Hat quasillattice without flux. The eight exact zero modes are shown in red. (b) The associated local density of states (LDOS) of these zero modes. Colors highlight previous inflation generations ($T1$, $P1$, $F1$, and $H1$) composing $H2$. (c) The $T1$ quasillattice has no zero modes. (d) The $T1$ quasillattice without the rightmost hat has a single zero mode. The overlaid zero-mode amplitudes form the Sutherland loop sequence $\{0, 1, 0, -1\}^m$ [64] of length $4m$, with $m \in \mathbb{Z}$. (e) The low-energy spectrum under π flux. Red: the 22 exact zero modes. (f) Corresponding zero-energy LDOS, pinned to anti-hats. (g) Zoom of the wave function corresponding to eigenstate 530. Because $\phi/\phi_0 = 1/2$, the Sutherland loop is modified to have one defect per anti-hat.

previous inflation generation [colored areas in Fig. 2(b)], the finite weight on $T1$ within $H2$, Fig. 2(b), is absent in $T1$ itself [Fig. 2(c)].

However, all these zero modes can be understood in terms of the graph connectivity. The tight-binding model defines the adjacency matrix of the corresponding graph; the zero modes then span its null space. A basis can always be found in which each (un-normalized) zero mode has integer amplitudes on all vertices (see Supplemental Material [61] for proof). These modes are fragile in the sense that they rely on equal hoppings to remain at strictly zero energy [62,63].

For a nearest-neighbor vertex model, like Eq. (1), the zero energy condition implies that for every site i , the sum of the amplitudes on all neighbors j of i must vanish: $\sum_j \Psi_j = 0$ [64]. In the Hat tiling the simplest zero modes take the form of cycles of length $4m$, with m integer. Here the amplitudes around the cycle can be taken to be the repeated sequence $\{0, 1, 0, -1\}^m$ whenever vertices from the rest of the graph connect only to cycle vertices of zero amplitude or connect to pairs of vertices with opposite amplitude. This form is a generalization of one identified by Sutherland [6,64]; we term it a Sutherland loop.

In Figs. 2(c) and 2(d) we show two quasillattices differing by one hat. We find no zero modes in (c) and one

in (d). Removing the extra hat allows for a 20-vertex Sutherland loop shown in Fig. 2(d). Larger quasillattices contain integer-amplitude zero modes not of the Sutherland loop form. In general, all integer-amplitude zero modes of a given quasillattice can be exactly enumerated using the *Hermite normal form* of the adjacency matrix (see Supplemental Material [61]) [65,79].

π -flux zero modes.—The shortest loops, circling a single tile, cannot be Sutherland loops as they have a length of 13 or 14. However, anti-hats, the enantiomorphic minority tiles which have two fourfold coordinated sites [blue in Fig. 1(a)], can support a zero mode if we allow a sign flip of one of the hoppings around the anti-hat—equivalent to threading a magnetic flux of π per anti-hat. As all the hats and anti-hats have the same area, this suggests that applying a perpendicular magnetic field with exactly π flux per plaquette should generate one zero mode per anti-hat. In fact, we find that these are the only zero modes in this setting.

To model a perpendicular magnetic field B we introduce a Peierls phase by changing the hopping from site j to site i as $t \rightarrow t \exp[-i\pi(\phi/\phi_0)(x_i - x_j)(y_i + y_j)/\mathcal{A}]$, where $\mathcal{A} = 8\sqrt{3}a^2$ is the hat area, $\phi_0 = h/e$ is the magnetic flux quantum, and ϕ is the magnetic flux. When $\phi/\phi_0 = 1/2$ the hoppings can be chosen to be real, with every hat tile having an odd number of negative bonds compared to Eq. (1). The spectrum close to $E = 0$ is shown in Fig. 2(e). For inflations of primitive metatiles $H0$, $T0$, $P0$, and $F0$ that we checked, we found that the number of zero modes equals the number of anti-hats (see Supplemental Material [61]). Their LDOS is localized exactly at the anti-hats, as exemplified by Fig. 2(f). Furthermore, Fig. 2(g) shows that the zero-mode wave function, having one defect per anti-hat, disobeys the Sutherland loop form.

Hofstadter spectrum.—Figure 3(a) shows the bulk spectrum of $H3$, the third inflation of the H metatile, as a function of ϕ/ϕ_0 . To isolate the bulk spectrum we exclude states whose weight inside the yellow line in Fig. 3(b) is smaller than their weight outside it.

The spectrum is periodic in the interval $\phi/\phi_0 \in [0, 1)$, as there is only one type of plaquette and only one area \mathcal{A} to normalize the flux. This is a special feature of monotiles compared to other quasicrystals. A typical quasicrystal spectrum is aperiodic as a function of ϕ/ϕ_0 [16,33,41,80], excepting quasicrystals composed of tiles with commensurate areas [22,23].

When the magnetic length $l_B \gg a$, the spectrum splits into Landau levels near the bottom of the spectrum ($E \sim -2.4$), and disperses linearly with B [23]. In the Hofstadter regime $l_B \sim a$, the spectrum is split into Hofstadter bands separated by gaps. Placing the Fermi energy E_F within these gaps, the system should display topological edge states and a quantized Hall conductance [81,82].

The topological properties of aperiodic 2D systems without time-reversal symmetry are captured by a

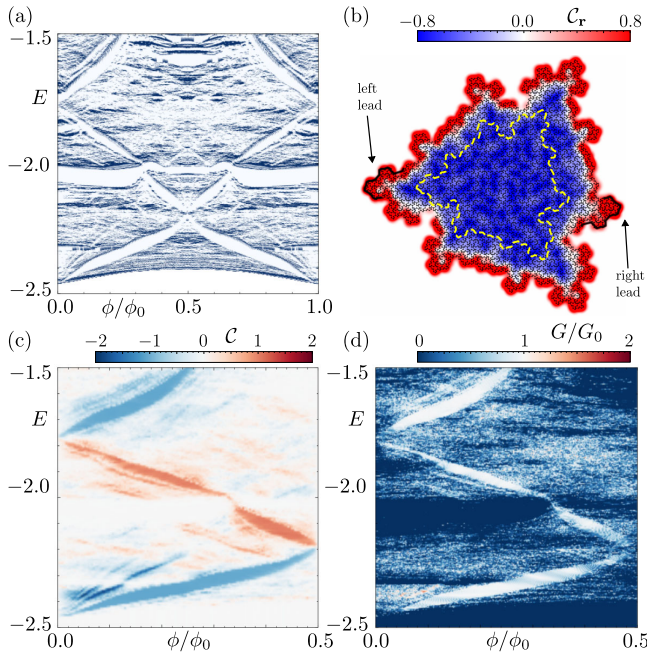


FIG. 3. Hofstadter spectrum and quantized conductance. (a) The bulk Hofstadter spectrum Eq. (1) calculated for $H3$ near the band bottom. (b) The local Chern marker C_r calculated for $H3$ (black) at $E = -1.58$ and $\phi/\phi_0 = 0.2$. The yellow dashed line delimits the bulk area \mathcal{A}_b used to produce panels (a) and (c). Inside \mathcal{A}_b , the marker averages to $C = -1.03$. Thick black lines on the edges denote sites where leads are attached. (c) C as a function of E and ϕ/ϕ_0 . Bulk gap regions of panel (a) have nontrivial Chern numbers. (d) Corresponding two-terminal conductance map. Where $|C| = 1$, the conductance is quantized to $G = G_0 = e^2/h$, confirming the role of topological boundary modes in transport. The leads can be placed arbitrarily so long as they are well separated.

quantized bulk average C of the local Chern marker C_r [83]. Mathematically, $C = (1/\mathcal{A}_b) \sum_{\mathbf{r} \in \mathcal{A}_b} C_r$, with \mathcal{A}_b the area of a bulk region highlighted in Fig. 3(b), $C_r = \langle \mathbf{r} | \hat{C} | \mathbf{r} \rangle$ and

$$\hat{C} = 2\pi i (\hat{P} \hat{X} \hat{Q} \hat{Y} \hat{P} - \hat{P} \hat{Y} \hat{Q} \hat{X} \hat{P}). \quad (3)$$

Here $\hat{P} = \sum_{E < E_F} |\Psi\rangle \langle \Psi|$ is the projector onto occupied states, $\hat{Q} = 1 - \hat{P}$, and \hat{X} and \hat{Y} are position operators. In a topological phase $C \in \mathbb{Z}$ coincides with the Chern number for periodic systems [83].

Figure 3(b) shows C_r for $E_F = -1.58$ and $\phi/\phi_0 = 0.2$; $C \approx -1$ in the bulk, as expected for a topologically nontrivial phase [83]. The bulk value of C in the interval $\phi/\phi_0 \in [0, 1/2]$ is shown in Fig. 3(c). Regions with nontrivial Chern numbers match the positions of bulk gaps in Fig. 3(a), confirming the presence of topological edge states.

The physical imprint of topological edge states is a quantized two-terminal conductance G , in units of $G_0 = e^2/h$. To confirm this, we attach leads to two boundary

regions of $H3$ [Fig. 3(b)]. These leads consist of decoupled waveguides of dispersion $-2 \cos k_z$, oriented along the z direction and placed such that each waveguide probes a single site. The two-terminal conductance G is then defined in the Landauer-Buttiker formalism [84] as $G = G_0 \text{Tr}[\tau\tau^\dagger]$, where $G_0 = e^2/h$ is the conductance quantum and τ is the transmission matrix between two leads calculated using Kwant [85].

The conductance map as a function of ϕ/ϕ_0 and E is shown in Fig. 3(d). In regions with nonzero density of bulk states, the conductance exhibits fluctuations observed in topologically trivial quasicrystals [4]. However, inside the bulk gaps with nontrivial $C = \pm 1$, $G = G_0$, stemming from a topological boundary state. Finite size effects are visible in smaller gaps with larger C [Fig. 3(c)], where G deviates from quantization.

Physical properties of Tile(1,1).—With a geometric modification, the alternative monotile tile(1,1) tiles the plane only aperiodically without its mirror image [48,49]. This modified form is called “the spectre”; since we are interested only in graph connectivity we use the names Tile(1,1) and Spectre interchangeably. Tile(1,1) contains special tiles similar to the anti-hats. They appear $\pi/6$ rotated from the other tiles, which appear only $\pi/3$ rotated from one another. As with anti-hats, these “anti-spectres” always have two fourfold coordinated vertices. As tile(1,1) is a member of the continuous family of tiles connected to the hat it is pertinent to ask which properties it maintains.

Unlike the Hat, the vertices of Tile(1,1) are not known to fit to a periodic hexagonal lattice. The graphene-like features are therefore washed out. However, the quasilattice remains chiral, so it remains true that $\mathcal{A}_+(E, \mathbf{k}) - \mathcal{A}_-(E, \mathbf{k}) \neq 0$. Tile(1,1) also displays strictly localized zero modes that have a similar origin to those in the Hat. In the presence of a π flux, each anti-spectre again localizes a zero mode. In all the cases we have checked, these again exhaust all zero modes. As the Spectre is a monotile, the Hofstadter spectrum is periodic in ϕ and supports topological gaps with quantized conductance, as for the Hat tiling.

Conclusions.—The Hat and Spectre monotiles present physical properties that set them aside from previously known 2D crystals and quasicrystals. The Hat is unique as a quasicrystal in displaying graphene-like features in its spectral function, notably putative Dirac cones. These are intrinsic, unlike in quasicrystalline graphene bilayers [40,86,87] where they are inherited from the underlying graphene layers. In the Hat, the intrinsic Dirac-cone-like features resemble those found in graphene in the presence of a small density of topological defects [88]. Both the Hat and Tile(1,1) exhibit zero modes. At zero field, their origin is similar to those found in the Penrose and the Ammann-Beenker tilings. However, imposing half a flux quantum per plaquette brings interesting differences, localizing the spectral weight around special tiles. Comparing the precise localization properties of the zero modes found in different

quasicrystals merits further study. Lastly, the Hofstadter butterfly is periodic for monotilings, unlike for other studied quasicrystals. In short, the Hat and Tile(1,1) display a blend of crystallinity and quasicrystallinity that sets them apart from known crystals and quasicrystals.

A vertex model of the tiling may be realized in metamaterials, where complex phases mimicking magnetic fields can be engineered [89]. Quasicrystals have been realized in photonic metamaterials [19,89–91], polaritonic systems [92], electrical circuits [93], microwave networks [94], and acoustic [95] and mechanical [96] metamaterials.

While no material has yet been discovered with the symmetries of the Hat, it would seem likely that nature would realize such an elegant construction, just as Penrose tilings were discovered to describe the surfaces of icosahedral quasicrystals [66,97–99]. A promising solid-state platform is the engineered adsorption of atoms to constrain scattering of surface states. CO molecules on metals have been used to construct artificial honeycomb [100] and fractal [101] lattices. 2D lattices of Shiba states caused by magnetic adatoms on superconductors serve to engineer topological phases [102–104].

Chiral crystals display richer physical responses than achiral crystals [105]. The Hat should share some of these features with chiral crystalline counterparts, including magnetochiral anisotropy [106] and optical gyrotropy [107–110]. Additionally, adding defects or interactions can generalize other works on interacting quasicrystals [33,77,111,112]. We leave the study of these effects for subsequent work.

The code used to generate our results is available at Ref. [113].

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