

Carrier-Density Control of the Quantum-Confined $1T$ -TiSe₂ Charge Density Wave

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Using angle-resolved photoemission spectroscopy, combined with first principle and coupled self-consistent Poisson-Schrödinger calculations, we demonstrate that potassium (K) atoms adsorbed on the low-temperature phase of $1T$ -TiSe₂ induce the creation of a two-dimensional electron gas (2DEG) and quantum confinement of its charge-density wave (CDW) at the surface. By further changing the K coverage, we tune the carrier density within the 2DEG that allows us to nullify, at the surface, the electronic energy gain due to exciton condensation in the CDW phase while preserving a long-range structural order. Our Letter constitutes a prime example of a controlled exciton-related many-body quantum state in reduced dimensionality by alkali-metal dosing.

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Layered metallic transition-metal dichalcogenides (TMDs) form the ideal platform for studying charge-density wave (CDW) instabilities at the quasi-two-dimensional (2D) limit as well as their interplay with Mott states and superconductivity [1–3]. The $2 \times 2 \times 2$ commensurate CDW that occurs at ~ 200 K in the prominent TMD $1T$ -TiSe₂ is a representative case of a many-body state whose strong entanglement of the electronic and structural parts of the order parameter makes the determination of its driving force highly complex. For decades, the origin of the CDW has been under debate between a purely electronic excitonic insulator (EI) scenario and the Peierls mechanism of electron-phonon coupling [4]. More recently, a cooperative exciton-phonon mechanism has emerged as the most likely explanation of the CDW phenomenology in $1T$ -TiSe₂ [5,6], encompassing both observed phonon and exciton softenings [7,8]. Yet, despite the increasing number of theoretical approaches employing such a scenario [5,6,9–12], experimental attempts of disentangling the coupled electronic and structural facets of the CDW state mainly focus on the ultrafast time domain and their distinct out-of-equilibrium dynamics [13–23].

In this Letter, we use a thermodynamic phase control approach, namely, electron doping brought on by low-temperature alkali atom adsorption at the $1T$ -TiSe₂ surface. Using angle-resolved photoemission spectroscopy (ARPES), first principle, and coupled self-consistent Poisson-Schrödinger (PS) calculations, we demonstrate that the electron-doped $1T$ -TiSe₂ surface supports a two-dimensional

electron gas (2DEG) coexisting with the quantum-confined CDW. The carrier density within the 2DEG further serves as a tuning knob to control the Coulomb screening in the surface quantum well and as a means to follow a doping-driven thermal separation of the excitonic and structural subsystems of the 2D CDW [24,25]. Our Letter highlights how the electronic band structures of exciton-related materials are affected by electrical tuning induced by alkali-metal dosing.

The $1T$ -TiSe₂ single crystals were grown by chemical vapor transport at 590°C , therefore containing less than 0.20% of native Ti impurities [26–28]. Clean surfaces were obtained after cleaving in ultrahigh vacuum at room temperature (RT). Potassium (K) atoms were evaporated *in situ* from a carefully outgassed alkali metal dispenser (SAES Getters Group) onto the freshly cleaved TiSe₂ surfaces kept at ~ 40 K to inhibit K intercalation [25,29]. During the K evaporation, the pressure was maintained below 5×10^{-10} mbar. The ARPES measurements were carried out using a Scienta Omicron DA30 photoelectron analyzer with monochromatized He-I and He-II radiation ($h\nu = 21.2$ eV, 40.8 eV, Specs GmbH) and laser excitation source ($h\nu = 6.3$ eV, APE GmbH). The total energy resolution was 5 meV and the base pressure during experiments was better than 1.5×10^{-10} mbar.

Figure 1(a) shows the evolution of the K $3p$ shallow core level upon sequential K depositions on the $1T$ -TiSe₂ surface. For K coverages lower than 0.10 monolayer (ML) [1 ML corresponds to one K atom per $1T$ -TiSe₂

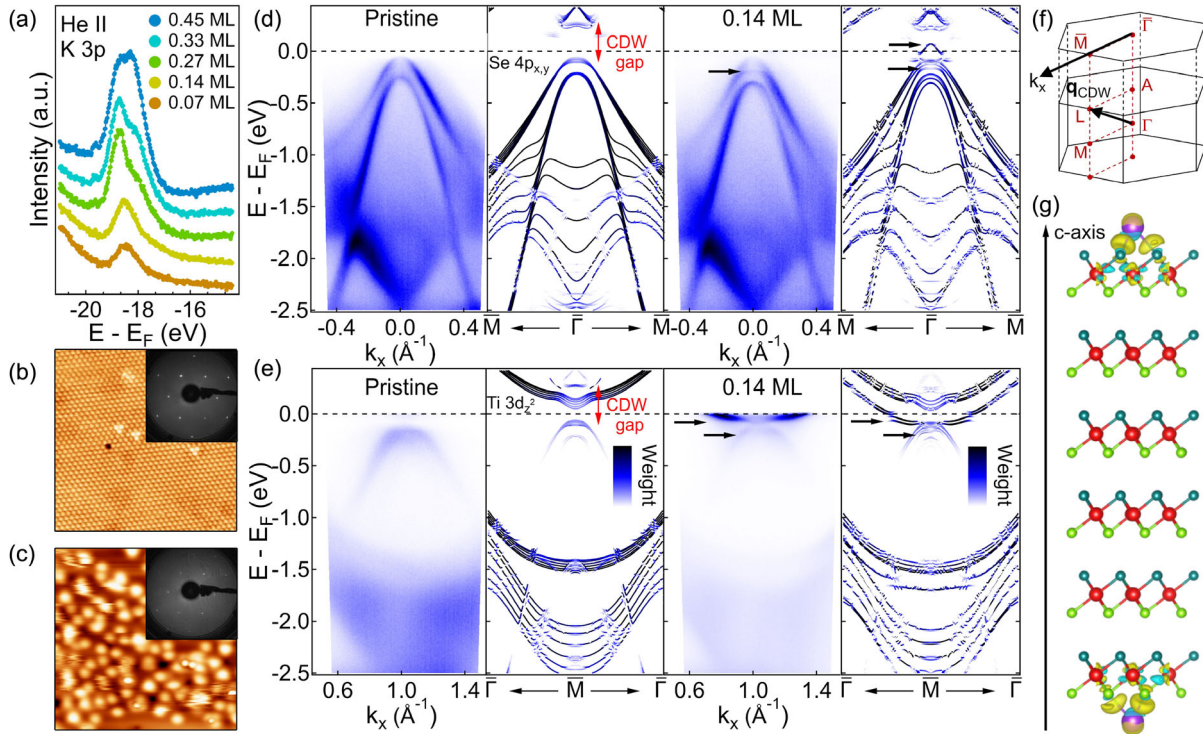


FIG. 1. (a) K $3p$ core-level evolution upon sequential K depositions. $h\nu = 40.8$ eV. (b),(c) 12×12 nm² constant-current STM images of the pristine surface (b) and of a 0.33 ML K-covered (c) surface. $V_{\text{bias}} = -1$ V, $I = 0.2$ nA, $T = 4.6$ K. Also shown, as insets, are the corresponding LEED patterns ($E_p = 121$ eV). (d),(e) Comparison of large-energy scale ARPES intensity maps with band structures obtained from DFT slab calculations along the $\bar{M}-\bar{\Gamma}-\bar{M}$ and $\bar{\Gamma}-\bar{M}-\bar{\Gamma}$ directions of the surface Brillouin zone (BZ) for both pristine (left-hand side) and K-covered (right-hand side) surfaces in the CDW phase. The horizontal black arrows indicate the QWS (see text). The color scales from white to dark blue indicate the spectral weights obtained using the unfolding procedure (see SM). $h\nu = 21.2$ eV, $T = 40$ K. (f) 3D BZ of $1T$ -TiSe₂. Also shown is one CDW q vector, \mathbf{q}_{CDW} . The k_x axis depicted by the black arrow is the $\bar{\Gamma}-\bar{M}$ direction of the surface BZ. (g) Side view of the $1T$ -TiSe₂ six-layer slab with a 2×4 K adlayer on the surface. The light blue isosurface refers to the missing charge and the yellow isosurface to the gained charge for a $0.0014 e^-/a_0^3$ level, where a_0 is the Bohr radius.

surface unit cell, see Supplemental Material (SM) [30], the photoemission spectrum mainly consists of one component at 18.4 eV binding energy (BE) associated with dispersed K atoms on the surface. With increased coverages, the dispersed phase quickly saturates and a second component corresponding to closely packed K atoms continuously grows on the low BE side (17.9 eV BE) and starts to be dominant at 0.45 ML [29]. The comparison of the 12×12 nm² constant-current STM images of the pristine [Fig. 1(b)] and of a 0.33 ML K-covered surface [Fig. 1(c)] [42], shows that the dispersed and close-packed forms of the K atoms are moreover randomly distributed, without surface reconstructions, as confirmed by the corresponding low-energy electron diffraction (LEED) measurements showing the typical 1×1 hexagonal pattern with an increased diffuse background for the K-covered surface. Figures 1(d) and 1(e), respectively, show large-energy scale ARPES intensity maps taken at $T = 40$ K along the $\bar{M}-\bar{\Gamma}-\bar{M}$ and $\bar{\Gamma}-\bar{M}-\bar{\Gamma}$ directions of the surface Brillouin zone (BZ) [Fig. 1(f)] of the pristine (left-hand side), and the 0.14 ML K-covered (right-hand side) $1T$ -TiSe₂ surfaces. Density-functional theory (DFT) band

structure calculations obtained from six-layer slabs are presented for comparison [Fig. 1(g)] (see SM). A theoretical 0.125 ML K-covered $1T$ -TiSe₂ surface has been considered using a 2×4 K adlayer. Upon K adsorption, the two outer Se-Ti-Se layers on each side of the slab have been allowed to relax whereas the two inner ones have been fixed to the relaxed positions of the CDW phase of pristine $1T$ -TiSe₂. As expected from the highly electropositive character of K, the charge density difference indicates that upon adsorption the TiSe₂ surface layer becomes strongly electron doped. According to our Bader analysis [43], each K adatom gives $0.7 e^-$ to the first Se layer, in good agreement with our experimental value of $\sim 0.6 e^-/\text{K atom}$ (see SM). Overall, the DFT-calculated unfolded band dispersions reproduce the main spectroscopic features of the ARPES intensity maps of both the pristine and the K-doped surfaces very well, namely, the bands binding energies, the effective masses, as well as the spectral weights [Figs. 1(d) and 1(e)]. In the pristine CDW phase, the Ti $3d_{z^2}$ electron band of nonbonding character at \bar{M} determines the low-energy physics of $1T$ -TiSe₂ [44], but is decoupled from the CDW energetics since it lies within

the CDW gap which is opened at higher energies between the hybridized Se $4p_{x,y}$ hole band and the so-called “Mexican-hat”-shaped unoccupied electron band [see the vertical red arrows on Figs. 1(d) and 1(e)] [45–47]. Additionally, DFT tracks the main effects experimentally observed upon K adsorption. The Ti $3d_{z^2}$ electron band at \bar{M} is shifted below the Fermi level (E_F) and backfolded hole bands characteristic of the 2×2 CDW order are present. Looking more closely at the changes both in the experimental and DFT band structures, we see that the K adsorption gives rise to new electronic states at $\bar{\Gamma}$ and \bar{M} [see the horizontal black arrows on Figs. 1(d) and 1(e)] that seem to have been pulled down from the Se $4p_{x,y}$, Ti $3d_{z^2}$, and Mexican-hat bulk-band edges, and whose origin will now be addressed.

First, our layer-projected DFT band structures reveal that they are localized in the topmost surface layer without any sign of interlayer hybridization (see SM), demonstrating their purely 2D character. Next, similarly to a DFT study of K-covered Bi_2Se_3 surfaces [48], we have extracted the change induced by the K adsorption in the laterally averaged effective potential of the slab (see SM). The resulting potential exhibits two contributions, an adsorbate-specific surface dipole layer responsible for the strong work function decrease of ~ 3.6 eV at the highest coverage (see SM), superimposed on a more long-range band-bending potential of ~ 0.3 eV in depth that extends over roughly 15 \AA into the bulk [Fig. 2(a)], and acts as a near-surface confinement well for electrons. As we confirm later, this leads to the formation of a 2DEG at the surface and to the emergence of quantum-well sub-band states (QWSs) such as the one of the original k_z -dispersing bulk Ti $3d_{z^2}$ state at ~ 0.1 eV BE [Fig. 2(b)], that appears sharp and with a strong spectral weight in experiment [Fig. 2(c)]. In the same way, the Mexican-hat shaped band that crosses E_F and the new hole band which lies at ~ 0.15 eV BE at $\bar{\Gamma}$ [Fig. 2(d)], originate from surface quantum confinement of the bulk CDW states. Consistent with this, the latter is backfolded to \bar{M} [Fig. 2(b)], and has its experimental counterparts in the ARPES intensity maps at ~ 0.20 eV BE both at \bar{M} and $\bar{\Gamma}$ [Figs. 2(c)–2(e)].

Importantly, compared to the Ti $3d_{z^2}$ -derived QWSs whose energy shift with respect to its associated bulk band only depends on the 2DEG density, those of the CDW-related QWSs also include the effects of a reduced amplitude of the surface periodic lattice distortion (PLD) and the associated Ti- d and Se- p hybridization. This is manifested in our DFT calculations by the smaller band gap of the surface-confined CDW band gap compared to the bulk [see the red arrow on Fig. 2(d)]. In the experiment, we cannot access the CDW gap since the Mexican hat mainly falls in the unoccupied part of the band structure. Probing a more highly electron-doped surface covered by 0.33 ML of K by laser-based ARPES which, compared to He-I, is not hindered by the increased inelastic background of electrons [Fig. 2(f)] (see SM), allows us to reveal the full low-energy

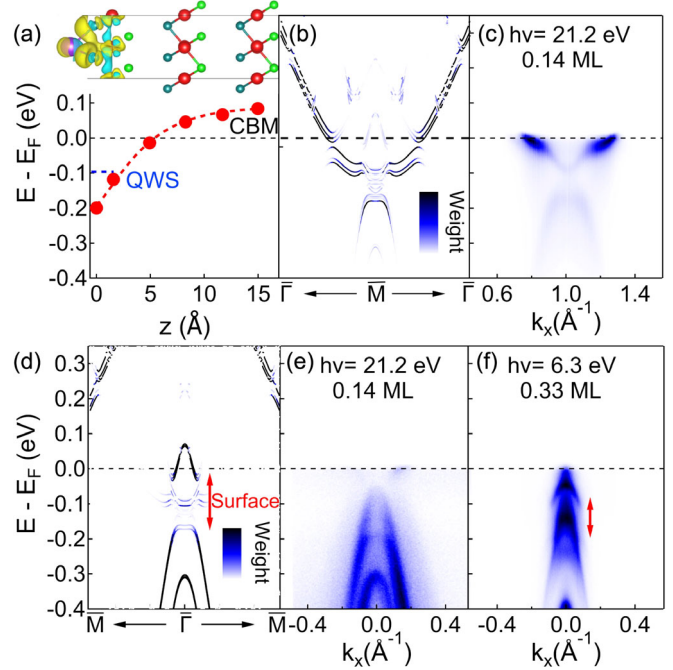


FIG. 2. (a) Band-bending potential extracted from DFT (see text and SM). (b) Near- E_F enlargement of the surface layer-projected DFT-calculated band structure along $\bar{\Gamma}-\bar{M}-\bar{\Gamma}$ of the 0.125 ML K-covered slab. The color scale from white to dark blue indicates the spectral weights obtained using the unfolding procedure (see SM). (c) ARPES intensity map at \bar{M} of a 0.14 ML K-covered surface. $h\nu = 21.2$ eV, $T = 40$ K. (d) Same as (b) along $\bar{M}-\bar{\Gamma}-\bar{M}$. The red arrows indicate the surface CDW gaps. (e) Same as (c) at $\bar{\Gamma}$. (f) Laser-ARPES intensity map taken at $\bar{\Gamma}$ of a 0.33 ML K-covered surface. The red arrow indicates the surface CDW gap. $h\nu = 6.3$ eV, $T = 40$ K.

electronic structure of the confined CDW phase with a strongly reduced band gap completely shifted below E_F [red arrow on Fig. 2(f)].

At this stage, we have shown that the K-covered 1T-TiSe $_2$ surface can host a 2DEG coexisting with a surface-confined CDW and the experimental and theoretical tendencies of electron doping to destabilize the CDW. Nevertheless, at the level of our considered exchange-correlation functional, the DFT calculations are unable to generate, already for the pristine CDW phase, the electronic bands, the atomic structure, and PLD amplitude in simultaneous agreement with experiments [49]. It has been shown that only an inclusion of the exact exchange interaction within hybrid functionals properly captures the full properties of TiSe $_2$ [50]. Therefore any attempt to derive some conclusions about the contribution of the excitonic and structural facets of the CDW state from our DFT calculations is irrelevant. Instead the unprecedented opportunity to tune the free-carrier density within the 2DEG opens an appealing way for probing the perturbations of the electronic and lattice subsystems in reduced dimensionality upon Coulomb screening.

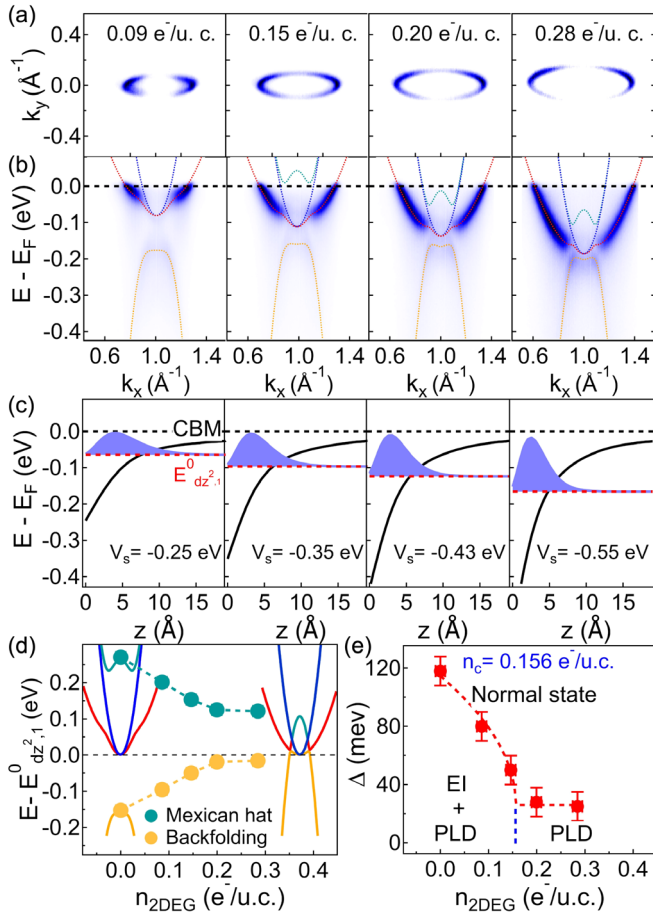


FIG. 3. (a) FS at \bar{M} for increasing $n_{2\text{DEG}}$. $h\nu = 21.2$ eV, $T = 40$ K. (b) Corresponding ARPES intensity maps. The near- E_F dispersions calculated within the effective Hamiltonian model of four interacting bands are also superimposed. (c) PS-calculated near-surface band bendings (black lines), eigenenergies $E^0_{dz^2,1}$ (red-dashed lines), and modulus squared of the eigenfunctions $|\phi^0_{dz^2,1}(z)|^2$ (blue areas) of the first QWS as a function of $n_{2\text{DEG}}$. The surface potential values, V_s , are also indicated. (d) Evolution of the BEs of the Mexican hat (green bands) and backfolding (orange bands) taken with respect to $E^0_{dz^2,1}$ as a function of $n_{2\text{DEG}}$. Sketches of the bands configuration in the unperturbed CDW phase and surface-confined semimetallic normal state are also shown. (e) Δ as a function of $n_{2\text{DEG}}$. The red-dashed line is a mean-field-like fitting with $\Delta(n_{2\text{DEG}}) = \Delta_0(1 - n_{2\text{DEG}}/n_c)^{1/2} + \Delta_{\text{off}}$ with $\Delta_0 = 88 \pm 24$ meV, $\Delta_{\text{off}} = 26 \pm 15$ meV, and $n_c = 0.156 \pm 0.024$ $e^-/\text{u.c.}$

Figures 3(a) and 3(b) show the evolution of the 1T-TiSe₂ Fermi surfaces (FSs) and the corresponding ARPES intensity maps at \bar{M} upon increased K coverage obtained by cumulative evaporations (from left to right). The electron density in the 2DEG, $n_{2\text{DEG}}$, has been obtained from the Luttinger area of the FS, Fig. 3(a), and found to linearly increase with the K coverage (see SM for details). The order parameter, Δ , of the surface-confined CDW has been determined by adjusting near- E_F dispersions calculated within an effective Hamiltonian model of four

interacting bands [47,51] to those of the experimental Ti $3d_{z^2}$ and CDW-hybridized QWS, Fig. 3(b) (see SM) [52]. In contrast to the CDW-related states, we first see that the Ti $3d_{z^2}$ -derived QWS monotonically shifts towards higher BE with $n_{2\text{DEG}}$ [dashed-red bands on Fig. 3(b)]. As previously mentioned, this is because its BE only depends on the depth of the surface quantum well and is completely unrelated to the CDW energetics. This is confirmed by our self-consistent PS calculations that intentionally omit the CDW-hybridized states and use $n_{2\text{DEG}}$ as the only varying parameter (see SM for details on the PS calculations). As seen Fig. 3(c), the obtained eigenenergies, $E^0_{dz^2,1}$, (red-dashed lines), of the first bound QWS (blue areas that show the modulus-squared of the corresponding eigenfunctions $|\phi^0_{dz^2,1}(z)|^2$) almost perfectly match the experimental ones for all considered $n_{2\text{DEG}}$ and related surface band-bending potentials V_s . Let us now discuss the impact of the increasing $n_{2\text{DEG}}$ on the CDW-hybridized QWS. Figure 3(d) shows the evolution of the BEs of the top of the Mexican-hat [dashed-green bands in Fig. 3(b)] and backfolded hole bands [dashed-orange bands in Fig. 3(b)] obtained from the model with respect to $E^0_{dz^2,1}$ as a function of $n_{2\text{DEG}}$. With increased $n_{2\text{DEG}}$, they symmetrically approach each other, manifesting the closure of the confined CDW gap towards a surface-confined semimetallic normal state.

Interestingly, Δ exhibits a rapid drop as a function of $n_{2\text{DEG}}$ [Fig. 3(e)], that follows a mean-field-like behavior up to a critical electron density $n_c = 0.156 \pm 0.024$ $e^-/\text{u.c.}$ Within the EI scenario of the phase transition when the shift of the chemical potential, initially placed at midgap between the hole and electron bands, exceeds half of the excitonic binding energy described by a Bardeen-Cooper-Schrieffer-like order parameter, the electron band starts to be filled and the EI phase becomes unstable [53]. In 1T-TiSe₂, the CDW gap opens on both sides of the Ti $3d$ -Se $4p_{x,y}$ electron-hole band crossings and enlarges around the new Ti $3d_{z^2}$ nonbonding state which is unaffected by the orbital-selective hybridization allowed by the PLD [45]. Therefore, our experimental finding of a critical density at which the shift of the Ti $3d_{z^2}$ -derived QWS exceeds half of $\Delta(0)$ and the upper edge of the confined CDW gap, i.e., the mexican hat, goes below E_F , strongly suggests that the gap shrinking of the surface-confined CDW relates to a decrease of an excitonic binding energy.

Note, however, that for the highest $n_{2\text{DEG}}$, Δ has a nonzero value. Indeed, a small hybridization gap is clearly opened below E_F at \bar{M} and the backfolded hole band still appears sharp [see the right-hand side panel of Fig. 3(b)]. This indicates that both the CDW amplitude and phase fluctuating modes at the surface are gapped [54], i.e., the persisting surface-confined CDW is commensurate with long-range phase coherence. Hence, we argue that the small remaining CDW gap at dopings where excitonic interactions cannot be

at play originates solely from a persisting 2×2 structural order that could be maintained by electrostatic interaction with the bulk which hosts a perfectly locked-in CDW.

At first sight, our critical electron density n_c seems to overshoot the commonly accepted one by a factor of ~ 3 [1,25,45,55]. Nevertheless, several other dispersed n_c values have been reported in the literature (see for example Table I in Ref. [25]), depending essentially on the means of doping and the use of local or spatially averaging techniques for probing the CDW [56–59], that shows a natural tendency towards nanoscale phase separation in crystals doped in the high-temperature phase [47]. In our case, the ordered phase of TiSe_2 is electron doped by filling the electron band of nonbonding character that further allows us to solely probe the impact of increased Coulomb screening. This not only does not give rise to a significant energetic penalty compared with the equivalent filling of the electron band in the undistorted phase [45], but also allows us to maintain the CDW long-range phase coherence. It turns out that our reported critical doping could actually appear as the most representative one to date. It is also interesting to note that it is very close to that associated with the emergence of superconductivity in electrically gated $1T$ - TiSe_2 nanosheets [24]. This suggests that the superconducting phase could compete with the excitonic contribution of the CDW, thus pointing to an unconventional pairing mechanism. Yet, in our case, CDW domain walls that have been proposed to host superconductivity are missing [24,57,58]. Therefore, the questions about the nature of the superconducting phase in bulk doped $1T$ - TiSe_2 , its existence at the 2D limit, and of their possible unconventional origin remain open.

To conclude, through the specific case of $1T$ - TiSe_2 , our Letter reports coexisting 2DEG and a quantum-confined CDW at a TMD surface and constitutes a prime example of a carrier-density controlled many-body quantum state in reduced dimensionality. This approach has allowed us to completely nullify an excitonic energy gain at the surface and at thermal equilibrium while maintaining a 2D CDW order with long-range phase coherence that we thereby propose to be purely electron-phonon coupled. We believe that our Letter further provides experimental avenues for controlling dimensional crossovers within unexplored parts of the electronic phase diagrams of correlated materials.

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