

## Monolayer-to-Mesoscale Modulation of the Optical Properties in 2D CrI<sub>3</sub> Mapped by Hyperspectral Microscopy

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Magnetic 2D materials hold promise to change the miniaturization paradigm of unidirectional photonic components. However, the integration of these materials in devices hinges on the accurate determination of the optical properties down to the monolayer limit, which is still missing. By using hyperspectral wide-field imaging at room temperature, we reveal a nonmonotonic thickness dependence of the complex optical dielectric function in the archetypal magnetic 2D material CrI<sub>3</sub> extending across different length scales: onsetting at the mesoscale, peaking at the nanoscale, and decreasing again down to the single layer. These results portray a modification of the electronic properties of the material and align with the layer-dependent magnetism in CrI<sub>3</sub>, shedding light on the long-standing structural conundrum in this material. The unique modulation of the complex dielectric function from the monolayer up to more than 100 layers will be instrumental for understanding mesoscopic effects in layered materials and tuning light-matter interactions in magnetic 2D materials.

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The dependence of the physical properties of van der Waals materials with the number of layers has been the flagship of two-dimensional (2D) materials since their discovery. In general, this layer dependence gains importance upon approaching the single layer limit, where the strict confinement of electrons in a 2D lattice imposes dramatic changes in the electronic structure of the crystal, enabling the realization of new electronic states and quantum correlated phases of matter [1–7]. Beyond the single layer limit, the properties of van der Waals materials change gradually until reaching the bulk properties generally within the nanoscale thickness. A much more unusual case features a continuous change of the material properties at much larger thicknesses. One of the few examples is the effect of layer number on the photoluminescence of hexagonal boron nitride [8]. However, this phenomenon arises from a variation of the band gap and activation energies of impurities in the system and is not a consequence of the layer dependence of the intrinsic electronic properties. Another remarkable case is chromium triiodide (CrI<sub>3</sub>), one of the first layered materials to exhibit net magnetization down to the monolayer [9], accompanied by a transition from layered antiferromagnetic in the few-layer regime to ferromagnetic in the bulk, with a crossover thickness that is still unclear but unambiguously located in the tens of nanometers range [10–12].

Seminal studies attribute this change to differences in the stacking between the layers [13] being the ferromagnetic and layered antiferromagnetic states related to the rhombohedral and monoclinic stacking, respectively [14,15]. This points to a profound layer-dependent effect at the mesoscale, setting an imperious need to study the evolution of the electronic properties as a function of the layer count to understand the underlying mechanisms giving rise to the magnetism in CrI<sub>3</sub>.

An insightful and nondestructive way to study the layer-dependent evolution of the electronic properties of a layered material is to determine the complex dielectric function via light-matter interaction. Although optical ellipsometry is usually employed to extract these parameters from thin films, the small sample footprints of most mechanically exfoliated 2D materials hinder the direct application of this technique. One strategy to overcome the spatial resolution limitation is to use an optical microscope equipped with a broadband white light source coupled to a spectrometer, where a continuous adjustment of the illumination wavelength in constrained areas of the sample allows for an accurate extraction of the dielectric function in the visible range [16]. However, the sampling speed and data statistics of point spectroscopy are generally low. Wide-field hyperspectral microscopy on the other hand has been successfully employed for the high-throughput

layer-dependent characterization of 2D materials and heterostructures in air [17–20]. Unfortunately, the magnetic 2D materials are very sensitive to the presence of humidity and oxygen and therefore require an appropriate isolation from ambient conditions and rapid characterization to preclude the effect of degradation, a combination of requirements that until now has not been met by the established protocols. By developing a simple encapsulation technique compatible with wide-field hyperspectral imaging, we herein circumvent the low throughput of deterministic encapsulation and achieve high sampling and data reliability in the characterization of the layer-dependent optical properties of  $\text{CrI}_3$ . The use of a monochrome camera with a high linear dynamic range permits a single-shot acquisition of the light intensity coming from tens of  $\text{CrI}_3$  crystals with different thickness, providing simultaneous access to large statistics while minimizing the acquisition times. By then modeling the spectral information obtained as a function of the layer number, we identify two crossover points of the complex optical dielectric function of  $\text{CrI}_3$ , one at the nanoscale and one at the mesoscale range of thickness. Our theoretical results based on first-principles calculations provide support to the electronic origin of the evolution of the dielectric function with the layer number. Remarkably, the modulation of the optical properties with a crossover to the bulk regime at the mesoscale is a physical phenomenon not previously reported for van der Waals materials and casts light on deep open questions regarding magnetic 2D materials, such as the striking stacking order differences between thick multilayer and bulk  $\text{CrI}_3$  [13,21] and the resulting magnetic ground states of the mesoscopic multilayers [11,12,22,23].

We obtained  $\text{CrI}_3$  flakes from the single layer to the hundreds-of-layers thickness range by mechanical exfoliation of bulk crystals on standard microscopy glass slides. All the flakes were atomically flat and chemically pristine as confirmed by atomic force microscopy (AFM; see Supplemental Material [24] Sec. 1.4 for details) and Raman spectroscopy [Fig. 1(b); see Supplemental Material [24] Sec. 1.3 for details]. The samples were then encapsulated with a coverslip sealed using a thermoplastic material to avoid degradation. Spatially resolved hyperspectral maps of different regions of the sample were then acquired at room temperature by combining a sequence of optical images recorded under monochromatic illumination spanning the full visible range (see Supplemental Material [24] Sec. 2).

After considering different approaches for the evaluation of the complex dielectric function from optical data (see Supplemental Material [24] Sec. 3), we chose to focus on transmission measurements with large statistics to achieve high data reliability. By analyzing the hyperspectral transmission maps in selected regions of  $\text{CrI}_3$  crystals of homogeneous thickness, we obtained spectral traces of

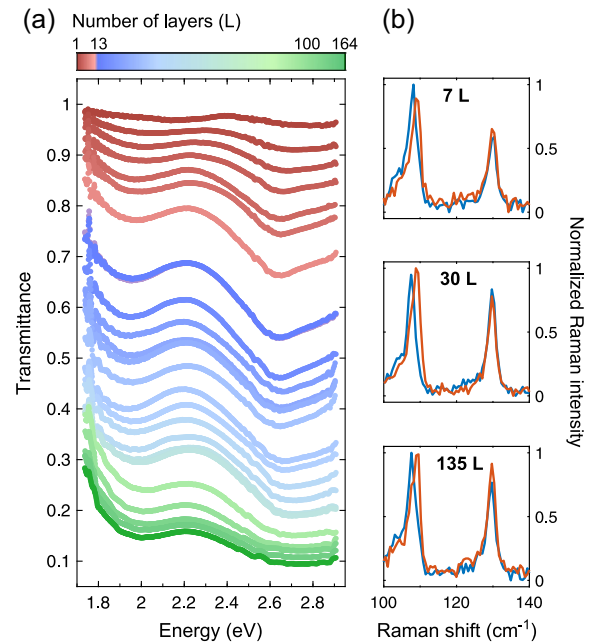


FIG. 1. Spectroscopic characterization of  $\text{CrI}_3$ . (a) Visible range transmittance spectra of  $\text{CrI}_3$  crystals with a different number of layers. Red curves correspond to thin layers (from 1 to 13 L), blue curves to layers ranging from 14 to  $\sim 100$  L, and green curves correspond to bulk (up to 164 L). (b) Polarized Raman scattering of  $\text{CrI}_3$  crystals with thicknesses in the few-layer (7 L), multilayer (30 L), and bulk (135 L) ranges. Red and blue curves have been taken with a  $45^\circ$  shift in the polarization angle with respect to the crystallographic axis. The small spectral shift of about  $2 \text{ cm}^{-1}$  confirms that the stacking order is monoclinic.

the material for different layer numbers. Figure 1(a) shows a representative set of transmittance spectra of flakes with a thickness ranging from 1 layer (L) to 164 L. Two absorption features are visible at about 1.95 and 2.7 eV corresponding to the ligand-to-metal charge transfer absorption peaks reported for bulk  $\text{CrI}_3$  and more recently for  $\text{CrI}_3$  exfoliated samples [31–33]. Remarkably, both transmittance dips present a nonmonotonic trend with the number of layers, i.e. a redshift when flake thickness increases from 1 to  $\sim 13$  L, a blueshift above  $\sim 50$  L, and a smooth crossover toward the bulk spanning the mesoscopic scale up to  $\sim 100$  L, the point beyond which these features remain almost unchanged. This suggests a change in the optical properties of  $\text{CrI}_3$  with marked thickness regimes both at the nanoscale and the mesoscale.

To extract the complex dielectric function ( $\tilde{\epsilon} = \epsilon_1 - i\epsilon_2$ ) of  $\text{CrI}_3$  from the transmittance data and limit the interdependence and ranges of  $\epsilon_1$  and  $\epsilon_2$ , we simultaneously analyzed transmittance spectra acquired over flakes with different thicknesses from different samples with ample statistics (thousands of pixels for each layer number). We consider our experimental system as a basic Fabry-Pérot cavity formed by a stack of three layers, and we assume that

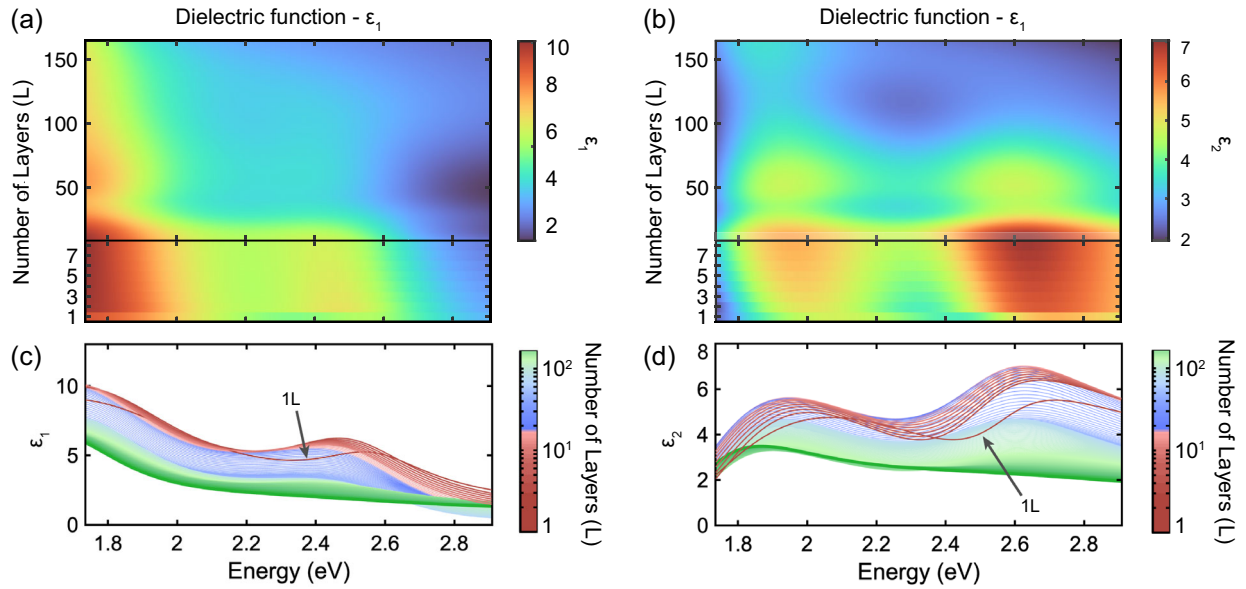


FIG. 2. Evolution of the dielectric functions in CrI<sub>3</sub> as a function of the layer number. The calculated real ( $\epsilon_1$ ) and imaginary ( $\epsilon_2$ ) parts of  $\tilde{\epsilon}$  are represented by color maps [(a),(b)] and line plots [(c),(d)], respectively.

the dielectric function of CrI<sub>3</sub> follows a modified Lorentzian oscillator model [34]:

$$\tilde{\epsilon}(\omega) = \epsilon_\infty + \sum_{n=1}^2 \frac{\omega_{p_n}^2 + 2i\omega\Gamma_n}{\omega_{0_n}^2 - \omega^2 + 2i\omega\gamma_n}.$$

This model is composed by two oscillators ( $n = 1, 2$ ), where  $\epsilon_\infty$  is the permittivity for infinite optical frequencies,  $\omega_{0_n}$ ,  $\omega_{p_n}$ , and  $\omega$  represent the resonant, plasma, and photon frequency, respectively, and  $\gamma_n$  and  $\Gamma_n$  are damping-related parameters. Figure 2 shows the real and imaginary parts of  $\tilde{\epsilon}(\omega)$  and their evolution with flake thickness calculated by

simultaneous fitting of all the transmittance spectra shown in Fig. 1 (see Supplemental Material [24] Sec. 3). An initial inspection of the dielectric traces reveals that the dielectric function of the CrI<sub>3</sub> monolayer is significantly different from the rest of the layers. This is in line with the transmittance spectra experimentally measured in the monolayer, where absorption features are significantly shifted compared to the bilayer. In addition, we find two additional crossover points at  $\sim 13$  L ( $\sim 9.1$  nm) and  $\sim 100$  L ( $\sim 69.8$  nm), where the  $\epsilon_2$  intensity increases and peaks redshift ( $< 13$  L), then decreases and peaks blueshift ( $> 13$  L), until it starts to asymptotically saturate

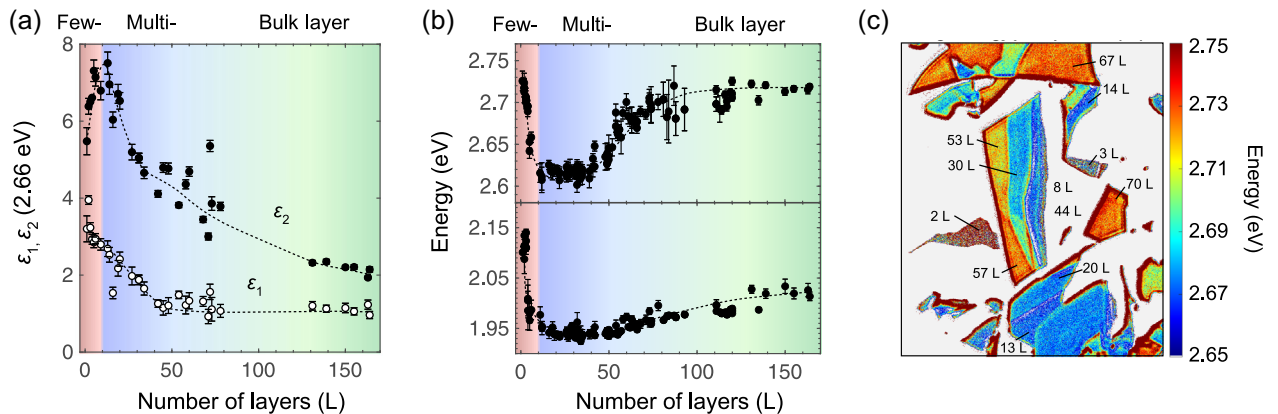


FIG. 3. Identification of different thickness regimes in CrI<sub>3</sub>. The few-layer, multilayer, and bulk thickness regimes are depicted according to (a) the layer dependence of  $\epsilon_1$  and  $\epsilon_2$  at 2.66 eV from the fitting process to the dataset shown in Fig. 1; (b) the low- (bottom) and high- (top) energy transmittance minima experimentally measured in the spectra of the dataset shown in Fig. 1, and additional ones, demonstrating the good reproducibility of the results (see Supplemental Material [24] Sec. 2.3). Dotted lines are guides to the eye. (c) Spatially resolved wide-field image of the high-energy transmittance dip position calculated from hyperspectral images of CrI<sub>3</sub> flakes.

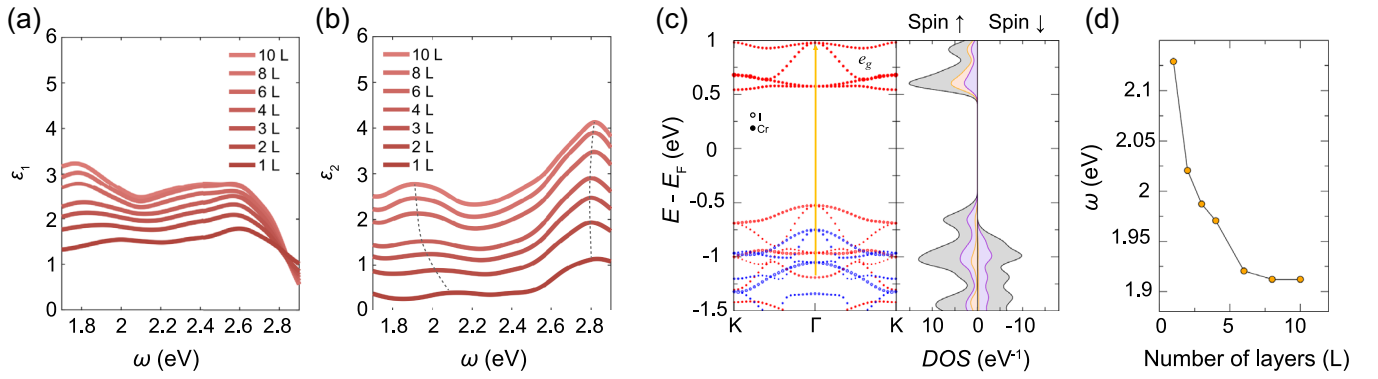


FIG. 4. Theory calculations of the layer-dependent electronic and optical properties of  $\text{CrI}_3$ . (a),(b) Evolution of  $\epsilon_1$  and  $\epsilon_2$  of  $\text{CrI}_3$  with an increasing number of layers calculated in the  $z$  direction perpendicular to the layers. (c) Projected band structure and DOS of monolayer  $\text{CrI}_3$ . Filled and empty circles represent  $I p$  orbitals and  $\text{Cr } d$  orbitals, respectively. The size of the circles is the weight of the orbital wave function in each band. Red and blue stand for spin up and down, respectively. The yellow arrow shows the most probable transition responsible for the low-energy peak in the dielectric function. (d) Evolution of the low-energy peak of  $\epsilon_2$  with an increasing number of layers.

toward the bulk value above  $\sim 100$  L. This delimits three different thickness domains: (i) few layer, (ii) multilayer, and (iii) bulk.

These changes are also clearly illustrated in Fig. 3 which shows the evolution with layer thickness of  $\epsilon_1$  and  $\epsilon_2$  at 2.66 eV extracted from the fitting process [Fig. 3(a); see Supplemental Material [24] Sec. 3.2], and the evolution of the experimental absorption minima of the transmittance spectra [Fig. 3(b); see Supplemental Material [24] Sec. 3.4]. The small uncertainty and good consistency of both fitting process and experimental results [Figs. 3(a) and 3(b)] provide evidence of the robustness of our analysis. Finally, hyperspectral analysis also gives the possibility to spatially resolve the optical properties of the 2D material. As such, Fig. 3(c) displays the spatially resolved wide-field image of the higher-energy transmittance dip of  $\text{CrI}_3$  flakes for different thicknesses (see Supplemental Material [24] Sec. 4) where the energy crossover of the dip position from few-layer (red to blue palette) to bulk (blue to red palette) is also clearly visible. These experimental observations point at profound changes in the optical properties of  $\text{CrI}_3$  in these three different thickness regimes.

The evolution of  $\tilde{\epsilon}$  with the layer number has been previously reported in other 2D materials such as transition metal dichalcogenides (TMDCs) [35],  $\text{In}_2\text{Se}_3$  [36],  $\text{PdSe}_2$  [37], and a nonmonotonic behavior was observed in  $\text{MoS}_2$  at the nanoscale [38]. However, in all of these cases, this behavior has been reported only in the nanoscale, while this is the first time that a modulation of  $\tilde{\epsilon}$  has been experimentally observed at the mesoscale range of thickness, allowing for a continuous modulation of the optical properties from one to more than 100 layers.

A possible origin of the nonmonotonic variation of the optical properties with the number of layers could be a change of the atomic structure of the crystal. To preclude

the existence of layer-dependent stacking order at room temperature, we performed polarized Raman scattering of flakes covering the entire thickness range of our dataset (see Supplemental Material [24] Sec. 1.3). Typical changes in the crystal structure of these compounds are produced by alterations of the stacking order of the layers, which results in modifications of the point group of the structure and the Raman tensors [39,40], resulting in the splitting of different vibrations modes. Figure 1(b) portrays representative examples of the three low-Raman-shift modes that can be ascribed to the monoclinic phase of  $\text{CrI}_3$  ( $C_{2h}$ ). As the angular dependence of the intensity of the modes is insensitive to the layer number in the full range of our samples, we can discard the stacking effect as the origin of the optical properties modulation observed.

To gain insight into alternative origins of the layer dependence of the optical properties, we carried out first-principles calculations on few-layer  $\text{CrI}_3$ , up to 10 L (see Supplemental Material [24] Sec. 5). At room temperature, long-range magnetic ordering is lost and  $\text{CrI}_3$  becomes paramagnetic. In the absence of spin interactions, density functional theory (DFT) calculations fail to reproduce the band gap of  $\text{CrI}_3$  and give rise to a metallic phase [41]. For this reason, our calculations are carried out in the antiferromagnetic monoclinic phase. This does not dramatically affect our results, since the most active optical transitions are spin conserved, and the crystal-field splitting is weakly affected by the temperature. Moreover, previous works demonstrate that the electronic structure of the material is barely modified by the absence of magnetic order [14,42,43], underpinning the qualitative validity of the theoretical layer-dependence trends at room temperature. In the imaginary part of the computed dielectric function [Figs. 4(a) and 4(b)], we identify two peaks located at 2.1 and 2.8 eV, qualitatively in good agreement with the experimental results. A look at the band structure and



DOS of monolayer  $\text{CrI}_3$  [Fig. 4(c)] confirms that these peaks can be ascribed to metal-to-ligand charge transfer processes between the  $p$  orbitals of the ligands, localized in the last occupied bands, and the empty  $d_{x^2-y^2}$  and  $d_{z^2}$  orbitals of the chromium atoms localized in the  $e_g$  set of conduction bands. In Fig. 4(d), we plot the evolution of the peak at 2.1 eV with an increasing number of layers. We observe a strong redshift from 2.1 to 1.9 eV and a stabilization of the shift at around ten layers in agreement with the experimental data, indicating a clear connection between the electronic structure and the layer dependence and crossover point of the optical properties at the nanoscale.

The calculations also show how the value of the complex dielectric function increases when increasing the thickness from 1 to 10 L, in agreement with the experiments. The discrepancies in the absolute value of the complex dielectric functions extracted from the calculations and the experiments may originate from subtle differences of the interlayer distance and the temperature [44]. To shed some light on this point, we have carried out a series of dielectric function calculations for increasing values of the interlayer distance in bilayer  $\text{CrI}_3$  (see Supplemental Material [24] Sec. 5). Our calculations clearly indicate that the intensity of the complex dielectric function decays for larger interlayer distances. These results suggest that small changes in the interlayer distance when going from few-layer to bulk  $\text{CrI}_3$  could eventually modify the intensity of the complex dielectric function. Indeed, the average interlayer distance in bulk antiferromagnetic  $\text{CrI}_3$  obtained from DFT calculations is 0.2 Å higher than in few-layer samples (see Supplemental Material [24] Table S2). Although these results are not able to explain the crossover at the nanoscale regime, they highlight the importance of van der Waals interactions in modulating the interlayer distance and the electronic properties at different thickness regimes and corroborate the experimentally observed tendency toward lower dielectric function intensities in thicker samples.

Remarkably, the unexpected crossover of the optical properties of  $\text{CrI}_3$  at the mesoscale appears to be aligned with the critical crystal thickness at which the low-temperature magnetic properties of  $\text{CrI}_3$  change from antiferromagnetic to ferromagnetic [9]. Although this critical thickness has not yet been unambiguously determined, most works report on the layered antiferromagnetic state persisting in crystals up to several tens of nanometers [22,23]. Both the magnetic and the optical crossover thickness to the bulk regime being in the same range might be an indication of the common origin of these phenomena. Supported by our theory calculations, we postulate that this origin is electronic in nature, although an unambiguous relationship between both phenomena occurring at different temperature ranges cannot be claimed at this stage and calls for further studies at low temperatures. Together with the crossover observed at the nanoscale thickness regime, the optical properties of  $\text{CrI}_3$  portray a unique nontrivial layer dependence of the electronic properties of the material

at room temperature. From a fundamental point of view, this is far beyond the quantum confinement layer-dependent effects reported so far, and will usher future investigations in the field of mesoscopic physics with possible observation of similar effects in other 2D materials and strong implications in the physics of the ever-growingly complex family of van der Waals heterostructures, some of which are beginning to reach the mesoscopic thickness. The significantly wide span of the continuous modulation of the optical and electronic structure with layer number, covering more than 100 layers, will also be pivotal to enable the fine-tuning of the optical properties of magnetic 2D materials and to expand the frontiers of integrated photonics.

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