

## Sliding Phase Transition in Ferroelectric van der Waals Bilayers

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We address the sliding thermodynamics of van der Waals-bonded bilayers by continuum electro-mechanics. We attribute the robustness of the ferroelectricity recently observed in h-BN and WTe<sub>2</sub> bilayers to large in-plane stiffness of the monolayers. We compute the electric susceptibility and specific heat in a mean-field self-consistent phonon approximation. We compare critical temperatures and electric switching fields with the observed values.

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The discovery of ferroelectricity in van der Waals stacked bilayers of two-dimensional (2D) WTe<sub>2</sub> and hexagonal boron nitride (h-BN) with out-of-plane polarization substantially expands the family of ferroelectric materials [1–9]. The dipolar order arises from the precise stacking of two polar van der Waals-bonded monolayers that change sign by a small shear motion. The potential barriers for switching between the up and down polarization states are very low ( $\lesssim$ meV per unit cell) [1,2,8]. Surprisingly, the “sliding ferroelectricity” remains stable even above room temperature [4,6,7,9], in contrast to the ferromagnetism in van der Waals mono or bilayers [10–17].

From a theoretical perspective, long-range order weakens with reduced dimensionality ( $d$ ) [18]. According to the Mermin-Wagner-Hohenberg theorem [19,20] at any finite temperatures an isotropic short-range force cannot order spin system with  $d \leq 2$  due to the infrared divergence caused by gapless Goldstone modes. An anisotropy or a switching barrier is thus essential for phase transitions in  $d \leq 2$ . 2D magnets are stable at room temperature only when the magnetic anisotropy amounts to tens of meV per magnetic moment. The mechanism underlying the high thermal stability of sliding ferroelectrics in spite of the low switching barriers appears to be unexplained.

In this Letter, we present a thermodynamic model of 2D sliding ferroelectrics that explains this conundrum. We associate the sliding ferroelectric phase transition with the shear motion of the entire layer with macroscopic mass that is driven by thermally fluctuating forces. The model parameters include the mass density, intralayer stiffness, and interlayer bonding. The phase transition is triggered by a soft “sliding phonon” of the bilayers and the high Curie temperature follows from the interplay between the ultra-low switching barrier and intralayer rigidity. This mechanism is not unique for ferroelectrics, but also holds for structural sliding instabilities in nonferroelectric bilayers, in which the phase transition can be observed in the specific heat. However, the ferroelectricity serves as a unique

monitor of a bistability that can be controlled by temperature-dependent critical switching fields.

We consider a bilayer of two atomic monolayers that may slide relative to each other along a particular direction, e.g., the armchair (long lattice vector) direction in the parallel stacked h-BN (WTe<sub>2</sub>) bilayer. The energy minima correspond to states with opposite polarity that are separated by a saddle-point (SP) potential barrier ( $\Delta$ ) defined by an intermediate nonpolar configuration, as sketched in Fig. 1. In the presence of a perpendicular electric field  $E$ , the Hamiltonian of a bilayer under a relative sliding displacement  $\hat{u}_s$  along the  $x$  direction reads [21,22]

$$\hat{\mathcal{H}} = \int \left[ \frac{\hat{\pi}_s^2}{2\rho_s} + \frac{\lambda + 2\mu}{2} \left( \frac{\partial \hat{u}_s}{\partial x} \right)^2 + \frac{\mu}{2} \left( \frac{\partial \hat{u}_s}{\partial y} \right)^2 \right] d^2\mathbf{r} + \int [V_B(\hat{u}_s) - EP(\hat{u}_s)] d^2\mathbf{r}, \quad (1)$$

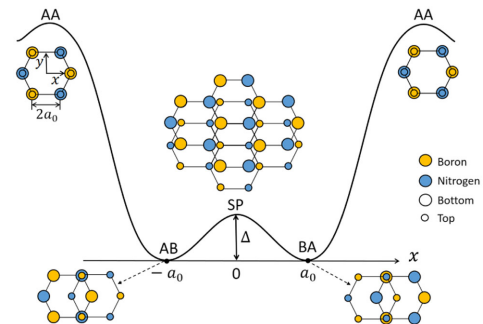


FIG. 1. The interlayer binding energy landscape in sliding ferroelectrics illustrated for hexagonal BN bilayers. The AB and BA stacking configurations correspond to two opposite spontaneous polarization states that are separated by saddle-shaped potential with minimum energy barrier  $\Delta$  (per unit area). Boron and nitrogen atoms in the top (bottom) layer are represented by large (small) orange and blue circles, respectively.

where  $\rho_s = \rho/2$  is half of the mass density  $\rho$  of a single layer,  $\hat{\pi}_s = \rho_s \dot{\hat{u}}_s$  the conjugate momentum to  $\hat{u}_s$ ,  $\lambda$ , and  $\mu$  the 2D Lamé coefficients, and  $V_B$  the interlayer binding energy density.  $P(\hat{u}_s)$  is the electric polarization density that depends on  $\hat{u}_s$  and should be evaluated self-consistently below. Here we consider only one-component sliding motion, disregarding the interlayer displacements that do not directly affect the polar states such as out-of-plane flexural modes. We also neglect weak modulations of the electrostatic energy beyond the Stark interaction  $-EP$ .

$P(\hat{u}_s)$  is an odd function of  $\hat{u}_s$  with respect to the nonpolar SP, to leading order therefore  $P(\hat{u}_s) = Z\hat{u}_s + \mathcal{O}(\hat{u}_s^3)$ , where  $Z$  is a constant that measures the interlayer polarization by the ionic charges. When  $Z = 0$ , the electric polarization and field effect vanish; our model then describes a sliding structural phase transition between degenerate ground states [23].

$V_B(\hat{u}_s)$  is in general periodic for a large sliding distance. However, since the polar states are usually separated by a very low barrier and a small sliding displacement, we may adopt an approximate inverted camelback potential [2]

$$V_B(\hat{u}_s) = \frac{\Delta}{a_0^4} (\hat{u}_s^2 - a_0^2)^2, \quad (2)$$

where  $\Delta$  represents the barrier height per unit area and  $2a_0$  is the distance between the two minima. When  $E = 0$ , Eq. (2) hosts two degenerate minima at  $\pm a_0$  with polarization  $P_0 = \pm Z a_0$ .

In general,

$$\hat{u}_s(\mathbf{r}, t) = \langle \hat{u}_s \rangle + \hat{\xi}_s(\mathbf{r}, t), \quad (3)$$

where  $\langle \dots \rangle$  denotes the thermal average,  $\hat{\xi}_s(\mathbf{r}, t)$  are the spatiotemporal fluctuations with  $\langle \hat{\xi}_s(\mathbf{r}, t) \rangle = 0$ , and  $\langle \hat{\xi}_s^n(\mathbf{r}, t) \rangle = \langle \hat{\xi}_s^n \rangle$  is independent of time and space. At equilibrium the force on each layer vanishes, i.e.,

$$\langle \dot{\hat{\pi}}_s(\mathbf{r}, t) \rangle = -\frac{i}{\hbar} \langle [\hat{\pi}_s(\mathbf{r}, t), \hat{\mathcal{H}}] \rangle = 0.$$

With Bosonic commutation relations  $[\hat{\pi}_s(\mathbf{r}, t), \hat{u}_s(\mathbf{r}', t)] = -i\hbar\delta(\mathbf{r} - \mathbf{r}')$  and  $[\hat{\pi}_s(\mathbf{r}, t), \hat{\pi}_s(\mathbf{r}', t)] = 0$ , this leads to

$$\langle \hat{u}_s \rangle^3 + 3\langle \hat{u}_s \rangle \langle \hat{\xi}_s^2 \rangle + \langle \hat{\xi}_s^3 \rangle - a_0^2 \langle \hat{u}_s \rangle = \frac{Z a_0^4 E}{4\Delta}, \quad (4)$$

since the spatial gradient terms in Eq. (1) vanish on average. The dynamic equation for the fluctuations can be found from Heisenberg's equation of motion,  $\dot{\hat{\pi}}_s = (-i/\hbar)[\hat{\pi}_s, \hat{\mathcal{H}}]$ , as

$$\rho_s \ddot{\hat{\xi}}_s = \frac{\lambda + 2\mu}{2} \frac{\partial^2 \hat{\xi}_s}{\partial x^2} + \frac{\mu}{2} \frac{\partial^2 \hat{\xi}_s}{\partial y^2} - \frac{4\Delta}{a_0^4} [(\langle \hat{u}_s \rangle + \hat{\xi}_s)^3 - a_0^2 (\langle \hat{u}_s \rangle + \hat{\xi}_s)] + ZE. \quad (5)$$

We solve Eqs. (4) and (5) in the self-consistent phonon scheme [24,25] using the mean-field approximations  $\langle \hat{\xi}_s^2 \rangle \approx \langle \hat{\xi}_s^2 \rangle$  and  $\langle \hat{\xi}_s^3 \rangle \approx 3\langle \hat{\xi}_s^2 \rangle \langle \hat{\xi}_s \rangle$ . Equation (4) then reduces to

$$\langle \hat{u}_s \rangle (\langle \hat{u}_s \rangle^2 + 3\langle \hat{\xi}_s^2 \rangle - a_0^2) = \frac{Z a_0^4 E}{4\Delta}. \quad (6)$$

When  $E = 0$ , two roots are ferroelectric  $\langle \hat{u}_s \rangle = \pm(a_0^2 - 3\langle \hat{\xi}_s^2 \rangle)^{1/2}$  and one is paraelectric  $\langle \hat{u}_s \rangle \equiv 0$ . With Eq. (6), we can rewrite Eq. (5) in the form of a harmonic oscillator in momentum space with  $\xi_s(\mathbf{q}, t) = \int d^2\mathbf{r} \xi_s(\mathbf{r}, t) e^{-i\mathbf{q}\cdot\mathbf{r}}$

$$\ddot{\hat{\xi}}_s(\mathbf{q}, t) = -\Omega_{\mathbf{q}}^2 \hat{\xi}_s(\mathbf{q}, t), \quad (7)$$

with frequency dispersion that acquires a gap  $\sim\sqrt{\Delta}$ :

$$\Omega_{\mathbf{q}} = \frac{1}{\sqrt{\rho}} \left[ \frac{8\Delta}{a_0^4} (3\langle \hat{u}_s \rangle^2 + 3\langle \hat{\xi}_s^2 \rangle - a_0^2) + (\lambda + 2\mu)q_x^2 + \mu q_y^2 \right]^{1/2}. \quad (8)$$

Quantum mechanics enters the problem at low temperatures  $T$  and high frequencies when  $\hbar\Omega_{\mathbf{q}} \gtrsim k_B T$ , where  $\hbar(k_B)$  is Planck's (Boltzmann's) constant. The mean-square of the fluctuations from the equilibrium position of an ensemble of harmonic oscillators reads

$$\langle \hat{\xi}_s^2 \rangle = \int \frac{\hbar}{\rho\Omega} \coth\left(\frac{\hbar\Omega}{2k_B T}\right) D(\Omega) d\Omega, \quad (9)$$

where  $D(\Omega) = 1/(2\pi)^2 \int d^2\mathbf{q} \delta(\Omega - \Omega_{\mathbf{q}})$  is the density of state of the sliding phonons. We regulate the divergence of the integral over  $\Omega$  by a Debye frequency  $\Omega_D$  cutoff chosen such that the degrees of freedom of the sliding motion per unit cell is conserved, i.e.,  $\int_{\Omega \leq \Omega_D} D(\Omega) d\Omega = 1/A_0$ , which leads to

$$\Omega_D^2 = \Omega_0^2 + \frac{4\pi\sqrt{\mu(\lambda + 2\mu)}}{\rho A_0}, \quad (10)$$

where  $A_0$  is the unit-cell area.  $\Omega_0 = \Omega_{\mathbf{q}=0}$  is the temperature- and field-dependent sliding phonon gap related to the polarization reversal (see below). Carrying out the integral in Eq. (9) leads to

$$\langle \hat{\xi}_s^2 \rangle = \frac{k_B T}{\pi\sqrt{\mu(\lambda + 2\mu)}} \ln \frac{\sinh \frac{\hbar\Omega_D}{2k_B T}}{\sinh \frac{\hbar\Omega_0}{2k_B T}} \equiv f(\langle \hat{u}_s \rangle, T). \quad (11)$$

A real  $\Omega_0$  demands that a physically stable phase of the system should fulfill the condition  $3\langle \hat{u}_s \rangle^2 + 3f - a_0^2 > 0$ . When  $E = 0$ , from Eq. (6) we have the paraelectric  $\langle \hat{u}_s \rangle = 0$  and ferroelectric  $\langle \hat{u}_s \rangle = \pm(a_0^2 - 3f)^{1/2}$  states for  $3f > a_0^2$

and  $3f \leq a_0^2$ , respectively; When  $E \neq 0$ , always  $\langle \hat{u}_s \rangle \neq 0$  [see Eq. (6)] and

$$\langle \hat{u}_s \rangle^2 = a_0^2 - 3f(\langle \hat{u}_s \rangle, T) + \frac{ZEa_0^4}{4\Delta \langle \hat{u}_s \rangle}, \quad (12)$$

which coincides with the ferroelectric case when  $E = 0$  but  $\langle \hat{u}_s \rangle \neq 0$ . The gap of the sliding phonons under the different conditions are

$$\Omega_0 = \frac{2}{a_0^2} \sqrt{\frac{2\Delta}{\rho}} \begin{cases} (3f - a_0^2)^{1/2} & E=0 \text{ and } \langle \hat{u}_s \rangle = 0 \\ \left[ 2\langle \hat{u}_s \rangle^2 + \frac{Za_0^4 E}{4\Delta \langle \hat{u}_s \rangle} \right]^{1/2} & \text{otherwise} \end{cases}, \quad (13)$$

In the ferroelectric phase without the field (i.e.,  $E = 0$  and  $\langle \hat{u}_s \rangle \neq 0$ ), Eq. (13) implies that  $\Omega_0$  softens with increasing temperature by the average amplitude  $|\langle \hat{u}_s \rangle|$  but then increases with temperature in the paraelectric phase via  $(3f - a_0^2)^{1/2}$ , indicating a dip in  $\Omega_0(T)$  at the Curie temperature ( $T_c$ ). We shall show that this softening leads to an abnormal specific heat at  $T_c$ .

In the following, we solve Eq. (12) self-consistently together with Eq. (10) and Eq. (13). Its first term represents the spontaneous sliding in the absence of fluctuations that according to the second term is reduced by thermal and zero-point fluctuations. The last term in Eq. (12) is the Stark effect.

*Spontaneous ferroelectricity.*—We investigate the spontaneous sliding ferroelectrics without an external field. At zero temperature, the ferroelectricity persists only when the zero-point fluctuations do not destroy the order, i.e.,  $\langle \hat{u}_s \rangle^2 = a_0^2 - 3f(\langle \hat{u}_s \rangle, T = 0) > 0$ , which leads to the condition

$$\gamma \equiv \frac{\hbar}{(\rho A_0)^{1/2} [\mu(\lambda + 2\mu)]^{1/4} a_0^2} < \frac{\sqrt{\pi}}{3} \quad (14)$$

that does not require ferroelectricity and holds for any sliding structural phase transitions. The parameter  $\gamma$  measures the ratio of the mean-square amplitude of zero-point fluctuations to the squared distance between minimum energy states.  $\gamma = \sqrt{\pi}/3$  marks a quantum phase transition. When  $\gamma > \sqrt{\pi}/3$ , i.e., the kinetic term dominates, a quantum paraelectric state as in SrTiO<sub>3</sub> and KTaO<sub>3</sub>

emerges [26–28]. Equation (14) states that bilayers with large unit-cell mass ( $\rho A_0$ ), high intralayer stiffness, and a large distance between sliding minima favor the order. According to Table I, the zero-point fluctuations are not important for WTe<sub>2</sub> and h-BN bilayers, as expected. At any finite temperatures,  $\Omega_0 \rightarrow 0$  and  $f(\langle \hat{u}_s \rangle, T \neq 0) \rightarrow \infty$  when  $\Delta \rightarrow 0$ , which implies the absence of order as follows from the Mermin-Wagner theorem [19]. Here we predict a stricter condition for a sliding phase transition, viz. not only  $\Delta > 0$  but also  $\gamma < \sqrt{\pi}/3$ .

We next address the thermal dynamics of robust sliding ferroelectrics such as WTe<sub>2</sub> and h-BN bilayers, in which  $\gamma \ll \sqrt{\pi}/3$ . At low temperatures  $k_B T \ll \hbar \Omega_0$  and  $E = 0$ , the small fluctuations ( $3f$ ) on the right-hand side of Eq. (12) may be approximated by  $\langle \hat{u}_s \rangle \approx \pm a_0 [1 - 3f(a_0^2, T)/(2a_0^2)]$ , which leads to

$$\langle \hat{u}_s(T) \rangle = \langle \hat{u}_s(0) \rangle - \frac{3k_B T}{2\pi \sqrt{\mu(\lambda + 2\mu)} a_0} \exp\left(-\frac{\hbar \Omega_0}{k_B T}\right), \quad (15)$$

where  $\langle \hat{u}_s(0) \rangle \approx \pm a_0 [1 - 3\gamma/(2\sqrt{\pi})]$ . In a ferroelectric ( $Z \neq 0$ ) the associated pyroelectric coefficient reads

$$\frac{\partial \langle P(T) \rangle}{\partial T} = -\frac{3k_B Z}{2\pi \sqrt{\mu(\lambda + 2\mu)} a_0} \frac{\hbar \Omega_0}{k_B T} \exp\left(-\frac{\hbar \Omega_0}{k_B T}\right), \quad (16)$$

which differs from the  $T^{-1/2}$  prefactor found for 3D ferroelectrics [25,31]. Equation (15) predicts reduced polarization at thermal energies far below the sliding phonon gap  $\Omega_0 \approx (4/a_0)(\Delta/\rho)^{1/2}$ .

Higher temperatures and larger fluctuations increasingly reduce the polarization.  $\langle \hat{u}_s \rangle$  does not vanish until the infrared divergence of  $\lim_{\langle \hat{u}_s \rangle \rightarrow 0} f(\langle \hat{u}_s \rangle, T \neq 0)$ , i.e., the critical fluctuations signal the phase transition, which indicates a first-order sliding phase transition, see Fig. 2(a). We estimate the Curie temperature  $T_c$  by the condition  $\lim_{T \rightarrow T_c} \partial \langle \hat{u}_s \rangle / \partial T \rightarrow \infty$ .  $T_c$  solves Eq. (12) with  $E = 0$

$$2\langle \hat{u}_s \rangle + 3 \frac{\partial f(\langle \hat{u}_s \rangle, T_c)}{\partial \langle \hat{u}_s \rangle} = 0, \quad (17)$$

$$\langle \hat{u}_s \rangle^2 - a_0^2 + 3f(\langle \hat{u}_s \rangle, T_c) = 0. \quad (18)$$

TABLE I. The parameter  $\gamma$  and Curie temperature  $T_c$  calculated for several sliding bilayer ferroelectrics with model parameters extracted from first-principles calculations [1,3,29,30].

	$\Delta$	$\lambda$	$\mu$	$A_0$	$\rho$	$a_0$	$P_0$	$\gamma$	$T_c$
WTe <sub>2</sub>	$1.37 \times 10^{-2}$	1.89	2.69	21.8	68.18	0.246	0.38	1.69	660
h-BN	1.67	3.37	7.67	5.38	7.81	0.72	2.08	0.71	$1.58 \times 10^4$
Units	meV/Å <sup>2</sup>	eV/Å <sup>2</sup>	eV/Å <sup>2</sup>	Å <sup>2</sup>	10 <sup>-7</sup> kg/m <sup>2</sup>	Å	pC/m	10 <sup>-2</sup>	K

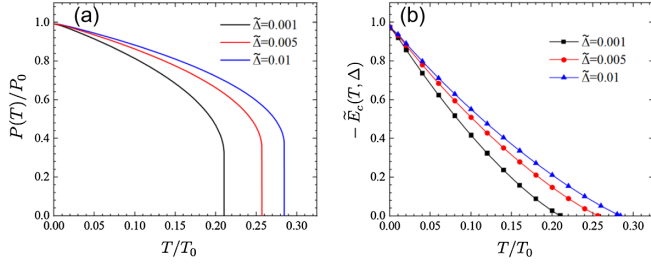


FIG. 2. (a) The polarization  $P(T)$  and (b) the critical switching field  $-\tilde{E}_c$  normalized by  $8\Delta/(3\sqrt{3}P_0)$  as a function of temperature for various dimensionless switching barriers  $\tilde{\Delta} = A_0\Delta/k_B T_0$ , where we adopt  $\gamma = 0.01$ .

In h-BN and  $\text{WTe}_2$  bilayers  $\hbar\Omega_D \ll k_B T_c \ll \mu(\lambda + 2\mu)a_0^4/(A_0\Delta)$  such that

$$T_c = \frac{2\pi T_0}{3(1 + \ln[1 + \pi^2 T_0^2/(6T_c T_\Delta)])}, \quad (19)$$

$$\langle \hat{u}_s \rangle|_{T=T_c} = \pm a_0 \sqrt{\frac{3T_c}{2\pi T_0}}, \quad (20)$$

where  $k_B T_0 = \sqrt{\mu(\lambda + 2\mu)}a_0^2$  is a measure of the energy cost of flipping an individual local dipole while  $k_B T_\Delta = A_0\Delta$  is the barrier per unit cell when switching the entire polarization coherently. The predicated first-order phase transition agrees with the conclusion for the R-stacked  $\text{WSe}_2$  bilayer [32]. The  $T_c$  estimated from the balance of the thermal energy and the barrier of flipping a local dipole [2] in a mean-field theory of 3D systems cannot be used for the 2D sliding ferroelectrics here.

*Intrinsic switching field.*—Shorted metallic gates such as graphene on both sides of the ferroelectric screen the ferroelectric dipoles, while a voltage bias generates the electric field  $E$  in Eq. (1). The screening modifies the electrostatic interactions and stabilizes a single domain configuration compared to a sample without gates, but otherwise does not affect the physics. According to Eq. (12) the (nonlinear) ferroelectric susceptibility

$$\begin{aligned} \chi(T, E) &= Z \frac{\partial \langle \hat{u}_s(T, E) \rangle}{\partial E} \\ &= \frac{Z^2 a_0^4}{8\Delta \langle \hat{u}_s \rangle^2} \left[ 1 + \frac{3}{2\langle \hat{u}_s \rangle} \frac{\partial f}{\partial \langle \hat{u}_s \rangle} + \frac{Z a_0^4 E}{8\langle \hat{u}_s \rangle^3 \Delta} \right]^{-1}. \end{aligned} \quad (21)$$

A large external field against the polarization destabilizes the ferroelectric order by decreasing the phonon gap until it switches at a coercive field  $E_c$  determined by  $\lim_{E \rightarrow E_c} \chi(T, E) \rightarrow \infty$ :

$$E_c(T, \Delta) = -\frac{8\Delta \langle \hat{u}_s \rangle_c^3}{Z a_0^4} \left[ 1 + \frac{3}{2\langle \hat{u}_s \rangle_c} \frac{\partial f(\langle \hat{u}_s \rangle_c, T)}{\partial \langle \hat{u}_s \rangle_c} \right], \quad (22)$$

where  $\langle \hat{u}_s \rangle_c$  follows from Eq. (12) for  $E = E_c$ , i.e.,

$$3\langle \hat{u}_s \rangle_c^2 = a_0^2 - 3 \left[ f(\langle \hat{u}_s \rangle_c, T) + \langle \hat{u}_s \rangle_c \frac{\partial f(\langle \hat{u}_s \rangle_c, T)}{\partial \langle \hat{u}_s \rangle_c} \right]. \quad (23)$$

Since  $\partial f(\langle \hat{u}_s \rangle, T)/\partial \langle \hat{u}_s \rangle^2 < 0$

$$-E_c < \frac{8\Delta \langle \hat{u}_s \rangle_c^3}{Z a_0^4} \equiv -E_0, \quad (24)$$

where  $\Omega_0(T, E_0) = 0$ . The ferroelectric order therefore switches before the gap vanishes, in contrast to bulk ferroelectrics in which  $\Omega_0(E_c) = 0$ , i.e., at relatively low coercive fields in spite of the high thermal stability.

Figure 2(b) displays numerical solutions of Eq. (22) and Eq. (23) for  $E_c(T, \Delta)$  as a function of temperature for various  $\Delta$  with  $E_c$  normalized by the classical switching field  $8\Delta/(3\sqrt{3}P_0)$  in the absence of any fluctuations.  $E_c(T, \Delta)$  is well fitted by the power law

$$E_c(T, \Delta) = -\frac{8\Delta}{3\sqrt{3}P_0} \left( 1 - \frac{3\gamma}{\sqrt{\pi}} \right)^{3/2} \left( 1 - \frac{T}{T_c} \right)^\eta. \quad (25)$$

The first term in brackets on the rhs represents the effect of quantum fluctuations. The second one is a Curie-Weiss law with fitted critical exponent  $\eta \approx 1.35$ , which is slightly smaller than that of bulk ferroelectrics with a second-order phase transition ( $\eta = 1.5$ ) [33].  $E_c$  is real when the ferroelectric order is stable, i.e., when  $\gamma < \sqrt{\pi}/3$  and  $T < T_c$ , as it should. The above coercive field holds for the coherent switching of a single ferroelectric domain [33,34] and is of order  $\sim 1$ – $10$  GV/m for  $\text{WTe}_2$  and h-BN bilayers. This number is an order of magnitude larger than observed switching fields [4,6,7]. Structural disorder such as dislocations and twisting should reduce the switching field, but their modeling is beyond the scope of the present Letter.

*Electrocaloric effect and specific heat.*—The electrocaloric effect refers to temperature changes caused by the adiabatic (de)polarization of the ferroelectric order by applied electric fields. The effect is especially large around first-order phase transitions and interesting for heat management applications [35]. The entropy of an ensemble of noninteracting bosons reads

$$S(T, E) = k_B \sum_{\mathbf{q}} [(1 + n_{\mathbf{q}}) \ln(1 + n_{\mathbf{q}}) - n_{\mathbf{q}} \ln n_{\mathbf{q}}], \quad (26)$$

where  $n_{\mathbf{q}} = \{\exp[\hbar\Omega_{\mathbf{q}}/(k_B T)] - 1\}^{-1}$  is the Planck distribution of the sliding phonons. The isothermal field derivative of entropy then reads

$$\frac{\partial S(T, E)}{\partial E} = -\frac{\rho A k_B}{4\pi \sqrt{(\lambda + 2\mu)\mu}} \frac{\partial \Omega_0^2}{\partial E} \int_{x_0}^{x_D} \frac{x e^x}{(e^x - 1)^2} dx, \quad (27)$$

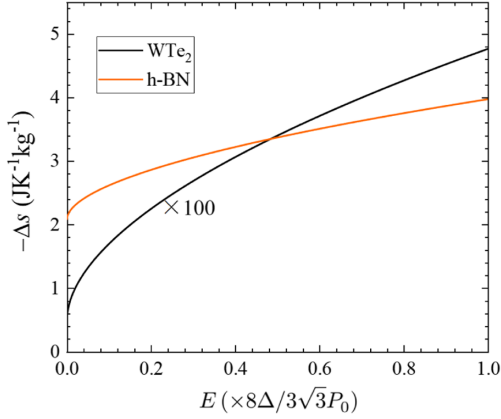


FIG. 3. The entropy change per unit mass  $\Delta s(E)$  with the electric field at  $T = T_c^+$ , where the field is in unit of  $8\Delta/(3\sqrt{3}P_0)$ .  $\lim_{E \rightarrow 0^+} T_c \Delta s(E)$  corresponds to the latent heat generated by the polarization of disordered dipoles.

where  $A$  is the area of bilayer and  $x_{0(D)} = \hbar\Omega_{0(D)}/(k_B T)$ . Figure 3 shows the entropy change  $\Delta s(E)$  (per unit mass) as a function of the external electric field at  $T = T_c^+$  for  $\text{WTe}_2$  and h-BN bilayers, where  $\lim_{E \rightarrow 0^+} T_c \Delta s(E)$  corresponds to the latent heat freed by the polarization of the dipoles.  $\Delta s(E)$  is significant for the h-BN bilayer being of the order of  $\text{JK}^{-1} \text{kg}^{-1}$ , but 2 orders of magnitude smaller in the  $\text{WTe}_2$  bilayer.

For temperature-independent Lamé parameters, the specific heat of the sliding phonons at a fixed electric field reads

$$C_E = T \frac{\partial S}{\partial T} = \frac{\rho A k_B^3 T^2}{2\pi \hbar^2 \sqrt{(\lambda + 2\mu)\mu}} \int_{x_0}^{x_D} \frac{x^3 e^x}{(e^x - 1)^2} dx - \frac{\rho A k_B T}{4\pi \sqrt{(\lambda + 2\mu)\mu}} \frac{\partial \Omega_0^2}{\partial T} \int_{x_0}^{x_D} \frac{x e^x}{(e^x - 1)^2} dx. \quad (28)$$

The first term in Eq. (28) follows from the conventional 2D Debye model, while the second one reflects the softening of  $\Omega_0$  and is singular at the phase transition since  $\partial \Omega_0^2 / \partial T|_{T=T_c^-} \propto \partial \langle \hat{u}_s \rangle^2 / \partial T|_{T=T_c^-} \rightarrow \infty$ . This divergent specific heat might be observed in the associated anomalous heat transport that is beyond the scope of our paper.

*Discussion.*—We can compare the sliding ferroelectricity with 2D magnetism. In contrast to usual magnets, the zero-point fluctuations explicitly reduces the sliding ferroelectric order and Curie temperature, because in magnetic systems quantum spins rather than classical magnetic dipoles order and a nonvanishing magnon gap is already a sufficient condition for a phase transition [36]. Otherwise, at low temperatures Eq. (15) resembles the magnetization of 2D ferromagnets as limited by magnon excitations [37]. Here the polarization decreases with temperature due to “ferrons,” i.e., phonon excitations that carry electric dipoles [31,38,39].

We find an explicit expression for the reduction of the classical ground state polarization  $Za_0$  by zero point as well as thermal fluctuations. At sufficiently low temperatures  $\langle P(T) \rangle \simeq Za_0[1 - 3/(2a_0^2)f(a_0^2, T)]$ , hence the electric dipole carried by a single sliding phonon with wave vector  $\mathbf{q}$  is  $\delta p_{\mathbf{q}} = -3\hbar Z/(\rho a_0 \Omega_{\mathbf{q}})$ . We can rewrite Eq. (15) as  $\langle P(T) \rangle = \langle P(0) \rangle - \int d^2 \mathbf{q} / (2\pi)^2 \delta p_{\mathbf{q}} n_{\mathbf{q}}$ .

Equation (19) is similar to that of the 2D magnets after replacing the exchange interaction by  $\sqrt{\mu(\lambda + 2\mu)}a_0^2$  or  $k_B T_0$  [40,41]. We now understand the stability of sliding ferroelectricity in terms of the high intralayer stiffness that governs the energy scale needed to destroy its order  $k_B T_0$  ( $\sim 0.1$ – $1$  eV), which is much larger than the 2D magnetic exchange interaction ( $\lesssim 10$  meV). The estimates of the critical temperatures in Table I  $T_c = 660$  K ( $T_c = 1.58 \times 10^4$  K) for  $\text{WTe}_2$  (h-BN) bilayers agree qualitatively with experiments that report  $T_c \sim 350$  K for  $\text{WTe}_2$  [4] and a nearly temperature-independent polarization of the BN bilayer in a wide temperature range up to room temperature [6]. The overestimate of  $T_c$  for the  $\text{WTe}_2$  bilayer could be caused by our disregard of the out-of-plane flexural motion of the bilayers, which facilitates thermal hopping over the potential barrier and suppresses the critical temperature. The parameter values extracted from first-principle calculations may be affected by uncertainty as well [42].

The present minimal model of sliding phase transitions can be extended and improved by numerical modeling. Here we consider only unidirectional lateral sliding, which is analogous to a one-component polarization approximation in the Landau-Ginzburg-Devonshire theory [43]. We may refine this model by including the coupling with other degrees of freedom, e.g., flexural and transverse in-plane displacements. The continuum mechanics is not accurate when the temperatures exceed the Debye temperature and should be checked by lattice dynamics calculations. Disorder can give rise to position-dependent switching fields and stick-slip domain formation. The structural stability of twisted states that generate Moiré patterns in van der Waals bilayers can be addressed by an appropriate generalization for transitions that involve small twist angles [44–46].

*Conclusion.*—We model the thermodynamics of 2D sliding ferroelectrics driven by an external field in a continuum mean-field approximation. We explain the high Curie temperatures of recently discovered ferroelectrics in spite of ultralow switching fields. We predict a critical specific heat and a scaling law between the cohesive electric field and temperature. The combination of small switching fields and high  $T_c$  endows the 2D sliding ferroelectrics with unique functionalities for potential applications in highly integrated nanoelectronics.

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