Quantifying Energy Conversion in Higher-Order Phase Space Density Moments in Plasmas

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Weakly collisional and collisionless plasmas are typically far from local thermodynamic equilibrium (LTE), and understanding energy conversion in such systems is a forefront research problem. The standard approach is to investigate changes in internal (thermal) energy and density, but this omits energy conversion that changes any higher-order moments of the phase space density. In this Letter, we calculate from first principles the energy conversion associated with all higher moments of the phase space density for systems not in LTE. Particle-in-cell simulations of collisionless magnetic reconnection reveal that energy conversion associated with higher-order moments can be locally significant. The results may be useful in numerous plasma settings, such as reconnection, turbulence, shocks, and wave-particle interactions in heliospheric, planetary, and astrophysical plasmas.

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Energy conversion is largely well understood for systems with initial and final states in or near local thermodynamic equilibrium (LTE) [1,2]. However, energy conversion in systems far from LTE, such as weakly collisional or collisionless plasmas endemic to many space and astrophysical environments, remains a forefront research area [3,4].

For a species σ not in LTE, internal moments of the phase space density f_{σ} are defined as f_{σ} multiplied by powers of components of \mathbf{v}'_{σ} and integrated over all velocity space. Here, the random velocity is $\mathbf{v}'_{\sigma} = \mathbf{v} - \mathbf{u}_{\sigma}$, velocity space coordinate is \mathbf{v} , bulk flow velocity is $\mathbf{u}_{\sigma} =$ $(1/n_{\sigma}) \int f_{\sigma} \mathbf{v} d^3 v$, and number density is $n_{\sigma} = \int f_{\sigma} d^3 v$. A standard approach to study energy conversion in plasmas [5–27] centers on the first few internal moments. Compressional work describes changes to n_{σ} , i.e., the zeroth internal moment of f_{σ} , described by the continuity equation [5,28]. The internal energy per particle $\mathcal{E}_{\sigma,\text{int}} = (3/2)k_B T_{\sigma}$, i.e., the second internal moment of f_{σ} divided by n_{σ} , can change due to compressional heating by work $-\mathcal{P}_{\sigma}(\nabla \cdot \mathbf{u}_{\sigma})$, incompressional heating via the remainder of the pressure-strain interaction (called Pi-D [5]), heat flux, or collisions, according to [2,5,28]

$$\frac{3}{2}n_{\sigma}k_{B}\frac{d\mathcal{T}_{\sigma}}{dt} = -(\mathbf{P}_{\sigma}\cdot\nabla)\cdot\mathbf{u}_{\sigma} - \nabla\cdot\mathbf{q}_{\sigma} + n_{\sigma}\dot{Q}_{\sigma,\text{coll,inter}}.$$
 (1)

Here, the elements of the pressure tensor \mathbf{P}_{σ} are $P_{\sigma,jk} = m_{\sigma} \int v'_{\sigma j} v'_{\sigma k} f_{\sigma} d^3 v$, temperature tensor is $\mathbf{T}_{\sigma} = \mathbf{P}_{\sigma}/n_{\sigma}k_B$, effective pressure is $\mathcal{P}_{\sigma} = (1/3)\mathrm{tr}[\mathbf{P}_{\sigma}]$, effective temperature is $\mathcal{T}_{\sigma} = \mathcal{P}_{\sigma}/n_{\sigma}k_B = (m_{\sigma}/3n_{\sigma}k_B) \int v'_{\sigma}^2 f_{\sigma} d^3 v$, vector heat flux density is $\mathbf{q}_{\sigma} = \int (1/2)m_{\sigma}v'_{\sigma}^2 \mathbf{v}'_{\sigma}f_{\sigma} d^3 v$, and volumetric heating rate per particle due to interspecies collisions is $\dot{Q}_{\sigma,\mathrm{coll,inter}} = (1/n_{\sigma}) \int (1/2)m_{\sigma}v'_{\sigma}^2 \times \sum_{\sigma'} C_{\mathrm{inter}}[f_{\sigma},f_{\sigma'}]d^3v$, where the interspecies collision operator is $C_{\mathrm{inter}}[f_{\sigma},f_{\sigma'}]$, k_B is Boltzmann's constant, m_{σ} is the constituent mass, and $d/dt = \partial/\partial t + \mathbf{u}_{\sigma} \cdot \nabla$ is the convective derivative.

There is an energy conversion channel beyond those discussed thus far. f_{σ} has an infinite number of internal moments that are all treated on equal footing. While Eq. (1) includes the impact of off-diagonal pressure tensor elements and heat flux on $\mathcal{E}_{\sigma, \text{int}}$, any energy conversion associated with time evolution of all other internal moments themselves is not contained in the continuity equation or Eq. (1).

Studies have addressed time evolution of other moments and their contribution to energy conversion. The evolution of anisotropic pressures has been studied [12,14,29–36]. Other approaches capture the effect of all moments of f_{σ} . Linearizing f_{σ} around its equilibrium in kinetic theory and gyrokinetics reveals the so-called free energy [37–39],

which quantifies non-LTE energy conversion into mechanical or magnetic energy [37]. It is associated with the phase space cascade of entropy that can lead to dissipation [40]. The velocity space cascade has been studied without linearizing f_{σ} [17,41–43]. In another approach, changes to bulk kinetic energy are quantified kinetically using field-particle correlations [44–53].

In this Letter, we use a first-principles theory to quantify energy conversion associated with all internal moments. We show this energy conversion is physically associated with changing the velocity space shape of f_{σ} . There are three important ingredients. First, the key quantity is kinetic entropy [2,54–57] rather than energy. Second, we employ the decomposition of kinetic entropy into position and velocity space kinetic entropy [58,59]. Third, we employ the so-called relative entropy [55,56,60]. Our analysis was performed independently, but we found it is similar to treatments in chemical physics of dilute gases [55] and quantum statistical mechanics [61]. The novelty of our analysis stems from using the decomposition of kinetic entropy and significant differences in interpretation than in previous work. We employ a particle-in-cell (PIC) simulation of collisionless magnetic reconnection, revealing energy conversion associated with higher-order moments can be locally significant.

We first derive an expression for the rate of energy conversion associated with non-LTE internal moments of f_{σ} , emphasizing departures from the treatment in Ref. [55]. We assume a classical (nonrelativistic, nonquantum) three-dimensional (3D) system of infinite volume or in a thermally insulated domain with a fixed number N_{σ} of monatomic particles. The kinetic entropy density s_{σ} associated with f_{σ} is [62]

$$s_{\sigma} = -k_B \int f_{\sigma} \ln \left(\frac{f_{\sigma} \Delta^3 r_{\sigma} \Delta^3 v_{\sigma}}{N_{\sigma}} \right) d^3 v, \tag{2}$$

where the integral is over all velocity space, and $\Delta^3 r_{\sigma}$ and $\Delta^3 v_{\sigma}$ are position space and velocity space volume elements in phase space, respectively [59,63,64]. In the comoving (Lagrangian) frame, s_{σ} evolves according to ([55] and Supplemental Material Sec. A [65])

$$\frac{d}{dt} \left(\frac{s_{\sigma}}{n_{\sigma}} \right) + \frac{\nabla \cdot \mathcal{J}_{\sigma, \text{th}}}{n_{\sigma}} = \frac{\dot{s}_{\sigma, \text{coll}}}{n_{\sigma}}, \tag{3}$$

where $\mathcal{J}_{\sigma,\text{th}}$ is thermal kinetic entropy density flux and $\dot{s}_{\sigma,\text{coll}}$ is local time rate of change of kinetic entropy density through collisions, defined in Eqs. (S.4) and (S.3) [65], respectively. We note that Eq. (3) has no explicit dependence on body forces including gravitational and electromagnetic forces, which implies they do not directly change internal moments of f_{σ} . Equation (1) exemplifies this for the special case of internal energy.

In a key departure from Ref. [55], we decompose kinetic entropy density s_{σ} into a position space kinetic entropy density $s_{\sigma p}$ and velocity space kinetic entropy density $s_{\sigma v}$, with $s_{\sigma} = s_{\sigma p} + s_{\sigma v}$, as [58,59]

$$s_{\sigma p} = -k_B n_\sigma \ln \left(\frac{n_\sigma \Delta^3 r_\sigma}{N_\sigma} \right), \tag{4a}$$

$$s_{\sigma v} = -k_B \int f_{\sigma} \ln \left(\frac{f_{\sigma} \Delta^3 v_{\sigma}}{n_{\sigma}} \right) d^3 v. \tag{4b}$$

A direct calculation (see Supplemental Material Secs. B–D [65]) of the terms on the left side of Eq. (3) using Eqs. (4a) and (4b) gives

$$\frac{d}{dt} \left(\frac{s_{\sigma p}}{n_{\sigma}} \right) = \frac{1}{\mathcal{T}_{\sigma}} \frac{dW_{\sigma}}{dt},\tag{5a}$$

$$\frac{d}{dt} \left(\frac{s_{\sigma v}}{n_{\sigma}} \right) = \frac{1}{T_{\sigma}} \frac{d\mathcal{E}_{\sigma, \text{int}}}{dt} + \frac{d}{dt} \left(\frac{s_{\sigma v, \text{rel}}}{n_{\sigma}} \right), \tag{5b}$$

$$\frac{\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma,\text{th}}}{n_{\sigma}} = -\frac{1}{\mathcal{T}_{\sigma}} \frac{d\mathcal{Q}_{\sigma}}{dt} + \frac{(\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma,\text{th}})_{\text{rel}}}{n_{\sigma}}, \quad (5c)$$

where $d\mathcal{W}_{\sigma} = \mathcal{P}_{\sigma}d(1/n_{\sigma})$ is the compressional work per particle done by the system, $d\mathcal{E}_{\sigma,\mathrm{int}} = (3/2)k_BdT_{\sigma}$ is the increment in internal energy per particle, and $d\mathcal{Q}_{\sigma}/dt = [-\nabla \cdot \mathbf{q}_{\sigma} - (\mathbf{P}_{\sigma} \cdot \nabla) \cdot \mathbf{u}_{\sigma} + \mathcal{P}_{\sigma}(\nabla \cdot \mathbf{u}_{\sigma})]/n_{\sigma}$ is the (thermodynamic) heating rate per particle from sources other than compression that can change the effective temperature [see Eq. (1)]. Finally, $s_{\sigma v,\mathrm{rel}}$ is the relative entropy density and $(\nabla \cdot \mathcal{J}_{\sigma,\mathrm{th}})_{\mathrm{rel}}$ is the thermal relative entropy density flux divergence, given by

$$s_{\sigma v, \text{rel}} = -k_B \int f_{\sigma} \ln\left(\frac{f_{\sigma}}{f_{\sigma M}}\right) d^3 v,$$
 (6)

$$(\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma, \text{th}})_{\text{rel}} = -k_B \int \left[\nabla \cdot (\mathbf{v}_{\sigma}' f_{\sigma}) \right] \ln \left(\frac{f_{\sigma}}{f_{\sigma M}} \right) d^3 v, \quad (7)$$

and the "Maxwellianized" phase space density $f_{\sigma M}$ associated with f_{σ} is [60]

$$f_{\sigma M} = n_{\sigma} \left(\frac{m_{\sigma}}{2\pi k_{B} T_{\sigma}}\right)^{3/2} e^{-m_{\sigma} (\mathbf{v} - \mathbf{u}_{\sigma})^{2}/2k_{B} T_{\sigma}}, \tag{8}$$

where n_{σ} , \mathbf{u}_{σ} , and \mathcal{T}_{σ} are based on f_{σ} . (Reference [55] used a more general reference phase space density than $f_{\sigma M}$, so our choice is a special case of theirs.)

Equations (5a)–(5c) have important implications, and our interpretation greatly departs from Ref. [55]. Ignoring the relative terms in Eqs. (5b) and (5c), we see Eq. (3) (scaled by the effective temperature) inherently contains information about work, internal energy, and thermodynamic heat as captured by the continuity equation and

Eq. (1). This suggests the relative terms describe energy conversion associated with all internal moments beyond the second moment.

We therefore define increments of relative energy per particle $d\mathcal{E}_{\sigma,\text{rel}}$ and relative heat per particle $d\mathcal{Q}_{\sigma,\text{rel}}$ by

$$\frac{d\mathcal{E}_{\sigma,\text{rel}}}{dt} = \mathcal{T}_{\sigma} \frac{d(s_{\sigma v,\text{rel}}/n_{\sigma})}{dt},$$
(9a)

$$\frac{dQ_{\sigma,\text{rel}}}{dt} = -\mathcal{T}_{\sigma} \frac{(\nabla \cdot \mathcal{J}_{\sigma,\text{th}})_{\text{rel}}}{n_{\sigma}}.$$
 (9b)

Further defining energy increments per particle in all internal moments at and above the second moment as $d\mathcal{E}_{\sigma,\mathrm{gen}} = d\mathcal{E}_{\sigma,\mathrm{int}} + d\mathcal{E}_{\sigma,\mathrm{rel}}$ and generalized heat per particle as $d\mathcal{Q}_{\sigma,\mathrm{gen}} = d\mathcal{Q}_{\sigma} + d\mathcal{Q}_{\sigma,\mathrm{rel}}$, Eqs. (3)–(5c), (9a), and (9b) take on the simple form

$$\frac{dW_{\sigma}}{dt} + \frac{d\mathcal{E}_{\sigma,\text{gen}}}{dt} = \frac{d\mathcal{Q}_{\sigma,\text{gen}}}{dt} + \dot{\mathcal{Q}}_{\sigma,\text{coll}},\tag{10}$$

where $\dot{Q}_{\sigma,\mathrm{coll}} = \mathcal{T}_{\sigma} \dot{s}_{\sigma,\mathrm{coll}}/n_{\sigma}$. Equation (10) generalizes Eq. (1), which contains energy conversion associated with only density and effective temperature, as opposed to all internal moments of f_{σ} . This interpretation is a significant departure from Ref. [55].

We now provide a physical interpretation, which requires understanding energy conversion via its impact on f_{σ} . Work per particle $d\mathcal{W}_{\sigma} = \mathcal{P}_{\sigma}d(1/n_{\sigma})$ changes the zeroth moment of f_{σ} . This is depicted graphically in Fig. 1, where two velocity space dimensions of f_{σ} are sketched. The top row shows a process taking a Maxwellianized f_{σ} from an initial to final state. The intensification of colors denote a change in f_{σ} and therefore n_{σ} . Similarly, $d\mathcal{E}_{\sigma,\text{int}}$ is associated with changes to the second internal moment of f_{σ} , depicted in the second row of Fig. 1 for a process that

Initial f_{σ}	Final f_{σ}	Physics	
		Change of Density (Work)	$\frac{d\mathcal{W}_{\sigma}}{dt}$
<u> </u>		Change of Temperature (Internal Energy)	$\frac{d\mathcal{E}_{\sigma,\mathrm{int}}}{dt}$
		Change of Shape of f_{σ} (Relative Energy)	$\frac{d\mathcal{E}_{\sigma,\mathrm{rel}}}{dt}$

FIG. 1. Schematic showing energy conversion channels according to their impact on the phase space density f_{σ} . The initial f_{σ} is depicted as Maxwellian for illustrative purposes on the left. The final f_{σ} is to their right. The descriptions of the changes in f_{σ} are to their right.

increases $\mathcal{E}_{\sigma, \text{int}}$, i.e., the Maxwellianized f_{σ} spreads in velocity space.

To interpret $d\mathcal{E}_{\sigma,\mathrm{rel}}$, Eq. (6) shows $s_{\sigma v,\mathrm{rel}}$ vanishes if f_{σ} is a Maxwellian ($f_{\sigma} = f_{\sigma M}$) [60]. Thus, $d\mathcal{E}_{\sigma,\mathrm{rel}}$ describes non-LTE physics. Since a Maxwellian is the highest kinetic entropy state for a fixed N_{σ} and $\mathcal{E}_{\sigma,\mathrm{int}}$ [54], $d(s_{\sigma v,\mathrm{rel}}/n_{\sigma})/dt > 0$ implies f_{σ} evolves toward Maxwellianity in the comoving frame, associated with $d\mathcal{E}_{\sigma,\mathrm{rel}} > 0$, while $d(s_{\sigma v,\mathrm{rel}}/n_{\sigma})/dt < 0$ implies f_{σ} evolves away from Maxwellianity and $d\mathcal{E}_{\sigma,\mathrm{rel}} < 0$. A process changing the shape of f_{σ} is depicted in the third row of Fig. 1, where f_{σ} is initially Maxwellian and finally it is not.

A concrete example showing that $d\mathcal{E}_{\sigma,\mathrm{rel}}$ is associated with f_{σ} changing shape is provided in Supplemental Material Sec. E [65]. $d\mathcal{E}_{\sigma,\mathrm{rel}}$ is calculated analytically for a bi-Maxwellian distribution with converging flow. It is shown that the evolution of f_{σ} is consistent with the interpretation in the previous paragraph.

Collisions directly change the shape of f_{σ} , so $d\mathcal{E}_{\sigma,\mathrm{rel}}$ includes irreversible contributions if collisions are present. However, since f_{σ} can change shape even in the perfectly collisionless limit, $d\mathcal{E}_{\sigma,\mathrm{rel}}$ also contains reversible effects. Thus, the term is not purely irreversible as previously suggested [55].

 $d\mathcal{Q}_{\sigma}$ describes non-Maxwellian features of f_{σ} that cause a flux of energy per particle that changes \mathcal{T}_{σ} [see Eq. (1)]. $d\mathcal{Q}_{\sigma,\mathrm{rel}}$ is analogous: non-Maxwellian features in higher-order internal moments produce a flux that modifies internal moments of f_{σ} other than n_{σ} and \mathcal{T}_{σ} . $\dot{\mathcal{Q}}_{\sigma,\mathrm{coll}}$ describes both intra- and interspecies collisions, as opposed to solely interspecies arising in Eq. (1). This is because both collision types can change higher-order internal moments of f_{σ} , while elastic intraspecies collisions conserve energy.

We demonstrate key results of the theory using simulations of reconnection. Data are from the simulation in Ref. [27]. The code and numerical aspects are discussed there and in Supplemental Material Sec. F [65]. The out-of-plane current density J_z around a reconnection X line at (x_0, y_0) is in Fig. 2(a), with reversing magnetic field lines in black and electron streamline segments in orange, revealing typical profiles.

We first confirm relative energy changes are related to f_{σ} evolving toward or away from LTE. Figure 2(b) shows the electron entropy-based Kaufmann and Paterson non-Maxwellianity $\bar{M}_{e,\mathrm{KP}} = (s_{eM} - s_e)/[(3/2)k_Bn_e]$ [63,89], where s_e comes from Eq. (2) based on f_e , while s_{eM} comes from Eq. (2) based on f_{eM} in Eq. (8). It is a measure of the temporally and spatially local departure from LTE. Figure 2(e) is the rate of relative energy per particle $d\mathcal{E}_{e,\mathrm{rel}}/dt$. Figures 2(i)–2(l) are reduced electron phase space densities $f_e(v_x,v_z)$ at the four color-coded x's along a streamline in Fig. 2(b).

 $\bar{M}_{e,\mathrm{KP}}$ and $d\mathcal{E}_{e,\mathrm{rel}}/dt$ together reveal whether f_{σ} is locally in LTE [Fig. 2(b)] and whether it is evolving toward or away from LTE [Fig. 2(e)]. Just upstream of the electron

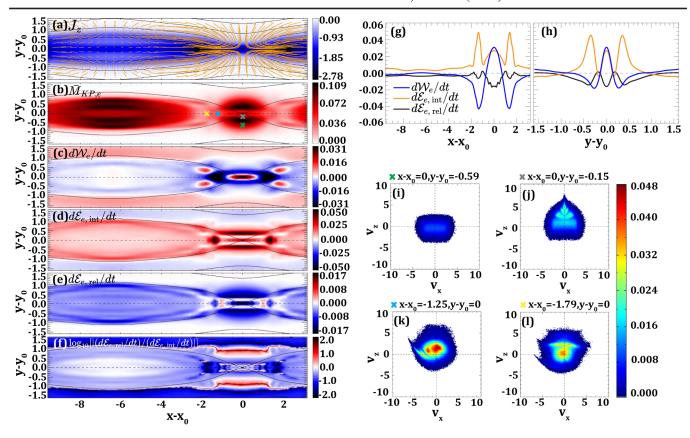


FIG. 2. Electron energy conversion in a PIC simulation of magnetic reconnection. (a) Out-of-plane current density J_z , with projections of magnetic field lines and segments of electron velocity streamlines overplotted in black and orange, respectively. (b) Electron entropy-based non-Maxwellianity $\bar{M}_{\mathrm{KP},e}$. Time rates of change per particle of (c) work dW_e/dt , (d) internal energy $d\mathcal{E}_{e,\mathrm{int}}/dt$, and (e) relative energy $d\mathcal{E}_{e,\mathrm{rel}}/dt$. (f) $\log_{10}[|(d\mathcal{E}_{e,\mathrm{rel}}/dt)/(d\mathcal{E}_{e,\mathrm{int}}/dt)|]$. 1D cuts of the terms in (c)–(e) in the (g) x and (h) y directions. (i)–(l) Reduced electron phase space density $f_e(v_x,v_z)$ at locations denoted by the colored x's at the top left of the plots corresponding to the x's in (b) along a streamline.

diffusion region (EDR) $(|x - x_0| < 1, 0.45 < |y - y_0| < 1)$, electrons get trapped by the upstream magnetic field [34], so f_e becomes non-Maxwellian [dark red in Fig. 2(b)], with f_e elongated in the parallel direction [Fig. 2(i)]. Thus, in the comoving frame, as a fluid element convects toward the X line from upstream, f_e evolves away from Maxwellianity, consistent with Fig. 2(e), where $d\mathcal{E}_{e,\text{rel}}/dt < 0$. Continuing toward the X line, f_e develops striations [Fig. 2(j)] due to electrons becoming demagnetized in the reversed magnetic field [90,91]. This is associated with evolution away from LTE [blue in Fig. 2(e)]. Downstream of the *X* line, there is a red patch in Fig. 2(e) at $|x-x_0| \simeq 1.25$, $|y-y_0| \simeq 0$ where electrons thermalize (Maxwellianize) [92,93], which is seen in f_e [Fig. 2(k)]. Just downstream from there $(|x - x_0| \simeq 1.8)$, f_e evolves away from LTE where electrons begin to remagnetize at the downstream edge of the EDR [92,94] [Fig. 2(1)]. These results confirm the sign of $d\mathcal{E}_{\sigma,\text{rel}}$ identifies whether f_{σ} changes shape toward or away from LTE in the comoving frame.

Next, we demonstrate the quantitative importance of relative energy. Rates of work and internal energy per particle are shown in Figs. 2(c) and 2(d), respectively.

Cuts of these quantities through the X line in the horizontal and vertical directions, along with $d\mathcal{E}_{e,\mathrm{rel}}/dt$, are plotted in Figs. 2(g) and 2(h), respectively. At the X line, the values are 0.031, 0.027, and -0.016, respectively, in normalized code units. Their sum, 0.042, is the total rate of energy per particle going into internal moments of electrons. To see that relative energy is important, the standard approach using Eq. (1) would say the energy rate going into changing n_e and \mathcal{T}_e is 0.031 + 0.027 = 0.058, 38% higher than the total rate when relative energy is included, which is a significant difference.

To assess its importance in other locations, Fig. 2(f) shows $\log_{10}[|(d\mathcal{E}_{e,\mathrm{rel}}/dt)/(d\mathcal{E}_{e,\mathrm{int}}/dt)|]$, with a color bar saturated at ± 2 to better reveal details. Where internal and relative energy changes are comparable are white. Locations where $|d\mathcal{E}_{e,\mathrm{rel}}|$ exceeds $|d\mathcal{E}_{e,\mathrm{int}}|$ are red, especially just upstream of the EDR. In the deep blue regions, $|d\mathcal{E}_{e,\mathrm{rel}}| \ll |d\mathcal{E}_{e,\mathrm{int}}|$. In the light blue regions, including much of the EDR and island, $|d\mathcal{E}_{e,\mathrm{rel}}|$ is at least 20% of the magnitude of $|d\mathcal{E}_{e,\mathrm{int}}|$. Thus, energy conversion associated with non-LTE internal moments in reconnection is broadly non-negligible and can be locally significant or even dominant.

We conclude with implications of the present results. First, the theory applies for systems arbitrarily far from LTE, so it could lead to significant advances compared to manifestly perturbative theories [1,2,39]. An extensive comparison to previous work is in Supplemental Material Sec. G [65]. For a physical process that changes both internal energy and higher-order moments, the theory captures both and allows each to be calculated separately. Since the theory contains all internal moments of f_{σ} , it overcomes the closure problem.

It is important to note that internal energy per particle $\mathcal{E}_{\sigma,\mathrm{int}}$ is a state variable, meaning it is history independent, but relative energy per particle $\mathcal{E}_{\sigma,\mathrm{rel}}$ is not [see Eq. (9a)]. Only in special cases can relative energy per particle $\mathcal{E}_{\sigma,\mathrm{rel}}$ be calculated from f_{σ} at a particular time. Rather, only the increment $d\mathcal{E}_{\sigma,\mathrm{rel}}$ has an instantaneous physical meaning. This was pointed out in Ref. [55] and used as motivation to not employ relative entropy per particle because they sought a thermodynamic theory of irreversible processes. Our interpretation is distinctly different; we argue relative energy per particle not being a state variable reflects the physical consequence that changing the shape of f_{σ} is typically history dependent. Thus, a description retaining this history dependence is crucial for quantifying energy conversion into non-LTE internal moments.

Our results reveal that the standard treatment of energy conversion in Eq. (1) needs to be expanded to accurately describe energy conservation when not in LTE. Since Eq. (1) is equivalent to the first law of thermodynamics, we argue Eq. (10) is its kinetic theory generalization, which we dub "the first law of kinetic theory."

A flow chart depicting energy conversion in non-LTE systems is in Fig. 3. Black arrows denote energy conversion contained in thermodynamics, namely, conversion between heat, work, and internal energy, plus collisions. Red arrows are for relative energy and heat associated with non-LTE internal moments of f_{σ} . The dashed light blue arrow denotes coupling between relative energy and thermodynamic heat through the vector heat flux density and Pi-D.

We expect the results to be useful when f_{σ} is reliably measured, such as PIC and Vlasov or Boltzmann plasma simulations and satellite observations [95,96]. Satellites measure f_{σ} with spatiotemporal resolution sufficient to take gradients [97,98] and compute kinetic entropy [64]. The theory may advance efforts using machine learning to parametrize kinetic corrections to transport terms in fluid models [99]. Generalizations of the present result may be useful beyond plasma physics, such as many-body astrophysics [100], micro- and nanofluidics [101,102], and quantum entanglement [61].

There are limitations of the present work. Each restriction to the theory listed before Eq. (2) could be relaxed. Relative energy describes energy conversion associated with all non-LTE internal moments, but does not identify which of the individual non-LTE internal moments

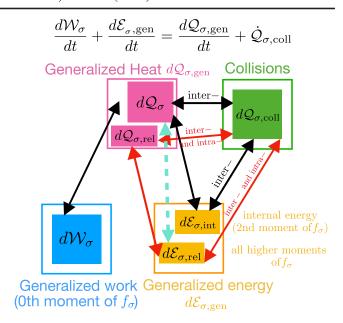


FIG. 3. Sketch illustrating energy conversion from Eq. (10). Arrows show conversion channels between work (blue), heat (pink), energy (orange), and collisions (green), with standard channels in black and relative channels in red. The light blue dashed arrow signifies how the relative terms couple to thermodynamic terms.

contribute; it would be interesting to address this in future work, likely in context of recent theories of the velocity space cascade [41] and/or Casimir invariants [103]. There are settings for which $f_{\sigma M}$ is not the appropriate reference for f_{σ} [104,105]. Reference [55] employs a more general reference f_{σ} than we use here; it would be interesting to generalize the results for more general plasmarelevant forms.

The data used in Fig. 2 are publicly available from the Zenodo repository [106].

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