Cutting and Slicing Weak Solids

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(Received 17 March 2020; accepted 19 June 2020; published 15 July 2020)

Dicing soft solids with a sharp knife is quicker and smoother if the blade is sliding rapidly parallel to its edge in addition to the normal squeezing motion. We explain this common observation with a consistent theory suited for soft gels and departing from the standard theories of elastic fracture mechanics relied on for a century. The gel is assumed to fail locally when submitted to stresses exceeding a threshold σ_1 . The changes in its structure generate a liquid layer coating the blade and transmitting the stress through viscous forces. The driving parameters are the ratio U/W of the normal to the tangential velocity of the blade, and the characteristic length $\eta W/\sigma_1$, with η the viscosity of the liquid layer. The existence of a maximal value of U/W for a steady regime explains the crucial role of the tangential velocity for slicing biological and other soft materials.

DOI: 10.1103/PhysRevLett.125.038002

Cutting soft materials has been done since the Early Stone Age and today is encountered in a large range of processes, from precision surgery [1] to histology [2] to food industry [3,4]. It also occurs in everyday life, where it is commonly observed that cutting cheese or meat is made much easier by sliding rapidly the knife parallel to the surface to be cut [5]. Pulling the edge of a sharp knife on an elastic material yields a very large stress because a finite force (per unit length along the edge) is exerted at the contact line. A supplementary sliding of the knife along this contact line produces an additional shear stress. The resulting tensile stress is far more efficient than the compressive stress produced by the single normal displacement of the knife. Hence, cutting soft materials is facilitated by the sliding, requiring a much lower normal force compared to a simple compression [6]. Beyond this base explanation, the origin of a critical tensile stress needed for cutting a soft gel, as well as the effect of the tangential velocity of the blade, is not yet elucidated. The explanation is necessarily related to the specific properties shared by the class of material considered here, i.e., soft gels. It must be consistent with the large deformations these materials can usually withstand before being cut. In addition, due to the low values of the stresses involved during the failure of these weak solids, macroscopic length scales emerge, for instance for the energy dissipation zone [7,8]. Hence, a consistent description of the dissipative zone is required to properly describe the mechanics of the cutting. Indeed, the effect of the velocity of the blade is likely to be closely related to the rate of energy dissipation. For these reasons, the physics of slicing soft gels with a sharp wedge has little chance of being well captured by the overspread theory of the linear elastic fracture mechanics or even its extension to the large deformations [9,10].

Here, we give a coherent explanation of the role of the tangential velocity of the blade during the slicing of a soft gel based on a self-consistent theory. The basis of the idea is as follows. We consider a model material that can withstand stress until a given maximum value, called σ_1 here and defined more accurately later. For stresses lower than this maximum, the material under consideration can return reversibly to its unperturbed state when unstressed. For stresses larger than σ_1 , the material melts like a solid above the melting temperature. This is a reasonable assumption for gels because gels are made of a network filling a liquid (usually water). Once a big enough proportion of links is broken, the network disappears and the gel becomes a liquid suspension of small particles without the cohesiveness of a solid. Obviously, this description does not take into account a likely transition from solid to liquid through a kind of intermediate state, which certainly takes place, but we assume that the thickness of the transition region is small enough to be neglected, at least in a first approximation. Moreover, to simplify the matter, we shall consider steady state situations only. The material can melt reversibly or irreversibly. If it does reversibly, it heals once the stress decreases below σ_1 , recovering its elastic properties [11,12]. If not, it remains permanently melted whatever the forthcoming changes of the stress [12]. It is also possible that the gel cannot stand stress beyond a critical value without expelling some of its liquid part by permeation [13]. Most likely the two phenomena (melting and permeation) occur simultaneously in a stressed gel. The different possible mechanisms inducing the formation of the liquid layer do not make a difference with the cutting mechanism considered here, and so we consider these possibilities as a single one.

The physical explanation of why it is easier to cut soft materials by sliding the knife rapidly parallel to itself



FIG. 1. (a) Sketch of a blade moving at velocity U in the cutting (normal) direction x, and velocity W in the sliding direction z (parallel to the initial surface of the gel). The shape of the liquid-gel interface in plane (yz) is represented by the curve Γ . (b) View in plane (xz): in the frame moving with the blade, gel velocity is -U along x and -W along z. The system is assumed to be invariant along z.

follows from our assumption that the material to be cut cannot stand stresses beyond a critical value. Such a stress is transmitted from the knife to the gel by a thin viscous layer of liquid made either by the broken gel and/or by expelled liquid (Fig. 1). This stress depends on viscosity, knife velocity, and the geometry of the layer. It includes a component coming from the normal motion of the knife toward the gel, but also a component due to the sliding motion, usually at a far bigger velocity than in the cutting direction.

We consider a thin blade consisting of a sharp wedge with an infinitely small angle so that its geometry can be approached, in a first approximation, as a half plane of zero thickness. This half plane (the blade) is assumed to move parallel to its own plane both in the z direction (the sliding motion) and inward the gel in the x direction perpendicular to the edge (Fig. 1). Lastly, y is the direction perpendicular to the plane of the knife. Let U be the velocity of the knife in the direction of cutting and W the velocity of slicing in the z direction. We consider a steady state, namely when the knife has advanced for a sufficiently long time to have made a self-reproducing groove in the gel ahead of it. Therefore, the half plane y = 0, x < 0 made by the idealized knife advances at zero speed in the frame moving with the knife, whereas the gel advances at speed (-W) in the sliding direction and (-U) toward the knife. The half plane is coated by the layer of viscous liquid created by the destruction of the gel and/or by permeation of its liquid component. In this liquid, the fluid velocity is assumed to be small enough to make valid the linear Stokes equation. The fluid velocity can be split into two components: the velocity component (u, v) in the (x, y) plane and the velocity component in the z direction, w [14]. From the incompressibility condition,

$$u_{,x} + v_{,y} = 0,$$
 (1)

where $u_x = \partial u / \partial x$, etc., Stokes equation is

$$\eta(u_{,xx} + u_{,yy}) = p_{,x}, \tag{2}$$

$$\eta(v_{,xx} + v_{,yy}) = p_{,y},$$
 (3)

$$w_{,xx} + w_{,yy} = 0,$$
 (4)

with p the pressure and η the shear viscosity of the liquid. Now we have to give the boundary conditions. One set of boundary conditions is imposed on the surface of the knife, namely on the half plane y = 0, x < 0. In the frame of reference of the knife, the fluid velocity is just zero on this boundary (see Fig. 1). This amounts to imposing (u, v, w) = (0, 0, 0) on y = 0, x < 0. Let Γ be the curve making the cross section of the interface between the gel and the liquid layer. This is a line in the plane (x, y), and we impose the continuity of the flux of matter across this line and the continuity of stress. Let us consider first the continuity of matter. This is done by enforcing that when the gel transforms into liquid, mass is conserved: the flux of matter on the gel side is equal to the flux of liquid on the other side. Because the mass density is almost the same on both sides of Γ , continuity of the flux of matter is equivalent to the continuity of velocity. On the side of the gel, the velocity is just the velocity of the frame of reference of the knife. In Cartesian coordinates, this is the velocity (-U, 0, -W). This also makes the boundary conditions for the velocity field in the liquid on Γ : $u|_{\Gamma} = -U$, $v|_{\Gamma} = 0$, and $w|_{\Gamma} = -W$ (Fig. 2). Given Γ , this yields the right number of conditions for the problem.

We have now to write the condition that determines Γ . This condition is that the stress on Γ is exactly at the given critical value σ_1 . Since the gel is mainly composed of solvent, the same as the liquid phase, the interfacial tension between the two phases is negligible in a first approximation [15], and the stress has to take the same value on the gel side and on the liquid side of the boundary. The Cauchy stress tensor in the liquid phase is $\underline{\sigma} = \underline{\tilde{\sigma}} + p\mathbb{I}$ with \mathbb{I} the identity tensor and $\underline{\tilde{\sigma}}$ the deviatoric stress given by the standard formulas for viscous fluids [14],

$$\underline{\tilde{\sigma}} = \eta \begin{pmatrix} 2u_{,x} & u_{,y} + v_{,x} & w_{,x} \\ u_{,y} + v_{,x} & 2v_{,y} & w_{,y} \\ w_{,x} & w_{,y} & 0 \end{pmatrix}.$$
 (5)

It remains to give the expression of the critical stress, $\Sigma(\underline{\sigma})$, that is to be made equal to the critical value σ_1 . For a isotropic solid, this stress has to be independent of the choice of coordinates and hence to be a function of the invariants of $\underline{\sigma}$. Several expressions are possible, and we consider here the widespread von Mises criterion, which amounts to assuming that failure occurs as the elastic energy density of distortion reaches a critical value [16].



FIG. 2. Domain Ω defined by Eq. (9) for the computation of the velocities (colored in light blue). Black solid line is the liquid-gel interface, referred as Γ . The blade is in pink. *a* is the distance between the edge of the blade and the tip of the notch. r_0 is the radius of curvature at the tip, and *h* is the thickness of the liquid layer far from the tip. The boundary conditions (marked in blue) are *u*, *v*, *w* = 0 for *y* = 0 and $x_{\min} < x < 0$; $\partial(u, w)/\partial y = 0$ and v = 0 for y = 0 and $0 < x < \Gamma(0)$; u = -U, v = 0 and w = -W for $x = \Gamma(y)$ or y = h.

Other criteria, such as the Tresca criterion based on the maximum shear stress or the maximum principal stress criterion [17], could be considered as well. The von Mises stress Σ , taken here as measure of the stress, is defined by $\Sigma^2 = \frac{3}{2} \operatorname{tr}(\underline{\tilde{\sigma}}^2)$ [17,18]. In the geometry in consideration, this yields the following:

$$\Sigma^{2} = 3\eta^{2}(w_{,x}^{2} + w_{,y}^{2} + v_{,x}^{2} + u_{,y}^{2} + 4u_{,x}^{2} + 2v_{,x}u_{,y}).$$
 (6)

The equation of the curve Γ is found by imposing

$$|\Sigma| = \sigma_1 \tag{7}$$

on Γ , σ_1 being a given positive quantity with the physical dimension of a stress.

Taking $\ell = \sqrt{3}\eta W/\sigma_1$ as unit length and W as unit speed, Eqs. (1)–(4) and (7) can be reformulated with a unique parameter, the ratio $\zeta = U/W$ of the sliding velocity to the cutting velocity. Taking σ_1 of the order of magnitude of the shear modulus of a soft hydrogel (a reasonable assumption for polymeric gels) e.g., $\sigma_1 = 100$ Pa, and $\eta = 10$ mPas (the liquid layer being a mixture of water and molecules resulting from gel breakage), we find, with W = 1 m s⁻¹ for the tangential velocity, $\ell = 0.1$ mm, a small but measurable macroscopic length.

In the following, the thickness *h* of the liquid layer along the blade (far behind the edge), the distance *a* between the edge of the blade and the tip of the notch, and the radius of curvature r_0 of the gel surface at the tip (see Fig. 2) are computed as functions of ζ and ℓ .

Let us first consider the special situation in which the blade is pushed normally toward the gel without sliding, W = 0. Due to mirror symmetry with respect to plane y = 0, $u_{,y} = 0$ and v = 0 along axis y = 0 for x > 0 (see Fig. 2); hence, Eq. (7) simplifies in $\Sigma^2 = 12\eta^2 u_{,x}^2$ or equivalently [from Eq. (1)] $\Sigma^2 = 12\eta^2 v_{,y}^2$ (x > 0 and y = 0). The tangent of Γ at (x, y) = (a, 0) being parallel to y by symmetry, one deduces from $v_{|\Gamma} = 0$ that $v_{,y} = 0$ at (x, y) = (a, 0) and, then, that the stress Σ at (x, y) = (a, 0) has to be equal to zero. Since $\sigma_1 \neq 0$, the condition $\Sigma = \sigma_1$ on Γ cannot be fulfilled. This means that no stationary state exists for W = 0 and highlights the overriding role played by the sliding of the blade.

Note that in this case (W = 0), Σ is the unique nonzero scalar invariant of $\underline{\tilde{\rho}}$ and that taking the von Mises criteria among the other criteria does not limit the generality.

In what follows, the tangential velocity is nonzero. Far behind the edge of the blade $(x \ll -\ell)$, derivatives along x direction are zero and u(y) is parabolic. According to the boundary conditions at y = 0 and y = h and the incompressibility condition (the average value of u along y is equal to -U), $u = -U(4y/h - 3y^2/h^2)$. This is a simple combination of Couette and Poiseuille flows, the pressure gradient along the x direction being constant. In addition, $v \sim 0$ and w(y) is linear (Couette flow), w = -Wy/h. One concludes that, far from the edge of the blade $(x \ll -\ell)$, $|\Sigma| \sim (\eta/h)\sqrt{3(4U^2 + W^2)}$ and from Eq. (7), the thickness of the liquid layer along the blade is

$$h = \ell \sqrt{1 + 4\zeta^2}.$$
(8)

Eqs. (1)–(4) and Eq. (7) are solved using the finite-element method, implemented in the open-source finite-element library FEniCS [19]. We adopt a set of units such that $\ell = 1$ and W = 1. Assuming reflectional symmetry, we consider domains Ω defined by

$$(x, y) \in \Omega \Leftrightarrow 0 \le y \le h$$
 and $x_{\min} \le x \le \Gamma(y)$ (9)

 $\Gamma(y)$ is a decreasing function for $y \in [0, h]$. x_{\min} is the lower x coordinates considered in the simulation $[x_{\min} < \Gamma(h)]$, see Fig. 2]. Boundary conditions are detailed in Fig. 2. We take for $\Gamma(y)$ a series expansion in the form

$$\Gamma(y) = \sum_{k=0}^{n-1} \alpha_{2k} (y/h)^{2k} + \alpha_n \sum_{k=n}^m (y/h)^{2k}.$$
 (10)

Coefficients α_{2k} are fitted in order to fulfill Eq. (7). The fits are done by carrying out a systematic exploration of the coefficients α_k and by minimizing the variance $s^2 = (1/0.95h) \int_0^{0.95h} [(\Sigma(y) - \sigma_1)^2 / \sigma_1^2] dy$. The last sum in Eq. (10) has no significant effect on the best $\Gamma(y)$ except for $y \sim h$: neither a change in *n* (provided that n > 8) nor a change in *m* (provided that m > 30) has an effect on the values found for α_0 or α_2 .



FIG. 3. Reduced stress Σ/σ_1 at the gel surface for $\zeta = 0.2$, as a function of the reduced coordinate y/ℓ , computed for the surface equation resulting from the fitting procedure aiming to approach boundary condition Eq. (7), with (n,m) = (9,40) and $x_{\min} = -5\ell$. (a) Variance s^2 calculated for the interface Γ that best fits condition Eq. (7) [with (n,m) = (9,40) and $x_{\min} = -5\ell$]. (b) h, a, and r_0 rescaled by ℓ as a function of ζ .

Figure 3 gives an example, for $\zeta = 0.2$, of the reduced stress Σ/σ_1 calculated after the fitting procedure. As required, Σ/σ_1 is almost constant and equal to one. Figure 3(a) shows that the variance s^2 calculated for the best fit starts to increase rapidly beyond a threshold value of ζ , $\zeta^* \simeq 0.24$. This indicates that solution of Eq. (7) exists only for $\zeta < \zeta^*$, i.e., for U < 0.24W, suggesting that steady states exist only if the sliding velocity is large enough compared to the normal velocity, W > U/0.24.

The reduced normal distance $a/\ell = \alpha_0$ and the reduced radius of curvature $r_0/\ell = h^2/(2\alpha_2^2)$ are plotted as a function of ζ (for $\zeta < \zeta^*$) in Fig. 3(b). The rate of increase of r_0/ℓ as a function of ζ is lower than the rate for h/ℓ : the profile of the notch is less blunt as ζ increases. Velocity components and pressure computed for $\zeta = 0.2$ are shown in Fig. 4.

To summarize, the cut made by pressing and sliding a sharp wedge on a soft material has been described by considering the viscous liquid layer surrounding the wedge. This layer results from the transformation of the soft solid to a liquid when the applied stress exceeds a prescribed value. The stress exerted on the wedge is transmitted to the soft solid by the liquid layer, hence the prevailing role of the wedge velocities in the cutting process.

No steady state in the melting of the solid can be induced by a pure normal indentation of the sharp wedge (W = 0). Indeed, a steady regime requires a large enough ratio of the sliding velocity to the normal velocity. The maximal value of the normal velocity (the cutting speed) is proportional to the tangential velocity, $U_{\text{max}} \simeq 0.24W$. Hence, the maximum cutting speed is directly related to the sliding velocity: quicker dicing requires higher tangential velocity.

For given imposed normal and tangential velocities in a steady regime, the condition that the critical stress of the gel has to be reached fixes the shape of the transition zone.



FIG. 4. Reduced components of the velocity u (a), v (b), and w (c), and pressure p (d), computed from Eqs. (1)–(4) and (7) using the finite element method, calculated for $\zeta = 0.2$.

The thickness of the fluid layer far behind the edge of the blade, the thickness in the direction normal to the edge, and also the minimal radius of curvature of the transition zone have been computed. These lengths are determined by the fluid-gel interaction and not by the elasticity of the gel alone.

Whether the deformations of the solid phase are large or small does not matter in the theory, the important property being that the gel remains elastic until a critical stress is reached. In that sense, the theory fundamentally departs from standard theories of fracture mechanics that are based on the calculation of elastic deformations together with an estimation of the energy release rate taking place in the plastic zone.

Extending the theory introduced here to unsteady states would be useful to capture the nucleation stages, to unfold the cases in which steady states do not exist (e.g., normal dicing), and to explain how a tangential vibration can improve dicing, as evidenced in the puncture of soft gels [20,21] or in surgery [22,23].

Experiments should now be carried out in order to test these predictions, for instance by characterizing geometrical properties of the liquid layer and by evidencing the minimal tangential to normal velocity ratio for a steady regime in the cutting.

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