

Variational Quantum Simulation of General Processes

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Variational quantum algorithms have been proposed to solve static and dynamic problems of closed many-body quantum systems. Here we investigate variational quantum simulation of three general types of tasks—generalized time evolution with a non-Hermitian Hamiltonian, linear algebra problems, and open quantum system dynamics. The algorithm for generalized time evolution provides a unified framework for variational quantum simulation. In particular, we show its application in solving linear systems of equations and matrix-vector multiplications by converting these algebraic problems into generalized time evolution. Meanwhile, assuming a tensor product structure of the matrices, we also propose another variational approach for these two tasks by combining variational real and imaginary time evolution. Finally, we introduce variational quantum simulation for open system dynamics. We variationally implement the stochastic Schrödinger equation, which consists of dissipative evolution and stochastic jump processes. We numerically test the algorithm with a 6-qubit 2D transverse field Ising model under dissipation.

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Introduction.—The variational method is a powerful classical tool for simulating many-body quantum systems [1–5]. The core idea is based on the intuition that physical states with low energy belong to a small manifold of the whole Hilbert space. As quantum circuits can efficiently prepare states that may not be efficiently represented classically, the variational method has been recently generalized to the quantum regime with trial states efficiently prepared by a quantum circuit and information extracted from a coherent measurement of the state [6–26]. The trial state in variational quantum algorithms can be prepared with shallow quantum circuits [27–30], which is robust to a certain amount of device noise and is compatible with near-term noisy intermediate scale quantum (NISQ) hardware [31]. Variational quantum algorithms can be utilized for efficiently finding energy spectra [7–12,19,21–25,32] and simulating real time Schrödinger evolution [13,33] of closed systems. Although quantum circuits are unitary operations, the variational algorithm is not limited to energy minimization and unitary processes and it can be used to simulate dissipative imaginary time evolution that cannot be straightforwardly mapped to unitary gates [20,34].

In this Letter, we study the capability of variational quantum algorithms and show that they are not limited to

these applications. First, we introduce a variational quantum algorithm for simulating the generalized time evolution defined in Eq. (1) below. Our algorithm can be regarded as a unified framework, which incorporates the special cases of real and imaginary time evolutions [13,20,34], non-Hermitian quantum mechanics [35–37] that describes nonequilibrium processes [38], parity-time symmetric Hamiltonians [39–41], open quantum systems [42], general first-order differential equations, etc.

Next we apply the variational method for solving linear algebra problems, such as linear systems of equations and matrix-vector multiplications, important tasks in machine learning and optimization [43,44]. Many algorithms have been developed for linear systems of equations with universal quantum computers [45–52], which have profound applications in quantum machine learning [53–57]. However, they generally require deep circuits that rely on fault tolerant quantum computers. In this Letter, we introduce two types of variational quantum algorithms for the two linear algebra problems. For the first type, we consider general sparse matrices and show how solutions of the problems can be converted into generalized time evolutions, which can be variationally simulated. For the second type, we consider special matrices that are products of small matrices acting on a constant number of qubits, and use variational real and imaginary time evolution to find solutions.

Finally, we combine the developed variational algorithms to simulate the evolution of open quantum systems [58–60]. Simulating the evolution of general open quantum systems is of great importance for understanding any

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quantum system that interacts with an environment. Existing quantum algorithms [61–66] for simulating open quantum systems generally require deep quantum circuits. In this Letter, we consider the description of open system dynamics via the stochastic Schrödinger equation, whose evolution can be regarded as an average of wave functions that undergo a continuous measurement induced from the environment [60,67]. The evolution of each wave function is composed of two processes that can both be simulated with variational algorithms: It may continuously evolve under the generalized time evolution with the system Hamiltonian and the damping effect due to continuous measurement; alternatively, the state discontinuously jumps according to the measurement results. The continuous process can be described by the generalized time evolution, and the jump process is a matrix-vector multiplication process. Therefore, our algorithm is compatible with shallow circuits and NISQ hardware.

Generalized time evolution.—We first consider variational quantum simulation of generalized time evolution:

$$B(t) \frac{d}{dt} |v(t)\rangle = |dv(t)\rangle. \quad (1)$$

Here $|dv(t)\rangle = \sum_j A_j(t) |v'_j(t)\rangle$, $A_j(t)$, and $B(t)$ are general time dependent sparse (non-Hermitian) operators, $|v(t)\rangle$ is the system state, and each of $|v'_j(t)\rangle$ can be either $|v(t)\rangle$ or any known state that can be efficiently prepared with a quantum circuit. The states $|v(t)\rangle$ and $|v'_j(t)\rangle$ can be (un)normalized states $|v(t)\rangle = \alpha(t) |\psi(t)\rangle$, $|v'_j(t)\rangle = \alpha'_j(t) |\psi'_j(t)\rangle$, with normalization factors $\alpha(t)$ and $\alpha'_j(t)$, respectively. In practice, we assume that $A_j(t)$ [$B(t)$] can be decomposed as a linear combination of Pauli operators $A_j(t) = \sum_i \lambda_i^j(t) \sigma_i$ with complex coefficients λ_i and a polynomial (with respect to the system size) number of tensor products of Pauli matrices $\sigma_i = \otimes_{i_k} \sigma_{i_k}$, with i_k denoting the i_k th qubit.

In variational quantum simulation, instead of directly simulating the dynamics, we assume that the state can be represented by parametrized quantum states $|v(\vec{\theta}(t))\rangle = \alpha(\vec{\theta}_0(t)) |\varphi(\vec{\theta}_1(t))\rangle$ with $\vec{\theta} := (\vec{\theta}_0, \vec{\theta}_1)$. Then we project the original evolution to the evolution of the parameters via McLachlan's principle [68],

$$\min \left\| B(t) \frac{d}{dt} |v(\vec{\theta}(t))\rangle - \sum_j A_j(t) |v'_j(t)\rangle \right\|, \quad (2)$$

where $\|\psi\| = \sqrt{\langle \psi | \psi \rangle}$ and the minimization is over the derivative of the parameters. By minimizing the distance between the true evolution and the evolution of the parametrized state, we find the equation of parameters as

$$\sum_j \tilde{M}_{k,j} \dot{\theta}_j = \tilde{V}_k, \quad (3)$$

where $\dot{\theta}_j = d\theta_j/dt$ and the coefficients are linear sums of state overlaps that can be efficiently measured with

quantum circuits [69]. We specify the detailed derivation, expression of the coefficients, the quantum circuits, and a detailed resource estimation of the algorithm in Supplemental Material [70]. Here we consider two examples with $B(t) = 1$ and $|dv(t)\rangle = -iH|v(t)\rangle$ or $|dv(t)\rangle = -[H - \langle v(t)|H|v(t)\rangle]|v(t)\rangle$, corresponding to real and imaginary time evolution [13,20,34], respectively. Compared to previous works studying real and imaginary time dynamics [13,20,34], our algorithm considers a much more general setting of first-order differential equations. Therefore, it not only unifies previous results in the general setting, but provides the basis for solving general problems, as we discuss below.

Variational algorithms for linear algebra.—Now consider linear algebra problems of solving linear systems of equations and matrix-vector multiplications. For a sparse square matrix \mathcal{M} and a state vector $|v_0\rangle$, we aim to find

$$|v_{\mathcal{M}^{-1}}\rangle = \mathcal{M}^{-1}|v_0\rangle \quad \text{or} \quad |v_{\mathcal{M}}\rangle = \mathcal{M}|v_0\rangle. \quad (4)$$

We introduce two types of algorithms where the first type is more general and the second type is more efficient with assumptions of the matrix. Here we take matrix multiplication as an example and the derivation works similarly for linear equations, which can also be found in Supplemental Material [70].

For the first type, the algorithm is based on converting the static algebraic problem into a dynamical process, evolving the initial vector $|v_0\rangle$ to the target state $|v_{\mathcal{M}}\rangle$. A natural evolution path is via a linear extrapolation between $|v_0\rangle$ and $|v_{\mathcal{M}}\rangle$ as $|v(t)\rangle = E(t)|v_0\rangle$ with $E(t) = (t/T)\mathcal{M} + (1-t/T)I$, $|v(0)\rangle = |v_0\rangle$, and $|v(T)\rangle = |v_{\mathcal{M}}\rangle$. Different evolution paths can also be considered. For example, in the conventional Hamiltonian simulation scenario [71–75], we have $\mathcal{M} = e^{-iHT}$, and it corresponds to an exponential extrapolation. We also consider linear extrapolation between normalized states in Supplemental Material [70] and we leave the discussion of other possible evolution paths to future works. Given the evolution via linear extrapolation, the time derivative equation of $|v(t)\rangle$ is $(d/dt)|v(t)\rangle = G|v(0)\rangle$, with $G = (\mathcal{M} - I)/T$. This corresponds to the case with $A(t) = G$, $B(t) = 1$, and $|v'(t)\rangle = |v(0)\rangle$ in Eq. (1), which can be variationally simulated.

For the second type, we assume that \mathcal{M} is given as a tensor product of matrices, $\mathcal{M} = \mathcal{M}_1 \otimes \cdots \otimes \mathcal{M}_L$, with each \mathcal{M}_i acting on a small constant number of qubits. We can thus sequentially act \mathcal{M}_i and focus on the realization of each term. For each $\mathcal{M} \equiv \mathcal{M}_i$, we first consider a singular value decomposition as $\mathcal{M} = UDV$, with unitary matrices U , V and diagonal matrix D with non-negative entries. Now we show how to realize the matrix multiplication of the three matrices. Given a spectral decomposition of $U = \sum_j e^{i\lambda_j} |\lambda_j\rangle \langle \lambda_j|$ with $\lambda_j \in \mathbb{R}$, we can represent it as $U = \exp(-iH^U T^U)$ with $H^U = -\sum_j \lambda_j / T^U |\lambda_j\rangle \langle \lambda_j|$ and

$T^U > 0$, which can be realized by evolving the state with Hamiltonian H^U for time T^U via variational real time simulation [13]. The realization is similar for V . To realize the diagonal matrix $D = \sum_j a_j |j\rangle\langle j|$, we approximate it as $D \approx \exp(-H^D T^D)$ with $-H^D T^D = \sum_{a_j \neq 0} \log(a_j) |j\rangle\langle j| - \alpha \sum_{a_j=0} |j\rangle\langle j|$ and a constant $\alpha = O[\log(1/\epsilon_D) + \log \text{Poly}(n)]$ that ensures an accuracy of ϵ_D of the approximation with n qubits. We refer to Supplemental Material for a detailed discussion [70]. Therefore, we can define an unnormalized imaginary time evolution $[d|v(\tau)\rangle/d\tau] = -H^D |v(\tau)\rangle$, so that the initial vector $|v_0\rangle$ at $\tau = 0$ is evolved to $D|v_0\rangle$ at $\tau = T$. Note that even though the second method assumes a tensor structure of \mathcal{M} , the matrix multiplication process can still be classically hard when the input state is a general multipartite entangled state. Such a case is practically relevant as we shortly discuss for its application in simulating jump processes of the stochastic Schrödinger equation.

Open system simulation.—Here we show quantum simulation of open system dynamics. Conventional algorithms for this task generally require a deep circuit [61–66]. The recently proposed variational approach [34] also needs two copies of the purified quantum state, thus requiring a number of qubits that is 4 times that of the system size. Our method, based on variational algorithms for generalized time evolution, matrix multiplication and the stochastic Schrödinger equation, instead only requires to apply shallow circuits on a single copy of the state without purification. Our algorithm thus extensively alleviates the requirement of quantum simulation of open systems.

Consider the Lindblad master equation,

$$\frac{d}{dt}\rho = -i[H, \rho] + \mathcal{L}\rho, \quad (5)$$

where H describes the system Hamiltonian and $\mathcal{L}\rho = \sum_k \frac{1}{2}(2L_k \rho L_k^\dagger - L_k^\dagger L_k \rho - \rho L_k^\dagger L_k)$ describes the interaction with the environment with Lindblad operators L_k . Instead of simulating the evolution of the density matrix, we consider its equivalent description by the stochastic Schrödinger equation, which averages trajectories of pure state evolution under continuous measurements [60,67]. Each single trajectory $|\psi_c(t)\rangle$ is described by

$$d|\psi_c(t)\rangle = \left(-iH - \frac{1}{2} \sum_k (L_k^\dagger L_k - \langle L_k^\dagger L_k \rangle) \right) |\psi_c(t)\rangle dt + \sum_k \left[\left(\frac{L_k |\psi_c(t)\rangle}{\|L_k |\psi_c(t)\rangle\|} - |\psi_c(t)\rangle \right) dN_k \right], \quad (6)$$

where $d|\psi_c(t)\rangle = |\psi_c(t+dt)\rangle - |\psi_c(t)\rangle$, $\langle L_k^\dagger L_k \rangle = \langle \psi_c(t) | L_k^\dagger L_k | \psi_c(t) \rangle$, and dN_k randomly takes either 0 or 1, satisfying $dN_k dN_{k'} = \delta_{kk'} dN_k$ and $E[dN_k] = \langle \psi_c(t) | L_k^\dagger L_k | \psi_c(t) \rangle dt$. At each time t , we can assume a

positive-operator valued measure (POVM) measurement $\{O_0 = I - \sum_k L_k^\dagger L_k dt, O_k = L_k^\dagger L_k dt\}$ happens. For measurement outcome O_k , the state discontinuously jumps to $L_k |\psi_c(t)\rangle / \|L_k |\psi_c(t)\rangle\|$ with probability $E[dN_k]$. And the total jump probability is $\gamma(t) = \sum_k E[dN_k]$. For outcome O_0 with probability $1 - \gamma(t)$, we have $dN_k = 0 \forall k$, and the state evolves under the generalized time evolution Eq. (1) with operator

$$A = -iH - \frac{1}{2} \sum_k (L_k^\dagger L_k - \langle L_k^\dagger L_k \rangle), \quad (7)$$

and $B(t) = 1$. Here, $-iH$ corresponds to the conventional real time Schrödinger evolution with Hamiltonian H and the other terms can be understood as a normalized damping process. Therefore, the whole process is composed of two parts: the continuous generalized time evolution and the quantum jump process.

The stochastic Schrödinger equation can be simulated with the Monto Carlo method. Suppose the state jumps at time t , then at time $t + \tau$, the probability $p(t + \tau)$ that the state does not jump is $p(t + \tau) = e^{-\Gamma(t, \tau)}$, with $\Gamma(t, \tau) = \int_t^{t+\tau} \gamma(t') dt'$. When a jump happens at time t , a uniform random number $q \in [0, 1]$ is generated. Then the time of the next jump is determined by accumulating time τ until we have $p(t + \tau) = q$. When a jump happens, a random number $q' \in [0, 1]$ is generated to determine which jump operator to apply at each time step. The state is updated to $L_k |\psi_c(t)\rangle / \|L_k |\psi_c(t)\rangle\|$ if $q' \in [\tilde{\gamma}_{k-1}(t), \tilde{\gamma}_k(t)]$, where

$$\tilde{\gamma}_k(t) = \frac{\sum_{l=1}^k \langle \psi_c(t) | L_l^\dagger L_l | \psi_c(t) \rangle}{\sum_{l=1}^{N_L} \langle \psi_c(t) | L_l^\dagger L_l | \psi_c(t) \rangle}, \quad (8)$$

and N_L is the number of the Lindblad operators. Considering discretized time with initial state $|\psi_c(0)\rangle$, the stochastic Schrödinger equation from time 0 to T can be simulated as follows.

Algorithm 1. Stochastic evolution equation.

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- 1: Set $\Gamma = 0$ and generate a random number $q \in [0, 1]$.
 - 2: for $t = 0 : dt : T$ do
 - 3: if $e^{-\Gamma} \geq q$ then
 - 4: Evolve the state $|\psi_c(t)\rangle$ under A in Eq. (7).
 - 5: Calculate $\gamma(t) = \sum_k \langle \psi_c(t) | L_k^\dagger L_k | \psi_c(t) \rangle dt$.
 - 6: Update $\Gamma = \Gamma + \gamma(t)$.
 - 7: else
 - 8: Calculate $\tilde{\gamma}_k(t)$ in Eq. (8)
 - 9: Generate a random number $q' \in [0, 1]$.
 - 10: if $q' \in [\tilde{\gamma}_{k-1}(t), \tilde{\gamma}_k(t)]$ then
 - 11: Update $|\psi_c(t)\rangle$ to $L_k |\psi_c(t)\rangle / \|L_k |\psi_c(t)\rangle\|$.
 - 12: Reset $\Gamma = 0$ and randomly generate $q \in [0, 1]$.
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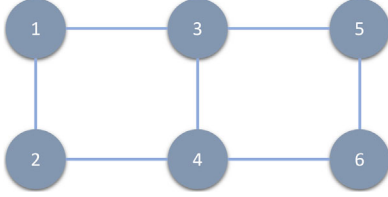


FIG. 1. Geometry of the 2D Ising model. We consider nearest-neighbor interactions for two connected qubits.

Now we show how to variationally simulate the stochastic Schrödinger equation, algorithm 1. Suppose the state $|\psi_c(t)\rangle$ at time t can be represented by the parametrized state $|\phi_c(\vec{\theta}(t))\rangle$ prepared by a quantum computer. We can simulate step 4, i.e., the evolution under operator A defined in Eq. (7), with the algorithm for generalized time evolution. Specifically, we can evolve the parameters according to Eq. (3) with $\tilde{M}_{k,j} = \text{Re}\{\langle \partial\langle\phi(\vec{\theta}(t))|\partial\theta_k|\partial\phi(\vec{\theta}(t))\rangle/\partial\theta_j\rangle\}$, $\tilde{V}_k = \text{Re}\{\langle \phi(\vec{\theta}(t))|[-iH - (L - \langle L\rangle)]\partial\phi(\vec{\theta}(t))\rangle/\partial\theta_k\}$, and $L = \frac{1}{2}\sum_k L_k^\dagger L_k$. The values of $\gamma(t)$ and $\tilde{\gamma}_k(t)$ at steps 5 and 8 are measured as expectation values of quantum states. The jump at step 11 is realized by the variational algorithms for matrix-vector multiplication. Especially, when considering L_k as a product of operators of each qubits, it can be efficiently realized with the singular value decomposition method. In practice, we consider sparse Hamiltonian and Lindblad operators; therefore all the measurements can be efficiently evaluated. We refer to Supplemental Material for the discussion of the resource estimation [70].

Numerical simulation.—We numerically test the variational algorithm for simulating a 6-qubit 2D Ising model in a transverse field coupled to a Markovian bath [76–79]. The Hamiltonian is $H_I = J/4 \sum_{\langle ij \rangle} Z_i Z_j + h_X \sum_{i=1}^6 X_i$, where Pauli operators X_i , Y_i , and Z_i act on the i th spin and $\langle ij \rangle$ represents nearest-neighbor pairs in Fig. 1. The Lindblad term is $L_i = \sqrt{\gamma}\sigma_i^+$, with $\sigma_i^+ = |1\rangle\langle 0|_i$ being the raising operator acting on the i th spin. In our simulation, we set $J = 1$, $h_X = 1$, and $\gamma = 1$. The initial state is prepared in a product state $|\phi(0)\rangle = |0\rangle^{\otimes 6}$, and then the Hamiltonian H_I

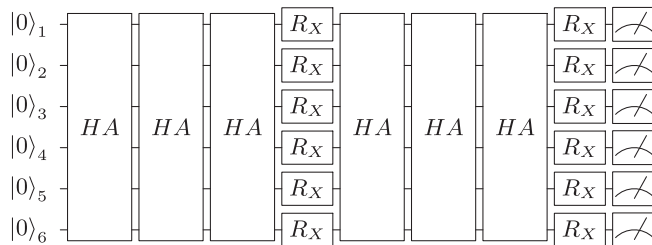


FIG. 2. The ansatz consists of the Hamiltonian ansatz (HA) and single qubit rotations. Each HA is $e^{\theta_1(Z_5 Z_6)} e^{\theta_2(Z_3 Z_5 + Z_4 Z_6)} e^{\theta_3(Z_3 Z_4)} e^{\theta_4(Z_1 Z_3 + Z_2 Z_4)} e^{\theta_5(Z_1 Z_2)} e^{\theta_6(X_3 + X_4)} e^{\theta_7(X_1 + X_2 + X_5 + X_6)}$ and each single qubit gate R_X is $e^{-i\theta X}$. With different parameters for different HA and single qubit gates, the ansatz has in total 54 parameters.

is suddenly turned on, which drives the qubits out of equilibrium. We simulate both the ideal and dissipative evolution from $t = 0$ to $t = 6$, and measure the normalized nearest-neighbor correlations $C = \sum_{\langle ij \rangle} Z_i Z_j / 7$. We use the Hamiltonian ansatz (HA) [80] sandwiched with single qubit rotations as the trial state, shown Fig. 2. The HA preserves the symmetry of the Hamiltonian and the single qubit rotations are introduced to break the symmetry, ensuring its capability for simulating the jump process.

We apply the algorithm in Ref. [13] and our algorithm 1 to simulate the ideal and dissipative evolution, respectively. We use the singular value decomposition method to simulate quantum jumps in algorithm 1. We decompose the jump operator σ^+ as $|1\rangle\langle 0| = |1\rangle\langle 1|X$ with $|1\rangle\langle 0| = UDV$, $U = I$, $D = |1\rangle\langle 1|$, and $V = X$. To realize the V operator, we set $H_V = X$ and $T_V = \pi/2$ such that $X = \exp(-iH_V T_V)$. Then we evolve the state under Hamiltonian H_V for time T_V with time step $\delta t_V = 0.01$ to have $X|\phi(\vec{\theta})\rangle$. To realize $D = |1\rangle\langle 1|$, we set $H_D = |0\rangle\langle 0|$ and $T_D = 6$ so that $D \approx \exp(-H_D T_D)$. Then we realize $D|\phi(\vec{\theta})\rangle / \|D|\phi(\vec{\theta})\rangle\|$ by using the normalized variational imaginary time evolution with total time T_D and time step $\delta t_D = 0.1$.

The simulation results are shown in Fig. 3. We compare the dynamical nearest-neighbor correlation of the simulation result and the exact evolution. We can see that the simulation result agrees well with exact evolution for both ideal and dissipative cases, thus verifying the functioning of variational quantum algorithms. The simulation result indicates a dissipation induced phase transition, where similar phenomena have been experimentally investigated in Ref. [81]. We expect our algorithm with medium-scale quantum hardware may be used for probing general interesting physics phenomena of many-body open systems.

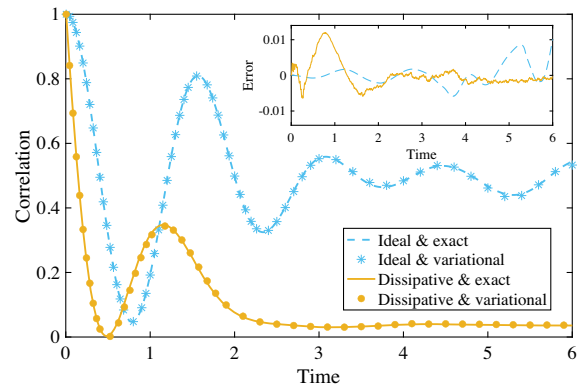


FIG. 3. Numerical simulation of ideal and dissipative evolution of the 2D Ising model. We consider evolution from $t = 0$ to 6 with $\delta t = 0.005$ and measure the nearest-neighbor correlations $C = \sum_{\langle ij \rangle} Z_i Z_j / 7$. We repeat the stochastic process of algorithm 1 with $N_{\text{trial}} = 2 \times 10^4$ times. The results from variational simulation agree well with the exact ones, with a maximal error around 0.01 (see inset).

Discussion.—To summarize, we extend the variational quantum simulation method to general processes, including generalized time evolution, and its application for solving linear algebra tasks and simulating the evolution of open quantum systems. Our algorithm for simulating the generalized time evolution can be applied to simulate non-Hermitian quantum mechanics [35–37] including non-equilibrium processes [38] and parity-time symmetric Hamiltonians [39–41]. Especially, it is shown in Ref. [41] that a quantum state can evolve to the target state faster with non-Hermitian parity-time symmetric Hamiltonians than the case with Hermitian Hamiltonians. Therefore, our variational algorithm for simulating the generalized time evolution may also be useful for designing faster quantum computing algorithms. Recently, several other algorithms for linear algebra tasks have been proposed to be compatible with near-term quantum hardware [82–85]. A thorough comparison of these algorithms and ours could also be an interesting future work. Our algorithm for simulating open systems only needs shallow circuits on a number of qubits matching the system size; thus it enables the possibility of investigating open system physics with near-term quantum computers. Finally, the proposed algorithms are compatible with NISQ hardware and can be further combined with quantum error mitigation techniques [13,17,86–92].

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