Transparent Spin Method for Spin Control of Hadron Beams in Colliders

Yu. N. Filatov[®], ¹ A. M. Kondratenko[®], ^{1,2} M. A. Kondratenko[®], ^{1,2} Ya. S. Derbenev, ³ and V. S. Morozov³

¹Moscow Institute of Physics and Technology, Dolgoprudny, Moscow Region 141701, Russia

²Science and Technique Laboratory "Zaryad," Novosibirsk 630090, Russia

³Thomas Jefferson National Accelerator Facility, Newport News, Virginia 23606, USA

(Received 23 December 2019; accepted 27 April 2020; published 15 May 2020)

We present a concept for control of the ion polarization, called a transparent spin method. The spin transparency is achieved by designing such a synchrotron structure that the net spin rotation angle in one particle turn is zero. The polarization direction of any ions including deuterons can be efficiently controlled using weak quasistatic fields. These fields allow for dynamic adjustment of the polarization direction during an experiment. The main features of the transparent spin method are illustrated in a figure-eight collider. The results are relevant to the electron-ion collider considered in the U.S., the nuclotron-based ion collider facility constructed in Russia, and a polarized electron-ion collider planned in China.

DOI: 10.1103/PhysRevLett.124.194801

Introduction.—Polarized beam experiments have been and remain a crucial tool in understanding particle and nuclear structure and reactions from the first principles [1]. In particular, polarized light ions $(p, d, {}^{3}\text{He})$ and electron beams are necessary for the successful operation of a proposed high-luminosity polarized electron-ion collider (EIC) that is currently under active design [2–4]. Technologies for production of polarized light ions already exist or will be operational in the near future at several colliders worldwide [5].

After a polarized beam is generated by a source, the first task is to preserve the beam's polarization in the process of its acceleration to the final energy. In general, this task has complete and efficient solutions based on using the Siberian snake [6–8] and figure-eight orbit techniques [9]. Both techniques eliminate depolarizing spin resonances [8] by making the spin tune energy independent. Presently, polarization preservation using helical snakes [10] has been successfully demonstrated up to high energies in a proton-proton collider [relativistic heavy ion collider (RHIC)]. Other techniques for suppressing depolarization during acceleration have also been proposed, for example, by employing high superperiodicity in the design of a synchrotron [11].

The next task is to maintain the polarization during a long-term experimental run when the main difficulties with preserving the polarization are associated with higher-order nonlinear spin-orbit resonances [12–15]. As RHIC experience shows [16], selection of optimal betatron tunes and elimination of the energy dependence of the spin tune, as in the transparent spin mode, allow for sustaining the polarization for many hours.

A typical task of a collider setup is adjustment of the required polarization direction at the interaction point (IP). In the RHIC, *fixed* longitudinal polarization at a specific IP

is set using a pair of spin rotators turning the spins by $\pm 90^{\circ}$ [10]. Another task is change of the polarization direction during an experiment and, in particular, frequent flips of the polarization to minimize systematic errors. Experimentally verified spin-flipping schemes are based on adiabatically sweeping a rf magnet's frequency through an induced spin resonance [17]. This technique is used in the RHIC for spin flipping with an efficiency of 97% in the energy range of 24–255 GeV [18]. However, every single crossing of a rf resonance causes some polarization loss that limits the admissible number of spin flips during an experiment.

This Letter presents a new method for ion polarization control called a transparent spin (TS) technique. This technique allows one to first preserve the polarization during acceleration and maintain it in the collider mode. It then enables flexible and efficient manipulation of the polarization direction of any particle species. The polarization can be adjusted to any direction at any orbital location during the whole time of an experiment. Such an adjustment causes practically no polarization loss.

The ideas of the TS method were first formulated in the design process of the figure-eight booster and collider synchrotrons of the Jefferson Laboratory electron-ion collider (JLEIC) project [19]. They were further developed and applied in the design of the racetrack rings of the nuclotron-based ion collider facility (NICA) [20].

Transparent spin concept.—According to the basic theorem about the spin motion of a particle moving along an arbitrary periodic closed orbit [21], there always exists a periodic spin axis $\vec{n}(z) = \vec{n}(z+C)$ such that the spin motion can be, in general, represented as precession about $\vec{n}(z)$ with a spin tune ν . Here z is the distance along the closed orbit, C is the orbit circumference, and $2\pi\nu$ is the phase advance of the spin precession per particle turn. The $\vec{n}(z)$ axis is unique if the spin precession frequency is

not a harmonic of the particle circulation frequency. Because of the spin tune spread associated with beam emittances, the resulting beam polarization is established along \vec{n} [22].

The TS method is based on designing such a magnetic structure of a synchrotron that the net effect of the synchrotron elements on the spin for motion along the design closed orbit is compensated over a single particle turn. The magnetic lattice of the synchrotron becomes "transparent" to the spin. A natural example of such a structure is a flat figure-eight ring. In transparent structures, the spin motion becomes degenerate: any spin direction at any orbital location repeats every particle turn. This means that the particles are in a TS resonance with $\nu = 0$. The spin motion in such a situation is highly sensitive to small perturbations of the magnetic fields along the orbit. At the same time, this sensitivity allows one to implement a simple and efficient spin control system using a spin navigator (SN). A SN is a flexible device consisting of elements with weak constant or quasistationary magnetic fields rotating the spins about a desirable direction \vec{n}_N by a small angle $2\pi\nu_N$. Such a navigator has practically no effect on the orbital beam dynamics [23].

In an ideal synchrotron lattice, the stable polarization axis \vec{n} in the straight housing the SN coincides with the SN axis \vec{n}_N and the resulting spin tune ν equals ν_N . Clearly, to control the spin, one must use a sufficiently strong SN to dominate over the effect of the small perturbative fields around a particle's trajectory. There are two sources of these fields: lattice imperfections and focusing magnetic and bunching rf fields experienced by particles during their free transverse and longitudinal (betatron and synchrotron) oscillations.

Let us illustrate the TS method using a figure-eight orbit as an example.

Spin motion in a figure-eight synchrotron.—In an ideal figure-eight synchrotron, rotation of the spins in one arc is compensated by an opposite rotation in the other arc. When a particle is moving on a flat design orbit, the spin tune is zero for any particle energy, so the spin motion is degenerate.

When a particle's trajectory deviates from the design orbit, the particle experiences perturbing magnetic fields. The effect of these fields on the spin in a large number of particle turns can be expressed in terms of the TS-resonance field $\vec{\omega}$ (TS field). In the absence of SNs, the direction of $\vec{\omega}$ defines the direction of the stable spin axis $\vec{n} = (\vec{\omega}/\omega)$, while its absolute value, or the TS-resonance strength, $\omega = |\vec{\omega}|$ defines the particle's spin tune: $\nu = \omega$; i.e., the spin completes a full rotation about the \vec{n} axis in $1/\omega$ particle turns around the orbit [2]. The TS-resonance spin field is a 3D extension of the conventional 2D resonance strength concept for cases when the spin motion is degenerate. Conventional codes, such as DEPOL [24], are valid for synchrotrons with a distinct polarization direction and calculate the spin field component transverse to this direction.

To set the required polarization direction, a SN is inserted into the synchrotron lattice. For example, a weak solenoid inserted into an experimental straight stabilizes the longitudinal polarization direction in that straight.

Strength of the TS resonance.—The TS field $\vec{\omega}$ consists of two parts: the coherent field $\vec{\omega}_{imp}$ associated with the closed orbit distortion caused by lattice imperfections and the incoherent field $\vec{\omega}_{emitt}$ associated with the beam emittances.

With fixed element alignment errors, the $\vec{\omega}_{imp}$ field of a synchrotron is constant and does not change during an experiment. Since alignment errors are random, our analysis uses a rms strength ω_{imp}^{rms} obtained statistically assuming independent distributions of element misalignments [2,25]. The magnitude of $\vec{\omega}_{emitt}$ is nonzero only in the second order of the particle's oscillation amplitudes and is proportional to the beam emittances. In practice, ω_{imp} significantly exceeds ω_{emitt} [2].

Figure 1 shows an analytic calculation of $\omega_{\rm imp}^{\rm rms}$ and $\omega_{\rm emitt}$ for deuterons and protons as functions of the beam momentum for JLEIC's figure-eight collider ring [26]. $\omega_{\rm imp}^{\rm rms}$ is calculated assuming random uncorrelated transverse shifts of all quadrupoles, giving a rms closed orbit excursion of 100 μ m. The calculation of $\omega_{\rm emitt}$ assumes normalized transverse emittances of 1 mm mrad.

The deuteron $\omega_{\rm imp}^{\rm rms}$ grows with momentum but does not exceed 3×10^{-5} . The proton $\omega_{\rm imp}^{\rm rms}$ is 10^{-4} – 10^{-3} in the whole momentum range with the exception of narrow interference peaks where the spin effects of the arc magnets add up coherently. In both cases, $\omega_{\rm emitt}$ is about 2 orders of magnitude lower than $\omega_{\rm imp}^{\rm rms}$ in the whole momentum range.

Polarization control condition.—In the presence of a navigator, the particle spins are precessing about an effective spin field \vec{h} consisting of the navigator and TS fields [21],

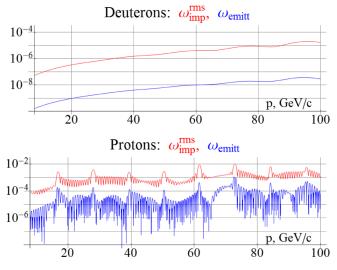


FIG. 1. TS-resonance strengths for deuterons and protons in the JLEIC versus the beam momentum.

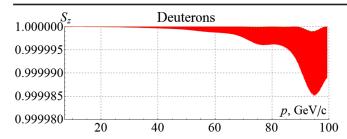


FIG. 2. Longitudinal spin component of a deuteron as a function of the beam momentum during acceleration in the JLEIC.

$$\vec{h}(z) = \nu_N \vec{n}_N(z) + \vec{\omega}(z). \tag{1}$$

At the SN location, $\vec{n}_N(z)$ coincides with the SN's spin rotation axis. In the rest of the ring, $\vec{n}_N(z)$ evolves according to the Thomas–Bargmann-Michel-Telegdi equation.

To stabilize and control the polarization, the navigator strength must significantly exceed the TS-resonance strength (the control condition)

$$\nu_N \gg \omega.$$
 (2)

Polarization then points along the navigator field direction \vec{n}_N . The angle of uncontrolled deviation of the polarization from \vec{n}_N is of the order of ω/ν_N .

In the above example, depending on the beam momentum, the SN strength must be large compared to 10^{-7} – 10^{-5} for deuterons and 10^{-4} – 10^{-2} for protons to control their polarization.

Acceleration in TS mode.—Note that \vec{h} is a function of energy. Typically, during acceleration, \vec{h} changes adiabatically slowly; i.e., in a characteristic time of the field change, the spin makes a large number of turns about the field. In that case, the spin initially oriented along \vec{h} follows it during the whole acceleration process and polarization is preserved. We showed analytically and numerically that, in the presence of an appropriate spin navigator, effects of imperfections, coupling, betatron and synchrotron oscillations can be neglected [2].

Figure 2 shows the longitudinal spin component of a deuteron during acceleration in the JLEIC [26]. The simulation is done using a spin tracking code ZGOUBI [27]. The simulation parameters are chosen based on a prediction of the same model that is used to analytically calculate the TS-resonance strength in Fig. 1. The field ramp rate is 3 T/min. The longitudinal polarization direction is stabilized by a solenoid with a maximum field integral of about 7 Tm. Such a solenoid provides a navigator strength of $\nu_N = 3 \times 10^{-3}$ and the control condition is satisfied with a large margin. The change in the longitudinal spin component does not exceed a value of 2×10^{-5} as shown in Fig. 2.

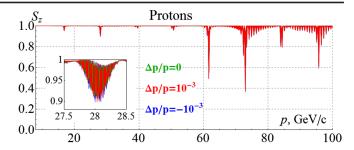


FIG. 3. Longitudinal spin component during acceleration of three protons in the JLEIC.

When accelerating protons in the same lattice, the same solenoid provides a SN strength of $\nu_N=10^{-2}$. Figure 3 shows the longitudinal spin components of three protons with $\Delta p/p=0$ (green line), $\Delta p/p=10^{-3}$ (red line), and $\Delta p/p=-10^{-3}$ (blue line). The graphs of the longitudinal spin components practically do not differ from each other (the red line covers up the blue and green lines); i.e., the synchrotron energy modulation has no noticeable effect on the ion spin motion.

The control condition in Fig. 3 is met almost everywhere except for narrow energy regions of the interference peaks (see Fig. 1) where the navigator strength is comparable to $\omega_{\rm imp}^{\rm rms}$. However, crossing of these peaks during acceleration does not depolarize the beam. As illustrated in Fig. 3, they only cause coherent deviation of the spins from the longitudinal direction by angles determined by both the navigator and the coherent part of the TS-resonance strengths. The beam polarization restores its longitudinal direction in places where the control condition is again satisfied. This indicates that, in this example, the change in spin occurs adiabatically during acceleration. These tracking results agree well with the analytic calculation of the TS-resonance strength in Fig. 1.

This example suggests that if the goal is to simply preserve the polarization degree, the spin control condition can be relaxed to a weaker one of $\nu_N \gg \omega_{\rm emitt}$. Direction control can be restored by accounting for $\omega_{\rm imp}$ in the SN setting. $\omega_{\rm imp}$ can be measured experimentally.

Spin navigators.—Spin navigators can be technically realized in different ways using longitudinal and transverse fields [19]. As an example, let us consider a spin navigator design based on weak solenoids, which have no effect on the closed orbit.

A single solenoid stabilizes the longitudinal polarization direction at its location. One can set any polarization direction in the horizontal plane (xz) at the IP by introducing a second solenoid into the collider's lattice. Figure 4



FIG. 4. Schematic of a spin navigator for control of the ion polarization in the collider's plane using small solenoids.

shows a schematic of such a spin navigator where the two solenoids are separated by an arc dipole [23].

One can similarly design a 3D spin navigator for control of all three polarization direction components [19].

TS collider features.—Let us briefly formulate some of the new capabilities that become available when operating polarized beams in the TS mode.

- (1) Acceleration of the polarized beams: Energy independence of the spin tune in figure-eight TS synchrotrons and racetracks with two snakes allows for acceleration of beams without polarization loss. In addition, the figure-eight design solves a serious problem of accelerating polarized p and p and p are the beams in the booster energy range and polarized deuteron beams to the EIC energies, where full Siberian snakes are technically challenging [26].
- (2) Long-term polarization maintenance: Selection of optimal betatron tunes and compensation of the spin tune dependence on the energy spread allow one to reduce the depolarizing effect of higher-order nonlinear resonances, thus enhancing the polarized beam lifetime [16].
- (3) Polarization control: A SN allows for flexible manipulation of the polarization direction not only at the IP but at any orbital location. It is also an efficient instrument for matching of the required polarization direction at injection of the beam into any of the synchrotrons of the collider complex. In addition, a SN simplifies the polarimetry by allowing adjustment of an optimal polarization orientation at a polarimeter.
- (4) Online monitoring of the polarization: The TS mode gives a unique opportunity of quickly determining the polarization during an experiment, or online monitoring of the polarization. When adiabatically manipulating the polarization, its value is preserved at a high precision. The polarization vector is then a function of the SN magnetic fields and can be monitored using their set values. The relative accuracy Δ of the polarization direction obtained this way is determined by the ratio of the TS-resonance strength to the SN strength: $\Delta \sim \omega/\nu_N \ll 1$.
- (5) Spin flipping: Spin flipping can be realized using a couple of SN solenoids that allow one to simultaneously control the polarization direction as well as the spin tune. The spin tune can be maintained constant during a spin flip, thus avoiding any possibility of crossing the TS resonance or any of the higher-order spin resonances, which prevents polarization loss. To preserve the polarization degree during spin manipulation, the spin direction must change adiabatically. This condition can be specified as $\tau\gg 1/\nu$, where τ is the number of particle turns necessary to flip the spin. For the JLEIC, the flip time requirements are $t_p\gg 1$ ms for protons and $t_d\gg 0.1$ s for deuterons [28].
- (6) Ultrahigh precision experiments: It may be of interest to consider compensation of the coherent part of the TS-resonance strength at a selected energy using a SN. Furthermore, an appropriate design of the magnetic lattice

may significantly suppress the emittance-dependent part as well. This may create new opportunities for ultrahigh precision experiments with polarized beams in synchrotrons, such as search for a permanent electric dipole moment of charged spinning particles.

TS racetrack with two identical Siberian snakes.—The TS mode of polarized colliding beams can also be realized in a synchrotron with a racetrack orbit geometry in its entire energy range by installing two identical Siberian snakes in the opposite straights of the collider. For example, it is planned to use two solenoidal snakes in the NICA collider to operate it in the TS mode with polarized protons and deuterons in the momentum range of up to 13.5 GeV/c [29].

TS mode in high-energy hadron colliders.—The TS mode can also be efficiently used in high-energy polarized *pp* colliders such as the RHIC, LHC, and future ultrahigh-energy projects. Earlier studies have shown that, using a system of many snakes around a collider ring [30–32] or, alternatively, using spin-compensated quadrupoles, one could stabilize the coherent spin to hundreds of TeV and higher energies [33]. Such systems can be adjusted to accommodate the TS spin dynamics with its advantages for spin control and manipulation discussed above.

Proof-of-principle TS experiment in the RHIC.—The RHIC is currently the only operating collider allowing experiments with polarized protons. In normal operation, the axes of its two helical snakes are orthogonal to each other and the spin tune is a half-integer [10]. The snake design allows one to change the snake axis orientations by adjusting the currents of helical magnet pairs. To experimentally test the TS mode, we plan to configure the snake axes to have the same orientations [34]. Operation of the RHIC in the TS mode may expand its capabilities for polarized proton experiments.

TS mode at an integer spin resonance.—One may consider the possibility of arranging the TS mode at an integer spin resonance $\nu = \gamma G = k$ (an imperfection resonance) in a conventional racetrack synchrotron even without snakes. However, in contrast to the above examples, the energy dependence of the spin tune limits the efficacy of the TS mode at integer resonances. Nevertheless, integer resonances may be used to test and tune polarization manipulation devices (i.e., spin navigators) in the TS mode at low energies, available at conventional synchrotrons such as COSY (Julich), AGS (Brookhaven), and Nuclotron (Dubna). This mode of operation is also of interest for the EIC at Brookhaven National Laboratory for manipulation of the deuteron polarization direction using spin navigators near the points of integer spin resonances, which occur about every 13 GeV. However, this question requires further study.

Conclusions.—We presented a concept of a transparent spin method for control of hadron polarization in colliders

and identified its main features. The transparent spin mode in figure-eight colliders and in racetracks with two identical snakes allows one to (i) control the polarization by weak magnetic fields not affecting the orbital dynamics, (ii) accelerate the beam without polarization loss, (iii) maintain stable polarization during an experiment, (iv) set any required polarization direction at any orbital location in a collider, (v) change the polarization direction using a spin navigator during an experiment, (vi) monitor the polarization online during an experiment, (vii) do frequent coherent spin flips of the beam to reduce experiment's systematic errors, and (viii) carry out ultrahigh precision experiments.

The TS mode allows one to significantly expand the capabilities of polarized beam experiments at the EIC in the U.S. [3], NICA in Russia [29], EicC in China [4], and other future facilities. It makes it possible for the RHIC-based EIC to manipulate the polarization during experiments in the whole energy ranges for protons and 3 He, as well as for deuterons near energies corresponding to integer spin resonances. A proof-of-principle experimental test of the transparent spin mode in the RHIC is currently in preparation [34]. The TS mode in the NICA collider with two solenoidal snakes allow for operation with polarized protons and deuterons in the whole momentum range of up to 13.5 GeV/c.

The TS concept advances the methodology of carrying out polarized beam experiments in fundamental physics and can stimulate corresponding detector development. It allows for high-precision measurements of the basic properties of a hadron such as its electric dipole moment.

This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under Contract No. DE-AC05-06OR23177.

- [1] A. Accardi et al., Eur. Phys. J. A 52, 268 (2016).
- [2] A. M. Kondratenko et al., arXiv:1604.05632.
- [3] E. C. Aschenauer et al., arXiv:1409.1633.
- [4] X. Chen, arXiv:1809.00448.
- [5] A. Sy, in ICFA-BD Newsletter (International Committee for Future Accelerators, Batavia, 2018), Vol. 74, p. 99.
- [6] Ya. S. Derbenev and A. M. Kondratenko, Sov. Phys. Dokl. 20, 562 (1976).
- [7] Ya. S. Derbenev and A. M. Kondratenko, AIP Conf. Proc. 51, 292 (1979).
- [8] S. Y. Lee, *Spin Dynamics and Snakes in Synchrotrons* (World Scientific, Singapore, 1997).
- [9] Ya. S. Derbenev, University of Michigan Report No. UM HE 96-05, 1996.
- [10] V. I. Ptitsin and Yu. M. Shatunov, Nucl. Instrum. Methods Phys. Res., Sect. A 398, 126 (1997).

- [11] V. H. Ranjbar, M. Blaskiewicz, F. Méot, C. Montag, S. Tepikian, S. Brooks, H. Witte, I. Marneris, V. Ptitsyn, and F. J. Willeke, Phys. Rev. Accel. Beams 21, 111003 (2018).
- [12] S. Y. Lee, Phys. Rev. E 47, 3631 (1993).
- [13] V. H. Ranjbar, S. Y. Lee, H. Huang, A. U. Luccio, W. W. MacKay, V. Ptitsyn, T. Roser, and S. Tepikian, Phys. Rev. Lett. 91, 034801 (2003).
- [14] G. H. Hoffstaetter and M. Vogt, Phys. Rev. E 70, 056501 (2004).
- [15] C. Liu, J. Kewisch, H. Huang, and M. Minty, Phys. Rev. Accel. Beams **22**, 061002 (2019).
- [16] M. Harrison, S. Peggs, and T. Roser, Annu. Rev. Nucl. Part. Sci. 52, 425 (2002).
- [17] V. S. Morozov et al., Phys. Rev. Lett. 91, 214801 (2003).
- [18] H. Huang, J. Kewisch, C. Liu, A. Marusic, W. Meng, F. Méot, P. Oddo, V. Ptitsyn, V. Ranjbar, and T. Roser, Phys. Rev. Lett. 120, 264804 (2018).
- [19] V. S. Morozov et al., in Proceedings IPAC'15 (JACoW, Geneva, 2015), p. 2301, https://doi.org/10.18429/JACoW-IPAC2015-TUPWI029.
- [20] A. D. Kovalenko, Yu. N. Filatov, A. M. Kondratenko, M. A. Kondratenko, and V. A. Mikhaylov, Phys. Part. Nucl. 45, 325 (2014).
- [21] Ya. S. Derbenev, A. M. Kondratenko, and A. N. Skrinskii, Sov. Phys. JETP 33, 658 (1971), http://jetp.ac.ru/cgi-bin/e/ index/e/33/4/p658?a=list.
- [22] Ya. S. Derbenev and A. M. Kondratenko, Sov. Phys. JETP **35**, 230 (1972), http://jetp.ac.ru/cgi-bin/e/index/e/35/2/p230?a=list.
- [23] V. S. Morozov et al., Proc. Sci., PSTP2013 (2013) 026.
- [24] E. D. Courant, AIP Conf. Proc. 42, 94 (1978); E. D. Courant and R. D. Ruth, BNL Report No. BNL-51270, 1980.
- [25] V. S. Morozov et al., in Proceedings IPAC'19 (JACoW, Geneva, 2019), p. 2791, https://doi.org/10.18429/JACoW-IPAC2019-WEPGW124.
- [26] V. S. Morozov et al., in Proceedings IPAC'17 (JACoW, Geneva, 2017), p. 3014, https://doi.org/10.18429/JACoW-IPAC2017-WEPIK038.
- [27] F. Méot, Nucl. Instrum. Methods Phys. Res., Sect. A 427, 353 (1999).
- [28] V. S. Morozov *et al.*, in *Proceedings NAPAC'16* (JACoW, Geneva, 2016), p. 558, http://accelconf.web.cern.ch/napac2016/papers/tupob30.pdf.
- [29] Yu. N. Filatov et al., EPJ Web Conf. 204, 10014 (2019).
- [30] Ya. S. Derbenev and A. M. Kondratenko, Conf. Proc. C830811, 413 (1983), https://inspirehep.net/literature/ 263516.
- [31] Ya. S. Derbenev and A. M. Kondratenko, AIP Conf. Proc. 187, 1474 (1989).
- [32] S. Y. Lee and E. D. Courant, Phys. Rev. D 41, 292 (1990).
- [33] A. W. Chao and Ya. S. Derbenev, Part. Accel. **36**, 25 (1991), https://inspirehep.net/literature/325970.
- [34] V. S. Morozov et al., in Proceedings IPAC'19 (JACoW, Geneva, 2019), p. 2783, https://doi.org/10.18429/JACoW-IPAC2019-WEPGW122.