

Dodelson-Widrow Mechanism in the Presence of Self-Interacting NeutrinosAndré de Gouvêa,¹ Manibrata Sen^{1,2}, Walter Tangarife³, and Yue Zhang^{4,1,5}¹*Department of Physics and Astronomy, Northwestern University, Evanston, Illinois 60208, USA*²*Department of Physics, University of California Berkeley, Berkeley, California 94720, USA*³*Department of Physics, Loyola University Chicago, Chicago, Illinois 60660, USA*⁴*Ottawa-Carleton Institute for Physics, Department of Physics, Carleton University, Ottawa K1S 5B6, Canada*⁵*Theoretical Physics Department, Fermilab, P.O. Box 500, Batavia, Illinois 60510, USA*

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keV-scale gauge-singlet fermions, when allowed to mix with the active neutrinos, are elegant dark matter (DM) candidates. They are produced in the early Universe via the Dodelson-Widrow mechanism and can be detected as they decay very slowly, emitting x-rays. In the absence of new physics, this hypothesis is virtually ruled out by astrophysical observations. Here, we show that new interactions among the active neutrinos allow these sterile neutrinos to make up all the DM while safely evading all current experimental bounds. The existence of these new neutrino interactions may manifest itself in next-generation experiments, including DUNE.

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A fourth neutrino ν_4 with mass around the keV scale is an attractive dark matter (DM) candidate. Other than its mass m_4 , ν_4 is characterized by a small active-neutrino component, parametrized by a mixing angle θ . Even in the case where the new interaction eigenstate ν_s has no standard model (SM) quantum numbers and the mixing angle is tiny, nonzero values of θ allow for the nonthermal production of ν_4 via neutrino oscillations in the early Universe. Dodelson and Widrow showed that, with judicious choices of m_4 and θ , ν_4 can make up 100% of the DM [1]. The same physics— $\theta \neq 0$ —allows the ν_4 to decay, very slowly, into light neutrinos plus a photon [2]. This renders this scenario falsifiable since one predicts the existence of an x-ray line from regions of the Universe where DM accumulates.

In more detail, ν_4 is a linear combination of ν_s and ν_a (a for active), the latter a linear combination of the standard model interaction-eigenstates ν_e, ν_μ, ν_τ .

$$\nu_4 = \cos \theta \nu_s + \sin \theta \nu_a. \quad (1)$$

We will be interested in the limit $\theta \ll 1$ and will refer to ν_4 (and ν_s) as the “sterile” neutrino whenever the usage of the term does not lead to any confusion.

In a nutshell, the Dodelson-Widrow (DW) mechanism works as follows. In the early Universe, the active neutrinos

are in thermal equilibrium with the other SM particles, whereas the sterile neutrino is out of equilibrium and assumed to have negligible initial abundance. The weak-interaction eigenstates ν_a are constantly produced and propagate freely in the plasma for a time interval t before they are “measured” by another weak-interaction reaction. If this interval is long enough for neutrino oscillations to occur, the state $\nu(t)$ is no longer identical to its initial state and develops a ν_s component. When a “measurement” occurs, there is a small probability that the neutrino will collapse into a sterile state and, for the most part, remain in that state thereafter. This process occurs until the active neutrinos decouple from the rest of the Universe and one is left with a relic population of sterile neutrinos.

In the absence of new physics, this elegant mechanism is in tension with various current astrophysical observations. A very light sterile-neutrino dark matter is inconsistent with various cosmological observations on small scales. A conservative limit $m_4 \gtrsim 2$ keV arises from phase space density derived for dwarf galaxies [3–6]. This lower limit could be further improved by Lyman- α forest observations up to ~ 30 keV [7]. Moreover, for $m_4 \gtrsim 2$ keV, the mixing angle θ required so that ν_4 makes up all of the DM leads to enough x-ray radiation from ν_4 decays that it should have been observed by x-ray telescopes in the last decade [8–12]. On the other hand, the unidentified 3.5 keV photon line [13,14] might be interpreted as evidence for decaying sterile-neutrino DM. This interpretation, however, favors mixing angles that are small enough that the ν_4 does not make up all of the DM.

A popular new-physics solution to alleviate the tension highlighted above is to postulate the existence of a large lepton-number asymmetry in the Universe [15].

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This hypothesis is, in general, difficult to test unless the asymmetry is really large, while it does not explain why the lepton asymmetry is much larger than the baryon asymmetry. In this Letter, we propose a new, experimentally testable sterile-neutrino-dark-matter production mechanism. We introduce a light scalar particle that mediates self-interactions among the active neutrinos. These new interactions enable the efficient production of sterile neutrinos in the early Universe via the DW mechanism and allow one to resolve all the tensions in a straightforward way. The existence of the new interactions is testable; part of the allowed parameter space will be probed at future neutrino experiments, including DUNE [16].

Concretely, we add the following interaction term involving SM neutrinos:

$$\mathcal{L} \supset \frac{\lambda_\phi}{2} \nu_a \nu_a \phi + \text{H.c.}, \quad (2)$$

where ϕ is a complex scalar with mass m_ϕ and we are only interested in the interactions with ν_a , the linear combination of active neutrinos that mix with ν_s . For the remainder of this Letter, we only consider the effective two-neutrino $\nu_a - \nu_s$ system. SM gauge invariance of the new interaction can be restored with the insertion of the vacuum expectation value of the Higgs field. The operator can be further embedded in reasonable ultraviolet-complete models [17–19].

The equation that describes the evolution of the sterile neutrino population as a function of time, for fixed neutrino energy $E \equiv xT$, where T is the temperature of active neutrinos, is [1,20,21]

$$\frac{df_{\nu_s}}{dz} = \frac{\Gamma \sin^2 2\theta_{\text{eff}}}{4 \text{ Hz}} f_{\nu_a}, \quad (3)$$

$$\sin^2 2\theta_{\text{eff}} \simeq \frac{\Delta^2 \sin^2 2\theta}{\Delta^2 \sin^2 2\theta + \Gamma^2/4 + (\Delta \cos 2\theta - V_T)^2}. \quad (4)$$

Here, $f_{\nu_s}(x, z)$ is the phase-space distribution function of the sterile neutrino, and we define the dimensionless evolution variable $z \equiv \mu/T$, where $\mu \equiv 1 \text{ MeV}$. We restrict our discussions to $m_4 \lesssim 1 \text{ MeV}$. Γ is the total interaction rate for the active neutrino, θ_{eff} is the effective active-sterile neutrino mixing in the early Universe, and H is the Hubble rate. f_{ν_a} is the usual Fermi-Dirac thermal distribution function for the active neutrinos. $\Delta \equiv m_4^2/(2E)$ is

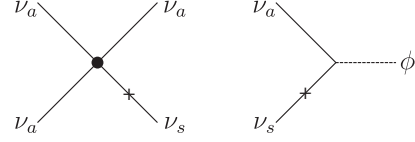


FIG. 1. Diagrams for sterile-neutrino production in the presence of the new neutrino interactions [Eq. (3)], in the case of a heavy (left) or light (right) scalar mediator ϕ .

the neutrino oscillation frequency in vacuum, where $m_4 \gg m_{1,2,3}$, and V_T is the thermal potential experienced by the active neutrino. Note that Eq. (3) is valid as long as the number of relativistic degrees of freedom in the Universe is unchanged, which is a good approximation as the dominant production occurs at temperatures below the QCD phase transition.

The neutrino self-interaction mediated by ϕ exchange introduces new production channels for sterile neutrinos in presence of a nonzero θ , as depicted in Fig. 1. This is reflected in Eq. (3) through contributions to the interaction rate Γ and the thermal potential V_T . On the one hand, the contribution to Γ , in the very heavy ϕ limit ($m_\phi \gg T$), takes the form

$$\Gamma_\phi \simeq \frac{5\lambda_\phi^4 ET^4}{64\pi^3 m_\phi^4}. \quad (5)$$

In contrast, a light ϕ ($T \gtrsim m_\phi$) can be directly produced in the plasma and neutrinos mainly self-interact through the decay and inverse decay of ϕ , with

$$\Gamma_\phi \simeq \frac{\lambda_\phi^2 T^2}{16\pi E} \left[\frac{\pi^2}{6} + \text{Li}_2(-e^\omega) + \omega \ln(1 + e^\omega) - \frac{\omega^2}{2} \right], \quad (6)$$

where $\omega = m_\phi^2/(4ET)$; see the Supplemental Material [22] for details. The total rate Γ is the sum of Γ_ϕ to the SM interaction rate, $\Gamma_{\text{SM}} \sim G_F^2 ET^4$ [20,21].

The thermal potential V_T receives contributions from SM weak interactions, $V_T^{\text{SM}} \sim G_F ET^4/M_W^2$ [20,21], where G_F is the Fermi constant, and from the new neutrino interaction. For generic mass m_ϕ , the contribution from the new interaction is [23,24]:

$$V_T^\phi(E, T) = \frac{\lambda_\phi^2}{16\pi^2 E^2} \int_0^\infty dp \left[\left(\frac{m_\phi^2 p}{2\omega} L_2^+(E, p) - \frac{4Ep^2}{\omega} \right) \frac{1}{e^{\omega/T} - 1} + \left(\frac{m_\phi^2}{2} L_1^+(E, p) - 4Ep \right) \frac{1}{e^{p/T} + 1} \right],$$

$$L_1^+(E, p) = \ln \frac{4pE + m_\phi^2}{4pE - m_\phi^2}, \quad L_2^+(E, p) = \ln \frac{(2pE + 2E\omega + m_\phi^2)(2pE - 2E\omega + m_\phi^2)}{(-2pE + 2E\omega + m_\phi^2)(-2pE - 2E\omega + m_\phi^2)}, \quad (7)$$

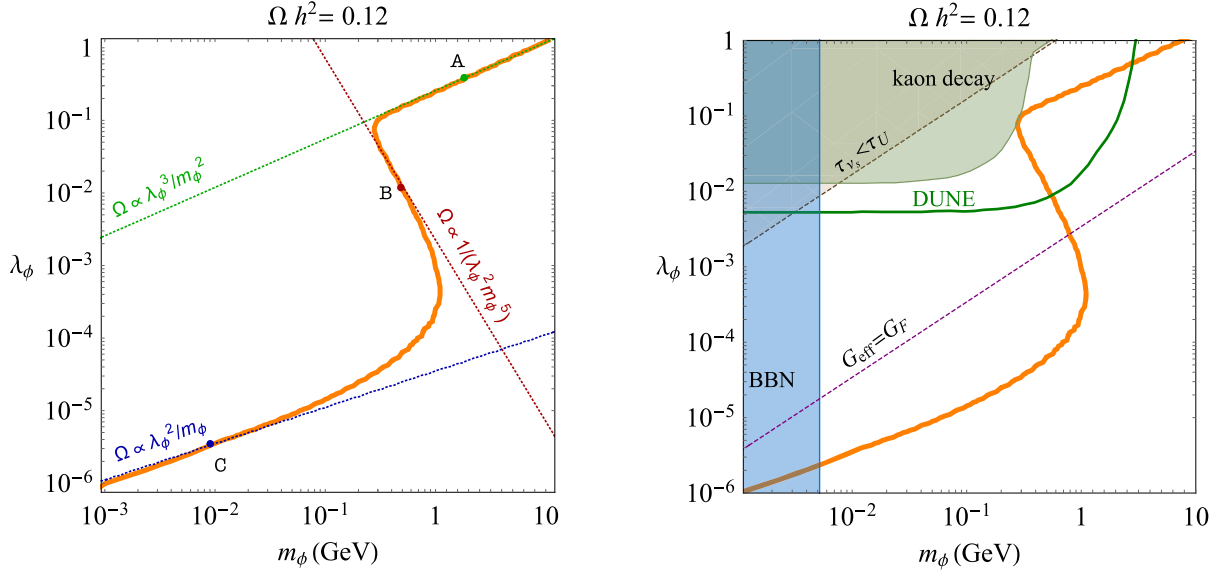


FIG. 2. Region in the λ_ϕ vs m_ϕ plane where the new neutrino interaction allows for the sterile neutrino to make up all the DM (orange curve). All other model parameters are fixed: $\sin^2 2\theta = 7 \times 10^{-11}$ and $m_4 = 7.1$ keV. In the left panel, the dotted lines represent the expected qualitative behavior of constant Ω contours when different approximations, discussed in the text, apply. In the right panel, the colored-shaded regions are excluded by imposing that the sterile neutrino DM candidate live longer than the age of our Universe (brown), by constraints from searches for rare charged kaon decays (green), and by BBN (blue). DUNE is sensitive, via the mononeutrino channel, to the region above the green curve. The purple dashed curve indicates points where $G_{\text{eff}} \equiv \lambda_\phi^2/m_\phi^2 = G_F$.

where $\omega = \sqrt{p^2 + m_\phi^2}$. This potential takes the asymptotic form $V_T^\phi = -7\pi^2 \lambda_\phi^2 E T^4 / (90m_4^4)$ for $m_\phi \gg T$, and $V_T^\phi = \lambda_\phi^2 T^2 / (16E)$ for $m_\phi \ll T$ [25,26].

We numerically integrate Eq. (3) up to $z \sim 10$. Note that, at this temperature, the relativistic approximation is no longer strictly valid for neutrinos heavier than 100 keV; however these neutrinos are produced much earlier, and hence our calculation still holds. The yield Y_{ν_s} is given by the ratio n_{ν_s}/s , where s is the entropy density of the Universe at $z = 10$. The sterile neutrino relic density today can then be written as $\Omega = Y_{\nu_s} s_0 m_4 / \rho_0$, where $s_0 = 2891.2 \text{ cm}^{-3}$ is the entropy density today, and $\rho_0 = 1.05 \times 10^{-5} h^{-2} \text{ GeV/cm}^3$ is the critical density. We identify the points in the parameter space where ν_4 account for all of the DM. These are depicted in Fig. 2, for fixed $m_4 = 7.1$ keV, $\theta = 4 \times 10^{-6}$, and $a = \mu$ flavor. Figure 2 reveals that the DM-abundance constraint is satisfied along the ‘‘S-shaped’’ orange curve.

To understand the shape of the orange curve in Fig. 2, we enlarge three specific points, labeled by A (green), B (red), and C (blue). It is possible to derive the dependence of Ω on the model parameters by exploiting the behavior of the right-hand side of Eq. (3) in some limiting cases. We define z_0 as the time when $\Delta \simeq |V_T|, \Gamma_a$, after which the effective mixing angle θ_{eff} for ν_s production is no longer suppressed relative to the vacuum angle θ . We also define $z_1 \equiv \mu/m_\phi$ as the time when ϕ becomes heavy relative to the temperature of the Universe. For case A, sterile neutrinos are mainly produced through the scattering of active neutrinos

[Fig. 1(left)]. In this case, $df_{\nu_s}/dz \propto z^8$ for $z < z_0$, and $df_{\nu_s}/dz \propto z^{-4}$ for $z > z_0$, which implies that ν_s is mainly produced around the time $z \sim z_0$. The resulting relic density is $\Omega \propto \lambda_\phi^3 \theta^2 m_4 / m_\phi^2$. This behavior is depicted by the dotted green line in Fig. 2(left). For cases B and C, where $\lambda_\phi \ll 1$, ν_s is mainly produced through the decay of on-shell ϕ [Fig. 1(right)] while it is still light and well populated in the thermal plasma ($z < z_1$). One can estimate that $z_0 \sim 10^{-2} (\lambda_\phi / 10^{-5}) (\text{keV}/m_4)$. For case C, $z_0 < z_1$ and ν_s is dominantly produced during the epoch $z_0 < z < z_1$, where df_{ν_s}/dz is approximately independent from z . The resulting $\Omega \propto \lambda_\phi^2 \theta^2 / m_\phi$ corresponds to the blue dotted line in Fig. 2(left). In this case, the effective mixing angle θ_{eff} is close to the vacuum one, θ . In contrast, case B has a relatively larger λ_ϕ , thus $z_0 > z_1$, and ν_s is mostly produced while the effective mixing angle is still suppressed due to thermal effects ($\theta_{\text{eff}} \ll \theta$), leading to $df_{\nu_s}/dz \propto z^4$ during the epoch $z < z_1$. As a result, $\Omega \propto m_4^4 \theta^2 / (\lambda_\phi^2 m_\phi^5)$ corresponds to the red dotted line in Fig. 2(left).

The z dependence of df_{ν_s}/dz for cases A, B, and C, defined above, is depicted in Fig. 3. The values of θ and m_4 are identical to the ones in Fig. 2 and we concentrate on $x = 1$ ($E = T$). Fig. 3 allows one to identify the dominant sterile-neutrino-production epoch for each case. The black curve, labeled DW for the original Dodelson-Widrow scenario, is the result obtained in the absence of new neutrino interactions. In cases A and B, the effective Fermi constant $G_{\text{eff}} = \lambda_\phi^2/m_\phi^2$ is much larger than G_F and the new

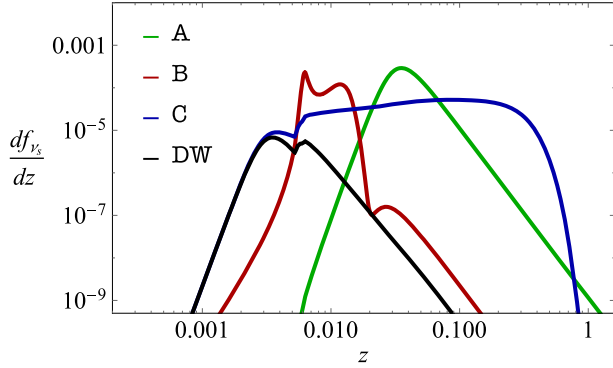


FIG. 3. Differential sterile neutrino production rate in the early Universe, for the points A, B, and C, labeled in Fig. 2. DW is the case of no neutrino interactions other than the ones in the SM.

self-interaction at high temperatures enhances—relative to the SM—the thermal potential V_T , suppressing the effective mixing angle θ_{eff} . As a result, the onset of sterile-neutrino production in cases A and B is delayed relative to that in the SM. Meanwhile, the new interaction is able to keep the active neutrino in thermal equilibrium for a longer period of time, relative to the SM case. [The extra peak around $z \sim 0.007$ on the red curve (case B) is due to an accidental resonant effect when $\Delta \cos 2\theta = V_T$ in the denominator of Eq. (3).]

Some of the new-physics parameter space can be explored in the laboratory. For example, Fig. 2(right) depicts (green shaded region) the region of parameter space ruled out by searches for $K^+ \rightarrow \mu^+ \bar{\nu}_\mu + (\phi \rightarrow \nu\nu)$ [27], assuming a is the muon flavor. If m_ϕ is below a few MeV [blue shade region in Fig. 2(right)], ϕ production will significantly modify the expansion rate of the Universe and affect the success of big bang nucleosynthesis (BBN) [28]. Cosmological observations sensitive to the neutrino free-streaming length can be inferred from the CMB and translate into weaker bounds ($\lambda_\phi \gtrsim m_\phi/30$ MeV) on the parameter space [17]. A light mediator ϕ , as discussed

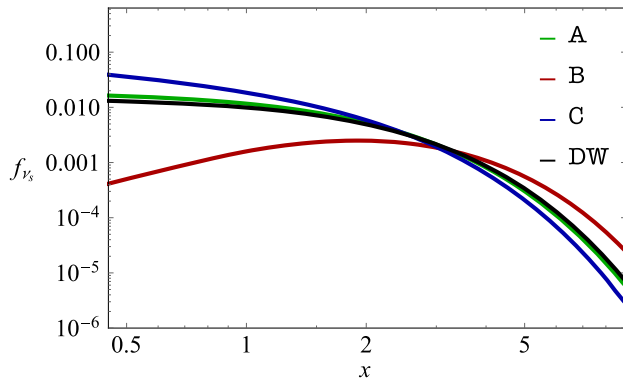


FIG. 4. Energy spectra of the sterile-neutrino dark-matter for cases A, B, and C, labeled in Fig. 2. DW is the case of no neutrino interactions other than the ones in the SM.

in [17,18], will be radiated during neutrino-matter interactions and will manifest itself as missing transverse momentum in fixed-target neutrino-scattering experiments. DUNE is expected to be sensitive to the parameter space above the thick green curve in Fig. 2(right). Hence, for this value of m_4 and θ , DUNE will be able to directly test some of the parameter space ($\lambda_\phi \gtrsim 10^{-2}$) where the sterile neutrinos account for all of the DM. Other neutrino beam experiments sensitive to such ϕ emission have been discussed at length in [17].

Another imprint of the new neutrino interaction lies in the energy spectrum of the sterile-neutrino dark-matter, depicted in Fig. 4 for cases A, B, and C. Case B yields the hardest spectrum and hence the warmest DM, while in case C the DM energy spectrum is the coolest. Different dark-matter spectra correspond to different free-streaming lengths in the early Universe and may lead to identifiable features in small-scale structure observables such as the Lyman- α forest [29,30].

Figure 5 depicts the region of the $\sin^2 2\theta$ vs m_4 parameter space where one can find values of (λ_ϕ, m_ϕ) such that the sterile neutrino, produced via the DW mechanism, accounts for all the DM. The colored-shaded region has been excluded by searches for an excess of x-rays from DM-rich regions (blue) [8–12] and small-scale structure observations (green) [3,4]. The small-scale structure bounds are also consistent with the bounds arising out of free-streaming considerations of the sterile neutrinos [31]. The reach of the KATRIN experiment [32] is shaded in purple. The solid, black line labeled DW corresponds to the region

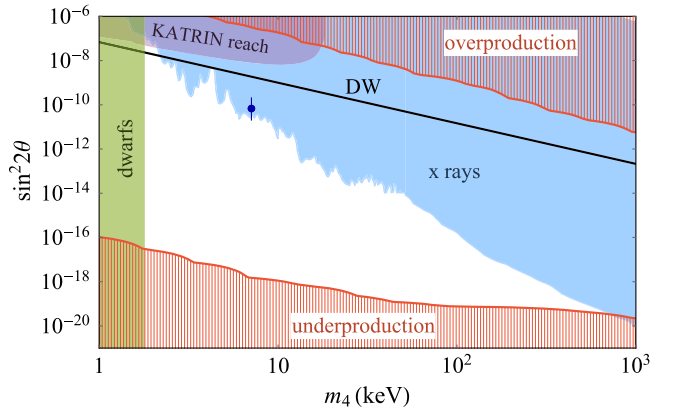


FIG. 5. Region of the m_4 vs $\sin^2 2\theta$ -parameter space where one can find values of (λ_ϕ, m_ϕ) such that the sterile neutrino, produced via the DW mechanism, accounts for all the DM. In the absence of new neutrino interactions, one is confined to the black line. The solid, shaded regions of parameter space are excluded by x-ray (blue) and small-scale scale (green) observations, while the purple region indicates the expected sensitivity of KATRIN. In the hatched regions (red) there are no allowed values of (λ_ϕ, m_ϕ) where the sterile neutrino makes up all the DM. The point with error bars corresponds to assigning the unidentified 3.5 keV x-ray line to DM sterile-neutrino decay [13].

of parameter space where sterile neutrinos account for all the DM in the absence of new neutrino interactions. It is, for the most part, in severe tension with existing astrophysical constraints. In contrast, when we “turn on” the new neutrino interactions in Eq. (2), the viable parameter space expands into the region between the two hatch-shaded regions (red). Some of the region above the DW line is now allowed, which is due to destructive interference between the SM and the new-interaction contributions to the thermal potential. After imposing the existing constraints from x-ray and dwarf galaxy observations, for any point in the empty (white) region, there is a family of values of (λ_ϕ, m_ϕ) that lead the sterile neutrino to account for all the DM. The lower bound on θ as a function of m_4 is associated with the strong lower bound on m_ϕ from BBN, depicted in Fig. 2(right). The keV sterile neutrino parameter space can also be strongly constrained from anomalous energy-loss arguments from SN1987A [33].

Figure 5 reveals that the presence of the new neutrino interaction extends the allowed parameter from a narrow line to a broad band. Some of the extended parameter space will be challenged by the next generations of x-ray observations [34], but we expect some of the parameter space associated to the smallest mixing angles will remain available even in the absence of a discovery from these astrophysical probes. On the other hand, for those values of θ , one requires new neutrino interactions that are stronger than what is depicted in Fig. 2 and hence searches at future laboratory experiments, including DUNE, are expected to play a nontrivial role.

It is amusing that a new interaction that acts exclusively on the active neutrinos can enhance the production of sterile neutrinos in the early Universe via the DW mechanism. While here we concentrated on the scalar interaction Eq. (3), we speculate that similar results would arise from different types of neutrino self-interactions, including those mediated by a new gauge boson which couples to the active neutrinos. These are associated to slightly different phenomenological and model-building challenges. We also note that the impact of new interactions involving the sterile neutrino to the dynamics of these light fermions in the early Universe—different from what we are discussing here—have been explored in [25,35–41].

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