## First Observation of the Directed Flow of $D^0$ and $\overline{D^0}$ in Au + Au Collisions at $\sqrt{s_{NN}} = 200 \text{ GeV}$

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We report the first measurement of rapidity-odd directed flow  $(v_1)$  for  $D^0$  and  $\overline{D^0}$  mesons at midrapidity (|y| < 0.8) in Au + Au collisions at  $\sqrt{s_{\text{NN}}} = 200$  GeV using the STAR detector at the Relativistic Heavy Ion Collider. In 10–80% Au + Au collisions, the slope of the  $v_1$  rapidity dependence  $(dv_1/dy)$ , averaged over  $D^0$  and  $\overline{D^0}$  mesons, is  $-0.080 \pm 0.017 (\text{stat}) \pm 0.016 (\text{syst})$  for transverse momentum  $p_T$  above 1.5 GeV/c. The absolute value of  $D^0$  meson  $dv_1/dy$  is about 25 times larger than that for charged kaons, with  $3.4\sigma$  significance. These data give a unique insight into the initial tilt of the produced matter, and offer constraints on the geometric and transport parameters of the hot QCD medium created in relativistic heavyion collisions.

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An important goal of relativistic heavy-ion collisions is to understand the production and dynamics of strongly interacting matter produced at high energy densities [1–8]. The collective motion of particles emitted in such collisions are of special interest because of their sensitivity to the initial stages of the collision, when production of a deconfined quark-gluon plasma (OGP) phase is expected. The directed flow  $(v_1)$  of particles is characterized by the first harmonic Fourier coefficient in the azimuthal distribution relative to the reaction plane ( $\Psi_{RP}$ , subtended by the impact parameter direction and the beam), [9–11],

$$v_1 = \langle \cos(\phi - \Psi_{\text{RP}}) \rangle, \tag{1}$$

where  $\phi$  denotes the azimuthal angle of the particle of interest. Experimentally, the  $\Psi_{RP}$  is approximated by the first harmonic event plane  $(\Psi_{1,EP})$  and measured using the azimuthal distribution of spectator fragments in the forward rapidity [10,12]. A hydrodynamic calculation with a tilted initial QGP source [13] can explain the observed negative  $v_1$ slope or "anti-flow" [14] near midrapidity, for charged hadrons measured at relativistic heavy ion collider (RHIC) energies [12,15,16]. However, additional contributions to the directed flow could result from a dipolelike density asymmetry, nuclear shadowing (the interactions between particles and spectators), or a difference in density gradients in different directions within the transverse plane [17–19]. The study of heavy quarks (c and b) in heavy-ion collisions is especially important due to their early creation. Owing to their large masses, heavy quarks are predominantly produced in initial hard scatterings and their relaxation time in the QGP medium is comparable to the lifetime of the QGP. Consequently, heavy quarks are an excellent probe to study QGP dynamics [20].

The transverse momentum  $(p_T)$  spectra and elliptic flow  $(v_2)$  of  $D^0$  mesons at midrapidity have been measured at RHIC [21,22] and LHC [23-25] energies. The magnitude of  $v_2$  for the charm hadrons is found to follow the number-ofconstituent-quark scaling pattern observed for light hadron species in noncentral heavy-ion collisions [21,26–28]. Furthermore, charm hadron yields are observed to be significantly suppressed at high  $p_T$ , similar to light hadron species in central heavy-ion collisions. Simultaneous descriptions of charm  $v_2$  and nuclear modification factors [22,29–31] have been used to constrain the QGP transport parameters for heavy quarks, such as its drag and diffusion coefficients.

A recent model calculation utilizing Langevin dynamics coupled to a hydrodynamic medium with a tilted initial source, predicted a significantly larger  $v_1$  for D mesons compared to light flavor hadrons [32]. A notable feature is the strong sensitivity of D meson  $v_1$  to the initial tilt of the QGP source compared to that of light hadrons. The magnitude of the observed heavy quark  $v_1$  is also sensitive to the QGP transport parameters in the hydrodynamic calculation.

It is further predicted that the transient magnetic field generated in heavy-ion collisions can induce a larger directed flow for heavy quarks than for light quarks due to the Lorentz force [33,34]. The  $v_1$  induced by this initial electromagnetic (EM) field is expected to have the same magnitude, but opposite charge sign for charm (c) and anticharm  $(\bar{c})$  quarks. This suggests that the  $v_1$  measurements of heavy quarks could offer crucial insight into the properties of the initial EM field. A hydrodynamic model calculation which includes both the initially tilted source and the EM field predicts that the D mesons will have a significant  $v_1$  as a function of rapidity (y) and a splitting is to be expected between D mesons and  $\bar{D}$  mesons due to the initial magnetic field [35].

In this Letter, we report the first measurement of rapidity-odd directed flow for  $D^0$  and  $D^0$  mesons at midrapidity (|y| < 0.8) for  $p_T > 1.5~{\rm GeV}/c$  in 10–80% central Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200~{\rm GeV}$  in the STAR experiment [36]. We utilize the heavy flavor tracker (HFT) [37,38], a high-resolution silicon detector consisting of four cylindrical layers. Beginning at the largest radius, there is one layer of silicon strip detector (SSD), one layer of intermediate silicon tracker (IST), and two layers of pixel detectors (PXL). The reconstruction of heavy-flavor hadrons is greatly enhanced due to the excellent track pointing resolution and secondary vertex resolution offered by the HFT. STAR collected minimum-bias (MB) triggered events with the HFT during the years 2014 and 2016. The MB events were selected by a coincidence between the east and west vertex position detectors (VPD) [39] located at pseudorapidity  $4.4 < |\eta| < 4.9$ . To ensure good HFT acceptance, the reconstructed primary vertex along the z direction is required to be within 6 cm of the center of the detector. Approximately 2.2 billion MB triggered good quality events are used in this analysis.

The  $D^0$  and  $D^0$  mesons are reconstructed via their hadronic decay channel:  $D^0(\overline{D^0}) \to K^-\pi^+(K^+\pi^-)$  (branching fraction 3.93%,  $c\tau \sim 123 \mu m$ ) [40]. Hereafter,  $D^0$  refers to the combined  $D^0$  and  $\overline{D^0}$  samples, unless explicitly stated otherwise. The charged particle tracks are reconstructed using the time projection chamber (TPC) [41] together with the HFT in a uniform 0.5 T magnetic field. The collision centrality is determined from the number of charged particles within  $|\eta| < 0.5$  and corrected for trigger inefficiency using a Monte Carlo Glauber simulation [42]. Good quality tracks are ensured by requiring a minimum of 20 TPC hits (out of a possible 45), hits in both layers of PXL, at least one hit in the IST or SSD layer. Further, the tracks are required to have transverse momentum  $p_T > 0.6 \text{ GeV}/c$ and pseudorapidity  $|\eta| < 1$ . The  $D^0$  decay daughters are identified via specific ionization energy loss (dE/dx) inside the TPC and from  $1/\beta$  measurements by the time of flight (TOF) [43] detector. To identify particle species, the dE/dxis required to be within three and two standard deviations from the expected values for  $\pi$  and K, respectively. When tracks are associated with the hits in the TOF detector, the  $1/\beta$  is required to be within three standard deviations from the expected values for both  $\pi$  and K.

The  $D^0$  decay vertex is reconstructed as the midpoint of the distance of closest approach between the two decay daughter tracks. Background arises due to random combinations of tracks passing close to the collision point. The decay topological cuts are tuned to reduce the background and enhance the signal-to-background ratio. The topological cut variables are optimized using the toolkit for multivariate data analysis (TMVA) package [44] and are discussed in Refs. [21,31].

The sideward deflection of spectator neutrons is expected to happen in the reaction plane. The first-order event plane  $\Psi_{1,EP}$  (an experimental approximate of the reaction plane) is estimated through the sideward deflection of spectator neutrons by utilizing east and west zero degree calorimeter shower maximum detectors (ZDC-SMDs, located at  $|\eta| > 6.3$ ) [12,15,16,45–47],

$$\Psi_{1,\text{EP}} = \tan^{-1}\left(\left(\sum_{i=1}^{i=7} w_i x_i\right) \middle/ \left(\sum_{j=1}^{j=8} w_i y_i\right)\right), \quad (2)$$

where  $x_i$  and  $y_i$  are the fixed position for the seven vertical and eight horizontal slats in the ZDC-SMD. The w<sub>i</sub>s are the weighted ZDC-SMD signal and described in [45]. The description of measuring  $v_1$  using the ZDC-SMDs as an event plane can be found in [12,45,46]. The resolution of the measured first order event plane angle  $(\mathcal{R}_{1 \text{ EP}})$  is determined from the correlation between the event planes in west ( $\eta > 6.3$ ) and east ( $\eta < -6.3$ ) sides of the ZDC-SMD,  $\mathcal{R}_{1,EP} = \langle cos(\Psi_{1,EP,west} - \Psi_{1,EP,east}) \rangle$  [10,12].  $\mathcal{R}_{1,EP}$ is obtained separately for seven centrality bins.  $\mathcal{R}_{1.EP}$  for a wide centrality bin (10-80%) is determined from the  $D^0$ -yield-weighted mean of the individual centrality bins' resolutions using a procedure detailed in Ref. [48]. For 10–80% central collisions,  $\mathcal{R}_{1.\text{EP}}$  is about 0.363. Systematic uncertainties arising from event-plane estimation are less than 2% and estimated using GENBOD and MEVSIM event generators, discussed in Ref. [47].

Figures 1(a) and 1(b) show the  $D^0$  and  $\overline{D^0}$  invariant mass spectra for |y| < 0.8 and  $p_T > 1.5$  GeV/c in 10–80% central Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. The  $D^0$  acceptance, in rapidity and azimuthal angle, under such kinematic selection is uniform across the measured rapidity region. We choose 10–80% centrality since the first-order event plane resolution from ZDC-SMD in the 0–10% central collisions drops about a factor of three relative to midcentral collisions. The  $D^0$   $v_1$  is calculated in four rapidity bins using the event plane method [9–11].

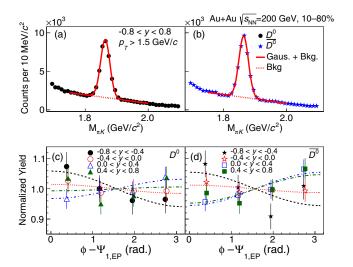


FIG. 1.  $D^0$  [panel (a)] and  $\overline{D^0}$  [panel (b)] invariant mass distribution for |y| < 0.8 and  $p_T > 1.5$  GeV/c in 10–80% central Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. The solid line represents a Gaussian fit plus a linear function for the random combinatorial background.  $D^0$  [panel (c)] and  $\overline{D^0}$  [panel (d)] normalized yields in azimuthal angle bins relative to the first-order event-plane azimuth ( $\phi - \Psi_{\rm 1,EP}$ ) with  $p_T > 1.5$  GeV/c for four rapidity windows in 10–80% central Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. The dashed lines presents a fit to the function  $p_0[1 + 2v_1^{\rm obs}\cos(\phi - \Psi_{\rm 1,EP})]$  corresponding to each rapidity bins. Vertical bars show statistical uncertainties.

The invariant mass distributions are fit with a Gaussian plus a linear function, which provides a good estimate of the random combinatorial background. The yield is obtained by integrating the distribution in the range  $1.82-1.91 \text{ GeV}/c^2$  and subtracting the background beneath the signal. Via an independent application of this procedure, the  $D^0(\overline{D^0})$  yield is obtained in each  $\phi - \Psi_{1 \text{ FP}}$ bin for four rapidity windows between -0.8 to 0.8. The qualities of the signal (invariant mass peak position, width and signal to background ratios) as function of rapidity are consistent within uncertainties for both  $D^0$  and  $\overline{D^0}$  species. Figures 1(c) and 1(d) present  $D^0$  and  $\overline{D^0}$  yields as a function of  $\phi - \Psi_{1 EP}$  for the four rapidity bins, normalized to the averaged yield in the rapidity window. The value of  $v_1$  is calculated by fitting these data with a functional form  $p_0[1+2v_1^{\text{obs}}\cos(\phi-\Psi_{1,\text{EP}})]$ , indicated by the dashed lines in the figure. The final  $v_1$  is corrected by dividing  $v_1^{\text{obs}}$  by the event plane resolution ( $\mathcal{R}_{1,EP}$ ).

Systematic uncertainties are assessed by comparing the  $v_1$  obtained from various methods. These comparisons include (i) the fit vs sideband methods for the background estimation, (ii) various invariant mass fitting ranges and residual background functions (first-order vs second-order polynomials) for signal extractions, (iii) histogram bin counting vs functional integration for yield extraction, (iv) varying topological cuts (for details refer to [31]) so that the efficiency changes by  $\pm 50\%$  with respect to the nominal value, (v) varying event and track level quality cuts, and (vi) varying particle identification cuts. The above comparisons are varied independently to form multiple combinations. We have studied the  $p_T$ -integrated yield (dN/dy) and mean transverse momentum  $(\langle p_T \rangle)$  of  $D^0$  and  $\overline{D^0}$  as function of rapidity. The dN/dy is consistent with the observation that the yield of  $\overline{D^0}$  is higher than  $D^0$  and compatible with the published results [31]. The  $\langle p_T \rangle$  is consistent between different rapidity bins and between  $D^0$ and  $\overline{D^0}$  within uncertainties. The effect of misidentified  $D^0$ decay daughters (kaon-pion pairs) is studied in Ref [31]. It is found to have negligible impact on the  $D^0$  and  $\overline{D^0}$   $v_1$ results and hence neglected. The typical systematic uncertainty in the  $v_1(y)$  of averaged  $D^0$  and  $\overline{D^0}$  due to the signal and yield extractions combining (i), (ii), and (iii) is less than 10%, while the same due to the event, track level, and topological cut variations is less than 11%. For the final systematic uncertainty on the  $v_1(y)$  and  $dv_1/dy$ , the difference between the default settings and alternative measurements from these sources are added in quadrature. Further, the systematic uncertainty in each rapidity bin is symmetrized by considering the maximum uncertainty between  $D^0$  and  $\overline{D^0}$ .

In Fig. 2, the filled circle and star markers present the rapidity dependence of  $v_1$  for the  $D^0$  and  $\overline{D^0}$  mesons with  $p_T > 1.5~{\rm GeV}/c$  in 10–80% Au + Au collisions at

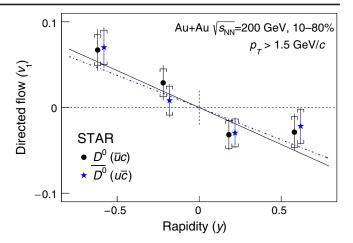


FIG. 2. Filled circles and star symbols present  $v_1$  as a function of rapidity for  $D^0$  and  $\overline{D^0}$  mesons at  $p_T > 1.5~{\rm GeV/c}$  for 10-80% centrality Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200~{\rm GeV}$ . The  $D^0$  and  $\overline{D^0}$  data points are displaced along the x axis by  $\mp 0.019$ , respectively, for clear visibility. The error bars and caps denote statistical and systematic uncertainties, respectively. The solid and dot-dashed lines present a linear fit to the data points for  $D^0$  and  $\overline{D^0}$ , respectively.

 $\sqrt{s_{\rm NN}} = 200$  GeV. The  $D^0$   $(\overline{D^0})$   $v_1$  slope  $(dv_1/dy)$  is calculated by fitting  $v_1(y)$  with a linear function constrained to pass through the origin, as shown by the solid (dot-dashed) line in Fig. 2. The  $dv_1/dy$  for  $D^0$  and  $\overline{D^0}$  is  $-0.086 \pm 0.025$  (stat)  $\pm 0.018$  (syst) and  $-0.075 \pm 0.024$ (stat)  $\pm 0.020$  (syst), respectively. Figure 3(a) presents  $v_1(y)$  averaged over  $D^0$  and  $\overline{D^0}$  (denoted  $\langle v_1 \rangle$ ) for  $p_T > 1.5 \text{ GeV}/c$ . The  $dv_1/dy$  for the averaged  $D^0$  mesons using a linear fit is  $-0.080 \pm 0.017$  (stat)  $\pm 0.016$  (syst). The p value and  $\chi^2/NDF$  for the linear fit passing through the origin are 0.41 and 2.9/3 respectively. To perform a statistical significance test for a null hypothesis for the averaged  $v_1$  of  $D^0$  and  $\overline{D^0}$ , we calculate the  $\chi^2$  of the measured  $\langle v_1 \rangle$  values set to a constant at zero. The resulting p value and  $\chi^2/\text{NDF}$  are 0.005 and 14.9/4, respectively, indicating that the data prefer a linear fit with a nonzero slope. The  $D^0$   $v_1(y)$  results are compared to charged kaons [49], shown by open square markers in Fig. 3(a). The kaon  $v_1(y)$  is measured for  $p_T > 0.2 \text{ GeV}/c$ . The  $dv_1/dy$  of charged kaons, fit using a similar linear function, is  $-0.0030 \pm 0.0001$  (stat)  $\pm 0.0002$  (syst). The inset in Fig. 3(a) presents the ratio of the  $v_1$  of the  $D^0$  and charged kaons. The absolute value of the  $D^0$  mesons  $dv_1/dy$  is observed to be about 25 times larger than that of the kaons with a 3.4 $\sigma$  significance. Note that the  $\langle p_T \rangle$  for kaons is  $0.63 \pm 0.04 \text{ GeV}/c$  while that for  $D^0$  mesons is  $2.24 \pm$  $0.02~{\rm GeV}/c$  in our measured  $p_T$  acceptance for 10--80%Au + Au collisions at  $\sqrt{s_{NN}} = 200 \text{ GeV}$ . Considering the large mass difference between  $D^0$  and kaons, we are

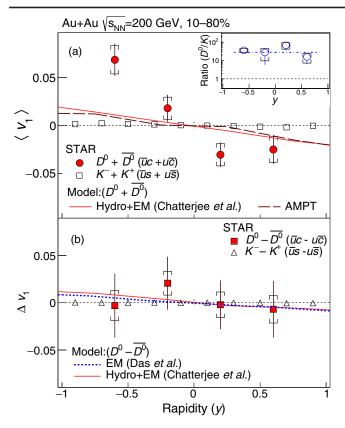


FIG. 3. Panel (a): Solid circles present directed flow  $(\langle v_1(y)\rangle)$  for the combined samples of  $D^0$  and  $\overline{D^0}$  at  $p_T>1.5~{\rm GeV/}c$  in 10–80% central Au + Au collisions at  $\sqrt{s_{\rm NN}}=200~{\rm GeV}$ . Open squares present  $v_1(y)$  for charged kaons [49] with  $p_T>0.2~{\rm GeV/}c$ . The inset shows the ratio of  $v_1$  between the  $D^0$  and charged kaons. The solid and dashed lines show hydrodynamic model calculation with an initial EM field [32,35] and AMPT model [50] calculations, respectively. Panel (b): The solid square markers present the difference in  $v_1(y)$  ( $\Delta v_1$ ) between  $D^0$  and  $\overline{D^0}$  for  $p_T>1.5~{\rm GeV/}c$  in 10–80% Au + Au collisions at  $\sqrt{s_{\rm NN}}=200~{\rm GeV}$ . Open triangles represent  $\Delta v_1$  between  $K^-$  and  $K^+$ . The dotted and solid lines present a  $\Delta v_1$  prediction for  $D^0$  and  $\overline{D^0}$ , reported in Refs. [33] and [32,35], respectively. The error bars and caps denote statistical and systematic uncertainties, respectively.

probing these particles in the comparable transverse velocity regions. Moreover, among the measurements by the STAR collaboration of  $v_1(y)$  for 11 particle species in Au + Au collisions at 200 GeV [16,47,49], the nominal value of the  $D^0 \ dv_1/dy$  is the largest.

In Fig. 3(a), the  $\langle v_1 \rangle$  measurements are compared with hydrodynamic (denoted by "Hydro + EM") [32,35] and a-multi-phase transport ("AMPT") [50] model predictions are shown by solid and dashed lines, respectively. In Ref [32], Langevin dynamics for heavy quarks are combined with a hydrodynamic medium and a tilted initial source [13]. It predicted a larger  $v_1$  slope for D mesons compared to light hadrons. It has been argued that the large  $dv_1/dy$  for D mesons is driven by the drag from the tilted

initial bulk medium. It is further predicted in Ref [33] that the initial transient EM field can induce an opposite  $v_1$  for charm and anticharm quarks. The predicted magnitude of such induced  $v_1$  for charm quark hadron species is several orders of magnitude larger than that for light hadron species due to the early formation of charm quarks [33,34]. Recently, the authors of Ref. [32] incorporated the initial EM field in their model calculations and predicted that the D-meson  $v_1$  contribution from the tilted initial source dominates over the contribution from the EMfield [35], resulting in the same sign of  $dv_1/dy$  for both  $D^0$ and  $\overline{D^0}$ . The solid line in Fig. 3(a) represents the prediction of  $D^0$  meson  $\langle v_1(y) \rangle$  from such a combined effect of tilt and EM field in a hydrodynamic model and denoted as "Hydro + EM." The AMPT model calculation [50] shows that although the initial rapidity-odd eccentricity (in spatial coordinates) for heavy quarks is smaller than for light quarks, the magnitude of  $v_1$  for heavy flavor hadrons is approximately seven times larger than that for light hadrons at large rapidity. This calculation also suggests that, as a result of being heavy and produced early, the charm hadrons have an enhanced sensitivity to the initial dynamics, which is over that for light hadrons. From the model comparison we can infer that the "Hydro + EM" and "AMPT" models predicted the correct sign of  $dv_1/dy$ . Although both the models are in a qualitative agreement with the data that the magnitude of heavy-flavor hadrons  $v_1$ is larger than for light hadrons, the  $v_1$  magnitude for the D mesons is underestimated in the model predictions. A noteworthy feature of the hydrodynamic calculation is the sensitivity of the  $dv_1/dy$  for D mesons to the tilt parameter. Reference [32] predicts that the D mesons  $dv_1/dy$  can be within the range 1–6% (about 5–20 times larger than for charged hadrons) depending on the choice of tilt and drag parameters. The current  $\langle v_1 \rangle$  measurement can help to constraint parameters in hydrodynamic and transport models.

Figure 3(b) shows the difference between  $D^0$  and  $\overline{D^0}$  $v_1(y)$  (denoted  $\Delta v_1$ ) measured in 10–80% centrality Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. The  $\Delta v_1$  slope is fitted with a linear function through the origin to give  $-0.011 \pm 0.034$  (stat)  $\pm 0.020$  (syst). The dashed and solid lines in Fig. 3(b) presents the  $\Delta v_1$  expectation from two models. The solid line (labeled "Hydro + EM") is the expectation from the model with effects from both a tilted source and an initial EM field [35], while the dotted line is the expectation from the initial EM field only [33]. From these models, the predicted  $\Delta v_1$  slope for the charm hadrons lie within the range -0.008 to -0.004. However, different values of medium conductivity and time evolution of the EM fields, as well as the description of charm quark dynamics in the QGP can cause large variations in the charge dependent  $v_1$  splitting. The present predictions of  $\Delta v_1$  are smaller than the current precision of the measurement. Nonetheless, the measurement could provide constraints on the possible variations of the parameters characterizing the EM field and charm quark evolution in the QGP.

In summary, we report the first observation of rapidityodd directed flow  $[v_1(y)]$  for  $D^0$  and  $D^0$  mesons separately, and for their average, at midrapidity (|y| < 0.8) for  $p_T >$ 1.5 GeV/c in 10-80% central Au + Au collisions at  $\sqrt{s_{\rm NN}} = 200 \text{ GeV}$  using the STAR detector at RHIC. The  $v_1$  slope  $(dv_1/dy)$  of  $D^0$  mesons are observed to be about a factor of 25 times larger than that for charged kaons with a  $3.4\sigma$  significance. The observation of a relatively larger and negative  $v_1$  slope for charmed hadrons with respect to the light flavor hadrons can be qualitatively explained by a hydrodynamic model with an initially tilted OGP source [32] and by an AMPT model calculation. These data not only give unique insight into the initial tilt of the produced matter, they are expected to provide improved constraints for the geometric and transport parameters of the hot QCD medium created in relativistic heavy-ion collisions.

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