

Novel Direct Detection Constraints on Light Dark Matter

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All attempts to directly detect particle dark matter (DM) scattering on nuclei suffer from the partial or total loss of sensitivity for DM masses in the GeV range or below. We derive novel constraints from the inevitable existence of a subdominant, but highly energetic, component of DM generated through collisions with cosmic rays. Subsequent scattering inside conventional DM detectors, as well as neutrino detectors sensitive to nuclear recoils, limits the DM-nucleon scattering cross section to be below 10^{-31} cm² for both spin-independent and spin-dependent scattering of light DM.

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Introduction.—Attempts to discover nongravitational interactions of dark matter (DM) are a global effort, pursuing many possible avenues—perhaps as many as there are viable microscopic models that link DM with the rest of fundamental physics [1,2]. The simplicity of the early Universe suggests that DM may be realized in the form of some relic particles [3,4], remnants of the big bang, which we denote here as χ .

Among the very few things known about the galactic component of DM is the scale of its velocity, $v_{\chi, \text{gal}} \sim 10^{-3}c$. The energy carried by DM particles, $E_{\chi} \sim m_{\chi}v_{\chi, \text{gal}}^2$, can be shared with an atomic nucleus in the process of a collision and therefore, in principle, be detected [5]. The search for such DM-nucleon scatterings—commonly referred to as direct DM detection—has seen several generations of experiments with ever-improving sensitivity. In the absence of a credible positive signal, this has translated to continuously tightening limits. The latest results from the XENON1T Collaboration [6] bring the sensitivity to the cross section per nucleon below the $\sigma_{\chi} = 10^{-46}$ cm² level for the “optimal” DM mass range, $m_{\chi} \in 15\text{--}100$ GeV. This significantly constrains many models of weak-scale DM (see, e.g., [7]).

Below that mass range, and especially below 1 GeV, the direct sensitivity to DM worsens rapidly. This is because the nuclear recoil energy becomes smaller and cannot exceed $E_{\text{recoil}}^{\text{max}} = 2m_{\chi}^2(v_{\text{esc}})^2/m_A$, where $v_{\text{esc}} \sim 540$ km/s is the galactic escape velocity and m_A is the nuclear mass. If $E_{\text{recoil}}^{\text{max}}$ is below some detector threshold E_{thr} , the

sensitivity completely disappears, making even cross sections parametrically larger than weak-scale cross sections (e.g., $\sigma \gg 10^{-36}$ cm²) completely undetectable.

Recently, it has been realized that several physical processes allow us to circumvent this limitation. If the scattering on the nucleus results in the emission of a photon or ejection of an atomic electron, e.g., the electromagnetic fraction of the deposited energy can be larger than for elastic nuclear recoils, improving the sensitivity for m_{χ} in the few 100 MeV range [8–10]. Further constraints derive from multiple collisions of light DM. For example, interactions with fast moving nuclei or electrons inside the Sun can accelerate the DM above threshold for direct detection [11–13]. This contribution typically does not exceed a fraction of $\mathcal{O}(10^{-5})$ times the total DM flux on Earth, but nevertheless greatly enhances the mass reach of existing detectors, especially for $\chi - e^-$ scattering [12].

In this Letter, we consider another inevitable component of the DM flux, with velocities much higher than v_{esc} . It originates from energetic galactic cosmic rays (CRs) colliding with cold DM particles in the Milky Way halo, creating a secondary DM component of CRs with (semi-)relativistic momenta. This new component of the DM flux, called CRDM throughout this Letter, will scatter again in the detectors, but now with much greater energy available. The goal of this Letter is to make use of this idea, employing data from the most sensitive current direct detection *and* neutrino experiments, to establish new direct limits on DM-nucleon scattering that extend to small DM masses (formally even to $m_{\chi} \rightarrow 0$).

We will adopt a simple two parameter model $\{m_{\chi}, \sigma_{\chi}\}$ without reference to a specific underlying theory. For the DM-nucleon elastic cross section we assume for simplicity the isospin-singlet structure, $\sigma_{\chi n} = \sigma_{\chi p} \equiv \sigma_{\chi}$, but will consider both spin-dependent and spin-independent scattering. DM models with light m_{χ} often require

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subelectroweak-scale mediators [14,15] and, therefore, can be amenable to additional constraints from cosmology, colliders, neutrino, and beam dump experiments (see, e.g., Ref. [16] for a review). However, all such constraints are necessarily model dependent, while constraints derived in this Letter have greater generality. Despite invoking DM-CR interactions, in particular, they build on the same microscopic picture of DM-nucleon scattering as adopted in the standard presentation of limits from conventional direct detection experiments.

Step 1: From CR to DM fluxes.—Compared to CR velocities, DM can be considered effectively at rest. Then, the kinetic energy transferred to a DM particle in a single collision is

$$T_\chi = T_\chi^{\max} \frac{1 - \cos \theta}{2},$$

$$T_\chi^{\max} = \frac{T_i^2 + 2m_i T_i}{T_i + (m_i + m_\chi)^2 / (2m_\chi)}, \quad (1)$$

where θ is the center-of-mass system scattering angle and $T_i \equiv E_i - m_i$ is the kinetic energy of the incoming CR particle i . The (spacelike) momentum transfer in the collision is given by $Q^2 = 2m_\chi T_\chi$. For *isotropic* CR-DM scattering, both T_χ and Q^2 thus follow a flat distribution, with T_χ ranging from 0 to T_χ^{\max} . Inverting Eq. (1) gives the *minimal* incoming CR energy required to obtain a DM recoil energy T_χ ,

$$T_i^{\min} = \left(\frac{T_\chi}{2} - m_i \right) \left(1 \pm \sqrt{1 + \frac{2T_\chi (m_i + m_\chi)^2}{m_\chi (2m_i - T_\chi)^2}} \right), \quad (2)$$

where the $+$ ($-$) sign applies for $T_\chi > 2m_i$ ($T_\chi < 2m_i$).

The local interstellar (LIS) population of CRs is well measured and typically described by their differential intensity dI/dR , where R is the particle's rigidity. We adopt parametrizations [17,18] for dI_i/dR_i of protons and ${}^4\text{He}$ nuclei, the two dominant CR components. The differential CR flux (number of particles per area, kinetic energy, and time) is then obtained as $d\Phi/dT = 4\pi(dR/dT)(dI/dR)$. For an elastic scattering cross section $\sigma_{\chi i}$, the collision rate of CR particles i with energy in the range $[T_i, T_i + dT_i]$ inside a volume dV thus becomes

$$d\Gamma_{\text{CR}i \rightarrow \chi} = \sigma_{\chi i} \times \frac{\rho_\chi}{m_\chi} \frac{d\Phi_i^{\text{LIS}}}{dT_i} dT_i dV. \quad (3)$$

The resulting CR-induced DM flux is thus obtained by dividing by $4\pi d^2$, where d is the distance to the source, implying that the volume integration reduces to an angular average over a line-of-sight (LOS) integral

$$\frac{d\Phi_\chi}{dT_\chi} = \int \frac{d\Omega}{4\pi} \int_{\text{LOS}} d\ell \sigma_{\chi i} \frac{\rho_\chi}{m_\chi} \frac{d\Phi_i}{dT_i} \equiv \sigma_{\chi i} \frac{\rho_\chi^{\text{local}}}{m_\chi} \frac{d\Phi_i^{\text{LIS}}}{dT_i} D_{\text{eff}}. \quad (4)$$

Here we introduced an effective distance D_{eff} out to which we take into account CRs as the source of a possible high-velocity tail in the DM velocity distribution. The local gradient in the DM density is relatively well constrained [19,20], and that in the cosmic-ray density is very small [21]. The main uncertainty in D_{eff} thus derives from the extension of the diffusion zone, which reaches out to at least a few kiloparsec from the galactic disk [22–24]. Assuming a Navarro-Frenk-White profile [25,26] for the DM distribution and a homogeneous CR distribution, e.g., performing the full line-of-sight integration out to 1 kpc (10 kpc) results in $D_{\text{eff}} = 0.997$ kpc ($D_{\text{eff}} = 8.02$ kpc). Using Eq. (1), we can finally express the DM flux in terms of the DM energy by integrating over all CR energies T_i ,

$$\frac{d\Phi_\chi}{dT_\chi} = \int_0^\infty dT_i \frac{d\Phi_\chi}{dT_i} \frac{1}{T_\chi^{\max}(T_i)} \Theta[T_\chi^{\max}(T_i) - T_\chi]. \quad (5)$$

The flat distribution over recoil energies that follows from Eq. (1) for isotropic scattering is an assumption that we modify by the inclusion of the hadronic elastic scattering form factor in the simplest dipole form [27],

$$G_i(Q^2) = 1/(1 + Q^2/\Lambda_i^2)^2. \quad (6)$$

Here, Λ_i scales inversely proportional with the charge radius and is hence smaller for heavier nuclei; for proton (helium) scattering due to a vector current, one has $\Lambda_p \simeq 770$ MeV ($\Lambda_{\text{He}} \simeq 410$ MeV) [28]. We thus relate the scattering cross section to that in the pointlike limit by

$$\frac{d\sigma_{\chi i}}{d\Omega} = \frac{d\sigma_{\chi i}}{d\Omega} \Big|_{Q^2=0} G_i^2(2m_\chi T_\chi). \quad (7)$$

Putting everything together, we expect the following CR-induced DM flux:

$$\frac{d\Phi_\chi}{dT_\chi} = D_{\text{eff}} \frac{\rho_\chi^{\text{local}}}{m_\chi} \times \sum_i \sigma_{\chi i}^0 G_i^2(2m_\chi T_\chi) \int_{T_i^{\min}}^\infty dT_i \frac{d\Phi_i^{\text{LIS}}/dT_i}{T_\chi^{\max}(T_i)}. \quad (8)$$

Here, we only include $i \in \{p, {}^4\text{He}\}$ in the sum. In Fig. 1, we plot these CRDM fluxes for various DM masses, for spin-independent $\sigma_\chi = \sigma_n = \sigma_p$. The contribution from helium can be even larger than that from protons, but is form-factor-suppressed at large recoil energies. The flux is related to the 1D velocity distribution $f(v)$, more familiar in the context of direct DM searches, as $f(v) = m_\chi^2 (\rho_\chi^{\text{local}})^{-1} \gamma^3 d\Phi_\chi/dT_\chi$. For illustration, we compare this to the Maxwellian distribution of the standard halo model

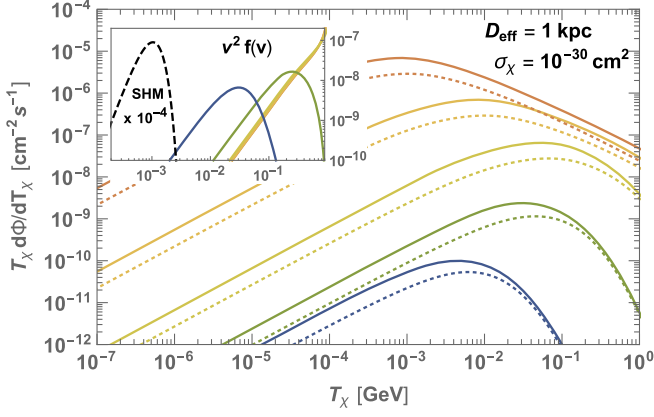


FIG. 1. Expected flux of CRDM for different DM masses $m_\chi = 0.001, 0.01, 0.1, 1, 10$ GeV (from top to bottom). Dotted lines show the contribution from CR proton scattering alone. The flux is directly proportional to the effective distance D_{eff} and the elastic scattering cross section σ_χ , chosen here as indicated. (Inset) Compares the corresponding 1D velocity distributions $f(v)$, in units where $c = 1$, to that of the standard halo model (SHM) (dashed line).

[29], displayed as a dashed line in the inset. As expected, the CRDM population peaks at (semi-)relativistic velocities and is highly subdominant at the galactic DM velocities typically considered.

Step 2: Attenuation of CRDM flux.—Very large scattering cross sections generally constitute a blind spot for direct DM detection, because they would lead to a significant attenuation of the DM flux from the top of the atmosphere to the location of the detector [30–33]. The degradation in energy should also occur for the CRDM component, and we can estimate the energy loss of DM particles propagating through a medium as

$$\frac{dT_{\text{DM}}}{dx} = -\sum_N n_N \int_0^{T_r^{\text{max}}} \frac{d\sigma_{\chi N}}{dT_r} T_r dT_r. \quad (9)$$

Here, T_r refers to the energy lost by a CRDM particle in a collision with nucleus N . This process, in analogy with neutrino scattering, can be elastic, quasielastic, or inelastic. The latter two are likely to dominate at high energies $T_\chi > \text{few } 100 \text{ MeV}$. (In a quasielastic process, one or more nucleons are dislodged from N , while in an inelastic process, additional hadrons are created in the $\chi - N$ collision.) In this Letter, we will limit ourselves to elastic scattering, leaving a more elaborate treatment for future considerations. Using the uniform distribution of the nuclear recoil energy for isotropic scattering, we have $d\sigma_N/dT_r = \sigma_N/T_r^{\text{max}}$, and hence

$$\frac{dT_\chi}{dx} = -\frac{1}{2} \sum_N n_N \sigma_{\chi N} T_r^{\text{max}} \approx -\frac{1}{2m_\chi \ell} (T_\chi^2 + 2m_\chi T_\chi),$$

where $\ell^{-1} \equiv \sum_N n_N \sigma_{\chi N} \frac{2m_N m_\chi}{(m_N + m_\chi)^2}$. (10)

In the last step, we have assumed $T_\chi \ll m_N$ in Eq. (1). Integrating this equation, we can relate, very approximately, the differential DM flux at depth z to the one at the top of the atmosphere as

$$\frac{d\Phi_\chi}{dT_\chi^z} = \left(\frac{dT_\chi}{dT_\chi^z} \right) \frac{d\Phi_\chi}{dT_\chi} = \frac{4m_\chi^2 e^{z/\ell}}{(2m_\chi + T_\chi^z - T_\chi^z e^{z/\ell})^2} \frac{d\Phi_\chi}{dT_\chi}, \quad (11)$$

where $d\Phi_\chi/dT_\chi$ needs to be evaluated at

$$T_\chi = T_\chi^0(T_\chi^z) = 2m_\chi T_\chi^z e^{z/\ell} (2m_\chi + T_\chi^z - T_\chi^z e^{z/\ell})^{-1}. \quad (12)$$

For $T_\chi^0 \ll m_\chi$, our treatment of the energy attenuation reduces to that previously considered in Ref. [33].

For the mean free path of the DM particles ℓ , we use DarkSUSY [34] to calculate the average density n_N of Earth's 11 most abundant elements between surface and depth z (based on mass density profiles from Ref. [35]). We also need to relate the nuclear cross sections to the one on nucleons, σ_χ . For spin-independent scattering, there is the usual coherent enhancement, leading to

$$\sigma_{\chi N} = \sigma_\chi^{\text{SI}} A^2 \left(\frac{m_N(m_\chi + m_p)}{m_p(m_\chi + m_N)} \right)^2. \quad (13)$$

We neglect nuclear form factors in obtaining ℓ . Along with the energy-loss ansatz (9), as compared to full numerical simulations [33], this leads to conservative limits.

Step 3: CRDM scattering in detectors.—Once a CRDM particle reaches a detector at depth z , it can transfer (part of) its energy to a target nucleus inside the detector. Exploiting completely analogous formulas to the case of DM \rightarrow CR scattering discussed above, in particular, the flat distribution of the target nucleus recoil energy T_N for a given DM energy, we find the differential recoil rate per target nucleus to be

$$\frac{d\Gamma_N}{dT_N} = \sigma_{\chi N}^0 G_N^2 (2m_N T_N) \int_{T_\chi(T_\chi^{\text{min}})}^\infty \frac{dT_\chi}{T_{r,N}^{\text{max}}(T_\chi^z)} \frac{d\Phi_\chi}{dT_\chi}. \quad (14)$$

Here $G_N(Q^2)$ is a nuclear form factor and $d\Phi_\chi/dT_\chi$ is given in Eq. (8); the quantities $T_{r,N}^{\text{max}}$ and T_χ^{min} follow from Eqs. (1) and (2), by replacing $\chi \rightarrow N$ and $i \rightarrow \chi$.

The broad energy distribution of CRDM particles allows us, based on Eq. (14), to use both conventional direct detection and neutrino experiments to set novel limits on σ_χ . It is clear that for small enough σ_χ the overburden mass above the detectors is transparent to CRDM, and the overall strength of the signal hence scales as σ_χ^2 . For large cross sections, on the other hand, the strong attenuation of the CRDM energy as given in Eq. (12) also leads to an exponential suppression of the signal.

Resulting limits.—We begin by addressing constraints from conventional direct detection experiments, which we derive from reported limiting values for heavy DM cross

sections on nucleons as a function of the DM particle mass, $\sigma_{\text{DM}}^{\text{SI,lim}}(m_{\text{DM}})$. Assuming a nonrelativistic DM velocity distribution $f_{\text{NR}}(v)$, and hence a standard DM flux of $d\Phi_{\text{DM}}/dT_{\text{DM}} = m_{\text{DM}}^{-2} \rho_{\text{DM}}^{\text{local}} f_{\text{NR}}$, we can relate the count rate per target nucleus N to the average heavy DM-nucleus cross section $\sigma_{\chi N}^{\text{DM}}$ inside the experimentally accessible window of recoil energies $T_N \in \{T_1, T_2\}$. In the limit of large DM masses, this gives

$$\Gamma_N^{\text{DM}} = \int_{T_1}^{T_2} dT_N \sigma_{\chi N}^{\text{DM}} \int_0^\infty dT_{\text{DM}} \frac{d\Phi_{\text{DM}}}{dT_{\text{DM}}} \frac{\Theta[T_N^{\text{max}}(T_{\text{DM}}) - T_N]}{T_N^{\text{max}}(T_{\text{DM}})} \simeq \kappa \frac{\sigma_{\chi N}^{\text{DM}}}{m_{\text{DM}}} (\bar{v} \rho_{\text{DM}})^{\text{local}} \quad \text{for } m_{\text{DM}} \gg m_N. \quad (15)$$

Here \bar{v} denotes the mean DM velocity and κ is an $\mathcal{O}(1)$ constant that, for a Maxwellian distribution, equals $\kappa = \exp[-2T_1/(\pi m_N \bar{v}^2)] - \exp[-2T_2/(\pi m_N \bar{v}^2)]$.

In order to constrain the CRDM component, we now need to compare Eq. (15) with Eq. (14), taking into account that $\sigma_{\chi N}^0$ is evaluated for $m_{\text{DM}} \gg m_N$ only in the former case. For spin-independent scattering, we can use Eq. (13) to compute the ratio of these cross sections. Realizing that the coherence factors for $\sigma_{\chi N}$ are identical between ordinary DM and CRDM scattering then allows us to recast conventional limits on the scattering rate $\sigma_{\text{DM}}^{\text{SI,lim}}$ per nucleon to an equivalent limit resulting from the CRDM component

$$\sigma_\chi^{\text{SI,lim}} = \kappa (\bar{v} \rho_{\text{DM}})^{\text{local}} \left(\frac{m_\chi + m_N}{m_\chi + m_p} \right)^2 \left(\frac{\sigma_{\text{DM}}^{\text{SI,lim}}}{m_{\text{DM}}} \right)_{m_{\text{DM}} \rightarrow \infty} \times \left(\int_{T_1}^{T_2} dT_N \int_{T_\chi(T_\chi^{\text{min}})}^\infty \frac{dT_\chi}{T_{r,N}^{\text{max}}} \frac{d\Phi_\chi}{dT_\chi} \right)^{-1}. \quad (16)$$

For the recent Xenon 1T data ([6], Fig. 5), e.g., one has $\sigma_{\text{DM}}^{\text{SI,lim}}/m_{\text{WIMP}} = 8.3 \times 10^{-49} \text{ cm}^2/\text{GeV}$ for $m_\chi \gtrsim 100 \text{ GeV}$, and $T_{\text{Xe}} \in [4.9, 40.9] \text{ keV}$ implies $\kappa \simeq 0.23$. The resulting limits on σ_χ are shown in Fig. 2 for different assumptions about the size of the diffusion zone (with solid lines corresponding to an ultraconservative choice). For small DM masses, these limits exclude cross sections in the range $10^{-31} \lesssim \sigma_\chi^{\text{SI}} \lesssim 10^{-28} \text{ cm}^2$, almost independently of m_χ . Clearly, these constraints are highly complementary to existing limits on light DM [33,36–41]. Direct detection of light energetic dark sector particles was also discussed in Ref. [42].

Due to its shallow location, MiniBooNE [46] gives a particular advantage in limiting CRDM fluxes with large scattering cross sections that prevent χ from reaching deeply placed experiments. We utilize the measurement of elastic $\nu - p$ scattering [47] and a recent DM run [48] that allows us to extract the beam-unrelated scattering rate. Requiring the scattering rate of CRDM on protons at MiniBooNE depth not to exceed the beam-unrelated background, we obtain (see Supplemental Material [49])

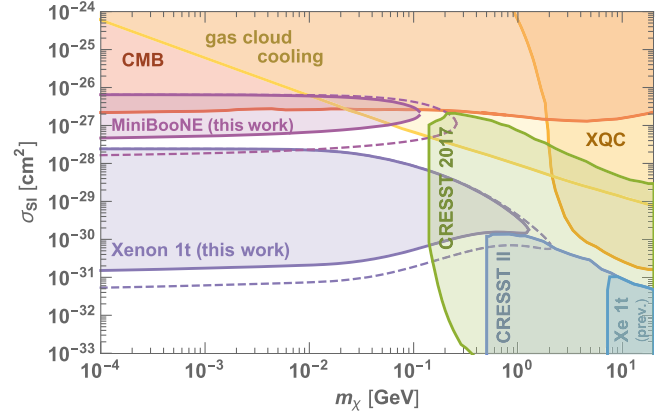


FIG. 2. Constraints on spin-independent DM-nucleon scattering imposed by the XENON-1T and MiniBooNE experiments. Solid (dashed) lines assume a CR density that equals, on average, the local value out to a distance of 1 kpc (10 kpc). We compare our limits to those deriving from cosmic microwave background (CMB) observations [40], gas cloud cooling [38], the x-ray quantum calorimeter experiment (XQC) [39], and a selection of direct detection experiments [43–45] after taking into account the absorption of DM in soil and atmosphere [33].

$$\Gamma_p(T_p > 35 \text{ MeV}) < 1.5 \times 10^{-32} \text{ s}^{-1}. \quad (17)$$

This additional exclusion region is also shown in Fig. 2.

Strong constraints on spin-dependent scattering, finally, can be obtained from proton upscattering by CRDM in neutrino detectors like Borexino [52]. From a search for events with higher energy than solar neutrino scattering [53,54], we deduce that the limiting scattering rate per proton is (see Supplemental Material [49])

$$\Gamma_p(T_e > 12.5 \text{ MeV}) < 2 \times 10^{-39} \text{ s}^{-1}. \quad (18)$$

To apply this limit, we need to convert the proton recoil energy to an *apparent* electron T_e equivalent. For liquid scintillators the recoil energy of the nucleus T_N and the detected energy T_e are related by the empirical law

$$T_e(T_N) = \int_0^{T_N} \frac{dT_N}{1 + k_B \langle dT_N/dx \rangle}, \quad (19)$$

where k_B is a material-dependent constant. Following the procedure outlined in Ref. [55], and thus using PSTAR tables from [56] for $\langle dT_N/dx \rangle$, we numerically tabulate and invert Eq. (19) for pseudocumene (the scintillator used by Borexino). The resulting constraint on spin-dependent scattering is plotted in Fig. 3. Here the CRDM component is produced exclusively by $p - \chi$ collisions, since ${}^4\text{He}$ nuclei do not carry spin. For the mean free path in Eq. (10), we assumed exclusively elastic scattering on nuclei as derived from spin-dependent couplings $\sigma_\chi = \sigma_n = \sigma_p$ to nucleons (dashed and solid lines). In reality, quasielastic scattering on nucleons would dominate for energy transfers

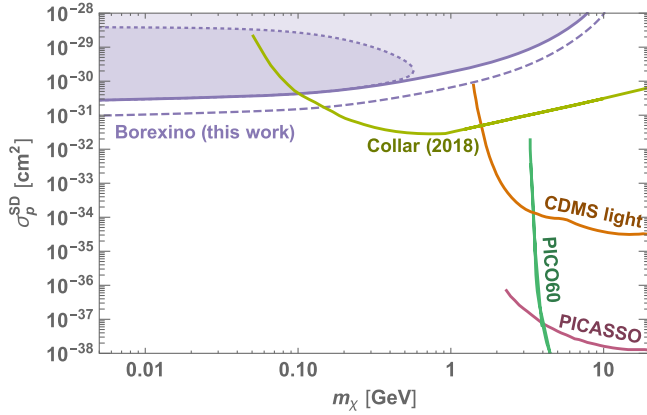


FIG. 3. Constraints on the spin-dependent part of the cross section imposed by Borexino. Solid and dashed lines as in Fig. 2. Dotted lines result from adopting the much greater stopping power expected for spin-independent scattering (so this contour also applies to σ_{SI}). For comparison, we indicate limits from the direct detection experiments CDMS light [57], PICO60 [58], and PICASSO [59], as well as from delayed-coincidence searches in near-surface detectors by Collar [41].

above typical nuclear binding energies. While a full treatment of these processes is beyond the scope of this Letter, we indicate for comparison (dotted lines) the limits that would result in the extreme case of adopting a stopping power as efficient as in the case of spin-independent scattering [cf. Eq. (13)]. For $m_\chi \lesssim 0.5$ GeV we thus find highly competitive limits on (both spin-independent and) spin-dependent scattering with protons, independent of the attenuation of the CRDM flux.

Conclusions.—We have shown that the DM-nucleon interaction cross section σ_χ necessarily generates a small but very energetic component of the DM flux, the CRDM. Subsequent scattering of CRDM in DM and neutrino detectors leads to novel limits on σ_χ in the $\{m_\chi, \sigma_\chi\}$ domains that previously were thought to be completely inaccessible for direct detection. Our results thus complement and strengthen previous studies addressing the alteration of the CR spectrum, the generation of gamma rays in the collision of CR with DM, as well as cosmological constraints on χ -nucleon interactions [32,37,40,60,61]. All routines to calculate these new limits have been implemented in DarkSUSY [34] and publicly released with version 6.2 of this code [62]. While our limits are generic and derived with a minimum set of assumptions, further refinements of the limits can be achieved within specific models, where σ_χ and its energy dependence are expressed in terms of couplings and masses of an underlying microscopic model.

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