## First Measurement of the Form Factors in $D_s^+ \to K^0 e^+ \nu_e$ and $D_s^+ \to K^{*0} e^+ \nu_e$ Decays

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We report on new measurements of Cabibbo-suppressed semileptonic  $D_s^+$  decays using 3.19 fb<sup>-1</sup> of  $e^+e^-$  annihilation data sample collected at a center-of-mass energy of 4.178 GeV with the BESIII detector at the BEPCII collider. Our results include branching fractions  $\mathcal{B}(D_s^+ \to K^0 e^+\nu_e) = [3.25 \pm 0.38 (\text{stat}) \pm 0.16 (\text{syst})] \times 10^{-3}$  and  $\mathcal{B}(D_s^+ \to K^{*0} e^+\nu_e) = [2.37 \pm 0.26 (\text{stat}) \pm 0.20 (\text{syst})] \times 10^{-3}$ , which are much improved relative to previous measurements, and the first measurements of the hadronic form-factor parameters for these decays. For  $D_s^+ \to K^0 e^+\nu_e$ , we obtain  $f_+(0) = 0.720 \pm 0.084 (\text{stat}) \pm 0.013 (\text{syst})$ , and for  $D_s^+ \to K^{*0} e^+\nu_e$ , we find form-factor ratios  $r_V = V(0)/A_1(0) = 1.67 \pm 0.34 (\text{stat}) \pm 0.16 (\text{syst})$  and  $r_2 = A_2(0)/A_1(0) = 0.77 \pm 0.28 (\text{stat}) \pm 0.07 (\text{syst})$ .

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The study of  $D_s^+$  semileptonic (SL) decays provides valuable information about weak and strong interactions in mesons composed of heavy quarks. (Throughout this Letter, charge-conjugate modes are implied unless explicitly noted.) Measurement of the total SL decay width of the  $D_s^+$ , and comparison with that of the D mesons, can help elucidate the role of nonperturbative effects in heavymeson decays [1,2]. The Cabibbo-suppressed (CS) SL decays, including the branching fractions (BFs) for  $D_s^+ \rightarrow$  $K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  [3], are especially poorly measured. Detailed investigations of the dynamics of these decays allow measurements of SL decay partial widths, which depend on the hadronic form factors (FFs) describing the interaction between the final-state quarks. Measurements of these FFs provide experimental tests of theoretical predictions of lattice QCD (LQCD). Reference [4] predicts that the FFs have minimal dependence on the spectator-quark mass, with values for  $D_s^+ \rightarrow$  $K^0\ell^+\nu_\ell$  and  $D^+\to\pi^0\ell^+\nu_\ell$  differing by less than 5%. Experimental verification of this predicted instance would

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP<sup>3</sup>. be a significant success for LQCD. A complementary LQCD test is provided by comparing measured and predicted FF parameters for  $D_s^+ \to K^{*0} \ell^+ \nu_\ell$  and  $D^+ \to \rho^0 \ell^+ \nu_\ell$ . The combination of these measurements has the potential to verify LQCD FF predictions for SL charm decays to both pseudoscalar and vector mesons, useful for further applying the LQCD to SL *B* decays for precise determination of Cabibbo-Kobayashi-Maskawa (CKM) parameters [4–6].

In this Letter, we report on improved measurements of the absolute BFs and first measurements of the FFs for the decays  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$ . Our measurements have been made with 3.19 fb<sup>-1</sup>  $e^+ e^-$  annihilation data recorded with the BESIII detector at the BEPCII collider. The center-of-mass energy for our data is  $\sqrt{s} = 4.178$  GeV. The cross section is ~1 nb for the production of  $D_s^{*+} D_s^- + \text{c.c.}$  at this energy. Our data sample is the largest collected by any experiment for  $D_s^+$  studies in the clean near-threshold environment.

Details about the BESIII detector design and performance are provided in Ref. [7]. A GEANT4-based [8] Monte Carlo (MC) simulation package, which includes the geometric description of the detector and the detector response, is used to determine signal detection efficiencies and to estimate potential backgrounds. Signal MC samples of  $e^+e^- \rightarrow D_s^{*+}D_s^-$  with a  $D_s^+$  meson decaying to

 $K^{(*)0}e^+\nu_e$  together with a  $D_s^-$  decaying to the studied decay modes used for this analysis are generated with CONEXC [9] using EVTGEN [10], with the inclusion of initial-state radiation (ISR) effects up to second-order correction [9,11]. The final-state radiation (FSR) effects are simulated via the PHOTOS package [12]. The interference effects between ISR and FSR are ignored [13]. The simulation of the SL decay  $D_s^+ \to K^{*(0)} e^+ \nu_e$  is matched with the FFs measured in this work. To study the backgrounds, inclusive MC samples consisting of open-charm states, radiative return to  $J/\psi$  and  $\psi(2S)$ , and continuum processes of  $q\bar{q}$ (q = u, d, s), along with Bhabha scattering  $\mu^+\mu^-, \tau^+\tau^-$ , and  $\gamma\gamma$  events are generated. All known decay modes of open-charm and  $\psi$  states are simulated as specified by the Particle Data Group [14], while the remaining unknown decays are modeled with LUNDCHARM [15].

As described above,  $D_s^+$  mesons are produced at  $\sqrt{s} = 4.178$  GeV predominantly through  $D_s^{*+}D_s^-$  [16], with 94% of the  $D_s^{*+}$  decaying to  $\gamma D_s^+$ . The first step of our analysis is to select "single-tag" (ST) events with a fully reconstructed  $D_s^-$  candidate. The  $D_s^-$  hadronic decay tag modes that are used for this analysis are listed in Table I. In this ST sample, we select the SL decay  $D_s^+ \to K^{(*)0} e^+ \nu_e$  plus an isolated photon consistent with being from the  $D_s^* \to \gamma D_s$  transition. The selected events are referred to as the double-tag (DT) sample. For a specific tag mode i, the ST and DT event yields can be expressed as

$$N_{\mathrm{ST}}^i = 2N_{D_sD_s^*}\mathcal{B}_{\mathrm{ST}}^i\epsilon_{\mathrm{ST}}^i$$
 and  $N_{\mathrm{DT}}^i = 2N_{D_sD_s^*}\mathcal{B}_{\mathrm{ST}}^i\mathcal{B}_{\mathrm{SL}}^i\epsilon_{\mathrm{DT}}^i$ ,

where  $N_{D_sD_s^*}$  is the number of  $D_sD_s^*$  pairs,  $\mathcal{B}_{\mathrm{ST}}^i$  and  $\mathcal{B}_{\mathrm{SL}}^i$  are the BFs of the  $D_s^-$  tag mode and the  $D_s^+$  SL decay mode, respectively,  $\epsilon_{\mathrm{ST}}^i$  is the efficiency for finding the tag candidate, and  $\epsilon_{\mathrm{DT}}^i$  is the efficiency for simultaneously finding the tag  $D_s^-$  and the SL decay. The DT efficiency  $\epsilon_{\mathrm{DT}}^i$ 

TABLE I.  $M_{D_s^-}$  windows and ST yields in data.

ST mode	$M_{D_s^-}$ (GeV/ $c^2$ )	$N_{ m ST}^i$
$K_S^0K^-$	(1.945, 1.990)	$25858 \pm 217$
$K^+K^-\pi^-$	(1.945, 1.990)	$130666 \pm 575$
$K_S^0 K^- \pi^0$	(1.940, 1.990)	$10807\pm398$
$K_S^0K_S^0\pi^-$	(1.945, 1.990)	$3810\pm131$
$K^{+}K^{-}\pi^{-}\pi^{0}$	(1.940, 1.990)	$35091 \pm 702$
$K_S^0 K^- \pi^+ \pi^-$	(1.945, 1.990)	$7722\pm235$
$K_S^0 K^+ \pi^- \pi^-$	(1.945, 1.990)	$14802\pm259$
$\pi^+\pi^-\pi^-$	(1.945, 1.990)	$36258 \pm 832$
$\pi^-\eta$	(1.940, 1.990)	$17535 \pm 400$
$\rho^-\eta$	(1.940, 1.990)	$30114 \pm 886$
$\pi^-\eta'(\eta'  o \pi^+\pi^-\eta)$	(1.940, 1.990)	$7704 \pm 152$
$\rho^-\eta'(\eta'\to\pi^+\pi^-\eta)$	(1.940, 1.990)	$3039 \pm 226$
$\frac{\pi^-\eta'(\eta'\to\gamma\pi^+\pi^-)}{}$	(1.940, 1.990)	$17919 \pm 481$

includes the BF for  $D_s^{*+} \rightarrow \gamma D_s^+$ . The BF for the SL decay is given by

$$\mathcal{B}_{\rm SL} = \frac{N_{\rm DT}}{\sum N_{\rm ST}^i \times \epsilon_{\rm DT}^i / \epsilon_{\rm ST}^i} = \frac{N_{\rm DT}}{N_{\rm ST} \times \epsilon_{\rm SL}},\tag{1}$$

where  $N_{\rm DT}$  is the total yield of DT events,  $N_{\rm ST}$  is the total ST yield, and  $\epsilon_{\rm SL} = [(\sum N_{\rm ST}^i \times \epsilon_{\rm DT}^i/\epsilon_{\rm ST}^i)/(\sum N_{\rm ST}^i)]$  is the average efficiency for finding the SL decay weighted by the measured yields of the tag modes in data.

Selection criteria for  $\gamma$ ,  $\pi^{\pm}$ , and  $K^{\pm}$  are the same as those used in Ref. [17]. The  $\pi^0(\eta)$  candidate is reconstructed from the  $\gamma\gamma$  combination with invariant mass within  $(0.115, 0.150)[(0.50, 0.57)] \text{ GeV}/c^2$ . To improve the momentum resolution, a kinematic fit is performed to constrain  $\gamma\gamma$  invariant mass to the nominal  $\pi^0(\eta)$  mass [3] with  $\chi^2 < 20$ . The fitted  $\pi^0(\eta)$  momenta are used for further analysis.  $K_S^0$  mesons are reconstructed from two oppositely charged tracks with its invariant mass within (0.485, 0.510) GeV/ $c^2$ . A vertex constraint is applied to improve the  $K_S^0$  signal significance as in Ref. [18]. We select  $\rho^- \to \pi^- \pi^0$  by requiring the invariant mass  $M_{\pi^- \pi^0}$  to be within (0.626, 0.924) GeV/ $c^2$  [3]. The decay modes  $\eta' \to \pi^+ \pi^- \eta$  and  $\eta' \to \gamma \pi^+ \pi^-$  are used to select  $\eta'$  mesons, with the invariant masses of the  $\pi^+\pi^-\eta$  and  $\gamma\pi^+\pi^-$  required to be within (0.940,0.976) and (0.940,0.970) GeV/ $c^2$ , respectively. Additionally, to suppress backgrounds from  $D^*$  decays, the momenta of the photons from  $\eta' \to \gamma \pi^+ \pi^$ and all pions are required to be greater than 0.1 GeV/c.

For all events passing the ST selection criteria, we calculate the recoil mass against the tag with the following formula:

$$M_{
m rec} = \sqrt{\left(\sqrt{s} - \sqrt{|\vec{p}_{D_s^-}|^2 + m_{D_s^-}^2}\right)^2 - |\vec{p}_{D_s^-}|^2},$$

where  $m_{D_s^-}$  and  $\vec{p}_{D_s^-}$  are the known mass [3] and measured momentum of the tag  $D_s^-$ . We define  $\Delta M \equiv M_{\rm rec} - m_{D_s^{*+}}$ , where  $m_{D_s^{*+}}$  is the nominal  $D_s^{*+}$  mass [3]. Events within  $-0.060 < \Delta M < 0.065 \text{ GeV}/c^2$  are accepted as  $D_s^{*+}D_s^{-}$ candidates. To extract the mode-by-mode ST yields, we perform unbinned maximum likelihood fits to the distributions of the  $D_s^-$  invariant mass  $M_{D_s^-}$ , as shown in Fig. 1. Signals are modeled with the MC-simulated signal shape convoluted with Gaussians to account for the resolution differences between data and MC simulation, while the combinatorial backgrounds are parametrized with secondor third-order polynomial functions. Because of the misidentification of  $\pi^-$  as  $K^-$ , the backgrounds from  $D^- \rightarrow$  $K_s^0\pi^-$  form a broad peak near the  $D_s^-$  nominal mass for  $D_s^- \to K_s^0 K^-$ . In the fit, the shape of this background is described by using the MC simulation and its size is set as a free parameter. For each tag mode, the ST yield is obtained by integrating the signal function over the  $D_s^-$  mass signal

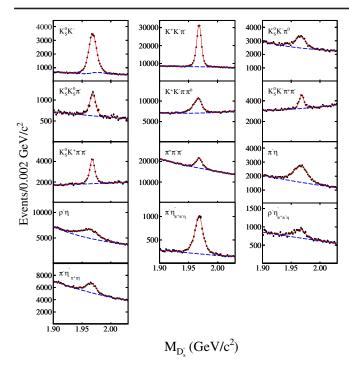


FIG. 1. Fits to  $M_{D_s^-}$  distributions for the 13 tag modes. Points with error bars are data, blue dashed curves are the fitted backgrounds, and red solid curves are the total fits.

region specified in the second column of Table I, which also includes the ST yields for all tag modes. The total reconstructed ST yield in our data sample is  $N_{\rm ST}=341$ ,  $325\pm1$ , 764.

In signal events, the system recoiling against the  $D_s^-$  tag consists of the SL decay  $D_s^+ \to K^0 e^+ \nu_e$  or  $D_s^+ \to K^{*0} e^+ \nu_e$ . We select these from the Additional tracks accompanying the tag, that is, a  $K^0 \to K_S^0 \to \pi^+ \pi^-$  with the ST criteria already described, and  $K^{*0} \to K^+ \pi^-$ , therefore, requiring that there be exactly three tracks in the event and with the invariant mass  $M_{K^+\pi^-}$  required to be within (0.801, 0.991) GeV/ $c^2$ . Detection and reconstruction of the positron follow the procedures in Refs. [19,20]. Backgrounds from  $D_s^+ \to K^0 \pi^+$  reconstructed as  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^+\pi^+\pi^-$  reconstructed as  $D_s^+ \to K^0 e^+ \nu_e$  are rejected by requiring the  $K^0 e^+$  or  $K^{*0} e^+$  invariant mass to be less than 1.78 GeV/ $c^2$ . Backgrounds associated with fake photons are suppressed by requiring  $E_{\gamma\,\rm max}$ , the largest energy of any unused photon, to be less than 0.20 GeV.

To identify a photon produced directly from  $D_s^{*\pm}$ , we perform two kinematic fits for each  $\gamma$  candidate, one assuming that the  $\gamma$  combines with the tag to form a  $D_s^{*\pm}$  and the other assuming that the SL decay comes from a  $D_s^{*\pm}$  parent. We require the  $D_s^{\mp}D_s^{*\pm}$  pair to conserve energy and momentum in the center-of-mass frame, and the  $D_s^{\pm}$  candidates are constrained to the known mass. The neutrino is treated as a missing particle. When we assume the tag to be the daughter of a  $D_s^{*-}$ , we constrain the mass of the

photon plus tag candidate to be consistent with the expected  $D_s^{*-}$  mass; otherwise, we constrain the mass of the photon plus SL decay to be consistent with the  $D_s^{*+}$  mass. Finally, we select the photon and hypothesis with the smallest kinematic fit  $\chi^2$ .

We obtain information about the undetected neutrino with the missing-mass squared (MM²) of the event calculated from the energies and momenta of the tag  $(E_{D_s^-}, \vec{p}_{D_s^-})$ , the transition photon  $(E_{\gamma}, \vec{p}_{\gamma})$ , and the detected SL decay products  $(E_{\rm SL} = E_{K^{(*)0}} + E_{e^+}, \vec{p}_{\rm SL} = \vec{p}_{K^{(*)0}} + \vec{p}_{e^+})$  as follows:

$$MM^2 = (\sqrt{s} - E_{D_{\tau}} - E_{\gamma} - E_{SL})^2 - (|\vec{p}_{D_{\tau}} + \vec{p}_{\gamma} + \vec{p}_{SL}|)^2.$$

Figure 2 shows the MM² distributions of the accepted candidate events for  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  in data. The signal DT yield  $N_{\rm DT}$  is obtained by performing an unbinned maximum likelihood fit to MM². In the fit, the signal is described with a MC-derived signal shape convolved with a Gaussian, and the background is described by a shape obtained from the inclusive MC sample, in which no peaking backgrounds are observed. We obtain  $117.2 \pm 13.9$  and  $155.0 \pm 17.2$  events for  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$ , respectively, where the uncertainties are statistical only. No peaking backgrounds are observed in  $K^{(*)0}$  mass sideband.

The BFs of  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  are determined by Eq. (1), where the detection efficiencies  $\varepsilon_{\rm SL}$  are estimated to be  $(10.57 \pm 0.04)\%$  and  $(19.15 \pm 0.06)\%$  for  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$ , respectively. (These efficiencies include the BFs for  $K^0 \to \pi^+ \pi^-$  and  $K^{*0} \to K^+ \pi^-$ .) Finally, we obtain  $\mathcal{B}(D_s^+ \to K^0 e^+ \nu_e) = (3.25 \pm 0.38) \times 10^{-3}$  and  $\mathcal{B}(D_s^+ \to K^{*0} e^+ \nu_e) = (2.37 \pm 0.26) \times 10^{-3}$ , where the uncertainties are statistical only.

With the DT technique, the BF measurements are insensitive to the systematic uncertainties of the ST selection. The uncertainties of the  $e^+$  tracking and particle identification (PID) efficiencies have all been determined to be 1.0% [20], while the uncertainty of the  $K^{(*)0}$  reconstruction is 1.5 (2.3)%. The uncertainty associated

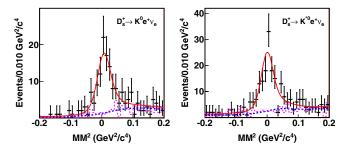


FIG. 2. Fits to MM<sup>2</sup> distributions of SL candidate events. Dots with error bars are data, dot-dashed lines (blue) are the fitted backgrounds, and solid curves (red) are the total fits. The long-dashed lines (pink) show the backgrounds from the  $M_{D_{\tau}}$  sidebands.

TABLE II. FF results from fits to  $D_s^+ \to K^0 e^+ \nu_e$ , where the first errors are statistical and the second systematic.

Parametrizations	$f_+^{\it K}(0) V_{\it cd} $	$f_+^K(0)$
Simple pole [25] Modified pole [25] z series (two-parameter) [26]	$\begin{array}{c} 0.172 \pm 0.010 \pm 0.001 \\ 0.163 \pm 0.017 \pm 0.003 \\ 0.162 \pm 0.019 \pm 0.003 \end{array}$	$\begin{array}{c} 0.765 \pm 0.044 \pm 0.004 \\ 0.725 \pm 0.076 \pm 0.013 \\ 0.720 \pm 0.084 \pm 0.013 \end{array}$

with the MM<sup>2</sup> fit is estimated to be 3.5 (3.8)% by varying the fitting ranges and the signal and background shapes. The uncertainty due to the selection of the  $\gamma$  is estimated to be 2.0% based on selecting the best photon candidate in a control sample of  $e^+e^- \rightarrow D_s^{+*}D_s^-$  events with two hadronic tags,  $D_s^+ \to K_s^0 K^+$  and  $D_s^- \to K^+ K^- \pi^-$ . The uncertainties due to the  $E_{\gamma \, {
m max}}$  and  $M_{K^{(*)0}e^+}$  requirements are estimated to be 1.7 (1.7)% and 0.7 (0.9)% by comparing the nominal BF with that measured with alternative requirements. The uncertainty due to the MC signal modeling is estimated to be 0.9 (1.8)% by varying the input FF parameters by  $\pm 1\sigma$  as determined in this work. We also consider the systematic uncertainties of  $N_{\rm ST}$  (0.5%) evaluated by using alternative signal shapes when fitting the  $M_{D_{-}}$ spectra and of the MC statistics (0.4%). The uncertainty due to different tag dependences between data and MC simulation is estimated to be 0.8 (0.3)%. Additionally, for  $D_s^+ \to K^{*0} e^+ \nu_e$  decay, the systematic uncertainty for the possible S-wave component in the  $K\pi$  system is estimated to be 6.0% according to Refs. [21,22]. Adding these contributions in quadrature gives total systematic uncertainties of 5.1% and 8.3% for  $\mathcal{B}(D_s^+ \to K^0 e^+ \nu_e)$  and  $\mathcal{B}(D_s^+ \to K^{*0} e^+ \nu_e)$ , respectively.

The  $D_s^+ \to K^0 e^+ \nu_e$  differential decay width with respect to the mass squared  $(q^2)$  of the  $e^+ \nu_e$  system is expressed as [23]

$$\frac{d\Gamma(D_s^+ \to K^0 e^+ \nu_e)}{dq^2} = \frac{G_F^2 |V_{cd}|^2}{24\pi^3} p_{K^0}^3 |f_+^K(q^2)|^2. \tag{2}$$

In this equation,  $p_{K^0}$  is the  $K^0$  momentum in the rest frame of the  $D_s^+$ ,  $G_F$  is the Fermi constant [3],  $|V_{cd}|$  is the CKM matrix element, and  $f_+^K(q^2)$  is the hadronic FF. To extract the FF parameters, we fit to the differential decay rates  $\Delta\Gamma_i$  measured in the  $q^2$  bins of [0.00, 0.35), [0.35, 0.70), [0.70, 1.05), [1.05, 1.40), and [1.40, 2.16) GeV/ $c^2$  by using the three theoretical parametrizations in Table II. A least- $\chi^2$  fit is performed accounting for correlations among  $q^2$  bins. We fix the pole mass  $m_{\rm pole}$  at the  $D^{*+}$  nominal mass [3]. The fits to the differential decay rate and projections of the fits onto  $f_+(q^2)$  for  $D_s^+ \to K^0 e^+ \nu_e$  are shown in Figs. 3(a) and 3(b), and the FF fit results are summarized in the third column of Table II. The systematic uncertainties in the extracted parameters are estimated as in Ref. [24]. These include the same systematic effects as the BF

measurements, along with the  $D_s^+$ -lifetime uncertainty. Using  $|V_{cd}|=0.22492\pm0.00050$  [3], we obtain  $f_+^K(0)$  as shown in the last column of Table II.

The differential decay rate of  $D_s^+ \to K^{*0} e^+ \nu_e$  depends on five variables:  $K\pi$  mass squared  $(m_{K\pi}^2)$ ,  $e^+ \nu_e$  mass squared  $(q^2)$ , the angle between the  $K^+$  and  $D_s^+$  momenta in the  $K\pi$  rest frame  $(\theta_K)$ , the angle between the  $\nu_e$  and  $D_s^+$  momenta in the  $e^+ \nu_e$  system  $(\theta_e)$ , and the acoplanarity angle between the  $K\pi$  and  $e^+ \nu_e$  decay planes  $(\chi)$ . The differential decay rate can be expressed in terms of three helicity amplitudes [27,28]:  $H_\pm(q^2) = (M_{D_s^+} + m_{K\pi})A_1(q^2) \mp [(2M_{D_s^+} P_{K\pi})/(M_{D_s^+} + M_{K\pi})]V(q^2)$  and  $H_0(q^2) = (1/2m_{K\pi}q)[(M_{D_s^+}^2 - m_{K\pi}^2 - q^2)(M_{D_s^+} + m_{K\pi})A_1(q^2) - [(4M_{D_s^+}^2 p_{K\pi}^2)/(M_{D_s^+} + M_{K\pi})]A_2(q^2)]$ , where  $p_{K\pi}$  is the

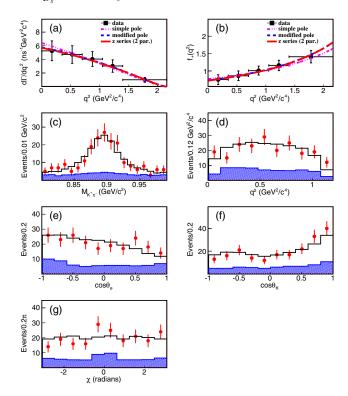


FIG. 3. (a) Fits to the differential decay rates and (b) projections onto  $f_+^K(q^2)$  for  $D_s^+ \to K^0 e^+ \nu_e$ . Projections onto (c)  $M_{K^+\pi^-}$ , (d)  $q^2$ , (e)  $\cos\theta_e$ , (f)  $\cos\theta_K$ , and (g)  $\chi$  for  $D_s^+ \to K^{*0} e^+ \nu_e$ . Dots with error bars are data. Curves in (a),(b) give the best fits with different FF parametrizations. Solid and shadowed histograms in (c)–(g) are the MC-simulated signal plus background and the MC-simulated background.

momentum of the  $K\pi$  system in the rest frame of the  $D_s^+$ , and  $V(q^2)$  and  $A_{1/2}(q^2)$  are the vector and axial FFs, respectively. Because  $A_1(q^2)$  is common to all three helicity amplitudes, it is natural to define the FF ratios  $r_V=V(0)/A_1(0)$  and  $r_2=A_2(0)/A_1(0)$ . The  $A_{1/2}(q^2)$  and  $V(q^2)$  are assumed to have simple pole forms,  $A_{1/2}(q^2)=A_{1/2}(0)/(1-q^2/M_A^2)$  and  $V(q^2)=V(0)/(1-q^2/M_V^2)$ , with pole masses  $M_V=M_{D^*(1^-)}=2.01~{\rm GeV}/c^2$  and  $M_A=M_{D^*(1^+)}=2.42~{\rm GeV}/c^2$  [3].

We perform a five-dimensional maximum likelihood fit in the space of  $M_{K^+\pi^-}^2$ ,  $q^2$ ,  $\cos\theta_e$ ,  $\cos\theta_K$ , and  $\chi$  for the  $D_s^+ \to K^{*0} e^+ \nu_e$  events within  $-0.15 < MM^2 < 0.15 GeV^2/c^4$ in a similar manner as Refs. [27,28]. We ignored the possible S-wave component in the  $K\pi$  system due to limited statistics. The projections of the fit onto  $M_{K^+\pi^-}^2$ ,  $q^2$ ,  $\cos \theta_e$ ,  $\cos \theta_K$ , and  $\chi$  are shown in Figs. 3(c)-3(g). In this fit, the  $K^{*0}$  Breit-Wigner function follows Ref. [27], with a mass and width fixed to those reported in Ref. [3]. We obtain  $r_V = 1.67 \pm 0.34 \text{(stat)}$  and  $r_2 =$  $0.77 \pm 0.28 (\text{stat})$ . The fit procedure has been validated by analyzing a large inclusive MC sample, and the pull distribution of each fitted parameter was consistent with a normal distribution. The systematic uncertainties in the FF ratio measurements are estimated by comparing the nominal values with those obtained after varying one source of uncertainty, as described in Ref. [22]. The systematic uncertainties in measuring  $r_V(r_2)$  arise mainly from the uncertainties related to tracking, PID, and photon detection (1.8%), the  $K^{*0}$  mass window (1.8%), the MM<sup>2</sup> signal region (8.7%), the  $E_{\gamma \max}$  requirement (1.2%), the  $M_{K^{*0}e^{+}}$  requirement (0.6%), background estimation (1.8%), and the  $K^{*0}$  Breit-Wigner line shape (0.3%). Combining all of these in quadrature, we find the systematic uncertainties in  $r_V$  and  $r_2$  of  $D_s^+ \to K^{*0} e^+ \nu_e$  to be 9.3% and 8.7%, respectively.

In summary, using 3.19 fb<sup>-1</sup> data collected at  $\sqrt{s}$  = 4.178 GeV by the BESIII detector, we measure the absolute BFs of  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  to be  $\mathcal{B}(D_s^+ \to K^0 e^+ \nu_e) = [3.25 \pm 0.38 (\mathrm{stat}) \pm 0.16 (\mathrm{syst})] \times 10^{-3}$  and  $\mathcal{B}(D_s^+ \to K^{*0} e^+ \nu_e) = [2.37 \pm 0.26 (\mathrm{stat}) \pm 0.20 (\mathrm{syst})] \times 10^{-3}$ . These are the most precise measurements to date. Theoretical predictions of these BFs range from  $2.0 \times 10^{-3}$  to  $3.9 \times 10^{-3}$  [23,29–33] for  $D_s^+ \to K^0 e^+ \nu_e$  and  $1.7 \times 10^{-3}$  to  $2.3 \times 10^{-3}$  [23,30–34] for  $D_s^+ \to K^{*0} e^+ \nu_e$ , respectively. Since the predicated BF  $2.0 \times 10^{-3}$  in Refs. [29,33] obtained from a double-pole model are more than 2 standard deviations away from the mean value of our measured  $\mathcal{B}(D_s^+ \to K^0 e^+ \nu_e)$ , thus, at a confidence level of 95%, our measurement disfavors this prediction.

By analyzing the dynamics of  $D_s^+ \to K^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  decays for the first time, we determine the FF of  $D_s^+ \to K^0 e^+ \nu_e$  to be  $f_+^K(0) = 0.720 \pm 0.084 (\text{stat}) \pm 0.013 (\text{syst})$  and the FF ratios of  $D_s^+ \to K^{*0} e^+ \nu_e$  to be

TABLE III. The ratios of the from factors.

	Values
$\frac{1}{f_{+}^{D_{s}^{+} \to K^{0}}(0)/f_{+}^{D^{+} \to \pi^{0}}(0)}$	$1.16 \pm 0.14(\text{stat}) \pm 0.02(\text{syst})$
$r_V^{D_s^+  o K^{*0}}/r_V^{D^+  o  ho^0}$	$1.13 \pm 0.26 (stat) \pm 0.11 (syst)$
$r_2^{D_s^+ \to K^{*0}} / r_2^{D^+ \to \rho^0}$	$0.93 \pm 0.36 (stat) \pm 0.10 (syst)$

 $r_V = 1.67 \pm 0.34 (\mathrm{stat}) \pm 0.16 (\mathrm{syst})$  and  $r_2 = 0.77 \pm 0.28 (\mathrm{stat}) \pm 0.07 (\mathrm{syst})$ . With the FF of  $D^+ \to \pi^0 e^+ \nu_e$  measured by BESIII [24] and that of  $D^+ \to \rho^0 e^+ \nu_e$  by CLEO [27], we calculate the ratios of the FFs of  $D_s^+ \to K^0 e^+ \nu_e$  to  $D^+ \to \pi^0 e^+ \nu_e$  and  $D_s^+ \to K^{*0} e^+ \nu_e$  to  $D^+ \to \rho^0 e^+ \nu_e$  decays, as shown in Table III, which are consistent with LQCD predictions [4] and the expectation of *U*-spin  $(d \leftrightarrow s)$  symmetry [35]. These measurements provide a first test of the LQCD prediction that the FFs are insensitive to spectator quarks, which has important implications when considering the corresponding *B* and  $B_s$  decays [4–6].

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