

Stark Many-Body Localization

M. Schulz,^{1,2} C. A. Hooley,¹ R. Moessner,² and F. Pollmann³

¹*SUPA, School of Physics and Astronomy, University of St. Andrews,
North Haugh, St. Andrews, Fife KY16 9SS, United Kingdom*

²*Max Planck Institute for the Physics of Complex Systems, Nöthnitzer Straße 38, 01187 Dresden, Germany*

³*Physics Department, Technical University of Munich, James-Frank-Straße 1, 85748 Garching, Germany*



(Received 3 August 2018; published 30 January 2019)

We consider spinless fermions on a finite one-dimensional lattice, interacting via nearest-neighbor repulsion and subject to a strong electric field. In the noninteracting case, due to Wannier-Stark localization, the single-particle wave functions are exponentially localized even though the model has no quenched disorder. We show that this system remains localized in the presence of interactions and exhibits physics analogous to models of conventional many-body localization (MBL). In particular, the entanglement entropy grows logarithmically with time after a quench, albeit with a slightly different functional form from the MBL case, and the level statistics of the many-body energy spectrum are Poissonian. We moreover predict that a quench experiment starting from a charge-density wave state would show results similar to those of Schreiber *et al.* [*Science* **349**, 842 (2015)].

DOI: [10.1103/PhysRevLett.122.040606](https://doi.org/10.1103/PhysRevLett.122.040606)

Introduction.—In the latter half of the twentieth century, pioneering work by Anderson [1] and collaborators [2] established that the eigenfunctions of a quantum particle moving in a disordered potential landscape in one or two dimensions are exponentially localized. In the beginning of the twenty-first century, it was shown [3–5] that this localization can persist even for finite densities of fermions with repulsive interparticle interactions, a phenomenon now termed many-body localization (MBL) [6].

Subsequent theoretical work has explored the disorder-driven transition from ergodic to MBL behavior in a variety of mostly one-dimensional models. Key results include the “*l* bit” picture of the MBL regime [7], the logarithmic growth of entanglement entropy following a quench into it [8–10], and the subdiffusive transport of spin and energy in the approach to the MBL transition from the ergodic side [11–14]. Significant progress has also been made in the experimental study of MBL in one- and two-dimensional systems of cold atoms [15–17].

It is natural to ask whether disorder is at all an essential ingredient for observing MBL phenomenology, or whether it is possible for thermalization to fail for reasons due to interactions alone. This can happen when the system is integrable [18,19], but such cases constitute isolated points in parameter space, with arbitrarily small generic variations in the Hamiltonian parameters restoring ergodicity. An important question is then whether there can be *robust* nonergodic phases in models without quenched disorder. There have so far been several proposals for this, ranging from models inspired by classical glassy physics [20,21] to variants of the Bose-Hubbard model [4,22] and models with mixed species [23]; however, there are also

counterarguments that the localization in some of these systems is a long-lived intermediate-time phenomenon which eventually yields to thermalization at very long times [24,25].

Here, we approach the question of MBL without disorder from a different angle, by introducing interactions into a single-particle model that exhibits Wannier-Stark localization [26]. It is instructive to compare the resulting physics, which we call Stark many-body localization, with the well-known many-body disorder localized (MBL) case. The comparison is interesting, because in both cases the single-particle eigenfunctions are exponentially localized in space, and thus one might expect at least some MBL signatures also to be present in the disorder-free Stark-MBL case.

Specifically, we study the properties of spinless fermions on a finite one-dimensional lattice. The fermions interact via nearest-neighbor repulsion, and we subject them to a strong electric field: either a strictly spatially uniform one, for which the noninteracting many-body spectrum contains many exact degeneracies, or a field with a slight spatial gradient, for which these degeneracies are lifted. The setup is depicted in Fig. 1.

For fields with slight spatial gradients, we find that Stark-MBL is robust and shares many similarities with MBL. Specifically, the entanglement entropy grows logarithmically with time after a quench (Fig. 2), and the many-body eigenenergies of the Stark-MBL problem generically show Poissonian level statistics (Fig. 3). We moreover predict the results in our Stark-MBL system of a quench experiment starting from a charge-density wave state of the type conducted in Ref. [15], and we obtain graphs similar to

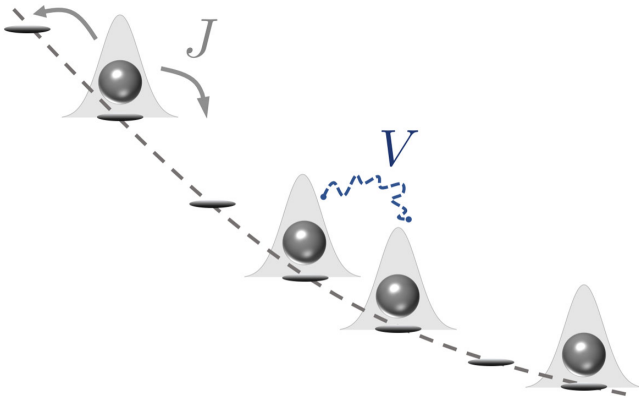


FIG. 1. Schematic illustration of our model. On a *finite* lattice in one dimension, the single-particle states of spinless fermions, which can delocalize via hopping J , are exponentially localized via a strong electric field. For a purely uniform field (i.e., a linear electric potential), this is usually referred to as Wannier-Stark localization [26]. When the particles interact via nearest-neighbor interactions V , they share many properties with the well-studied MBL phenomenology.

those seen in the MBL case. Interestingly, for a strictly uniform field [27], entropy and level statistics deviate from MBL phenomenology, which we attribute to exact degeneracies in the noninteracting spectrum.

Model.—The Hamiltonian of our model is

$$\hat{H} = \frac{J}{2} \sum_{j=0}^{L-2} (c_j^\dagger c_{j+1} + \text{H.c.}) + \sum_{j=0}^{L-1} W_j \left(n_j - \frac{1}{2} \right) + V \sum_{j=0}^{L-2} \left(n_j - \frac{1}{2} \right) \left(n_{j+1} - \frac{1}{2} \right). \quad (1)$$

Here the operator c_j^\dagger creates a fermion on lattice site j , and the associated number operator $n_j = c_j^\dagger c_j$. $J/2$ is the hopping matrix element between neighboring sites, V is the strength of the nearest-neighbor repulsion, and L is the number of sites on the lattice, the boundary conditions of which we take to be open.

W_j is the on-site potential energy due to the applied electric field. For a uniform field γ , it takes the form $W_j = -\gamma j$. For a nonuniform field, it acquires some curvature, $W_j = -\gamma j + \alpha j^2/L^2$. This means that the potential has the same value and slope as the linear one at $j = 0$, but differs at the other end of the chain by α from the purely linear case (see the inset of Fig. 2).

Entanglement entropy growth.—A quantity that is often used to diagnose and characterize MBL is the entanglement entropy. To calculate this, a spatial bipartition into two halves is made by cutting a particular bond. The reduced density matrix of the subsystem, ρ_{red} , is calculated, and the entropy determined via $S = -\text{tr}[\rho_{\text{red}} \log(\rho_{\text{red}})]$. Serbyn *et al.* [10] argued, on the basis of the general physical picture of

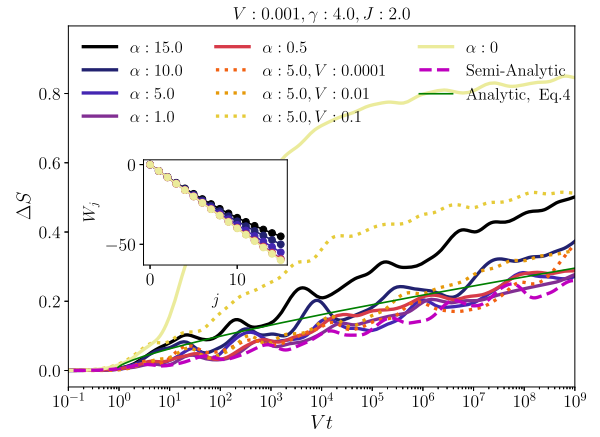


FIG. 2. Difference ΔS between the bipartite entanglement in the interacting and noninteracting cases. The parameter α denotes the cumulative effect of field nonuniformity on the potential at the end site. For sufficiently small α and suitably large field γ , there is good qualitative agreement with our semianalytic calculation (magenta dashed line) and full analytic calculation [green line and Eq. (4)]. For larger α , the entanglement growth becomes stronger than predicted due to the progressive delocalization of some of the single-particle orbitals as the position-dependent field gets weaker at the right-hand end of the chain (see right of inset). For the purely uniform field, there is an initial steep rise, which we attribute to additional degeneracies of the many-body spectrum. The numerical curves have been smoothed by convolution with a Gaussian, $w(n) = e^{-(n/\sigma)^2/2}$, with $\sigma = 4$. Inset: Potential used in the respective main curves.

“ l bits” in the MBL regime, that at long times the entropy should grow logarithmically with time, i.e., that $S(t) \sim S_0 \ln(Vt)$.

This argument uses the fact that the density profiles of the individual l bits are exponentially localized. We now show that the long-time entanglement growth in the Stark-MBL system behaves similarly, though with some modification reflecting the fact that the tails of Wannier-Stark-localized wave functions are not pure exponentials.

The functional form of these tails may be obtained from a semiclassical argument [29] in which the wave function is approximated by a WKB-like expression $\psi(x) \sim \exp[i \int^x k(x') dx']$, with $k(x)$ obtained from the condition of local energy conservation, $J \cos(ka) + V(x) = E$, where a is the lattice spacing. Our potential is to a good approximation $V(x) = -\gamma x$, and hence $k(x) = \frac{1}{a} \arccos[(\gamma x + E)/J]$. Taking x to be large and positive, the exponent in the WKB wave function is thus given by $-(1/a) \int^x \ln[(\gamma x')/J] dx' \approx -(x/a) \ln[(\gamma x)/J]$, where we have dropped a linear-in- x term that does not have a logarithm. Hence, the form of the tail of the wave function is

$$\psi(x) \sim \exp \left[-\frac{x}{a} \ln \left(\frac{\gamma x}{J} \right) \right]. \quad (2)$$

We now use Eq. (2) to derive the form of the entanglement entropy $S(t)$ at long times, in analogy to Ref. [10]. The matrix element of the nearest-neighbor repulsion between a pair of localized particles at distance x is approximately $V \exp\{-(x/a) \ln[(\gamma x)/J]\}$, corresponding to a dephasing time of

$$t_{\text{deph}} \approx V^{-1} \exp\left[\frac{x}{a} \ln\left(\frac{\gamma x}{J}\right)\right]. \quad (3)$$

This is the timescale on which the entanglement entropy due to this pair will rise from zero to its maximum value.

Now, consider the total entanglement entropy of the subsystem. This can be thought of as an entanglement front moving through the system from the cut as t increases. At time t , this front will have moved a distance $x(t)$, which is obtained by solving Eq. (3) for x . The entanglement entropy will thus be $S(t) = S_{\text{max}}\{[x(t)]/L\}$, where L is the length of the subsystem to the left of the cut. Solving Eq. (3) for $x(t)$, we obtain as entanglement entropy

$$S(t) = \frac{S_{\text{max}}}{L} \frac{a \ln(Vt)}{p\left(\frac{a\gamma \ln(Vt)}{J}\right)}, \quad (4)$$

where $p(u)$ is the solution of the equation $pe^p = u$.

We supplement this analytic approach by computing a ‘‘semianalytic’’ form for the entanglement entropy. For strong fields, $\gamma \gg J$, we may assume that the single-particle eigenfunctions of the Stark-localized states are restricted to three sites. We can thus write any initial state with fixed particle number M in fixed positions as

$$|\psi(t=0)\rangle = \sum_{\{Q\}} f_Q \beta_{Q(j_M)}^\dagger \beta_{Q(j_{M-1})}^\dagger \cdots \beta_{Q(j_2)}^\dagger \beta_{Q(j_1)}^\dagger |0\rangle, \quad (5)$$

where β_j^\dagger creates a fermion in the single-particle Stark-localized state centered at position j . Here Q is an operation that, for each original site label j_i , either moves it one place to the left, moves it one place to the right, or leaves it alone. The factor f_Q is given approximately by

$$f_Q \approx \left(\frac{J}{\gamma}\right)^{\lambda_Q + \rho_Q}, \quad (6)$$

where λ_Q counts the number of original site labels that are shifted to the left by Q , and ρ_Q the number that are shifted to the right.

The energy E_Q of every state component Q is composed of two parts: the electric potential energy, and the repulsive interaction energy of each pair of its constituent particles:

$$E_Q \approx E_0 + \gamma(\rho_Q - \lambda_Q) + \frac{V}{2} \sum_k \sum_{p \neq k} \left(\frac{J}{\gamma}\right)^{2|Q(j_k) - Q(j_p)| - 2}, \quad (7)$$

where E_0 is the energy of some reference state and the sums over k and p run from 1 to M .

Combining Eqs. (5) and (7), we obtain the initial state time-evolved to arbitrary times:

$$|\psi(t)\rangle = \sum_Q f_Q e^{-iE_Q t} \beta_{Q(j_M)}^\dagger \beta_{Q(j_{M-1})}^\dagger \cdots \beta_{Q(j_2)}^\dagger \beta_{Q(j_1)}^\dagger |0\rangle. \quad (8)$$

This allows us to calculate the time-evolved reduced density matrix of any subsystem, from which we obtain $S(t)$ via diagonalization. The results can be found in Fig. 2, and further details on the above calculation are found in Ref. [30].

Numerical simulations.—We now compare the above results against numerical simulations of the model (1). Hopping is set to $J = 2$, and we consider an $L = 16$ chain at half-filling. The field on one end has strength γ and decreases uniformly, so that the value of the potential on the final site of the chain differs by an amount α from its value in the purely linear case. We use all possible initial product states that do not have a particle on the two sites directly adjacent to the cut, which we choose to be at the middle bond. For $L = 16$, this amounts to 1225 states. Using exact diagonalization, we compute the time evolution for a given initial state, and obtain the entanglement entropy $S(t)$ for an equal bipartition of the lattice. We then average over all of the above mentioned initial states.

Our results for $\gamma = 4$ and various values of α are shown in Fig. 2. In order to show the effect of interactions, we display $\Delta \bar{S}(t) \equiv \bar{S}(t) - \bar{S}_0(t)$, where $S_0(t)$ is the entropy in the noninteracting case, and the bar denotes an average over the initial conditions as discussed above. Consistent with previous findings, the entanglement growth due to many-body dephasing effects commences at times $Vt \sim 1$. The curves scale as a function of Vt , until the interactions become strong enough to alter the eigenstates significantly.

For sufficiently nonuniform field, this growth continues logarithmically up to a maximum value, which is finite for a finite system size. This growth agrees qualitatively with our semianalytic calculation presented above, confirming our intuition that the physics of this system is well described by Eqs. (5) and (7). We have also compared the pure analytic calculation (4) against the numerics. Here S_{max} is taken to be the diagonal entropy S_{diag} resulting from the reduced density matrix obtained by Eq. (8). S_{diag} denotes the maximum achievable entropy for a given initial state, assuming that the interactions do not significantly change the form of the eigenstates [10,31].

In the case of a strictly linear potential, there is instead a steep rise of the entanglement entropy followed by slow growth. We attribute this to the many exact degeneracies in the noninteracting version of the problem, which arise from the fact that all two-particle states with the same center of mass are degenerate, and can therefore hybridize strongly.

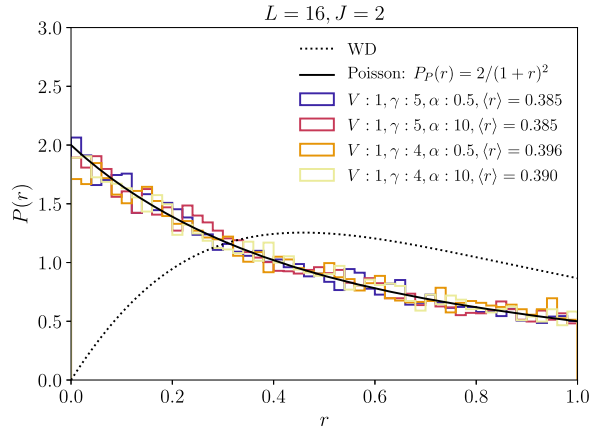


FIG. 3. The many-body level statistics for the case of nonzero α in the half-filled sector. For all displayed values of γ and α , the probability distribution of the gap-ratio parameter, $r_n = \min(\delta_n/\delta_{n+1}, \delta_{n+1}/\delta_n)$ [where δ_n is the gap between the n th and $(n-1)$ th energy eigenvalues], agrees with the prediction for Poisson level statistics expected for integrable or localized models. The predicted average gap-ratio parameter in this case is $\langle r \rangle_P = 0.3863$. For comparison, we have included the prediction for Wigner-Dyson (WD) statistics.

The logarithmic entanglement growth given by Eq. (4) can thus fail for two different reasons: too little nonuniformity, or too small a local field. The former allows delocalization of the two-particle states into a broad band, with a correspondingly short dephasing time, leading to steep initial growth of $\Delta\bar{S}(t)$. On the other hand, the latter causes the localization to weaken in parts of the chain, in turn making the accumulation of entanglement in that part stronger. The former case is shown in Fig. 2 for $\alpha = 0$, while the latter can be observed in the same panel for $\alpha = 15$. However, in between them, there is a large range of curvature values for which an MBL-like entanglement growth is observed.

Many-body level statistics.—A powerful and basis-independent diagnostic to determine whether a model is localized is its spectral statistics [32,33]. Figure 3 shows our exact diagonalization results for the gap-ratio parameter in a chain of length $L = 16$ with open boundary conditions, for a range of field strengths γ and gradients α in the half-filled sector. The gap-ratio parameter is defined as $r_n = \min(\delta_n/\delta_{n+1}, \delta_{n+1}/\delta_n)$, where δ_n is the gap between the n th and $(n-1)$ th energy eigenvalues. Plotting a histogram of the gap-ratio parameter eliminates a dependence on the density of states, such that we can use the whole spectrum as long as there is no mobility edge present. We have verified this, and the results are qualitatively the same for all ranges and energy densities used.

The probability distribution for Poisson statistics reads $P_P(r) = 2/(1+r)^2$, which is in excellent agreement with our results over most of our parameter range. In the case of very small or zero field gradient α , however, we find results that are consistent neither with Poissonian nor with

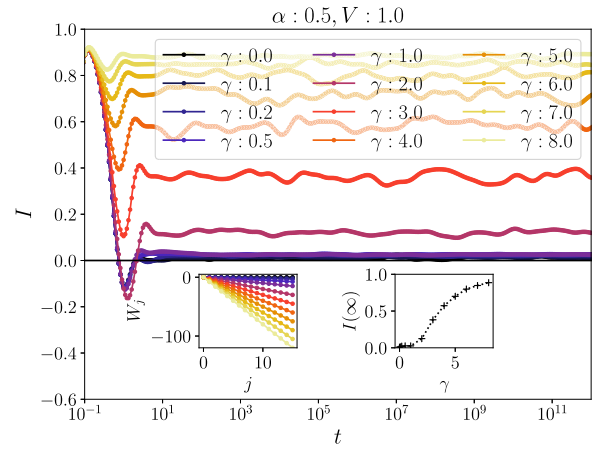


FIG. 4. The $L = 16$ study of a hypothetical imbalance experiment, where an initial charge-density wave relaxes under unitary time evolution. The different curves represent different field strengths γ with $\alpha = 0.5$. Inset left: Visualization of the corresponding potential. Inset right: Average imbalance \mathcal{I} at times $10^{13} \geq t/J \geq 10^{11}$. The numerical curves have been smoothed by convolution with a Gaussian, $w(n) = e^{-(n/\sigma)^2/2}$, with $\sigma = 4$.

Wigner-Dyson (WD) statistics. We attribute this to the many exact degeneracies in the noninteracting problem, creating a disproportionately large weight for small r_n in the many-body spectrum. Interestingly, we may further observe a crossover to WD level statistics for small uniform field strength γ . Results for both of these cases can be found in the Supplemental Material [30].

Quench from charge-density wave.—While the entanglement and spectral statistics are experimentally difficult to extract, there are other indicators of localization. One of the simplest setups consists of monitoring the relaxation of an initial charge-density wave (CDW) order, in which all even sites are occupied [15]. An associated observable is the imbalance \mathcal{I} between the occupation of odd and even sites, N_o and N_e , respectively:

$$\mathcal{I} = \frac{N_e - N_o}{N_e + N_o}. \quad (9)$$

In the ergodic, thermalizing case, it should quickly decay to zero, which it does in the case $\gamma = 0$ when there is no Wannier-Stark localization. For a many-body localized system, on the other hand, the value of the imbalance should remain nonzero up to infinite times.

In Fig. 4, we show exact diagonalization results of the time evolution of an initial CDW state under the unitary time evolution of Eq. (1). After initial transient behavior, the imbalance (9) remains nonzero for arbitrarily long times for sufficiently large fields.

Discussion.—In summary, we have shown that the experimentally natural case of a finite system in an electric field, a simple Wannier-Stark-localized system, shows properties that coincide with those of the MBL

phase. While the case of a purely uniform field, $\alpha = 0$, remarkably turns out to be a nongeneric limit, even moderate curvature gives consistent and robust MBL-like features. The bipartite entanglement entropy $S(t)$ exhibits a slow, logarithmic growth to a value much larger than that obtained in the noninteracting case. The spectral statistics, a dynamics-independent measure for localization, are Poissonian. And finally, localization seems equally persistent in a now-standard imbalance experiment, where the relaxation of CDW order is measured.

In the limit of large system sizes, the energy density can grow without bound on account of the unbounded potential implied by a uniform compound of the electric field. This precludes an asymptotic definition of exponential localization, which should, however, not pose a problem in practice given the attainability of short localization lengths. While the setup of Stark-MBL is quite different from conventional disorder MBL, the similar phenomenology is quite striking. The MBL phase is described in terms of l bits, while other disorder-free localized systems have also identified integrals of motion. Here, l bits emerge naturally and robustly as Stark locators, again without the use of any quenched disorder.

M. S. acknowledges financial support from the CM-CDT under EPSRC (UK) Grant No. EP/L015110/1. C. A. H. acknowledges financial support from the TOPNES program under EPSRC (UK) Grant No. EP/I031014/1. This research was supported in part by the National Science Foundation under Grant No. NSF PHY-1125915. Part of this work was performed at the Aspen Center for Physics, which is supported by National Science Foundation Grant No. PHY-1066293. F. P. acknowledges the support of the DFG Research Unit FOR1807 through Grants No. PO1370/2-1 and No. TRR80, the Nanosystems Initiative Munich (NIM) by the German Excellence Initiative, and the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program (Grant Agreement No. 771537).

Note added.—We recently became aware of the related work by van Nieuwenburg *et al.* [34].

-
- [1] P. W. Anderson, *Phys. Rev.* **109**, 1492 (1958).
 - [2] E. Abrahams, P. W. Anderson, D. C. Licciardello, and T. V. Ramakrishnan, *Phys. Rev. Lett.* **42**, 673 (1979).
 - [3] D. M. Basko, I. L. Aleiner, and B. L. Altshuler, *Ann. Phys. (Amsterdam)* **321**, 1126 (2006).
 - [4] I. V. Gornyi, A. D. Mirlin, and D. G. Polyakov, *Phys. Rev. Lett.* **95**, 206603 (2005).
 - [5] J. Z. Imbrie, *J. Stat. Phys.* **163**, 998 (2016).
 - [6] R. Nandkishore and D. A. Huse, *Annu. Rev. Condens. Matter Phys.* **6**, 15 (2015).
 - [7] D. A. Huse, R. Nandkishore, and V. Oganesyan, *Phys. Rev. B* **90**, 174202 (2014).

- [8] M. Žnidarič, T. Prosen, and P. Prelovšek, *Phys. Rev. B* **77**, 064426 (2008).
- [9] J. H. Bardarson, F. Pollmann, and J. E. Moore, *Phys. Rev. Lett.* **109**, 017202 (2012).
- [10] M. Serbyn, Z. Papić, and D. A. Abanin, *Phys. Rev. Lett.* **110**, 260601 (2013).
- [11] R. Vosk, D. A. Huse, and E. Altman, *Phys. Rev. X* **5**, 031032 (2015).
- [12] K. Agarwal, S. Gopalakrishnan, M. Knap, M. Müller, and E. Demler, *Phys. Rev. Lett.* **114**, 160401 (2015).
- [13] M. Žnidarič, A. Scardicchio, and V. K. Varma, *Phys. Rev. Lett.* **117**, 040601 (2016).
- [14] Y. Bar Lev, G. Cohen, and D. R. Reichman, *Phys. Rev. Lett.* **114**, 100601 (2015).
- [15] M. Schreiber, S. S. Hodgman, P. Bordia, H. P. Lüschen, M. H. Fischer, R. Vosk, E. Altman, U. Schneider, and I. Bloch, *Science* **349**, 842 (2015).
- [16] P. Bordia, H. Lüschen, S. Scherg, S. Gopalakrishnan, M. Knap, U. Schneider, and I. Bloch, *Phys. Rev. X* **7**, 041047 (2017).
- [17] J.-Y. Choi, S. Hild, J. Zeiher, P. Schauß, A. Rubio-Abadal, T. Yefsah, V. Khemani, D. A. Huse, I. Bloch, and C. Gross, *Science* **352**, 1547 (2016).
- [18] M. Rigol, V. Dunjko, V. Yurovsky, and M. Olshanii, *Phys. Rev. Lett.* **98**, 050405 (2007).
- [19] T. Kinoshita, T. Wenger, and D. S. Weiss, *Nature (London)* **440**, 900 (2006).
- [20] M. van Horssen, E. Levi, and J. P. Garrahan, *Phys. Rev. B* **92**, 100305(R) (2015).
- [21] J. M. Hickey, S. Genway, and J. P. Garrahan, *J. Stat. Mech. Theory Exp.* **5**, 054047 (2016).
- [22] W. De Roeck and F. Huveneers, *Commun. Math. Phys.* **332**, 1017 (2014).
- [23] A. Smith, J. Knolle, R. Moessner, and D. L. Kovrizhin, *Phys. Rev. Lett.* **119**, 176601 (2017).
- [24] N. Y. Yao, C. R. Laumann, J. I. Cirac, M. D. Lukin, and J. E. Moore, *Phys. Rev. Lett.* **117**, 240601 (2016).
- [25] A. Bols and W. De Roeck, *J. Math. Phys. (N.Y.)* **59**, 021901 (2018).
- [26] G. Wannier, *Rev. Mod. Phys.* **34**, 645 (1962).
- [27] For a treatment of a metal-insulator transition in a uniform electric field, see Ref. [28].
- [28] V. Prigodin and B. Altshuler, *Phys. Lett. A* **137**, 301 (1989).
- [29] C. Hooley and J. Quintanilla, *Phys. Rev. Lett.* **93**, 080404 (2004).
- [30] See Supplemental Material at <http://link.aps.org/supplemental/10.1103/PhysRevLett.122.040606> for details of the ‘semi-analytical’ calculation of the entanglement entropy, the behavior of the level statistics as the limit of a uniform field is approached, and the crossover from Wigner-Dyson to Poisson statistics as the field strength is increased from zero.
- [31] A. Polkovnikov, *Ann. Phys. (Amsterdam)* **326**, 486 (2011).
- [32] V. Oganesyan and D. A. Huse, *Phys. Rev. B* **75**, 155111 (2007).
- [33] D. J. Luitz, N. Laflorencie, and F. Alet, *Phys. Rev. B* **91**, 081103(R) (2015).
- [34] E. P. L. van Nieuwenburg, Y. Baum, and G. Refael, arXiv: 1808.00471.