

# Critical Level Crossings and Gapless Spin Liquid in the Square-Lattice Spin-1/2 $J_1 - J_2$ Heisenberg Antiferromagnet

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We use the density matrix renormalization group method to calculate several energy eigenvalues of the frustrated  $S = 1/2$  square-lattice  $J_1 - J_2$  Heisenberg model on  $2L \times L$  cylinders with  $L \leq 10$ . We identify excited-level crossings versus the coupling ratio  $g = J_2/J_1$  and study their drifts with the system size  $L$ . The lowest singlet-triplet and singlet-quintuplet crossings converge rapidly (with corrections  $\propto L^{-2}$ ) to different  $g$  values, and we argue that these correspond to ground-state transitions between the Néel antiferromagnet and a gapless spin liquid, at  $g_{c1} \approx 0.46$ , and between the spin liquid and a valence-bond solid at  $g_{c2} \approx 0.52$ . Previous studies of order parameters were not able to positively discriminate between an extended spin liquid phase and a critical point. We expect level-crossing analysis to be a generically powerful tool in density matrix renormalization group studies of quantum phase transitions.

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The spin-1/2 frustrated  $J_1 - J_2$  Heisenberg model on the two-dimensional (2D) square lattice (where  $J_1$  and  $J_2$  are the strengths of the first and second neighbor couplings  $\mathbf{S}_i \cdot \mathbf{S}_j$ , respectively) has been studied and debated since the early days of the high- $T_c$  cuprate superconductors [1–12]. The initial interest in the system stemmed from the proposal that frustrated antiferromagnetic (AFM) couplings could lead to a spin liquid (SL) in which preformed pairs (resonating valence bonds [13]) become superconducting upon doping [14,15]. Later, with frustrated quantum magnets emerging in their own right as an active research field [16], the  $J_1 - J_2$  model became a prototypical 2D system for theoretical and computational studies of quantum phase transitions and nonmagnetic states [17–33]. Of primary interest is the transition from the long-range Néel AFM ground state [34–36] at small  $g = J_2/J_1$  to a nonmagnetic state in a window around  $g \approx 0.5$  (before a stripe AFM phase at  $g \gtrsim 0.6$ ). The nature of this quantum phase transition has remained enigmatic [12,17–21], despite a large number of calculations with numerical tools of ever increasing sophistication, e.g., the density matrix renormalization group (DMRG) method [28,29,37,38], tensor-product states [20,21,30–33], and variational Monte Carlo calculations [27,39].

The nonmagnetic state may be one with spontaneously broken lattice symmetries due to formation of a pattern of singlets (a valence-bond solid, VBS) or a SL. Within these two classes of potential ground states there are several different proposals, e.g., a columnar [6,7,12] versus a plaquette [17,23,29,31] VBS, and gapless [27] or gapped [28] SLs. The quantum phase transition out of the AFM

state may possibly be an unconventional “deconfined” transition [40–42], which recently has been investigated primarily within other models [43–51] hosting direct AFM-VBS transitions. In the  $J_1 - J_2$  model, some studies have indicated that the nonmagnetic phase may actually comprise two different phases, with an entire gapless SL phase—not just a critical point—existing between the AFM and VBS states [29,39]. However, because of the small system sizes accessible, it was not possible to rule out a direct AFM-VBS transition. We here demonstrate an intervening gapless SL by locating the AFM-SL and SL-VBS transitions using a numerical level-spectroscopy approach, where finite-size transition points are defined using excited-level crossings. These crossing points exhibit smooth size dependence and can be more reliably extrapolated to infinite size than the order parameters and gaps used in past studies.

We use a variant of the DMRG method [37,38,52,53] to calculate the ground-state energy as well as several of the lowest singlet, triplet, and quintuplet excited energies. In the AFM state, the lowest excitation above the singlet ground state in a finite system with an even number of sites is a triplet—the lowest state in the Anderson tower of “quantum rotor” states [34]. If the nonmagnetic ground state is a degenerate singlet when the system length  $L \rightarrow \infty$ , as it should be in both a VBS and a topological (gapped) SL, there must be a crossing of the lowest singlet and triplet excitation at a point  $g(L)$  that approaches  $g_c$  with increasing  $L$ . This is indeed observed at the dimerization transition of the 1D  $J_1 - J_2$  chain [54–56] and related systems [57,58], and size extrapolations give

$g_c$  to remarkable precision, even with system sizes only up to  $L \approx 30$ . A level crossing with the same finite-size behavior was observed recently also in the 2D  $J$ - $Q$  model [59], which is a Heisenberg model supplemented by four-spin interactions causing an AFM-VBS transition [43–49], likely a deconfined quantum-critical point with unusual scaling properties [50]. It is then natural to investigate level crossings also in the 2D  $J_1$ - $J_2$  model.

We will demonstrate a singlet-triplet level crossing in the  $J_1$ - $J_2$  model which for  $2L \times L$  cylindrical lattices shifts as  $g_{c2} - g_{c2}(L) \propto L^{-2}$  and converges to  $g_{c2} \approx 0.52$ . We also observe a singlet-quintuplet level crossing, which converges to a different point,  $g_{c1} \approx 0.46$ . Given the known transitions associated with singlet-triplet crossings, and that a singlet-quintuplet crossing was found at the transition between the critical and AFM states in a Heisenberg chain with long-range interactions [56,60], we interpret both  $g_{c1}$  and  $g_{c2}$  as quantum-critical points. For  $g_{c1} \leq g \leq g_{c2}$  the system appears to be a gapless SL with algebraically decaying correlations, as in one of the scenarios proposed in Refs. [29,39] (and previously discussed also in Ref. [62]). Our value of  $g_{c1}$  is in the middle of the range  $g = 0.4 \sim 0.5$  where most recent studies have put the end of the AFM phase [27–29,39], and  $g_{c2}$  is close to the VBS-ordering point in Refs. [29,39].

*DMRG calculations.*—The DMRG method [37] is a powerful tool for computing the ground state  $|\psi_0\rangle$  of a many-body Hamiltonian. By solving a Hamiltonian  $H_{\text{eff}}$  in a relevant low-entangled subspace of the full Hilbert space, one can obtain an effective wave function, through which the most relevant subspace is selected for the next iteration. A series of such subspace projectors produces the ground state as a matrix product state (MPS); i.e., the wave function coefficients are traces of products of local matrices of chosen size  $m$  [38,63].

The lowest excited state  $|\psi_1\rangle$  can also be targeted with DMRG [53], provided that  $|\psi_0\rangle$  has been precalculated. The only difference from a ground-state DMRG algorithm is that one has to maintain the orthogonality condition  $\langle \psi_1 | \psi_0 \rangle = 0$  at each step. Upon reformulating the Hamiltonian for the lowest excited state as  $H_1 = H - \lambda_0 |\psi_0\rangle\langle \psi_0|$ , where  $\lambda_0$  is the eigenvalue of  $H$  corresponding to  $|\psi_0\rangle$ , one can write down the effective Hamiltonian equation in the DMRG procedure as

$$[U_1^\dagger (H - \lambda_0 |\psi_0\rangle\langle \psi_0|) U_1] U_1^\dagger |\psi_1\rangle = \lambda_1 U_1^\dagger |\psi_1\rangle, \quad (1)$$

where  $U_1$  projects onto the canonical MPS [38] for  $|\psi_1\rangle$  without the center two sites, as illustrated in Fig. 1, and  $\lambda_1$  is the eigenvalue for  $|\psi_1\rangle$ . We can therefore define an effective Hamiltonian  $H_{\text{eff}}^1 \equiv U_1^\dagger (H - \lambda_0 |\psi_0\rangle\langle \psi_0|) U_1$ .

Similarly, given that  $|\psi_i\rangle$  for all  $i < j$  ( $\lambda_i < \lambda_j$ ) have been precalculated, we observe that one can compute the next eigenstate  $j$  as an MPS with a given number of kept Schmidt states  $m$  using a modified Hamiltonian

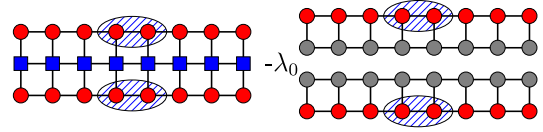


FIG. 1. Illustration of the effective Hamiltonian  $H_{\text{eff}}^1$  in Eq. (1). Red and gray circles represent the targeted state  $|\psi_1\rangle$  and the ground state  $|\psi_0\rangle$ , respectively, and the blue squares show the original Hamiltonian as a matrix-product operator. The hatched area represents  $U_1^\dagger |\psi_1\rangle$ , where  $U_1$  projects to the canonical MPS for  $|\psi_1\rangle$  without the hatched area.

$$H_j = H - \sum_{i=0}^{j-1} \lambda_i |\psi_i\rangle\langle \psi_i|. \quad (2)$$

Here  $H_{\text{eff}}^j U_j^\dagger |\psi_j\rangle = \lambda_j U_j^\dagger |\psi_j\rangle$  as in Eq. (1). In practice such a DMRG scheme will break down (i.e., unreasonably large  $m$  has to be used) when the eigenstates far from the bottom of the spectrum begin to violate the area law.

The  $2L \times L$  cylinder geometry, with open and periodic boundaries in the  $x$  and  $y$  direction, respectively, is known to be suitable for 2D DMRG calculations [64] and we use it here for even  $L$  up to 10. We employ the DMRG with either U(1) (the total spin  $z$  component  $S^z$  is conserved) or SU(2) symmetry. With U(1) symmetry, we generate up to ten  $S^z = 0$  states and obtain the total spin  $S$  by computing the expectation value of  $S^2$ .

An advantage of focusing on the level spectrum is the well-known fact that the energy converges much faster with the number  $m$  of Schmidt states than other physical observables, and also as a function of the number of sweeps in the DMRG procedure. We here apply very stringent convergence criteria and also extrapolate away the remaining finite- $m$  errors based on calculations for several values of  $m$  up to  $m = 12000$  with U(1) symmetry and  $m = 5000$  with SU(2) symmetry. The DMRG procedures and extrapolations are further discussed in Supplemental Material [61].

*Results.*—Figure 2 shows two singlet gaps and the lowest triplet and quintuplet gaps versus  $g$  in and close to the nonmagnetic regime. The main graph shows results for  $L = 10$ . One of the singlet gaps decreases rapidly with increasing  $g$ , crossing the other three levels. This is the lowest singlet excitation starting from  $g \approx 0.42$ , after crossing the other singlet (which has other quantum numbers related to the lattice symmetries) that is lower in what we will argue is the AFM phase. The insets of Fig. 2 show results also for  $L = 6$  and 8 in the region around the level crossings that we will analyze (the higher gaps for  $L = 4$  are not shown for clarity). Using polynomial fits to the DMRG data points, we extract crossing points  $g_{c1}(L)$  between the singlet and the quintuplet, as well as  $g_{c2}(L)$  between the singlet and the triplet. The singlet-singlet crossings taking place close to  $g_{c1}(L)$  are discussed in the Supplemental Material [61]; their size dependence is

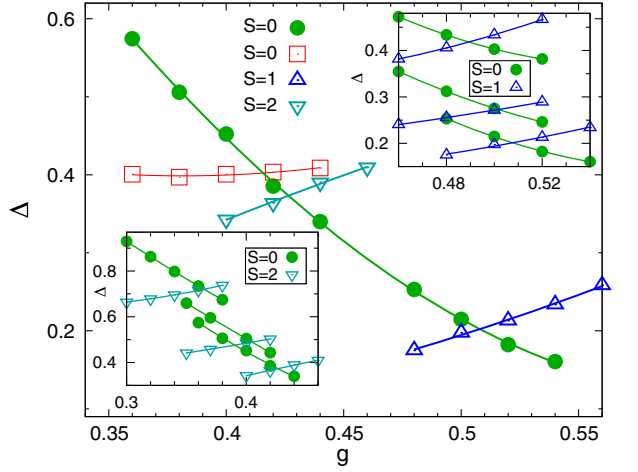


FIG. 2. Gaps to the relevant  $S = 0, 1,$  and  $2$  excitations vs  $g$  for  $L = 10$ . The insets show the regions of the level crossings of interest for  $L = 6, 8, 10$  (gaps decreasing with increasing  $L$ ). The curves show polynomial fits.

similar to  $g_{c1}(L)$ . For  $g \gtrsim g_{c1}(L)$  there are also other levels in the energy range of Fig. 2, including singlets, but the  $S = 0, 1, 2$  gaps graphed are the lowest with these spins up to and beyond the largest  $g$  shown.

As  $L$  increases the two sets of crossing points drift toward two different asymptotic values. For the singlet-triplet crossings, we have considered different extrapolation procedures with  $g_{c2}(L)$ , all of which deliver  $g_{c2} \approx 0.52$  when  $L \rightarrow \infty$ . It is natural to test whether the finite-size correction to  $g_{c2}$  is consistent with the  $L^{-2}$  drift in the frustrated Heisenberg chain [54–56], a behavior also found in the 2D  $J$ - $Q$  model in Ref. [59]. In Fig. 3(a) we graph the data versus  $L^{-2}$  along with a line drawn through the  $L = 8$  and  $L = 10$  points, as well as a fitted curve including a higher-order correction. Although we have only four points and there are three free parameters, it is not guaranteed that the fit should match the data as well as it does. With a leading  $L^{-1}$  correction the best fit is far from good. Therefore, we take the former fit as evidence that the asymptotic drift is at least very close to  $L^{-2}$ . The fit with the subleading correction in Fig. 3(a) gives  $g_{c2} = 0.519$ , a minute change from the straight-line extrapolation. Based on the differences between the two extrapolations and roughly estimated errors on the individual crossing points (which arise from the DMRG extrapolations, as discussed in Supplemental Material [61]), the final result is  $g_{c2} = 0.519 \pm 0.002$ .

Plotting the singlet-quintuplet crossing points in the same graph in Fig. 3(a), the overall behavior is similar to the singlet-triplet points, but it is clear that they do not drift as far as to  $g_{c2}$ . We find that the  $L^{-2}$  form applies also here; see the Supplemental Material [61] for further analysis of the corrections for both  $g_{c1}$  and  $g_{c2}$ . A rough extrapolation by a line drawn through the  $L = 8$

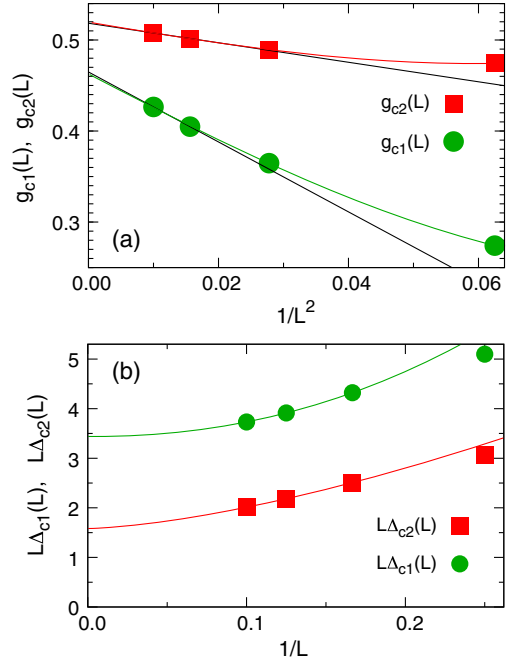


FIG. 3. (a) The gap-crossing points from Fig. 2 graphed vs  $L^{-2}$ . For the singlet-triplet (red squares) and singlet-quintuplet (green circles) data sets, the black lines go through the  $L = 8, 10$  points, while the colored curves are of the form  $g_c(L) = g_c(\infty) + aL^{-2}(1 + bL^{-\omega})$  with  $g_{c2}(\infty) \approx 0.519, g_{c1}(\infty) \approx 0.463$ , and  $\omega \approx 4$ . (b) Size-scaled gaps at the singlet-quintuplet ( $\Delta_{c1}$ ) and singlet-triplet ( $\Delta_{c2}$ ) crossing points along with fits of the form  $L\Delta(L) = c + dL^{-\sigma}$ , where  $\sigma_1 \approx 2$  and  $\sigma_2 \approx 1.5$ .

and  $L = 10$  points gives  $g_{c1} \approx 0.465$ , and when including a correction, of the same form as in the singlet-triplet case, the extrapolated value moves only slightly down to  $g_{c1} \approx 0.463$ . Based on this analysis we conclude that  $g_{c1} = 0.463 \pm 0.002$ .

In Fig. 3(b) we analyze the crossing gaps, multiplied by  $L$  in order to make clearly visible the leading behavior and well-behaved corrections. All gaps close as  $L^{-1}$ , i.e., the dynamic exponent  $z = 1$  at both critical points. We have also analyzed the gaps in the regime  $g_{c1} < g < g_{c2}$  (not shown), and it appears that the lowest  $S = 0, 1, 2$  gaps all scale as  $L^{-1}$  throughout. This phase should therefore be a gapless (algebraic) SL, instead of a  $Z_2$  SL with nonzero triplet gap for  $L \rightarrow \infty$  [28] and singlet gap vanishing exponentially (due to topological degeneracy).

The point  $g_{c2} \approx 0.52$  is higher than almost all previous results reported for the point beyond which the AFM order vanishes, but it is close to where recent works have suggested a transition from a gapless SL into a VBS [29,39]. If there indeed is a gapless SL intervening between the AFM and the VBS phases and its lowest excitation is a triplet (as is the case, e.g., in the critical Heisenberg chain), then a singlet-triplet crossing is indeed expected at the SL-VBS transition, since the triplet is gapped and the ground state is degenerate in the VBS phase.



To interpret the singlet-quintuplet crossing at  $g_{c1} \approx 0.46$ , we again note that the nature of the low-lying gapless excitations reflect the properties of the ground state, and a ground-state transition can be accompanied by rearrangements of levels across sectors or within a sector of fixed total spin. A singlet-quintuplet crossing is indeed present at the transition between a critical Heisenberg state (an 1D algebraic SL) and a long-range AFM state in a spin chain with long-range unfrustrated interactions and either unfrustrated [65] or frustrated [56,60] short-range interactions, as we discuss further in the Supplemental Material [61]. This analogy, and the fact that  $g_{c1}$  is close to where many previous works have located the end of the AFM phase (as we also show below and in Supplemental Material [61]), provides compelling evidence for the association of the singlet-quintuplet crossing with the AFM-SL transition. Furthermore, the  $S = 2$  quantum rotor state in the AFM state has gap  $\propto L^{-2}$ , while at  $g_{c1}$  it scales as  $L^{-1}$  according to Fig. 3. Thus, at this point (and for higher  $g$ ) the level spectrum is incompatible with AFM order.

We also computed the squared AFM order parameter (sublattice magnetization per spin)  $\langle m_s^2 \rangle$  in the putative SL phase, with  $\mathbf{m}_s$  defined on the central  $L \times L$  part of the  $2L \times L$  system (here with  $L$  up to 12). Since we mainly focused on the excited energies, we did not push the ground-state  $\langle m_s^2 \rangle$  calculations to as large  $L$  as in some past works [28,29]. To complement our own data, we therefore also use  $L = 14$  results from Ref. [29]. In cases where we have data for the same parameter values, our results agree to within 0.2%. We fit the data to power laws with a correction,  $\langle m_s^2 \rangle = bL^{-\alpha}(1 - cL^{-\omega})$ , where acceptable values of  $\omega$  span the range  $\omega \approx 0.2 \sim 1.5$  and the exponent  $\alpha$  changes somewhat when varying  $\omega$ . In Fig. 4 we show examples of fits with  $\omega = 0.5$ . We find that  $\alpha$  increases with

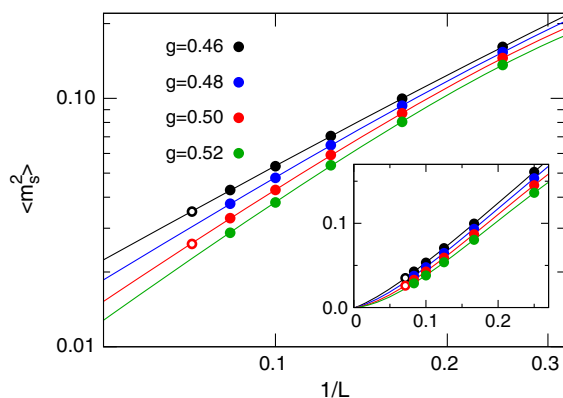


FIG. 4. Log-log plot of  $\langle m_s^2 \rangle$  vs  $L^{-1}$ . The curves are of the form  $\langle m_s^2 \rangle = bL^{-\alpha}(1 - cL^{-\omega})$  with  $\omega = 0.5$ . The leading exponent, with errors estimated by changing  $\omega$  within its range of good fits, are  $\alpha = 1.35 \pm 0.05$  ( $g = 0.46$ ),  $1.53 \pm 0.08$  ( $g = 0.48$ ),  $1.69 \pm 0.10$  ( $g = 0.50$ ), and  $1.78 \pm 0.12$  ( $g = 0.52$ ). The inset shows the same data on a linear scale. The  $L = 14$  data (open circles) are from Ref. [29].

$g$ , from  $\alpha \approx 1.3$  at  $g = 0.46$  to  $\alpha \approx 1.8$  at  $g = 0.52$ . We have also tried to fix  $\alpha$  to a common value for all  $g$ , but this does not produce good fits. We therefore agree with previous claims [29,39] that the exponent depends on  $g$ . At  $g = 0.5$ , our result  $\alpha \approx 1.7 \pm 0.1$  is larger than the value 1.44 reported in Ref. [29], with the difference explained by the correction used here. The result agrees well with  $\alpha = 1.53 \pm 0.09$  from variational Monte Carlo calculations [39], and a similar value was also reported with a projected entangled pair state ansatz [21]. In the Supplemental Material [61] we provide further analysis showing that the AFM order vanishes at the extrapolated level-crossing point  $g_{c1} \approx 0.46$ .

*Discussion.*—Our level-crossing analysis in combination with results for the sublattice magnetization shows consistently that the AFM phase ends at  $g_{c1} \approx 0.46$  and a gapless SL phase exists between this value and  $g_{c2} \approx 0.52$ . In the level-crossing approach the finite-size transition points are sharply defined and the convergence with system size is rapid, with corrections vanishing as  $L^{-2}$  (or possibly  $L^{-a}$  with  $a \approx 2$ ). Our results in Fig. 3(a) leave little doubt that the singlet-quintuplet and singlet-triplet crossings converge to different points, while we would expect convergence to the same point if there is no SL between the AFM and VBS phases, as we demonstrate explicitly in the Supplemental Material [61] in the case of the  $J$ - $Q$  model. The behavior of the spin correlations and the gaps implies a gapless SL with power-law decaying spin correlations. In the region  $0.52 < g < 0.62$ , between the SL and the stripe-AFM, our calculations of excited states reveal many low-lying singlets, and we have been able to map them [66] onto the quasidegenerate levels expected for a columnar [39] VBS state.

The AFM-SL and SL-VBS phase boundaries are in rough agreement with two recent works discussing a gapless SL phase followed by a VBS [29,39], and the lower boundary agrees well with a Lanczos-improved variational Monte Carlo calculation [27]. Many other past studies have located the end of the AFM order close to the same value. A recent exception is an infinite-size tensor calculation [33] where the AFM order ends close to our  $g_{c2}$  point. However, the infinite-size approach is not unbiased but depends on details of how the environment tensors are constructed. The DMRG calculations, here and in Ref. [29], are unbiased for finite size if the convergence is checked carefully, and completely exclude AFM order beyond our  $g_{c1}$  value.

As far as we are aware, the critical singlet-quintuplet crossing found here (and the singlet-singlet crossing in the Supplemental Material [61]) has not previously been discussed in the 2D context. This level crossing has been considered in 1D [56,60], and in the Supplemental Material [61] we present additional evidence of its association with the AFM-SL transition. The physical origin of the level crossing deserves further study. The detailed information

we have obtained on the evolution of the low-energy levels in 2D should be useful for discriminating between different field theoretical descriptions of the phase transitions and the SL phase.

We expect that level crossings are common at 2D quantum phase transitions, as they are in 1D. Our work suggests that the best way to use 2D DMRG in studies of quantum criticality is to first look for and analyze level crossings to extract critical points, and then study order parameters (conventional or topological) at this point and in the phases. In principle the DMRG procedures that we have employed here can also be extended to more detailed level-spectroscopy studies [59,67].

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