Shiraishi and Mori Reply The preceding Comment by Mondaini *et al.* [1] on our Letter [2] raised two issues. One is on the notion of the eigenstate thermalization hypothesis (ETH), and the other is on our inappropriate description in the introduction. As for the second issue, we agree with this comment (this is our misdescription [3]). In this Reply, we concentrate on the first issue, and we argue that our formulation of the ETH is a standard and natural one in the context of thermalization and that our results are qualitatively new and nontrivial.

Let us start by distinguishing the *diagonal ETH* and the *off-diagonal ETH* for a given macroscopic observable. The diagonal ETH has an established definition that the eigenstate expectation values are described by a smooth function of energy. In contrast, there exist several definitions of the off-diagonal ETH [4–7] (see [3]). Although the diagonal ETH and the off-diagonal ETH naturally appear simultaneously in the random matrix and quantum chaos theory [4,5], these two have completely different roles and properties in the context of thermalization. In this context, the word "ETH" often means the diagonal ETH [8–17], and our Letter [2] follows this convention.

We now go back to the Comment. Mondaini *et al.* consider a system with no local conserved quantity (LCQ) but with nonlocal conserved quantities associated with some symmetries. Correspondingly, the Hilbert space is divided into several symmetry sectors. Throughout this Reply, we treat this type of system [3]. The authors' interest is in the ETH in the whole Hilbert space (i.e., all sectors) and that in each sector. We label them as (d1): the diagonal ETH in the whole Hilbert space, (o2): the off-diagonal ETH in the whole Hilbert space, (o2): the off-diagonal ETH in each sector.

The Comment numerically shows that both (d1) and (o2) hold, but (o1) is violated. On the basis of this, Mondaini *et al.* claim that the ETH should be considered in each sector, and the violation of (d1) in systems with no LCQ is not surprising. We agree that the property of the off-diagonal ETH depends on the specific sector. However, the argument below asserts that the diagonal ETH should be considered in the whole Hilbert space in the context of thermalization, and that the violation of (d1), which is the main claim of our Letter [2], is nontrivial and unexpected before us.

We now argue that the roles of the diagonal and offdiagonal ETH in thermalization are completely different, and there are good reasons to put emphasis on (d1). An initial state thermalizes if and only if (i) the long-time average of a macroscopic observable is equal to that of a microcanonical ensemble and (ii) its time fluctuation is small. The diagonal ETH concerns (i) and the off-diagonal ETH concerns (ii). In fact, the off-diagonal ETH ensures (ii) [5]. However, (ii) is also ensured by largeness of the effective dimension D_{eff} of the initial state. The time-series fluctuation is proven to be bounded above by of order $O(1/\sqrt{D_{\text{eff}}})$ [18–20]. It is numerically shown [21] and theoretically proven [22] that a generic initial state has a sufficiently large effective dimension. Thus, (ii) generically realizes even without the off-diagonal ETH, and therefore the main subject in the field of thermalization has been whether (i) holds.

We emphasize that the diagonal ETH in the sense of (d1) guarantees (i) [16,17], while (d2) does not [23]. Therefore, if one wants to derive thermalization via ETH, the ETH should be interpreted as (d1), against the interpretation by Mondaini *et al.* Importantly, previous numerical studies [8–10,24], including Mondaini *et al.* [1], have shown that (d1) holds in systems with no LCQ.

Taking these backgrounds into consideration, our results [2,25]—a model with no LCQ violates (d1) and this model without (d1) thermalizes after quench—are highly non-trivial and important for the research of thermalization. We emphasize that contrary to Mondaini *et al.* [1], the generic violation of (o1) never implies the violation of (d1), because generic systems with no LCQ violate (o1) but satisfy (d1) [26]. Their numerical result on (o1), which might be interesting by itself, is irrelevant to our Letter [2].

Naoto Shiraishi

Department of Physics, Keio University 3-14-1 Hiyoshi, Yokohama, Japan Takashi Mori Department of Physics, The University of Tokyo 7-3-1 Hongo, Bunkyo-ku, Tokyo, Japan

Received 5 December 2017; revised manuscript received
12 June 2018; published 17 July 2018

DOI: 10.1103/PhysRevLett.121.038902

- R. Mondaini, K. Mallayya, L. F. Santos, and M. Rigol, preceding Comment, Comment on "Systematic Construction of Counterexamples to the Eigenstate Thermalization Hypothesis", Phys. Rev. Lett. **121**, 038901 (2018).
- [2] N. Shiraishi and T. Mori, Systematic Construction of Counterexamples to Eigenstate Thermalization Hypothesis, Phys. Rev. Lett. **119**, 030601 (2017).
- [3] See N. Shiraishi and T. Mori, Reply to "Comment on "Systematic Construction of Counterexamples to the Eigenstate Thermalization Hypothesis"" by Mondaini *et al.* arXiv:1712.01999 for detailed explanations.
- [4] M. Srednicki, Thermal fluctuations in quantized chaotic systems, J. Phys. A 29, L75 (1996).
- [5] L. D'Alessio, Y. Kafri, A. Polkovnikov, and M. Rigol, From quantum chaos and eigenstate thermalization to statistical mechanics and thermodynamics, Adv. Phys. 65, 239 (2016).
- [6] S. Goldstein, D. A. Huse, J. L. Lebowitz, and R. Tumulka, Macroscopic and microscopic thermal equilibrium, Ann. Phys. (Berlin) 529, 1600301 (2017).
- [7] F. Anza, C. Gogolin, and M. Huber, Degenerate observables and the many Eigenstate Thermalization Hypotheses, Phys. Rev. Lett. **120**, 150603 (2018).

- [8] H. Kim, T. N. Ikeda, and D. A. Huse, Testing whether all eigenstates obey the eigenstate thermalization hypothesis, Phys. Rev. E 90, 052105 (2014).
- [9] W. Beugeling, R. Moessner, and M. Haque, Finite-size scaling of eigenstate thermalization, Phys. Rev. E 89, 042112 (2014).
- [10] S. Sorg, L. Vidmar, L. Pollet, and F. Heidrich-Meisner, Relaxation and thermalization in the one-dimensional Bose-Hubbard model: A case study for the interaction quantum quench from the atomic limit, Phys. Rev. A 90, 033606 (2014).
- [11] M. Rigol, V. Dunjko, and M. Olshanii, Thermalization and its mechanism for generic isolated quantum systems, Nature (London) 452, 854 (2008).
- [12] G. Biroli, C. Kollath, and A. M. Läuchli, Effect of Rare Fluctuations on the Thermalization of Isolated Quantum Systems, Phys. Rev. Lett. **105**, 250401 (2010).
- [13] J. Sirker, N. P. Konstantinidis, F. Andraschko, and N. Sedlmayr, Locality and thermalization in closed quantum systems, Phys. Rev. E 89, 042104 (2014).
- [14] V. Khemani, A. Chandran, H. Kim, and S. L. Sondhi, Eigenstate thermalization and representative states on subsystems, Phys. Rev. E 90, 052133 (2014).
- [15] G. De Palma, A. Serafini, V. Giovannetti, and M. Cramer, Necessity of Eigenstate Thermalization, Phys. Rev. Lett. 115, 220401 (2015).
- [16] H. Tasaki, Typicality of thermal equilibrium and thermalization in isolated macroscopic quantum systems, J. Stat. Phys. 163, 937 (2016).
- [17] C. Gogolin and J. Eisert, Equilibration, thermalisation, and the emergence of statistical mechanics in closed quantum systems, Rep. Prog. Phys. **79**, 056001 (2016).

- [18] P. Reimann, Foundation of Statistical Mechanics under Experimentally Realistic Conditions, Phys. Rev. Lett. 101, 190403 (2008).
- [19] N. Linden, S. Popescu, A. J. Short, and A. Winter, Quantum mechanical evolution towards thermal equilibrium, Phys. Rev. E 79, 061103 (2009).
- [20] A. J. Short and T. C. Farrelly, Quantum equilibration in finite time, New J. Phys. 14, 013063 (2012).
- [21] M. Rigol, Fundamental Asymmetry in Quenches Between Integrable and Nonintegrable Systems, Phys. Rev. Lett. 116, 100601 (2016).
- [22] T. Farrelly, F. G. S. L. Brandao, and M. Cramer, Thermalization and Return to Equilibrium on Finite Quantum Lattice Systems, Phys. Rev. Lett. **118**, 140601 (2017).
- [23] If only (d2) holds and (d1) does not hold, an initial state with non-negligible overlaps with several symmetry sectors does not necessarily thermalize.
- [24] L. F. Santos and M. Rigol, Localization and the effects of symmetries in the thermalization properties of onedimensional quantum systems, Phys. Rev. E 82, 031130 (2010).
- [25] T. Mori and N. Shiraishi, Thermalization without eigenstate thermalization hypothesis after a quantum quench, Phys. Rev. E 96, 022153 (2017).
- [26] The violation of (d1) is seen only when the system is highly elaborated [2,25,27,28], and no generic violation of (d1) has been reported.
- [27] S. Moudgalya, S. Rachel, B. A. Bernevig, and N. Regnault, Exact excited states of non-integrable models, arXiv: 1708.05021.
- [28] N. Shiraishi, Analytic model of thermalization: Quantum emulation of classical cellular automata, Phys. Rev. E 97, 062144 (2018).