

Infinite Set of Soft Theorems in Gauge-Gravity Theories as Ward-Takahashi Identities

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We show that the soft photon, gluon, and graviton theorems can be understood as the Ward-Takahashi identities of large gauge transformation, i.e., diffeomorphism that does not fall off at spatial infinity. We found infinitely many new identities which constrain the higher order soft behavior of the gauge bosons and gravitons in scattering amplitudes of gauge and gravity theories. Diagrammatic representations of these soft theorems are presented.

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Introduction.—The last few decades have witnessed a remarkable synergy between particle physics and cosmology. While the objects of interest are vastly different, the tools to study them often share a great deal of similarity. A recent example of this synergy is the notion of “cosmological collider physics” [1]. Just as we extract particles’ interactions from scattering in colliders, we can extract the interactions governing the early Universe from the correlations of primordial fluctuations that seed cosmic structure formation. Thus, N -point corrections ($N \geq 3$) of the curvature perturbation (also known as non-Gaussianities) play a similar role as scattering amplitudes in particle physics.

Another commonality between particle physics and cosmology is that they are both populated with a myriad of theories, and the challenge is to zero in on the right ones. In this regard, results based on symmetries are especially powerful as they allow us to test and discriminate broad classes of theories in a model-independent manner. Soft theorems which govern the behavior of scattering amplitudes or correlation functions when one or more of the momenta approach zero are a prominent example. The subject of soft theorems has a long history in particle physics, dating back to the early studies of the soft pion theorem. The equivalence between the soft photon theorem [2,3] and large gauge transformation has already been noted long ago by Ferrari and Picasso [4] (see, also, [5]), where the leading and subleading soft theorems were shown to follow from the Ward-Takahashi (WT) identity of linear transformation which survives after Lorenz gauge fixing.

Recently, there has been a revival of interest in soft theorems, due largely to the series of work by Strominger *et al.* [6–8] (see Ref. [9] for a review). Along these lines, new subsubleading tree level soft theorems were found [10]. These results on higher order soft behaviors supplement earlier findings on the leading and subleading soft photon and graviton theorems [11–13] (analogous soft gluon theorem up to subleading order can be found in Refs. [14–16]). Nonetheless, methods based on asymptotic charges (symmetry charges of large gauge transformations that survive at null infinity) have their limitations as they do not seem to lead to soft theorems beyond the subsubleading order.

In the context of inflationary cosmology, similar soft theorems have been obtained. The first known example is Maldacena’s consistency condition [17] which states that the three-point function of the curvature perturbation ζ (also known as the adiabatic mode [18]) in the squeezed limit where one of the modes becomes soft is determined by the scale transformation of the two-point function

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\zeta(q)} \langle \zeta_{\vec{q}} \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = -\vec{k}_1 \cdot \frac{\partial}{\partial \vec{k}_1} \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle', \quad (1)$$

where $P_\zeta(q)$ denotes the power spectrum, and $\langle \dots \rangle'$ are correlators without the momentum-conserving δ function. Subsequently, an infinite set of WT identities for the adiabatic mode have been derived in Ref. [19], with the leading q^0 behavior of the soft limit recovering Eq. (1). This infinite set of WT identities was also shown to be equivalent to the Slavnov-Taylor identity of spatial diffeomorphism [20], with the adiabatic mode argued to be related to the locality of the theory. (The method of [19] has been applied to flat spacetime in Ref. [21], but only the leading soft theorem was derived there.)

The parallel between inflationary correlators and scattering amplitudes raises the following puzzle: can we obtain

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all the q^n soft behavior of scattering amplitudes in gauge and gravity theories from WT identities of large gauge transformations? In this Letter, we derive an infinite set of soft theorems for soft photon, gluon and graviton amplitudes (A similar approach to the subleading soft graviton theorem can be found in Ref. [22] though, unlike our present work, self-interactions of the graviton were neglected.) utilizing the WT identity of large gauge transformation or diffeomorphism. As shown in Refs. [23,24], on-shell gauge invariance determines (i) the leading and subleading behavior of soft photons and soft gluons, and (ii) the leading, subleading, and subsubleading behavior of soft gravitons. As we shall see, our results are consistent with these previous works, but we further obtain constraints on the higher order q^n terms coming from the infinitely many WT identities. We focus on tree level processes in this Letter. The generalization to loop corrected amplitudes is left for future work.

Furthermore, we comment on the relation with the approach using asymptotic charges. In Refs. [25,26], it was argued that the $1/r$ expansion of large gauge transformations and supertranslation charges correspond to the (sub)subleading soft theorems of the photon or graviton. In this sense, our x^n expansion seems to correspond to the $1/r$ expansion. However, the method in Refs. [25,26] cannot determine the constant of integration completely, and higher order charges do not lead to soft theorems beyond the (sub)subleading order. As a different approach, it was shown in Refs. [27–29] that the subleading soft photon and subsubleading soft graviton theorems can be interpreted as asymptotic charge conservation associated with large gauge transformation or diffeomorphism.

It would be interesting to compare our method with the approach based on asymptotic charges. Here, we note the merits of our approach, in relation to previous works. First, it is easy to extend our analysis to any order in $\mathcal{O}(q^n)$, and indeed, we found infinitely many new constraints. Second, it is similarly straightforward to treat massive particles as well as massless particles with our method. Third, the extension to the higher dimensional case is straightforward. Fourth, the effect of the higher dimensional operator can be easily included (see, also, Ref. [30]). We emphasize that our method needs not assume that the charged particle is massless or minimal coupled. Our main results, Eqs. (7), (12), and (17), just rely on symmetries and, so, are applicable to theories with general masses and couplings.

WT identity for large gauge transformation and diffeomorphism.—Our starting point is the WT identity (see Refs. [4,31] for the derivation) for the large gauge transformation (See, also, Ref. [32] for the derivation of a WT identity of the correlation functions for residual gauge symmetry based on the path integral formalism.), which is given by

$$\lim_{R \rightarrow \infty} \langle 0 | Q_{R,\alpha} E_1 B - B E_1 Q_{R,\alpha} | 0 \rangle = \lim_{R \rightarrow \infty} \langle 0 | [Q_{R,\alpha}, B] | 0 \rangle, \quad (2)$$

$$Q_{R,\alpha} := \int d^3x f_R(\vec{x}) J_0. \quad (3)$$

Here, $f_R(\vec{x}) = 1, 0$ for $|\vec{x}| < R$ and $|\vec{x}| > R$, respectively, B is an arbitrary operator, and $E_1 = \sum_{\text{massless}} |n\rangle \langle n|$ is the projection on the zero-mass one-particle states of the theory. On the lhs, $R \rightarrow \infty$ corresponds to the soft limit of the gauge particle [4], and the rhs is the expectation value of the transformation of B , and corresponds to the amplitude without the soft particle.

We can schematically express the transformation as $\delta\Phi_i = c_{0i} + c_{1ij}\Phi_j + c_{2ijk}\Phi_j\Phi_k + \dots$. In general, the transformation of the gauge particle starts from the zeroth order while that of the matter particle starts from the linear order. Since we are interested in the scattering amplitude, we perform the Lehmann-Symanzik-Zimmermann procedure for the n -point amplitude for both sides. Interestingly, at least at the tree level, only the linear transformation part survives on the rhs. (See Ref. [33] for the proof of Adler’s zero in a similar way.) On the contrary, only the term in J_0 corresponding to the zeroth order transformation gives the contribution to the lhs at the tree level. This is because the term in J_0 corresponding to the n th order should contain $n + 1$ number of the field and can only be connected with the one-particle massless state at the loop level, see Fig. 1. The detailed discussion of this point will be given in a subsequent paper.

Soft theorems from WT identities.—Applying Eq. (2), we obtain an infinite set of WT identities. The point is that we only need to consider the zeroth and linear order transformation to derive the tree level identity. (This situation is similar to Ref. [19].) We derive the soft photon, gluon, and graviton theorems in theories consisting of the gauge particle and a (complex) charged scalar. Analogous soft theorems for theories with fermionic matter fields can be similarly obtained by our method outlined here.

Soft photon theorem.—We take the Lorenz gauge and the residual symmetry is $\partial^2\chi = 0$, where χ is the gauge transformation parameter, namely,

$$\chi = \sum_{M=1}^{\infty} \eta_{i_1 i_2 \dots i_M} x^{i_1} x^{i_2} \dots x^{i_M}, \quad \eta_{i i i \dots i_n} = 0, \quad (4)$$

where η is totally symmetric and traceless. For simplicity, we assume that χ only depends on the spatial coordinate,

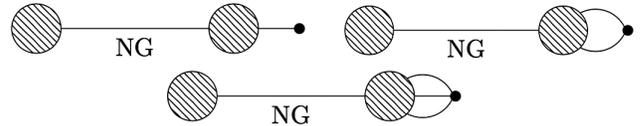


FIG. 1. The Feynman diagrams which contribute to the lhs of the WT identity. The black dot represents the insertion of the current J_0 . There are infinitely many diagrams where n legs attach with J_0 , and we show the first three diagrams here. Since the intermediate state should be a Nambu-Goldstone (NG) one particle state, only the first diagram gives contribution at tree level.

We concentrate on t -independent χ . To the first order, we obtain

$$\partial^2 \chi^{(1)a} + g f^{abc} A_\mu^b \partial^\mu \chi^{(0)c} = 0, \rightarrow \chi^{(1)a} = -\frac{1}{\partial^2} g f^{abc} A_\mu^b \partial^\mu \chi^{(0)c}. \quad (9)$$

Applying the WT identity to the two-point functions gives us

$$\begin{aligned} & \partial_i \chi|_{x=-i\partial_q} D^{a_1 a'_1, \mu_1 \mu'_1}(p) \Gamma^{i \mu'_1 \mu'_2, a a'_2}(q, p, -p-q) \\ & \times D^{a_2 a'_2, \mu_2 \mu'_2}(p+q) = \int d^4 p e^{i p x} \langle \delta A_{\mu_1}^{a_1}(x) A_{\mu_2}^{a_2}(0) \rangle, \quad (10) \end{aligned}$$

for the gluon two-point function, where D is the gluon propagator, Γ is the gluon three-point vertex, and

$$\begin{aligned} & \partial_i \chi|_{x=-i\partial_q} S^{i_1 i'_1}(p) \Gamma_{\text{mat}}^{i, a_1 i'_1, j_1}(q, p, -p-q) S^{j_1 j'_1}(p+q) \\ & = \int d^4 p e^{i p x} \langle \delta \phi^*(x) \phi(0) \rangle, \quad (11) \end{aligned}$$

for the matter two-point function where Γ_{mat} is the matter-gluon three-point vertex. Here, $(\delta A_\mu)^a = \partial_\mu \chi^a + g f^{abc} A_\mu^b \chi^c$, $\delta \phi = i g \chi^a T_\phi^a \phi$.

By using the result of the two-point function, we can derive the identity for $B = T(A_{x_1}^{a_1 \mu_1}, \dots, A_{x_n}^{a_n \mu_n} \phi_{y_1}^{i_1}, \dots, \phi_{y_m}^{i_m} \phi_{z_1}^{* j_1}, \dots, \phi_{z_m}^{* j_m})$:

$$\begin{aligned} & \lim_{q \rightarrow 0} \eta_{i \alpha_2, \dots, \alpha_M}^a \partial_{q \alpha_2}, \dots, \partial_{q \alpha_M} \mathcal{M}_{n+2m+1}^{i, a}(q; p, p', k)_{i_1, \dots, j_1, \dots, a_1, \dots} \\ & = \lim_{q \rightarrow 0} \sum_{l=1}^{m \text{ or } n} \eta_{i \alpha_2, \dots, \alpha_M}^a \partial_{q \alpha_2}, \dots, \partial_{q \alpha_M} [\Gamma_{\text{mat}}^{i, a i_1 i'_1}(q, p_l, -p_l - q) S^{i'_1 i''_1}(p_l + q) \mathcal{M}_{n+2m}(p_l + q)_{i''_1} \\ & + \mathcal{M}_{n+2m}(p'_l + q)_{j'_1} S^{j'_1 j''_1}(-p'_l - q) \Gamma_{\text{mat}}^{i, a j_1 j'_1}(q, p'_l, -p'_l - q) + \Gamma^{i \mu_1 \mu'_1, a a_1 a'_1}(q, k_l, -k_l - q) D^{a'_1 a''_1, \mu'_1 \mu''_1}(k_l + q) \mathcal{M}_{n+2m}(k_l + q)_{a''_1}] \\ & + \frac{g}{M} \eta_{i_1, \dots, i_M} \left[\partial_{p_{i_1}}, \dots, \partial_{p_{i_M}} (T_\phi^a)_{i_1 i'_1} \mathcal{M}_{n+2m}(p_i, i'_i) + (-1)^{M-1} (p \leftrightarrow p') + \partial_{k_{l, i_1}}, \dots, \partial_{k_{l, i_M}} (T_{\text{Ad}}^a)_{a_1 a'_1} \mathcal{M}_{n+2m}(\mu_l, k_l, a'_l) \right. \\ & \left. - \frac{M k_{\mu_l}}{|k|^2} \partial_{k_{l, i_2}}, \dots, \partial_{k_{l, i_M}} (T_{\text{Ad}}^a)_{a_1 a'_1} \mathcal{M}_{n+2m}(i_1, k_l, a'_l) \right], \quad (12) \end{aligned}$$

where $\mathcal{M}_{n+2m+1}^{i, a}(q; p, p', k)_{i_1, \dots, j_1, \dots, a_1, \dots}$, is the amplitude with the soft gluon (q, a) . The momenta and color indices of the hard charged scalars, antiscalars, and gluons are (p_l, i_l) , (p'_l, j_l) , and (k_l, a_l) , respectively. \mathcal{M}_{n+2m} is the amplitude without the soft gluon. As in the photon case, we have checked that the $M = 1$ case corresponds to the leading and subleading soft gluon theorems. The $M \geq 2$ identities constrain the higher order terms. The interpretation of the identity is the same as in QED, see Fig. 4.

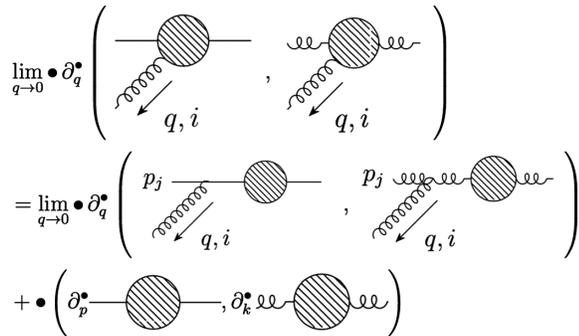


FIG. 4. Schematic diagrammatic representation of the soft gluon theorem, Eq. (12).

Soft graviton theorem.—We take the following gauge:

$$ds^2 = -dt^2 + (e^r)_{ij} dx_i dx_j, \quad (13)$$

where γ_{ij} is transverse and traceless: $\partial_i \gamma_{ij} = \gamma_{ii} = 0$. As in QCD, the hard graviton mode prevents us from finding an explicit solution of the gauge preserving ξ_i . We can write $\xi_i^{(0)}$ and the zeroth order transformation as

$$\xi_i^{(0)} = \eta_{i i_1, \dots, i_n} x^{i_1}, \dots, x^{i_n}, \quad \delta \gamma_{ij} \sim \partial_i \xi_j^{(0)} + \partial_j \xi_i^{(0)}, \quad (14)$$

where $\eta_{i i_1, \dots, i_n}$ is traceless in the $(n+1)$ indices and symmetric in the latter n indices. In the language of Ref. [19], this is the “tensor symmetry” which prevents the adiabatic mode from receiving time dependent corrections, see, also, Ref. [21]. In principle, we can write down the linear transformation of γ_{ij} , but it is complicated, and the explicit form is not important.

As in other cases, we start from the application of the WT identity to the two-point amplitude. It is found that

$$\begin{aligned}
 & \lim_{q \rightarrow 0} (\partial_i \xi_j^{(0)} + \partial_j \xi_i^{(0)})|_{x=-i\partial_q} D^{(i_1 j_1, i'_1 j'_1)}(p) \\
 & \quad \times \Gamma^{(ij, i'_1 j'_1, i'_2 j'_2)}(q, p, -p-q) D^{(i_2 j_2, i'_2 j'_2)}(p+q) \\
 & = \int d^4 p e^{ipx} \langle \delta \gamma_{i_1 j_1}(x) \gamma_{i_2 j_2}(0) \rangle, \tag{15}
 \end{aligned}$$

for the graviton and

$$\begin{aligned}
 & \lim_{q \rightarrow 0} (\eta_{ij\alpha_2, \dots, \alpha_M} + \eta_{ji\alpha_2, \dots, \alpha_M}) \partial_{q_{\alpha_2}}, \dots, \partial_{q_{\alpha_M}} \mathcal{M}_{n+2m+1}^{ij} \\
 & = \lim_{q \rightarrow 0} \sum_I (\eta_{ij\alpha_2, \dots, \alpha_M} + \eta_{ji\alpha_2, \dots, \alpha_M}) \partial_{q_{\alpha_2}}, \dots, \partial_{q_{\alpha_M}} [\Gamma_{\text{matt}}^{ij}(q, p_l, -p_l - q) S(p_l + q) \mathcal{M}_{n+2m}(p_l + q) \\
 & \quad + \mathcal{M}_{n+2m}(p'_l + q) S(-p'_l - q) \Gamma_{\text{matt}}^{ij}(q, p'_l, -p'_l - q) + \Gamma^{(ij, i'_1 j'_1, i'_2 j'_2)}(q, k_l, -k_l - q) D^{(i'_1 j'_1, i'_2 j'_2)}(k_l + q) \mathcal{M}_{n+2m}(k_l + q)_{i'_1 j'_1}] \\
 & \quad + (\text{All derivatives act on } M_{n+2m}). \tag{16}
 \end{aligned}$$

Here, $\mathcal{M}_{n+2m}(p, p', k)_{(i_1 j_1), \dots, (i_n j_n)}$ is the $n + 2m$ -point amplitude and $(i_1 j_1), \dots, (i_n j_n)$ is the polarization of each graviton. The diagrammatic representation of the identity is the same as before, see Fig. 5. As in the soft photon and gluon theorems, the last term corresponds to the case where all the derivatives act on internal lines or vertices. The detailed form may not be important, but the important point is that this term can be expressed in terms of the amplitude without the soft graviton.

We have checked that the $M = 1$ identity corresponds to the leading and subleading graviton theorems, and the $M = 2$ identity is the subsubleading soft graviton theorem. The $M \geq 3$ identities constrain the higher order soft graviton amplitude.

Discussion.—In closing, we outline several interesting future directions motivated by the present work. As shown in the recent body of works (e.g., Refs. [6–8, 34–39], and reviewed in Ref. [9]), there is an intriguing relation between the asymptotic symmetry at null infinity and the memory effect. A natural question is whether there is a similar

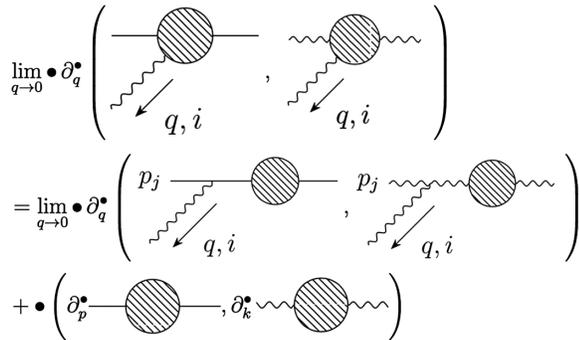


FIG. 5. Schematic diagrammatic representation of the soft graviton theorem, Eq. (17). The wavy line represents the graviton.

$$\begin{aligned}
 & \lim_{q \rightarrow 0} (\partial_i \xi_j^{(0)} + \partial_j \xi_i^{(0)})|_{x=-i\partial_q} S(p) \Gamma^{ij}(q, p, -p-q) S(p+q) \\
 & = \int d^4 p e^{ipx} \langle \delta \phi^*(x) \phi(0) \rangle, \tag{16}
 \end{aligned}$$

for the scalar. Here, $\delta \phi = -\xi^i \partial_i \phi$.

Let us move on to the $(n + 2m)$ -point amplitude. By using the identity for the two-point function, we arrive at the following identity:

relation between the large gauge transformation or diffeomorphism at spatial infinity and the memory effect.

In addition to soft theorems for photons, gluons, and gravitons, it would also be interesting to apply our method to studying the soft behavior of the Nambu-Goldstone mode. (for recent work on the single soft scalar or pion theorem, see Refs. [37, 40–42]).

Our result may also have implications for elucidating the symmetry constraints of quantum gravity. In addition to the first few leading soft theorems that were previously known in the literature, the identities for the higher order soft behavior that we found should further constrain the tree-level scattering amplitudes in any quantum theory of gravity. It would be interesting to pursue this possibility in conjunction with the study of soft theorems in string theory [43]. Another interesting direction is the generalization to the higher spin case [22].

In this Letter, we focus on tree level processes. It is known that soft theorems get corrections from the infrared singularity at the loop level except for the leading soft theorem [44, 45]. Extending our method to the loop level may shed some interesting light on these corrections, see Ref. [46] for a related discussion.

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