



Active versus Passive Hard Disks against a Membrane: Mechanical Pressure and Instability

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We experimentally study the mechanical pressure exerted by a set of respectively passive isotropic and self-propelled polar disks onto two different flexible unidimensional membranes. In the case of the isotropic disks, the mechanical pressure, inferred from the shape of the membrane, is identical for both membranes and follows the equilibrium equation of state for hard disks. On the contrary, for the self-propelled disks, the mechanical pressure strongly depends on the membrane in use and thus is not a state variable. When self-propelled disks are present on both sides of the membrane, we observe an instability of the membrane akin to the one predicted theoretically for active Brownian particles against a soft wall. In that case, the integrated mechanical pressure difference across the membrane cannot be computed from the sole knowledge of the packing fractions on both sides, further evidence of the absence of an equation of state.

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Developing a thermodynamics for out of equilibrium systems of dissipative particles, to which energy is homogeneously provided at the particle level, has been a long-lasting effort driven by the impressive success of equilibrium thermodynamics. An intense activity dealt with the search for an effective temperature and a generalized Gibbs measure in granular media [1–9]. More recently, defining pressure in systems of active particles, the specificity of which is to turn the injected energy into directed motion, has attracted a lot of attention [10–19]. In both cases, the intensity of the scientific debates highlights the importance of the addressed issues.

At equilibrium, the mechanical, hydrodynamic, and thermodynamic pressures are all equal quantities which inherit mutual properties. For example, equilibrium pressure is a state variable which depends only on the bulk properties of the fluid. On the theoretical side, the fact that some form of pressure could be considered a state variable, hence obeying an equation of state (EOS), has been the topic of intense debates in a series of recent works [10–19]. More specifically, probing the mechanical pressure exerted on a wall, it was shown that the existence of an EOS strongly depends on the details of the dynamics and, in general, does not hold [17]. Different conclusions were obtained when considering the virial pressure defined in the bulk of an active suspension [12–14]. Whether these debated results hold for realistic systems, however, remains unclear. On the experimental side, we know of only one result which infers the osmotic pressure of sedimenting active particles from the measurement of their density profiles [20]. To our knowledge, no direct measurement of mechanical quantities have been reported.

In this Letter, we take advantage of a 2D experimental system of vibrated disks [21,22] to investigate the mechanical

pressure exerted by assemblies of passive and active disks, respectively, on two membranes made of vibrated chains. Our main results are as follows. (i) When either self-propelled polar or passive isotropic disks are introduced on one side of the arena, the tension in the membrane equilibrates with a homogeneous mechanical pressure, which obeys Laplace's law. (ii) Quite remarkably, in the case of the isotropic disks, the pressure follows the equilibrium equation of state for hard disks. (iii) In the case of the self-propelled disks, the pressure depends on the selected chain, emphasizing that mechanical pressure is not a state variable. (iv) For a given chain, the mechanical equilibrium between self-propelled and isotropic disks [Fig. 1(a)] is still set by the equality of the mechanical pressure. (v) When introducing self-propelled disks on

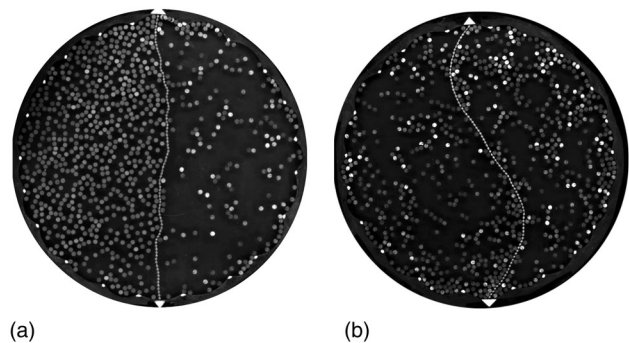


FIG. 1. Mechanical equilibrium and instability. Self-propelled polar disks and/or isotropic passive disks are distributed on the two sides of a vibrating chain attached at both ends, acting as a separating membrane. (a) Mechanical equilibrium between a small number of self-propelled disks (on the right) and a large number of isotropic passive disks (on the left). (b) S-shaped instability observed when self-propelled disks are distributed in equal quantities on both sides of the membrane.

both sides, an instability of the membrane [Fig. 1(b)], akin to the one predicted theoretically for active Brownian particles (ABPs) against a flexible wall [23], takes place. Altogether, our results demonstrate that setting up a thermodynamical frame for active particles remains an important conceptual challenge, but it also offers new design opportunities in adapting wall properties to particles specificities.

The experimental system is made of vibrated disks with a built-in polar asymmetry which enables them to move coherently [22]. The polar particles are micromachined copper-beryllium disks (diameter, $d = 4$ mm; area, $a = \pi d^2/4$) with an off-center tip and a glued rubber skate located at diametrically opposite positions (total height, $h = 2$ mm). These two “legs,” with differing mechanical responses, endow the particles with a polar axis. Under proper vibration, the self-propelled polar (SPP) disks perform a persistent random walk, the persistence length of which is set by the vibration parameters. Here, we use a sinusoidal vibration with frequency $f = 95$ Hz and amplitude A , with relative acceleration to gravity $\Gamma = 2\pi A f^2/g = 2.4$. We also use plain rotationally invariant disks (same metal, diameter, and height), hereafter called the “isotropic” (iso) disks. A chain—the type of chain used to attach bathtub drain stoppers—is formed of $J + 1$ beads of diameter σ , connected by J rigid links, with a center to center distance between two beads ℓ . The joint between a bead and a link is torque-free, but the angle between successive links cannot exceed η_{\max} radians. The chain is fixed at its ends, dividing the arena diametrically. The total length of the chain $L_0 = J\ell$ is longer than the end-to-end distance of the chain, the arena diameter $D = 251.1$ mm. In the following we use two chains, the geometrical properties of which are summarized in Table I. The instantaneous position of the beads along the chain is captured using a CCD camera at a frame rate of 1 Hz for 60 min, after an equilibration time of 30 min. In the following, the unit of time is set as the inverse frame rate and the unit length is the particle diameter d .

The chain vibrates and explores all configurations compatible with the constraint on the angles between successive links $\eta_j \leq \eta_{\max}$. The positions of the successive beads, averaged over 3600 samples, separated by 5700 vibration cycles, define a line of length L_{eff} , which we shall call the (*effective*) *membrane*. In the absence of particles, it aligns along the diameter of the arena and $L_{\text{eff}} = D$. As soon as a few hundred particles, whether isotropic or polar, are introduced on one side of the chain, the symmetry is broken. Apart from a boundary layer of one or two links at the extremities, the membrane takes the shape of an arc

TABLE I. Geometric parameters of the chains.

	J	σ (mm)	ℓ (mm)	η_{\max}	L_0 (mm)
Chain 1	91	2.33	3.10	$\pi/8$	282.10
Chain 2	147	1.44	1.92	$\pi/8$	282.24

circle with a constant radius of curvature R and a length $L_{\text{eff}} \in [DL_0]$. The angles between the successive links composing the membrane are all smaller than η_{\max} [Fig. 2(a)]. Hence, the membrane does not support any torque, the tension F in the membrane is constant, and the mechanical pressure Π is homogeneous. Mechanical equilibrium then leads to

$$\Pi \times D = 2F \sin\left(\frac{L_{\text{eff}}}{2R}\right). \quad (1)$$

Together with the purely geometric relation,

$$\frac{D}{2R} = \sin\left(\frac{L_{\text{eff}}}{2R}\right), \quad (2)$$

one finds that the mechanical pressure obeys Laplace’s law, $\Pi = F/R$, which describes the pressure difference across an interface between two fluids [24].

To proceed further, we compute the effective elasticity of the membrane, which relates its effective length L_{eff} to its tension F , *assuming* that it obeys an equilibrium dynamics. To do so, we consider a model chain composed of J beads and compute its average end-to-end distance $\langle L \rangle$ under an imposed tension F . We proceed in two steps. First, with one extremity being fixed and the other free of force, we evaluate the probability density of its end-to-end distance L . The angles η_j are generated randomly within the range $[-\eta_{\max}, \eta_{\max}]$, from a uniform distribution with bins $\delta\eta = 10^{-3}$ rad. The distribution $\rho(L, J)$ is then obtained from 5×10^9 configurations using

$$L = \ell \left[\left(\sum_{j=1}^J \cos(\theta_j) \right)^2 + \left(\sum_{j=1}^J \sin(\theta_j) \right)^2 \right]^{1/2}, \quad (3)$$

where $\theta_j = \sum_{i=1}^j \eta_i$, for $j = 1, \dots, J$ [Fig. 2(b)]. Second, the partition function for a chain with end-to-end distance L in the presence of a tension F is given by

$$\frac{Z(\beta\ell F, J)}{Z_0(J)} = \int_0^{L_0} \rho(L, J) \exp(\beta L F) dL, \quad (4)$$

where $Z_0 = (2\eta_{\max})^J$ is the total number of configurations in the limit $\beta \rightarrow 0$, and β is the equilibrium temperature of the chain. The above chain model is very similar to the 2D version [25] of the well-known “freely jointed chain” [26], with the main difference being the maximum angle constraint between the links. Our model thus shows an end-to-end length distribution of intermediate type between the 2D freely jointed chain [25] and the wormlike chain model [27].

Finally, the average length of the chain $\langle L \rangle$ reads [28]

$$\langle L \rangle(F, J) = \frac{1}{\beta} \left(\frac{\partial}{\partial F} \ln(Z) \right)_J. \quad (5)$$

Figure 2(c) displays the result of the above analysis in the form $\beta\ell F = \mathcal{F}(\langle L \rangle/L_0)$, which we shall interpret as the effective elasticity of the membranes. The two membranes

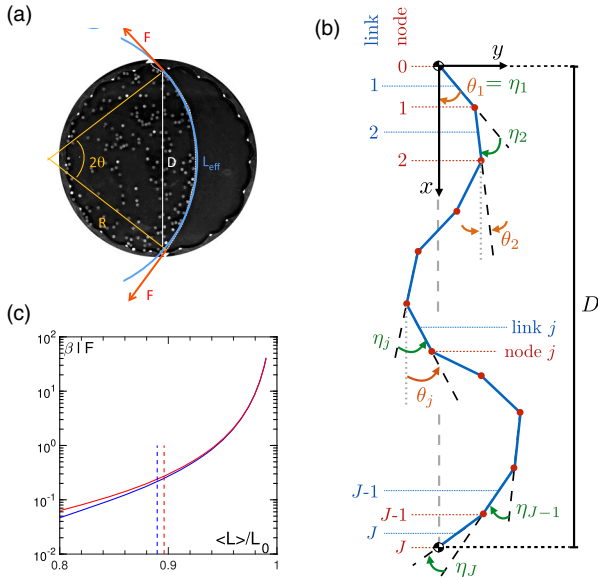


FIG. 2. Membrane barometer. (a) Instantaneous configurations of chain 1 separating the system into two compartments, one filled with 640 isotropic particles and the second left empty. The blue curve is the effective membrane of length L_{eff} , which takes the form of an arc circle of radius R . (b) Sketch of the simplified chain model: rigid links are connected by torque-free joints. The angle between two successive links is bounded to η_{max} . (c) Effective elasticity. Tension $\mathcal{F} = \beta \ell F$ in a model chain as a function of its normalized averaged length $\langle L \rangle / L_0$ for chain 1 (the blue curve) and chain 2 (the red curve); the vertical dashed lines indicate D/L_0 .

obey almost identical effective elasticity in the range of length $D < L_{\text{eff}} < L_0$. Note, however, that they differ by their dynamical properties: the relaxation time τ —evaluated as the time to relax a typical shape fluctuation—is significantly shorter for chain 1 ($\tau_1 = 15$) than for chain 2 ($\tau_2 = 90$).

We are now in a position, measuring the radius of curvature R and the length L_{eff} of the membrane, to access the dimensionless mechanical pressure:

$$\Pi^* = \beta \Pi a = \frac{a}{\ell R} \mathcal{F} \left(\frac{L_{\text{eff}}}{L_0} \right). \quad (6)$$

The experimental results are summarized in Fig. 3. For both the isotropic and polar disks, the chain indeed takes the shape of an arc circle when the number of particles is large enough (say, larger than a hundred). For fewer particles, we observe some distortion, which, in the case of the isotropic disks, can reasonably be attributed to statistical fluctuations. In the case of the polar particles, they are more significant, and we shall come back to them below. For the moment, we assume a perfect arc circle shape, extract its curvature radius, and compute the mechanical pressure using Eq. (6).

In the case of the isotropic disks, $\Pi^*(\phi)$ is well described by the thermodynamic equation of state $P_{\text{HD}}(\phi)$ for hard disks [29], up to a multiplicative constant:

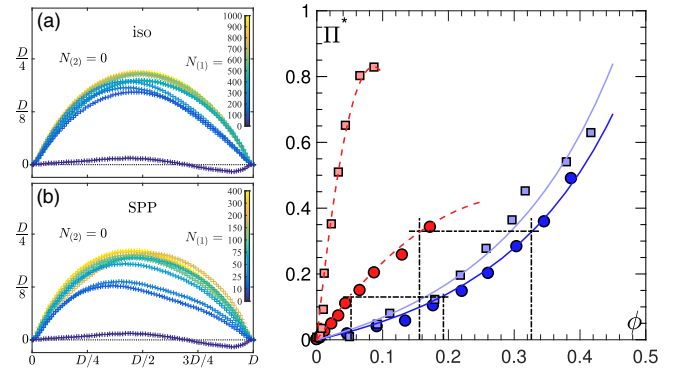


FIG. 3. Pressure. (Left panels) Average chain-1 configurations when disks are confined to a single region, with (a) $N_1 = [0, 100, 200, \dots, 900]$ isotropic disks (iso) and (b) $N_1 = [0, 10, 25, 50, 75, 100, 150, 200, 300, 400]$ self-propelled polar disks (SPP). (Right panel) Dimensionless pressure Π^* as a function of the packing fraction ϕ , for the isotropic (blue) and polar (red) disks, for chain 1 (open circle) and chain 2 (open square). The plain curves are the equilibrium equation of state for hard disks [Eq. (7)]—at different temperatures; the dashed curves are obtained from a second order virial expansion [Eq. (8)]. The dotted-dashed lines point at the configurations for which the mechanical equilibrium between the SPP and iso disks has been probed.

$$P_{\text{HD}}^* = \beta P_{\text{HD}} a = \frac{\beta}{\beta_{\text{HD}}} \phi \frac{1 + \alpha \phi^2}{[1 - \phi]^2}, \quad (7)$$

where $\alpha = 7/3 - 4\sqrt{3}/\pi$. The multiplicative constant β/β_{HD} accounts for the fact that the effective temperatures of the chains and that of the disks have no reason to be equal ($\beta/\beta_{\text{HD}} = 0.45$ for chain 1 and $\beta/\beta_{\text{HD}} = 0.55$ for chain 2). As a matter of fact, it is already remarkable (i) that the equilibrium assumption used to describe the membranes holds, and (ii) that the isotropic disks can also be described within an equilibrium framework.

In the case of the self-propelled polar disks, we observe a very different dependence of the mechanical pressure Π^* on the packing fraction with a clear concave shape. The data can be captured by a virial expansion at the second order in ϕ :

$$\Pi_{\text{SPP}}^* = \beta \Pi_{\text{SPP}} a = \frac{\beta}{\beta_{\text{SPP}}} \phi (1 + b_1 \phi) + O(\phi^3), \quad (8)$$

with a negative b_1 , which accounts for the concave shape. We find $\beta/\beta_{\text{SPP}} = [6, 35]$ and $b_1 = [-1.6, -5.8]$ for chain 1 and chain 2, respectively. The mechanical pressure $\Pi^*(\phi)$ for the self-propelled particles, when measured with the two different chains, follows different dependences on the packing fraction, which cannot be absorbed in a multiplicative factor: there is no equation of state.

We now consider the equilibration of the mechanical pressure induced by N_{SPP} self-propelled disks on one side of the chain by N_{iso} isotropic disks on the other side [Fig. 1(a)]. The experiment is first conducted with chain 1 and for $N_{\text{SPP}} = 100, 300$. As demonstrated by the dotted-

dashed lines in Fig. 3(c), the number of isotropic disks $N_{\text{iso}} = 370, 627$ required to equilibrate the mechanical pressure imposed by the self-propelled disks is prescribed by the equality of the mechanical pressure $\Pi_{\text{iso}}^*(\phi_{\text{iso}}) = \Pi_{\text{SPP}}^*(\phi_{\text{SPP}})$, which has been measured independently. When mechanical equilibrium holds for chain 1 (say, with $N_{\text{SPP}} = 300$ and $N_{\text{iso}} = 627$), and one replaces chain 1 by chain 2, the equilibrium is broken, with the SPP developing a much larger pressure. It is restored only for $N_{\text{SPP}} = 45$, further stressing the dependence of the mechanical equilibrium on the chain properties.

Finally, we consider the situation where the two containers are filled with self-propelled disks. Instead of displaying the now familiar arc circle configuration, the membrane takes a series of amazing shapes with inhomogeneous curvature. The most spectacular case takes place when there is an identical number of disks on both sides: instead of fluctuating around an average flat configuration, the membrane takes a quite pronounced S shape [Fig. 1(b)], which is very reminiscent of the one adopted by a flexible filament with fixed ends immersed in an active gas of active Brownian particles [23]. While the mechanical pressure is certainly not uniform along the chain, the symmetry of the S-shaped pattern suggests that the integrated pressures along the chain are equal on both sides. To gain further insight into that matter, we systematically vary the number of polar disks on both sides, with $N_1 = [100, 200, 300, 400]$ and $N_2 < N_1$. A remarkable fact is that for all pairs (N_1, N_2) , the *a priori* complicated shape of the average chain can be decomposed into an arc circle plus a modulation of wavelength D , as illustrated in Figs. 4(a)–4(c) for the case $N_1 = 400$, $N_2 = [0, 100, 200, 300, 400]$. As shown in Fig. 4(d), the amplitude of the sinusoidal modulation is maximal when $N_1 = N_2$ (with the packing fraction difference close to zero, $\delta\phi \rightarrow 0$), and it approaches zero when one side is empty. The above systematic deviation of the chain shape from a perfect arc circle, alluded to in the previous section, thus finds its origin in the present instability. At first order, the sinusoidal part being odd does not contribute to the integrated pressure difference between the two sides, $\delta\Pi^*$. We thus extract the latter using the same procedure as above and compare it to $\Pi_{\text{SPP}}^*(\phi_1) - \Pi_{\text{SPP}}^*(\phi_2)$, obtained from the measurement of the packing fractions ϕ_1 and ϕ_2 , and the virial expression (8). In the limiting cases, where either one side is empty or both sides are equally filled in the number of particles, both should be equal by construction. It is, however, clear from Fig. 4(e) that the equality holds in these two limits only. In between, when there is an unequal number of self-propelled particles on both sides, the mechanical pressure across the membrane cannot be computed from the sole knowledge of the packing fractions on both sides. This further demonstrates the absence of an EOS.

Altogether, while the mechanical pressure exerted by a gas of shaken isotropic granular disks against a membrane

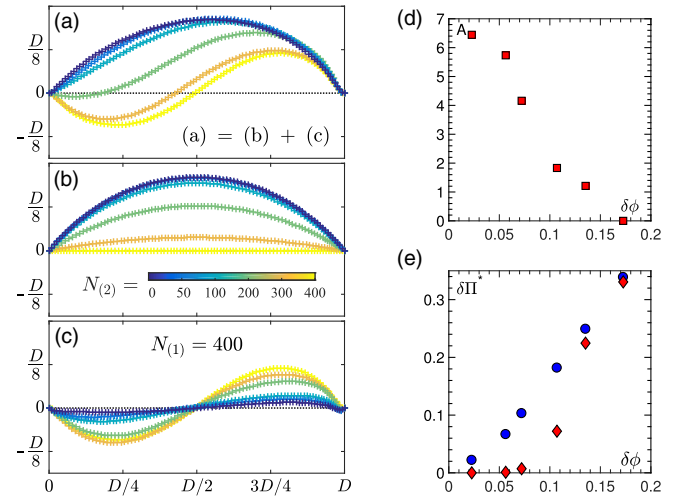


FIG. 4. Mechanical instability. (a) Average chain configuration for SPP, which is distributed in the two regions, $N_{(1)} = 400$ and several values of $N_{(2)} \leq N_{(1)}$. The chain configuration (a) decomposes into (b) a circular segment plus (c) a sinusoidal shape. (d) Amplitude of the sinusoidal modulation A as a function of the packing fraction difference $\delta\phi$. (e) Integrated pressure difference as a function of the packing fraction difference $\delta\phi$, obtained (diamond) from the geometry of the average chain and Eq. (6) and (open circle) from the independent measurement of ϕ_1 and ϕ_2 and the virial expression (8).

essentially obeys the EOS for equilibrium hard disks—a nontrivial result—the one exerted by a gas of self-propelled polar disks obeys a very different physics. First, it increases much faster with the packing fraction and presents a negative value of the first virial coefficient. A similar observation was made for the osmotic pressure measured in a suspension of self-propelled phoretic Janus colloids [20]. Second, it depends on the properties of the membrane itself and is thus not a state variable. Our results reinforce those theoretical analyses, which conclude to the generic absence of EOSs for active particles [17,23]. They may, however, look surprising in regard to the fact that active Brownian disks do obey an EOS [10–12,15,16]. The reason is that this last result holds only when the propulsive force decouples from the orientational dynamics [17]. Here, it is not the case: the orientation dynamics obeys a torque coupled to the velocity dynamics [30]. It would be interesting to perform a perturbative analysis of the effect of such coupling around the ideal ABP case. Finally, with the membranes being essentially identical from the point of view of their effective elasticity, the difference in mechanical pressure probed by each membrane must take its root in their dynamics. The importance of dynamics in determining quantities, which at equilibrium are computed from static quantities only, is, we believe, a hallmark of active matter on which future investigations shall concentrate.

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