Dielectric Haloscopes: A New Way to Detect Axion Dark Matter

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We propose a new strategy to search for dark matter axions in the mass range of 40– $400\,\mu\text{eV}$ by introducing dielectric haloscopes, which consist of dielectric disks placed in a magnetic field. The changing dielectric media cause discontinuities in the axion-induced electric field, leading to the generation of propagating electromagnetic waves to satisfy the continuity requirements at the interfaces. Large-area disks with adjustable distances boost the microwave signal (10–100 GHz) to an observable level and allow one to scan over a broad axion mass range. A sensitivity to QCD axion models is conceivable with 80 disks of $1\,\text{m}^2$ area contained in a 10 T field.

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Introduction.—The nature of dark matter (DM) is one of the most enduring cosmological mysteries. One prime candidate, the axion, arises from the Peccei-Quinn (PQ) solution to the strong CP problem, the absence of CP violation in quantum chromodynamics (QCD). The CP violating QCD phase θ is effectively replaced by the axion field whose potential is minimal at $\theta = 0$ [1–3]. Thus, θ dynamically relaxes towards zero regardless of its initial conditions, satisfying the neutron electric dipole moment constraints $\theta \lesssim 10^{-11}$ [4].

Tiny relic oscillations with a frequency given by the axion mass m_a around $\theta=0$ persist, acting as cold DM [5–9]. If DM is purely axionic, its local galactic density $\rho_a=(f_am_a)^2\theta_0^2/2\sim300~{\rm MeV/cm^3}$ implies that $\theta\sim\theta_0\cos(m_at)$ at Earth, with $\theta_0\sim4\times10^{-19}$. While these oscillations could be detected, the main challenge is to scan over a huge frequency range, as m_a is unknown.

However, cosmology can guide our search. Causality implies that, at some early time, θ is uncorrelated between patches of the causal horizon size. We consider two cosmological scenarios depending on whether cosmic inflation happens after (A) or before (B) that time.

In scenario A, one patch is inflated to encompass our observable Universe while smoothing θ to a single initial value θ_I . The cosmic axion abundance depends on both θ_I and m_a , so the DM density can be matched for any m_a allowed by astrophysical bounds [10] for a suitable θ_I .

In scenario B, the axion abundance is given by the average over random initial conditions and the decay of accompanying cosmic strings and domain walls. Freed from the uncertainty in the initial conditions, scenario B

provides a concrete prediction $m_a \sim 100 \,\mu\text{eV}$ [11,12], although with some theoretical uncertainty [13].

Searches based on cavity resonators in strong magnetic fields (Sikivie's haloscopes [14]) such as ADMX [15], ADMX HF [16], and CULTASK [17] are optimal for $m_a \lesssim 10~\mu\text{eV}$. Much lower values of m_a can be explored by nuclear magnetic resonance techniques like CASPER [18] or with LC circuits [19,20].

The mass range favored in scenario B is untouched by current experiments, and for cavity haloscopes will remain so for the foreseeable future. While fifth-force experiments [21] could search this region, they would not directly reveal the nature of DM. We present here a new concept to cover this important gap, capable of discovering $\sim 100~\mu eV$ mass axions. It consists of a series of parallel dielectric disks with a mirror on one side, all within a magnetic field parallel to the surfaces, as shown in Fig. 1—a dielectric haloscope.

For a large m_a the greatest hindrance for conventional haloscopes is that the signal is proportional to the cavity volume V. With dimensions on the order of the axion Compton wavelength $\lambda_a = 2\pi/m_a$, $V \propto \lambda_a^3$, which decreases rapidly with m_a . (We use natural units with $\hbar = c = 1$ and the Lorentz-Heaviside convention $\alpha = e^2/4\pi$.) While there are plans to couple multiple high-quality cavities, use open resonators, or compensate with extremely high magnetic fields and/or new detectors, these techniques may not prove practical for large m_a 's [17.22–24].

A radical approach for increasing the volume is to use a dish antenna (i.e., a mirror) inside a *B* field to convert axion DM into microwaves [25]. The resonantly enhanced

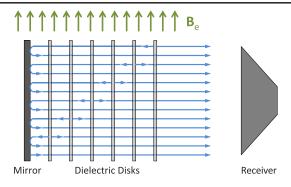


FIG. 1. A dielectric haloscope consisting of a mirror and several dielectric disks placed in an external magnetic field \mathbf{B}_e and a receiver in the field-free region. A parabolic mirror (not shown) could be used to concentrate the emitted power into the receiver. Internal reflections are not shown.

axion-photon conversion is then given up in favor of a large transverse area where $A \gg \lambda_a^2$.

In our dielectric haloscope, each disk emits electromagnetic (EM) waves similar to the mirror case. As the disks are semitransparent, the waves emitted by each disk are reflected by and transmitted through the other disks before exiting. With suitable disk placement, these waves add coherently to the emitted power considerably with respect to a single mirror. While the use of $\lambda_a/2$ -thick dielectric layers has already been proposed for cavity haloscopes [23] and dish antennas [26], our disks do not have to be $\lambda_a/2$ thick because the coherence of the emitted waves can be controlled by the disk separations. Dielectric haloscopes can thus scan over a wide m_a range without needing to use disks with different thicknesses for each measurement.

Axion-induced E field.—The axion-photon interaction is described by the Lagrangian density

$$\mathcal{L}_{\text{int}} = -\frac{\alpha}{2\pi} C_{a\gamma} \mathbf{E} \cdot \mathbf{B} \theta, \tag{1}$$

where **E**, and **B** are electric and magnetic fields, $\theta = a/f_a$ represents the axion field a, and $C_{a\gamma} = \mathcal{E}/\mathcal{N} - 1.92$ is an unknown parameter specific to each axion model, where \mathcal{E} and \mathcal{N} are the respective EM and color anomalies of the PQ symmetry [2]. We are interested in galactic DM axions, which are in a highly occupied state and are very non-relativistic ($v_a \lesssim 10^{-3}$). The associated de Broglie wavelength, $2\pi/m_a v_a \gtrsim 12.4$ m(100 $\mu \text{eV}/m_a$), is larger than the dimensions of our dielectric haloscope, a cubic meter. Thus, we treat θ as a classical field and take the zero-velocity limit which implies an (approximately) homogeneous and monochromatic $\theta(t) \simeq \theta_0 \cos(m_a t)$. The axion mass $m_a = 57.0~\mu \text{eV}(10^{11}~\text{GeV}/f_a)$ is related to the axion decay constant f_a [27], which we treat as a free parameter.

The interaction (1) enters as a current on the right-hand side (rhs) of the Ampère-Maxwell equation,

$$\nabla \times \mathbf{B} - \epsilon \dot{\mathbf{E}} = \frac{\alpha}{2\pi} C_{a\gamma} \mathbf{B} \dot{\theta}, \tag{2}$$

for a medium with the permeability $\mu=1$ and a dielectric constant ϵ . When a static and homogeneous external magnetic field \mathbf{B}_e is applied, the axion DM field sources a tiny electric field

$$\mathbf{E}_{a}(t) = -\frac{\alpha}{2\pi\epsilon} C_{a\gamma} \mathbf{B}_{e} \theta(t), \tag{3}$$

which is discontinuous at the interface between media of different ϵ 's. To satisfy the usual continuity requirements, $\mathbf{E}_{\parallel,1} = \mathbf{E}_{\parallel,2}$ and $\mathbf{B}_{\parallel,1} = \mathbf{B}_{\parallel,2}$ (recall that $\mu=1$), EM waves of frequency $\nu_a = m_a/2\pi$ must be present to compensate for the discontinuity. In effect, breaking translational invariance couples the approximately nonpropagating axion-induced E field with propagating EM waves, which we aim to detect. The EM waves are emitted perpendicularly to the disk surfaces in our zero-velocity limit [25]. We require flat disks with large areas to avoid diffraction, $A \gg \lambda_a^2$, so we can work in a one-dimensional (1D) framework. For maximum effect, \mathbf{E}_a —and thus \mathbf{B}_e —must be parallel to the disk surfaces [25].

The gain from a dielectric haloscope with respect to a mirror alone can be quantified in terms of a boost factor β . Comparing the E field inside the mirror $(E \simeq 0)$ to the axion-induced one in vacuum $E_0 \equiv \alpha/(2\pi)|C_{a\gamma}\mathbf{B}_e\theta_0|$, one finds that the continuity at the surface requires the emission of an EM wave of amplitude E_0 with a power per unit area $P_0/A = E_0^2/2$ [25]. With the additional dielectric disks shown in Fig. 1, the axion field induces plane EM waves for every change of media. By tuning the disk separations, constructive interference can enhance the amplitude of the emitted EM wave to $E_{\rm out}$ at the receiver. The boost factor is thus defined as

$$\beta(\nu_a) \equiv |E_{\text{out}}(\nu_a)/E_0|. \tag{4}$$

With this definition, and E_0 as introduced above, the resulting output power of the dielectric haloscope per unit area is

$$\frac{P}{A} = \beta^2 \frac{P_0}{A} = 2.2 \times 10^{-27} \frac{W}{m^2} \beta^2 \left(\frac{B_e}{10 \text{ T}}\right)^2 C_{a\gamma}^2.$$
 (5)

The boost factor is calculated by matching \mathbf{E}_a in each region (dielectric disk or vacuum) with left- and right-moving EM waves as imposed by the continuity of the total $\mathbf{E}_{||}$ and $\mathbf{B}_{||}$ fields at the interfaces. A transfer matrix formalism has been developed for this task [28].

The desired enhancement, $\beta \gg 1$, comes from two effects, which generally act together but can be differentiated in limiting cases. These effects depend on the optical thickness of one disk $\delta = 2\pi\nu d\sqrt{\epsilon}$, with d being the physical thickness and ν the frequency, which sets the

transmission coefficient of a single disk, found to be $\mathcal{T}=i2\sqrt{\epsilon}/[i2\sqrt{\epsilon}\cos\delta+(\epsilon+1)\sin\delta]$. When $\delta=\pi,3\pi,5\pi,...$, the disk is transparent ($\mathcal{T}=0$) and the emission from different disks can be added constructively by placing them at the right distance. When $\mathcal{T}\neq 0$, the spacings can be adjusted to form a series of leaky resonant cavities where E fields are boosted by reflections between the disks. In general, both the simple sum of the emitted waves and the resonant enhancements are important.

Comparison with cavity haloscopes.—More insight is gained by contrasting dielectric haloscopes with resonant cavities. The signal power extracted from a cavity haloscope resonantly excited by axion DM is usually expressed as [29]

$$P_{\rm cav} = \kappa \mathcal{G} V \frac{Q}{m_a} \rho_a g_{a\gamma}^2 B_e^2, \tag{6}$$

where V is the cavity volume, $g_{a\gamma} = -\alpha/(2\pi f_a)C_{a\gamma}$, Q is the loaded quality factor, and κ is the ratio of signal power to total power loss. The geometry factor $\mathcal{G} = (\int dV \mathbf{E}_{\rm cav} \cdot \mathbf{B}_e)^2/(VB_e^2 \int dV \mathbf{E}_{\rm cav}^2)$ is the normalized overlap integral of B_e with the E field of the resonant mode, $\mathbf{E}_{\rm cav}(\mathbf{x})$, which is calculated by imposing closed boundary conditions.

By contrast, dielectric haloscopes are open systems, which are, in general, nonresonant. Nevertheless, after lengthy algebraic manipulations, one can express the boost factor (4) as an overlap integral [28],

$$\beta \simeq \frac{m_a}{2B_e E_0} \left| \int dx E_{\rm dh} B_e \right|. \tag{7}$$

With this expression, Eq. (5) becomes highly reminiscent of Eq. (6). This can actually be turned into a dictionary: the power output of a dielectric haloscope can be calculated with Eq. (6) by defining

$$Q_{\rm dh} \equiv \frac{1}{4} \frac{\int dx |E_{\rm dh}|^2}{E_0^2/m_a}, \qquad \mathcal{G}_{\rm dh} \equiv \frac{|\int dx E_{\rm dh} B_e|^2}{LB_e^2 \int dx |E_{\rm dh}|^2}, \quad (8)$$

where L is the length of the haloscope. Note that κ is implicitly contained in \mathcal{G}_{dh} , and \mathcal{Q}_{dh} . Unlike for a cavity, $E_{dh}(x)$ is defined as the E field inside the dielectric haloscope obtained by shining in an EM wave of amplitude E_0 from the rhs in Fig. 1. This is a nontrivial conceptual change, as the physical axion-induced E field (obtained with the transfer matrix formalism) is, in general, different from $E_{dh}(x)$ [28]. However, when we consider highly resonant dielectric haloscopes (for instance, by choosing a very reflective rightmost disk in Fig. 1), the definitions of E_{cav} and E_{dh} coincide as expected.

Equation (8) suggests the interpretation of β^2 as $m_a L \mathcal{G}_{dh} Q_{dh}$. Our proposal is to enhance P by increasing $V_{dh} \equiv LA$, \mathcal{G}_{dh} , and Q_{dh} with appropriately placed disks.

This strategy sounds similar to enhancing V and \mathcal{G} of a strictly resonant cavity by either modifying the magnetic field [22] or using dielectrics [23,30]. However, our dielectric haloscope would be a poor resonator, compensating for a relatively low $Q_{\rm dh}$ with a huge $V_{\rm dh}$. This has completely different engineering challenges than an intermediate volume, high-Q cavity.

Large-V resonators are typically more complicated mechanically, which implies longer tuning times. For high-Q cavities, as V increases, modes tend to clutter [31], and it becomes increasingly difficult to identify and tune to them. Mode crossings become more frequent, with concomitant forbidden frequencies. Furthermore, for a large-V resonant cavity, the coupling of the output port needs to increase locally to compensate for a longer time of flight of photons in the cavity, leading to stronger mode distortions.

In our case, we have the flexibility to compensate for longer tuning times by using broadband and nonresonant configurations not possible with cavity haloscopes. Furthermore, we avoid mode crossings by not having resonant modes, but rather quasimodes with very broad widths. Lastly, by making the system as 1D as possible with a large *A* and extracting the signal homogeneously across the last dielectric disk before the receiver, we minimize any distortion caused by a local coupling to the detector. This allows us to avoid some of the issues of large-*V* resonators.

Properties of the boost factor.—After choosing ϵ and the thickness of the disks d, the distances between disks remain as the only free parameters of our dielectric haloscope, still leaving considerable control over the frequency response. We will actually be interested in two types of configurations: one with a flat broadband response to measure a large frequency range in one go, and another with a larger β over a narrow band to discard statistical fluctuations and do precision axion physics in case of a discovery. We can numerically generate such configurations.

One can predict the general behavior of β by using what we call the area law: $\int \beta^2 d\nu_a$ is proportional to the sum over interfaces, which holds exactly when integrating over $0 \le \nu_a \le \infty$, and is a good approximation for frequency ranges containing the main peak [28]. According to the area law, an increase in the number of disks gives a linear increase in $\int \beta^2 d\nu_a$. For a single set of disks, $\int \beta^2 d\nu_a$ is constant; one can trade width for power and vice versa but cannot gain in both simultaneously.

Figure 2 depicts $\beta(\nu_a)$ for a dielectric haloscope consisting of a mirror and 20 disks (d=1 mm, $\epsilon=25$). Spacings have been selected to maximize the minimum boost factor β_{\min} within three bandwidths, $\Delta\nu_{\beta}=1$, 50, and 200 MHz, centered on 25 GHz (our benchmark frequency corresponding to $m_a=103.1~\mu eV$). These curves illustrate the area law: as we vary the bandwidth, the power changes by roughly the same factor. While the area is conserved, it appears to be more effectively used in

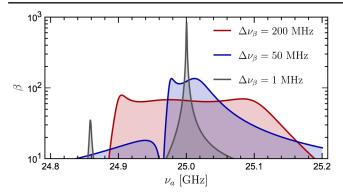


FIG. 2. Boost factor $\beta(\nu_a)$ for configurations optimized for $\Delta\nu_{\beta}=200,\ 50,\ \text{and}\ 1$ MHz (red, blue, and gray) centered on 25 GHz using a mirror and 20 dielectric disks (d=1 mm, $\epsilon=25$).

broadband configurations where we were able to obtain flatter $\beta(\nu_a)$ curves. Using the area law, we extrapolate to the 80 disk setup described below, reaching $\beta_{\min} \sim 275$ across 50 MHz. The achievable β_{\min} changes with the optical thickness of a disk—in the example shown, $\delta \sim 0.8\pi$, neither transparent nor fully reflective. Note that, for a given d, there are frequency bands around $\nu_a = 1, 3, 5, \ldots \times \pi/(\sqrt{\epsilon}d)$ for which the disks are transparent and β is limited to the sum of the EM waves $\beta_{\min} \leq 2N+1$, where N is the number of disks. Furthermore, at $\nu_a = 2, 4, 6, \ldots \times \pi/\sqrt{\epsilon}d$, the disks do not emit any radiation. Thus, when a large β or flexibility is required, one must avoid these frequencies with a different set of disks.

Setup.—Dielectrics with a large ϵ enhance emission and resonance effects, leading to a higher β . A good candidate could be LaAlO₃ ($\epsilon \sim 25$, $\mu \sim 1$), which has a very small loss tangent $\sim 10^{-5}$ at temperatures below ~ 80 K. The transverse area is limited by the feasibility and cost of an intense magnet: apertures larger than one square meter are extremely challenging. Dielectric disks of that area would be made by tiling smaller pieces and could be repositioned using precision motors. The precision required can be estimated by studying the analytically tractable cases the single cavity and the $\lambda_a/2$ symmetric case [26]. We find that the positioning errors have to be kept below $\sim 200 \, \mu \text{m} \sqrt{10^2/\beta(100 \, \mu \text{eV}/m_a)}$. For example, for $\beta \sim 10^3$, $\sigma \ll 60 \,\mu\text{m}$ would be needed for $m_a =$ 100 μ eV, though the exact sensitivity depends on the configuration. Thus, it will probably be more practical to use a broadband search technique, scanning a larger mass range in each longer measurement. We are investigating this requirement with a prototype setup using 20 cm diameter Al₂O₃ disks. Comparisons of the simulated and measured transmissivity and reflectivity (which are correlated with β) indicate that few micrometer precision could be achieved. Diffraction appears to be negligible for the setup, but full numerical studies are required.

Thermal emission of the disks and mirror contributes to the noise of the experiment, but it is suppressed when compared to a black body by both small dielectric losses and a good reflectivity, respectively. However, the haloscope will reflect thermal emission from the detector into itself. This effect can be reduced by cooling the detector to cryogenic temperatures. As a detector, we would use a broadband corrugated horn coupled to a linear amplifier like a state-of-the-art high-electron-mobility transistor (HEMT) for its broadband capabilities (operable up to 40 GHz) and/or quantum limited amplifiers. Using a HEMT detector at room temperature, we measured a 10^{-21} W signal at 17 GHz at 6σ in a one week run—we expect 100 times better performance at cryogenic temperatures. With $\beta \sim$ 400, we would be sensitive to QCD axions.

Discovery potential.—For a practical experiment, one must scan across m_a . The procedure consists of arranging the disks to achieve a roughly constant β in a region $\Delta\nu_{\beta}$, measuring for some time Δt and readjusting the distances to measure an adjacent frequency range. The required β for a reasonably short Δt is given by Dicke's radiometer equation for the desired signal to noise ratio, $S/N = (P/T_{\rm sys})\sqrt{\Delta t/\Delta\nu_a}$, where the system noise temperature is $T_{\rm sys}$ and the axion linewidth $\Delta\nu_a \sim 10^{-6}\nu_a$. Collecting the signal with efficiency η , we get

$$\frac{\Delta t}{1.3 \text{ days}} \sim \left(\frac{\text{S/N}}{5}\right)^2 \left(\frac{400}{\beta}\right)^4 \left(\frac{1 \text{ m}^2}{A}\right)^2 \left(\frac{m_a}{100 \text{ }\mu\text{eV}}\right) \\
\times \left(\frac{T_{\text{sys}}}{8 \text{ K}}\right)^2 \left(\frac{10 \text{ T}}{B_e}\right)^4 \left(\frac{0.8}{\eta}\right)^2 C_{a\gamma}^{-4}.$$
(9)

In a single measurement, we simultaneously search $\Delta\nu_{\beta}/\Delta\nu_{a}$ possible axion "channels." Thus, the time to scan a given frequency range scales inversely to $\beta^{4}\Delta\nu_{\beta}$. As the area law implies that $\beta^{2}\Delta\nu_{\beta}$ is approximately conserved, it appears that narrow resonant peaks are optimal, as with conventional cavity haloscopes.

However, both the required placement precision and the time t_R needed to reposition the disks limit β . With 80 disks to be adjusted, t_R will be non-negligible—for an optimal scanning rate, the measurement and readjustment times must be similar. The value of β required to reach $|C_{a\gamma}| \sim 1$ increases with m_a , so the dielectric haloscope could be adjusted to scan a wider mass range simultaneously at low masses and increase β , decreasing the bandwidth as the scan proceeds to higher m_a 's. If a potential signal is found, the dielectric haloscope could be reconfigured to enhance β at that frequency, quickly confirming or rejecting it at high significance.

In Fig. 3 we show the discovery potential of an 80 disk experiment with a run-time of 3 yr by extrapolating from our 25 GHz solutions using the area law. We have used A=1 m², $\epsilon=25$, and $B_e=10$ T. We assume 80% detection efficiency, quantum limited detection $(T_{\rm sys}\sim m_a)$ and a conservative $t_R=1$ day. We give two examples, reaching $|C_{ay}|=1$ and 0.75 in light blue and

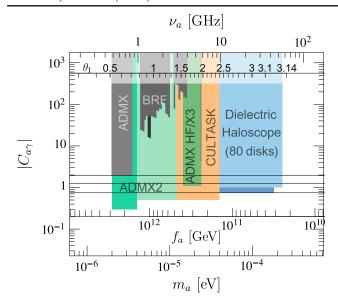


FIG. 3. Two examples of the discovery potential (light and dark blue) of our dielectric haloscope using 80 disks ($\epsilon=25$, $A=1~{\rm m}^2$, $B_e=10~{\rm T}$, $\eta=0.8$, $t_R=1~{\rm day}$) with quantum limited detection in a 3 yr campaign. We also show exclusion limits (gray) and sensitivities (colored) of current and planned cavity haloscopes [16,17,32–36]. (Upper inset) The initial angle θ_I required in scenario A [37]. (Lower inset) The f_a value corresponding to a given m_a . The three black lines denote $|C_{a\gamma}|=1.92,~1.25,~0.746$. Note that scenario B predicts $50~\mu{\rm eV} \lesssim m_a \lesssim 200~\mu{\rm eV}$ [12,38].

dark blue, respectively. Similar results can be achieved by using a two stage process. A 5 yr run with commercially available HEMT amplifiers with $T_{\rm sys}=8$ K would cover the low-mass range, for example, $m_a\lesssim 120~\mu {\rm eV}$ with $|C_{a\gamma}|=1$. The high-mass range $m_a\lesssim 230~\mu {\rm eV}$ shown in Fig. 3 would require another 2 yr with a quantum limited detector. Adding disks and extending the run-time could expand the search to $m_a\lesssim 400~\mu {\rm eV}$.

Our haloscope would cover a large fraction of the highmass QCD axion parameter space with sensitivity to $|C_{a\gamma}| \sim 1$. In scenario A, these masses correspond to large, but still natural, initial angles $2.4 \lesssim \theta_I \lesssim 3.12$ [37]. Scenario B, our main goal, would be covered including the theoretical uncertainty in m_a (50–200 μ eV [12,38]) for Kim-Shifman-Vainshtein-Zakharov–type models with short-lived domain walls ($\mathcal{N}=1$). Prime examples include the recent SMASH_{d,u} models ($\mathcal{E}=2/3,8/3$) [38]. Models with $\mathcal{N}>1$ require $m_a\gtrsim 1$ meV [12,39], which is beyond our search range. However, with some exceptions [40], these models generally require a tuned explicit breaking of the PQ symmetry to avoid a domain-wall dominated universe [41].

Conclusion.—In this Letter we have proposed a new method to search for high-mass (40–400 μ eV) axions by using a mirror and multiple dielectric disks contained in a magnetic field—a dielectric haloscope. The key features are a large transverse area and the flexibility to use both

broadband and narrow-band search strategies. With 80 disks, one could search a large fraction of this high-mass range with sensitivity to the QCD axion.

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