

Chirality-Dependent Hall Effect in Weyl Semimetals

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We generalize a semiclassical theory and use the argument of angular momentum conservation to examine the ballistic transport in lightly doped Weyl semimetals, taking into account various phase-space Berry curvatures. We predict universal transverse shifts of the wave-packet center in transmission and reflection, perpendicular to the direction in which the Fermi energy or velocities change adiabatically. The anomalous shifts are opposite for electrons with different chirality, and they can be made imbalanced by breaking inversion symmetry. We discuss how to utilize local gates, strain effects, and circularly polarized lights to generate and probe such a chirality-dependent Hall effect.

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Introduction.—Topological insulators have emerged as a major topic in condensed matter physics [1,2]. When a topological insulator undergoes a phase transition to a trivial insulator in three dimensions, a gapless Dirac point [3–6] emerges at the critical point, if both time-reversal (\mathcal{T}) and inversion (\mathcal{P}) symmetries are present. Remarkably, when one of the two symmetries is broken, the critical point expands in the phase diagram and the Dirac point splits into pairs of Weyl points related by the unbroken symmetry. This emergent phase [7–21], dubbed Weyl semimetal (WSM), is fascinating since the Fermi surface is the Weyl points.

In the simplest case when \mathcal{T} symmetry is broken, a WSM at long wavelength only has one pair of Weyl points, which may be described by the Hamiltonian

$$\mathcal{H} = \tau[v_x k_x \sigma_x + v_y k_y \sigma_y + v_z(k_z - \tau b)\sigma_z + b_0]. \quad (1)$$

Here, v 's are the Fermi velocities, σ 's are Pauli matrices, and $\tau = \pm$ denote the left- and right-handed Weyl fermions, which are required to come in pairs by the Nielsen-Ninomiya theorem [22]. $\tau b \hat{z}$ are the positions of the pair of Weyl points in the Brillouin zone (BZ), and $2b_0$ is their energy splitting, which vanishes when \mathcal{P} symmetry is not broken. Since all three σ 's are used up locally at each Weyl point, small perturbations may renormalize the parameters but cannot open a gap. Indeed, each Weyl point is protected by the Chern number (± 1) of the valence band on a constant-energy surface enclosing it. Weyl points can only be annihilated in pairs of opposite chirality, when they are brought together ($b, b_0 = 0$) or when the translational symmetry is broken by strong interactions or by short-range scatterers.

The topological properties of WSMs can be best seen by considering a slice of the BZ normal to \hat{z} . The Chern number of the slice changes from 0 to 1 and back to 0 as it

crosses the two Weyl points successively. Thus, each nontrivial slice contributes e^2/h to the Hall conductivity [9–11], producing $\sigma_{xy} = be^2/\pi h$ in the bulk, and contributes one edge state to the surface Fermi arc connecting the projected Weyl points. Recently, the Weyl points and surface arcs appear to have been observed in optical experiments [23–25]. This progress may herald a flurry of exciting transport experiments [26–28] to realize the conceptual high-energy triangle and gravitational anomalies in condensed matter: the chiral magnetic effect [29–36] when b_0 becomes nontrivial in the absence of \mathcal{P} symmetry, and the axial magnetic effect [37,38] when τb , viewed as a gauge field coupling opposite to the left- and right-handed Weyl fermions, varies spatially.

Here, we discover a universal effect in lightly doped WSMs, by examining the semiclassical dynamics of Weyl quasiparticles in the ballistic transport. The presence of Weyl points leads to substantial Berry curvatures. Under a longitudinal force field, the vector product of the force and the curvature results in a transverse velocity of the Weyl wave packet, i.e., a velocity counterpart of the Lorentz force in the semiclassical description. Therefore, as the Weyl wave packet propagates in the WSM, its center acquires a transverse shift upon transmission and reflection, perpendicular to the direction in which the Fermi energy or velocities change. The anomalous shifts are the opposite for Weyl fermions with different chirality and can be made imbalanced by breaking \mathcal{P} symmetry. This effect has no counterpart in two dimensions and is analogous to the observed Imbert-Fedorov shift [39–44] for a circularly polarized light beam, a bosonic cousin of the Weyl wave packet, due to the variation of the dielectric constant.

Symmetry argument.—We focus on the simple case of model (1) with $b_0 = 0$ unless \mathcal{P} symmetry breaking is noticed. Before the more general discussion based on the semiclassical equations of motion (EOMs), we first derive

our main results using the conservation of angular momentum J_z , in the presence of rotational symmetry along \hat{z} , which requires $v_x = v_y$ and isotropic transverse perturbations. The total angular momentum of a Weyl wave packet is given by

$$\mathbf{J} = \mathbf{r}_c \times \mathbf{k}_c + \frac{\tau}{2} \mathbf{n}, \quad (2)$$

where $(\mathbf{r}_c, \mathbf{k}_c)$ is the phase-space center of the wave packet, \mathbf{n} is the unit vector $(v_x k_x, v_y k_y, v_z k_z)/\mathcal{E}_k$, and $\mathcal{E}_k = \eta \sqrt{v_x^2 k_x^2 + v_y^2 k_y^2 + v_z^2 k_z^2}$ are the energy dispersions of the electron and hole ($\eta = \pm$) bands. The first term in \mathbf{J} is the *classical* orbital angular momentum of the wave packet, whereas the second term is the *quantum* 1/2 pseudospin of Weyl fermions, i.e., the average of $\boldsymbol{\sigma}$ in the Weyl eigenstates of Eq. (1). Here, \mathbf{k} is measured from the Weyl point, and for simplicity the subscript c will be dropped wherever appropriate.

We now consider the situation of a Weyl electron wave packet impinging upon a potential step. As sketched in Fig. 1(a), consider an incident electron that has an energy $\mathcal{E}_I > 0$ and an incident angle $\theta_I = \arctan(k_x^I/k_z^I)$ in the $x-z$ plane. The potential step satisfies $V = 0$ for $z < 0$ and $V = V_0$ for $z > 0$ in our long-wavelength model, whereas it is smooth enough at the lattice scale. All energy scales are assumed to be small compared to the bandwidth, such that the velocities change little across the step.

For $V_0 < \mathcal{E}_I$, the transmitted particle (T) is also a Weyl electron. The energy and momentum conservations require that $\mathcal{E}_I = V_0 + \mathcal{E}_T$ and $k_x^I = k_x^T$. The conservation of J_z further determines the trajectory of the transmitted electron, regardless of the potential and scattering characteristics around $z = 0$. Remarkably, we find that in transmission the wave-packet center acquires a translation perpendicular to the incident plane, given by

$$\delta y^T = \frac{\tau(n_z^T - n_z^I)}{2k_x^T} = \frac{\tau v_z}{2} \left(\frac{\cot \theta_T}{\mathcal{E}_I - V_0} - \frac{\cot \theta_I}{\mathcal{E}_I} \right), \quad (3)$$

where $\theta_T = \arctan(k_x^T/k_z^T)$ is the refraction angle. Since $v_z k_z^T = \sqrt{(\mathcal{E}_I - V_0)^2 - v_x^2 k_x^{I2}}$, when $V_0 > V_c \equiv \mathcal{E}_I - v_x |k_x^I|$, k_z^T becomes imaginary and a total reflection occurs.

For $V_0 > \mathcal{E}_I$, the potential step forms a $p-n$ junction and Klein tunneling of the Weyl electron may occur. The energy and momentum conservations require that $k_x^T = k_x^I$ and $v_z k_z^T = -\sqrt{(\mathcal{E}_I - V_0)^2 - v_x^2 k_x^{I2}}$. Evidently, when $\mathcal{E}_I < V_0 < 2\mathcal{E}_I - V_c$, k_z^T is imaginary and the total reflection occurs. Yet, when $V_0 > 2\mathcal{E}_I - V_c$, Klein tunneling is possible and the minus sign in k_z^T indicates a positive group velocity of the outgoing hole. Remarkably, the refraction index is negative, i.e., $\theta^I \theta^T < 0$. The transverse shift in this case takes the same form as in Eq. (3).

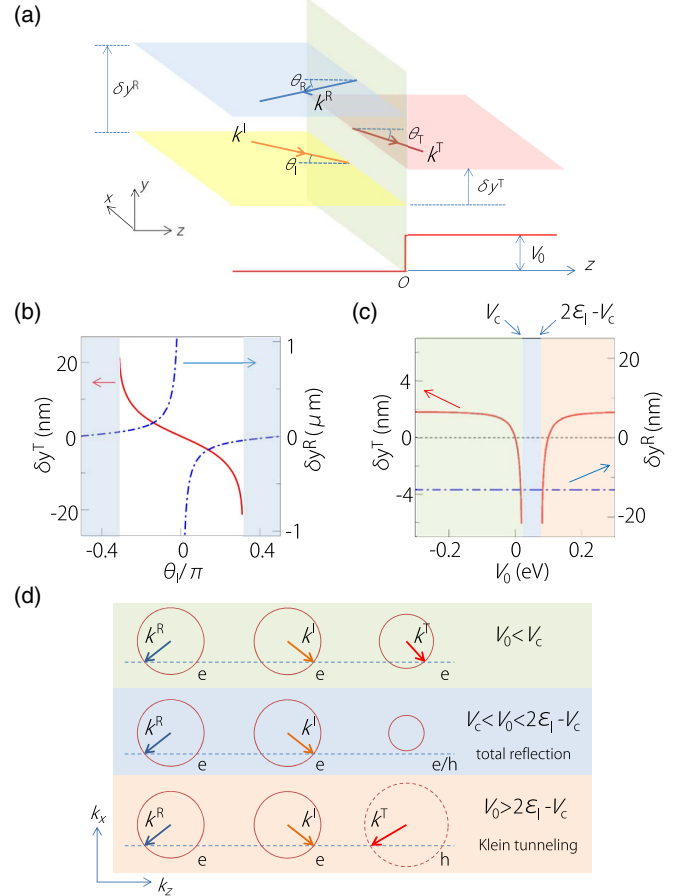


FIG. 1 (color online). (a) Schematic figure showing the transverse shifts $\delta y^{T,R}$ in transmission and reflection for an incident Weyl electron wave packet in the $x-z$ plane scattered by a potential step. (b),(c) $\delta y^{T,R}$ plotted as functions of the incident angle θ_I and the potential step height V_0 , respectively. The shaded regions mark the range of total reflection. (d) Schematic figure showing the Fermi surfaces and momenta ($k_y = 0$) of the reflected and/or transmitted wave packets in normal, total reflection, and Klein tunneling regimes. The behaviors in (a)–(c) are for left-handed Weyl electrons, whereas the behaviors for right-handed ones (not shown) are the opposite; the features in (d) do not distinguish chirality. We have chosen the parameter values as $\mathcal{E}_I = 0.01$ eV, $V_0 = 0.014$ eV, $v_z = 10^6$ m/s, and $v_{x,y} = 3 \times 10^5$ m/s in (b), and $\theta_I = \pi/4$, $\mathcal{E}_I = 0.05$ eV, $v_z = 10^6$ m/s, and $v_{x,y} = 8 \times 10^5$ m/s in (c).

An anomalous transverse shift exists in reflection (R) as well. The conservation of J_z directly leads to the transverse shift of the reflection plane by

$$\delta y^R = \frac{\tau(n_z^R - n_z^I)}{2k_x^R} = -\frac{\tau v_z \cot \theta_I}{\mathcal{E}_I}, \quad (4)$$

where $k_x^R = k_x^I$ and $k_z^R = -k_z^I$.

One observes that for an incident Weyl electron with $\tau = 1$, as shown in Fig. 1(b), δy^R and δy^T have the same sign that switches at $\theta_I = 0$. δy^T becomes peaked near total reflection. Approaching normal incidence, δy^T vanishes,

whereas δy^R becomes divergent. The latter anomaly is resolved by the fact that the reflection probability is exponentially small because of the pseudospin conservation. (Both reflection and transmission probabilities, determined by quantum mechanics, depend on V_0 crucially.) As shown in Fig. 1(c), δy^R is independent of V_0 , whereas δy^T strongly depends on V_0 . δy^T is positive for $V_0 < 0$ and negative for $0 < V_0 < V_c$. As V_0 is further increased, the total reflection occurs until $V_0 = 2\mathcal{E}_I - V_c$, when the Klein tunneling begins to take place and δy^T then has the same sign as $(V_0 - 2\mathcal{E}_I)$.

Physically, the shape of the Fermi surface changes across the potential step, as sketched in Fig. 1(d). Although k_x is conserved, k_z —and hence the pseudospin angular momentum $\tau n_z/2$ —changes during reflection or transmission. Therefore, the orbital motion must have a transverse shift to compensate for this change in order to guarantee the conservation of J_z . As suggested by Eqs. (3) and (4), the transverse shifts are the opposite for Weyl electrons with different chirality and hence may be dubbed as a chirality-dependent Hall effect (CHE).

Semiclassical EOMs.—While the symmetry argument based on J_z conservation can be applied to an interface that is sharp compared to the Fermi wavelength, the semiclassical description of EOMs is more general, regardless of any symmetry. Generalizing this formalism [45–48] to the lightly doped WSMs, we find the following velocity and force EOMs for the Weyl wave-packet center (\mathbf{r}, \mathbf{k}) :

$$\dot{\mathbf{r}} = \partial_{\mathbf{k}}\mathcal{E} - \Omega_{\mathbf{k}\mathbf{r}} \cdot \dot{\mathbf{r}} - \dot{\mathbf{k}} \times \Omega, \quad (5)$$

$$\dot{\mathbf{k}} = -\partial_{\mathbf{r}}V - \partial_{\mathbf{r}}\mathcal{E} + \Omega_{\mathbf{r}\mathbf{k}} \cdot \dot{\mathbf{k}}. \quad (6)$$

We have included two possible spatially varying ingredients: the electrostatic potential V and the Fermi velocities v_i . The latter not only gives rise to the spatial dependence of the wave-packet energy \mathcal{E} , but it also leads to the phase-space Berry curvatures $\Omega_{\mathbf{k}\mathbf{r}}$ for the local eigenstates, where $\Omega_{k_i r_j} = \partial_{k_i} \mathcal{A}_{r_j} - \partial_{r_j} \mathcal{A}_{k_i}$ and $\mathcal{A}_{q_i} = i \langle u | \partial_{q_i} u \rangle$ ($\mathbf{q} = \mathbf{r}, \mathbf{k}$). In Eq. (5) Ω is the more familiar momentum-space Berry curvature with $\Omega_{\ell} = \epsilon^{ij\ell} \Omega_{k_i k_j} / 2$, and for the WSM described by model (1) $\Omega = -\tau v_x v_y v_z \mathbf{k} / (2\mathcal{E}_k^3)$. The validity of the semiclassical description requires V and v 's to be slowly varying spatially compared to the Fermi wavelength. In this EOM we have considered corrections up to first order in spatial gradients.

In the presence of rotational symmetry along \hat{z} , the EOMs (5) and (6) are simplified to $\dot{\mathbf{k}} \propto \hat{z}$ and $\dot{\mathbf{r}} = \partial_{\mathbf{k}}\mathcal{E} - \Omega_{\mathbf{k}z} \dot{z} - \dot{\mathbf{k}} \times \Omega$, where $\Omega_{\mathbf{k}z} = -\eta(\partial_{\mathbf{k}}\phi)(\partial_z \cos\theta)/2$ and $\theta(\phi)$ is the polar (azimuthal) angle of \mathbf{n} . A straightforward calculation confirms that $\dot{J}_z = 0$. This demonstration shows that the various Berry curvature terms in the EOMs are crucial for ensuring the conservation of J_z , and thus for understanding the origin of the CHE.

When the rotational symmetry is broken, the argument of J_z conservation is not applicable. However, one can still use the EOMs to obtain the CHE. The potential step produces a force $\dot{\mathbf{k}} = -\partial_z V \hat{z}$, and the Weyl point yields a substantial curvature Ω . As seen in Eq. (5), these two effects result in an anomalous velocity $-\dot{\mathbf{k}} \times \Omega$ of the wave-packet center perpendicular to \hat{z} . This anomalous velocity is analogous to the Lorentz force in the semiclassical picture. By integrating Eq. (5), we find an anomalous shift

$$\delta \mathbf{r}^\alpha = - \int_I^\alpha \dot{\mathbf{k}} \times \Omega dt = \frac{\tau v_x v_y (n_z^\alpha - n_z^I)}{2(v_x^2 k_x^2 + v_y^2 k_y^2)} (-k_y, k_x, 0), \quad (7)$$

where $\alpha = T$ for $V_0 < V_c \equiv \mathcal{E}_I - \sqrt{v_x^2 k_x^2 + v_y^2 k_y^2}$ and $\alpha = R$ for $V_0 > V_c$. Apparently, Eq. (7) produces exactly the same results as Eqs. (3) and (4) in the case when $v_x = v_y$ and $V_0 < \mathcal{E}_I$. We note, however, two important issues for this semiclassical result of the CHE. First, the semiclassical trajectory is unique, i.e., transmission if $V_0 < V_c$ and reflection if $V_0 > V_c$. Second, Eq. (7) cannot be applied to the Klein tunneling case because the place where $\mathcal{E}_k = 0$ requires a non-Abelian treatment [46], which is beyond the scope of this Letter.

When \mathcal{P} symmetry is broken, the two Weyl points with opposite chirality would split in energy, as denoted by τb_0 in model (1). In this case, all above results can still be applied by replacing \mathcal{E}_α ($\alpha = I, R, T$) with $\mathcal{E}_\alpha - \tau b_0$. The imbalanced populations for the left and right chirality lead to a charge Hall effect. It is even possible to filter one chirality in transmission using the total reflection.

A spatial variation of the Fermi velocities v 's can also lead to a CHE for Weyl wave packets. Consider an interface around which v 's vary along \hat{z} . In the presence of rotational symmetry, the conservation of J_z dictates that

$$\delta y^\alpha = \frac{\tau(n_z^\alpha - n_z^I)}{2k_x^I} = \frac{\tau}{2\mathcal{E}_I} (v_z^\alpha \cot\theta_\alpha - v_z^I \cot\theta_I). \quad (8)$$

Interestingly, the total reflection would occur if $(v_x^T)^2 > (v_x^I)^2 + (v_z^I \cot\theta_I)^2$. In the absence of rotational symmetry, one can still apply the EOMs, assuming v 's to be slowly varying. For the outgoing electron, the anomalous shift in the $x - y$ plane can be expressed as

$$\delta \mathbf{r}^\alpha = \int_I^\alpha \frac{-1}{1 + \Omega_{\mathbf{k}z}} [\Omega_{\mathbf{k}z} \partial_{k_z} \mathcal{E} + (\Omega \times \hat{z}) \partial_z \mathcal{E}] dt, \quad (9)$$

where whether $\alpha = R$ or T is definite and determined by the EOM, as in the case of Eq. (7). One observes that there is an additional transverse shift entirely due to $\Omega_{\mathbf{k}\mathbf{r}}$, apart from the shift due to the $-\dot{\mathbf{k}} \times \Omega$ contribution that was discussed above. Compared to momentum-space curvatures Ω , the phase-space curvatures $\Omega_{\mathbf{r}\mathbf{k}}$ are less well

known. Our result in Eq. (9) identifies a physical effect induced by Ω_{kr} .

Discussion.—The velocity-variation-induced CHE is similar to the Hall effect observed for circularly polarized light beams, i.e., Imbert-Fedorov shifts [39–44] due to the gradient of the dielectric constant in isotropic media [41] in which $\Omega_{kr} = 0$. Our result implies that Ω_{kr} can also be responsible for a Hall effect of light beams in anisotropic media. Like photons, the Weyl fermions in WSMs also have gapless and linear low-energy dispersions. However, their pseudospin is $1/2$, the Lorentz invariance is broken at the lattice scale, their energies can be negative allowing Klein tunneling, and the separation of $\tau = \pm$ Weyl points in momentum is required to avoid mutual annihilation. In the reflection of close-to-normal incidence, the Imbert-Fedorov shift vanishes since the spin of light τn remains the same, whereas the CHE becomes anomalously large, as we have explained, since the pseudospin of Weyl electron $\tau n/2$ becomes the opposite. As great advantages due to their fermionic nature, gate voltages can easily modify the energetic landscape that Weyl fermions travel through; breaking \mathcal{P} symmetry can easily create imbalanced populations of the two Weyl flavors. Interestingly, for photons vacuum is the medium with the largest velocity, whereas for electrons vacuum can be viewed as the WSM with the strongest intervalley scattering leading to an infinite energy gap. However, as long as the projected Weyl points are well separated on the surface, i.e., when the Fermi arc appears, the CHE would survive at the WSM-vacuum interface, with a total reflection.

The CHE differs from the anomalous Hall effect (AHE) and the valley Hall effect (VHE). To view their distinctions, we now reproduce the Hall conductivities of WSMs using the EOMs. An electric field produces a force $\dot{\mathbf{k}} = -e\mathbf{E}$, leading to an anomalous velocity $\dot{\mathbf{r}} = e\mathbf{E} \times \boldsymbol{\Omega}$. From the current $I = -e\sum_{\mathbf{k}} \dot{\mathbf{r}}$, we derive that

$$\sigma_{ij} = e^{ij\ell} \frac{e^2}{4\pi\hbar} \int \frac{dk_{\ell}}{2\pi} \text{sgn}(\tau v_{\ell} k_{\ell}). \quad (10)$$

For the model (1) with two Weyl points at $\tau b\hat{z}$, σ_{yz} and σ_{zx} vanish due to the cancellation of Hall contributions from the two chirality $\tau = \pm$, or from the opposite momenta $\pm k_{\ell}$. Yet, σ_{xy} survives because $\text{sgn}(\tau v_z \tau b) = 1$. We can further obtain $\sigma_{xy} = be^2/\pi\hbar$, assuming that the “gaps” $\tau v_z k_z$ are “inverted” at $0 < \tau k_z < b$ and taking into account the lattice regularization of the linear bands. For the same reasons the VHE, which requires an extra τ factor in the integrand of Eq. (10), simply vanishes. Evidently, the AHE arises from Ω_z (i.e., Ω_z leading to $\sigma_{xy} = be^2/\pi\hbar$ and $\Omega_{x(y)}$ producing $\sigma_{yz(zx)} = 0$), whereas the CHE can arise from any component of $\boldsymbol{\Omega}$. Our results highlight the fact that $\boldsymbol{\Omega}$ is a two-form that has three components in the 3D case, instead of one component like the 2D case. In fact, the CHE

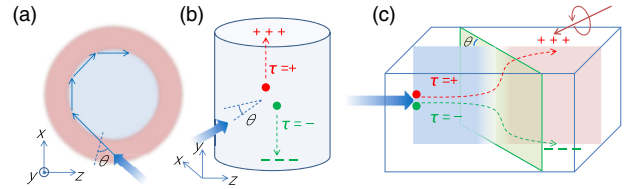


FIG. 2 (color online). (a) Top view of an electron undergoing multiple (total) reflections in a cylindrical potential well of WSMs. (b) Side view of the enhanced CHE in (a). (c) The chirality accumulation on the top and bottom surfaces in transmission through the green interface, which is detectable by the imbalanced absorbance of the left and right circularly polarized lights.

has no counterpart in two dimensions, e.g., in graphene, because the incident plane coincides with the atomic layer and a transverse shift normal to the layer is not well defined. But an AHE (VHE) is possible in graphene when an energy gap opens at its Weyl points with \mathcal{T} (\mathcal{P}) symmetry breaking.

Experimentally, the adiabatic variation of Fermi energy and velocities may be controlled by local gates and strain effects, respectively. In a cylindrical potential well, the incident Weyl wave packets undergo multiple reflections, leading to an enhanced CHE, as shown in Figs. 2(a) and 2(b). Because of the CHE in the multiple (total) reflections or in transmission, the left- and right-handed Weyl fermions are separated toward opposite surfaces, as sketched in Fig. 2(c). The surface chirality accumulation can be detected by the imbalanced absorbance of the left and right circularly polarized lights [49]. When \mathcal{P} symmetry is further broken, the imbalance between the two chirality leads to a charge Hall effect with a voltage difference between the opposite surfaces.

Finally, a few comments are in order. Impurities can randomize ballistic electron trajectories or can introduce intervalley scattering mixing the two chirality. Hence, to observe a CHE, (i) the carrier mean free path needs to be longer than the device size, and (ii) the impurity potential needs to be smooth such that the intervalley scattering is weak. For a WSM with multiple pairs of Weyl points, as long as their intervalley scattering is weak, each pair can be treated independently and our results directly apply. Magnetic field effects can be studied by including the Lorentz force $e\mathbf{B} \times \dot{\mathbf{r}}$ and the orbital magnetic moment $\mathbf{m} = e\boldsymbol{\Omega}$ [46]. Second order corrections can also be incorporated into the EOMs [50,51]. Appealingly, the coupling $\mathbf{E} \cdot \mathbf{B}$ leads to the chiral anomaly [47], whereas the coupling $-\mathbf{m} \cdot \mathbf{B}$ shifts the Weyl wave-packet energy depending on its chirality. Thus far we have assumed that τb , which represents the Weyl point locations, is insensitive to the spatial variation of V or v 's. If τb does change notably, $\nabla \times \mathbf{b}(\mathbf{r})$ would be an axial gauge field, and we would have to take into account the axial magnetic effect.

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Note added.—Recently, a complementary and independent study [52] appeared, with a similar CHE in reflection derived by a different approach.

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