Dynamic Stability of Crack Fronts: Out-Of-Plane Corrugations

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The dynamics and stability of brittle cracks are not yet fully understood. Here we use the Willis-Movchan 3D linear perturbation formalism [J. Mech. Phys. Solids **45**, 591 (1997)] to study the out-ofplane stability of planar crack fronts in the framework of linear elastic fracture mechanics. We discuss a minimal scenario in which linearly unstable crack front corrugations might emerge above a critical front propagation speed. We calculate this speed as a function of Poisson's ratio and show that corrugations propagate along the crack front at nearly the Rayleigh wave speed. Finally, we hypothesize about a possible relation between such corrugations and the long-standing problem of crack branching.

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Crack propagation is a major vehicle for material failure [1,2]. Yet a complete theoretical understanding of the dynamics and stability of rapidly propagating tensile cracks in brittle materials is lacking [3,4]. In the last few decades it has been experimentally established that rapid cracks undergo various types of dynamic instabilities. Planar crack fronts can experience a 3D microbranching instability [5–8], a 2D oscillatory instability [9], distributed microcracking in their vicinity [10–13], and support propagating 3D waves, with both in-plane and out-of-plane components [14–17].

Recently, progress in understanding these phenomena has been made. For example, linear in-plane crack front waves have been theoretically predicted [18–21]; dynamic crack branching has been observed in 2D numerical simulations [22–25]; energetic bounds on 2D crack branching have been derived [26–30]; and the 2D oscillatory instability has been explained based on intrinsic nonlinearities [31,32], whose role in dynamic fracture has been highlighted in Refs. [33–39]. While progress in understanding 3D crack front instabilities in the context of quasistatic mixed-mode fracture [40,41] and dynamic tensile fracture [42] has been made very recently, the 3D out-of-plane nature of crack front waves [14] and the microbranching instability [4] remain theoretically elusive.

In this Letter we study the out-of-plane stability of planar crack fronts in the framework of linear elastic fracture mechanics using the Willis-Movchan 3D linear perturbation formalism [43–47]. The emergence of out-of-plane crack corrugations within a minimal scenario is discussed. We calculate the critical propagation speed for the onset of such corrugations, which propagate along the crack front at nearly the Rayleigh wave speed, as a function of Poisson's ratio. We then speculate about a possible relation between these corrugations and the 3D microbranching instability and its fractographic consequences. Our work is directly inspired by Ref. [42].

To set the stage for our stability analysis, consider a planar crack propagating steadily in an isotropic linear elastic solid and introduce small perturbations that give rise to the following perturbed crack surface configuration

$$S_{\epsilon}(t) = \{ \mathbf{x}: -\infty < x_1 < \upsilon t + \epsilon \phi(x_2, t), \\ -\infty < x_2 < \infty, x_3 = \epsilon \psi(x_1, x_2, t) \}, \quad (1)$$

where $\{x_1, x_2, x_3\}$ is a fixed Cartesian coordinate system and v is a constant propagation speed in the x_1 -direction, smaller than the Rayleigh wave speed c_R . The smooth and bounded functions ϕ and ψ describe in-plane and out-ofplane perturbations, respectively, and $0 < \epsilon \ll 1$ is a small dimensionless amplitude. Note that in Eq. (1) we use ∞ to schematically represent finite macroscopic length scales that are much larger than any length scale characterizing the perturbations. Such scales will be explicitly invoked below and will play a role in our analysis.

The isotropic linear elastic solid is characterized by a stress field $\boldsymbol{\sigma}$ and a displacement field \boldsymbol{u} that satisfy the momentum balance equation $\nabla \cdot \boldsymbol{\sigma} = \rho \partial_{tt} \boldsymbol{u}$, where ρ is the mass density, and are related through Hooke's law $\boldsymbol{\sigma} = \lambda \text{tr} \varepsilon \boldsymbol{I} + 2\mu\varepsilon$, where $\varepsilon = \frac{1}{2} [\nabla \boldsymbol{u} + (\nabla \boldsymbol{u})^T]$. Here \boldsymbol{I} is the identity tensor, and λ and μ are the Lamé constants that are related to the dilatational and shear wave speeds through $c_d = \sqrt{(\lambda + 2\mu)/\rho}$ and $c_s = \sqrt{\mu/\rho}$, respectively. The crack surfaces are traction-free $\sigma_{ij}n_j = 0$, where i = 1, 2, 3 and \boldsymbol{n} is an outward unit normal to $S_{\epsilon}(t)$, and some external conditions are specified on the outer boundaries of the solid. This formulation constitutes linear elastic fracture mechanics (LEFM) [1].

A fundamental prediction of this framework is that the asymptotic crack front expansion of the stress field σ is

dominated by a universal square-root singularity in the distance from the front. For the unperturbed crack [Eq. (1) with $\epsilon = 0$], under pure (symmetric) tensile loading conditions, the expansion of the tensile stress component ahead of the front takes the form [1]

$$\sigma_{33}^{(0)} \simeq K_I^{(0)} (2\pi X)^{-1/2} + A_3^{(0)} X^{1/2} \text{ for } X \to 0^+,$$
 (2)

where $X = x_1 - vt$. Here $K_I^{(0)}$ is the mode *I* (tensile) stress intensity factor (SIF), a fundamental quantity that quantifies the intensity of the linear elastic singularity; $A_3^{(0)}$ is the coefficient of the subleading term to be used below; and the superscript (0) refers to the unperturbed crack. Note that $K_I^{(0)}$ and $A_3^{(0)}$ are assumed to be independent of x_2 and *t*. The crack front perturbations described in Eq. (1) break both the translational symmetry along x_2 and the $x_3 \rightarrow -x_3$ symmetry of the global loading. Therefore, the stress σ associated with the perturbed crack admits the same asymptotic expansion (ahead of the front, along its local tangent) as in Eq. (2), but now $K_I(x_2, t)$ depends on x_2 and *t*, and there exist also mode II (in-plane shear) and mode III (tearing) singular contributions proportional to $K_{II}(x_2, t)$ and $K_{III}(x_2, t)$, respectively.

The major goal of a linear perturbation theory is to calculate the SIFs $K_{I,II,III}$ to linear order in ϵ : $K_j(x_2, t) = K_I^{(0)} \delta_{jI} + \epsilon K_j^{(1)}(x_2, t)$, with j = I, *II*, *III*. This problem was solved by Willis and Movchan in 1997, yielding [45]

$$K_{I}^{(1)}(x_{2},t) = Q_{33} * \phi K_{I}^{(0)} + \sqrt{\pi/2} \phi A_{3}^{(0)}, \qquad (3)$$

$$K_{II}^{(1)}(x_2, t) = \left[-\Theta_{13}Q_{11} * \psi^* - \omega_{13}\partial_{x_1}\psi^*\right]K_I^{(0)} - \Theta_{13}\psi^*A_3^{(0)} + T_{II}(x_2, t),$$
(4)

where * stands for a convolution in (x_2, t) and ψ^* is ψ evaluated at the crack front. We do not present here K_{III} because it plays no role in what follows.

Let us discuss the physical meaning of the various terms in Eqs. (3) and (4). Q_{ij} are weight functions that quantify the nonlocal (both in space and time) elastodynamic interaction of the crack front with itself. They admit explicit Fourier transform representations \bar{Q}_{ij} , and are homogeneous functions of degree 1 (see Refs. [42,45–48] for details). $T_{II}(x_2, t)$ involves convolutions of additional weight functions with the "history" of out-of-plane perturbations, i.e., ψ for X < 0, and effective tractions associated with zero order stresses [45,48]. The other terms are purely geometric in nature and correspond to local rotations and translations of the crack front. Finally, the kinematic functions $\Theta_{13}(v)$ and $\omega_{13}(v)$ take the form

$$\Theta_{13}(v) = -2\alpha(\beta - \alpha)(1 + \beta^2)/R(v), \qquad (5)$$

$$\omega_{13}(v) = -[8\alpha\beta - (1+\beta^2)(2+\alpha^2+\alpha\beta)]/R(v), \quad (6)$$

where $\alpha^2 = 1 - v^2/c_d^2$, $\beta^2 = 1 - v^2/c_s^2$, and $R(v) = 4\alpha\beta - (1 + \beta^2)^2$ (whose root is the Rayleigh wave speed c_R).

In order to prepare Eqs. (3) and (4) for the stability analysis to follow, we first Fourier transform all relevant functions with respect to (x_2, t) such that $\bar{f}(\xi_2, \omega)$ is the transform of $f(x_2, t)$. Noting that to leading order in ϵ one has $\partial_{x_1}\psi^* = v^{-1}\partial_t\psi^*$, the wave number of the perturbation ψ in the propagation direction x_1 is readily given by $\xi_1 = \omega/v$. In a minimal scenario, a crack problem features one macroscopic length scale *L* and one macroscopic stress scale σ^{∞} . Dimensional analysis then implies that $K_I^{(0)} \sim \sigma^{\infty}\sqrt{L}$ and $A_3^{(0)} = K_I^{(0)}a_3(v)/L$, where $a_3(v)$ is a real dimensionless function. Furthermore, the Fourier transform of $T_{II}(x_2, t)$ can be expressed as

$$\bar{T}_{II}(\xi_2,\omega) = K_I^{(0)} \sqrt{|\xi_2|/L} \bar{t}_{II}(\omega/|\xi_2|, |\xi_2|L) \bar{\psi}^*(\xi_2,\omega),$$
(7)

where \bar{t}_{II} is a complex function.

Therefore, the Fourier space version of Eqs. (3) and (4) reads

$$\bar{K}_{II}^{(1)}(\xi_2,\omega) = \left[-|\xi_2|\Theta_{13}\bar{q}_{11}(\omega/|\xi_2|) + i(\omega/\upsilon)\omega_{13} - \Theta_{13}a_3/L + \sqrt{|\xi_2|/L}\bar{t}_{II}(\omega/|\xi_2|,|\xi_2|L)\right]\bar{\psi}^*(\xi_2,\omega)K_I^{(0)},$$
(8)

$$\bar{K}_{I}^{(1)}(\xi_{2},\omega) = \left[|\xi_{2}|\bar{q}_{33}(\omega/|\xi_{2}|) + \sqrt{\frac{\pi}{2}} \frac{a_{3}}{L} \right] \bar{\phi}(\xi_{2},\omega) K_{I}^{(0)},$$
(9)

where we used the homogeneity property of \bar{Q} to define $\bar{Q}_{11,33}(\xi_2, \omega) = |\xi_2|\bar{q}_{11,33}(\omega/|\xi_2|)$. Equations (8) and (9), which serve as a basis for our stability analysis, show that in-plane and out-of-plane perturbations are decoupled to first order in ϵ [45], though experiments demonstrate such a coupling [14], suggesting the intervention of higher order corrections.

In order to study the out-of-plane stability of the crack, we need an equation of motion for the front in terms of the SIFs. It is well-established that under quasistatic and 2D (i.e., when the front can be treated as a tip) conditions, the principle of local symmetry (PLS) $K_{II} = 0$ [49] is valid [50,51]. This implies that no intrinsic nonlinear scales near the crack front play a role in crack instabilities and hence that crack patterns are always determined by extrinsic (typically geometric) length scales. However, it was recently shown that for strongly dynamic conditions (i.e., v of the order of c_R) in 2D, a generalization of the PLS is required and intrinsic nonlinear scales play a decisive role in rapid crack instabilities [31,32]. The role of intrinsic length scales in the context of quasistatic 3D crack propagation under mixed-mode $K_I + K_{III}$ conditions has also been demonstrated recently [40,41].

Here, however, we seek a minimal scenario for the emergence of out-of-plane instabilities and hence wish to deviate as little as possible from LEFM. Therefore, we adopt the PLS, $K_{II} = 0$, even though we consider 3D cracks under dynamic conditions. Finally, as a crack propagation condition we use the generalized Griffith criterion, quantifying the balance between energy flowing into the crack front region and the dissipation associated with the fracture process [1], which together with the PLS reads [52]

$$\alpha(1-\beta^2)K_I^2/[2\mu R(v)] = \Gamma$$
 and $K_{II} = 0.$ (10)

Here Γ is the fracture energy, assumed to be independent of v. Note that, in general, the energy balance relation also contains terms proportional to K_{II}^2 and K_{III}^2 [1]; the former is omitted due to the $K_{II} = 0$ condition and the latter because it does not contribute to order ϵ .

Before discussing the out-of-plane stability, we briefly consider the in-plane dynamics of the crack front [19]. Expanding the energy balance relation in Eq. (10) and using $\bar{K}_I^{(1)}(\xi_2, \omega)$ of Eq. (9), we obtain

$$2\bar{q}_{33}\left(\frac{\omega}{|\xi_2|}\right) - i\frac{\omega}{|\xi_2|}\partial_{\nu}\ln\left[\frac{\alpha(1-\beta^2)}{R(\nu)}\right] + \frac{\sqrt{2\pi}a_3}{|\xi_2|L} = 0.$$
(11)

In the limit $|\xi_2|L \gg 1$ this equation admits a real solution for every v, giving rise to a dispersion relation of the form $\omega/|\xi_2| = s_f(v) + O(1/|\xi_2|L)$, where $c_f^2 = s_f^2 + v^2$ is the propagation speed in a fixed coordinate system (s_f is the propagation speed seen by an observer moving with the crack front). These are the well-known in-plane crack front waves [18–21], whose speed c_f is plotted in Fig. 1(b). Note that while the linear perturbation theory determines a dispersion relation in terms of ω and $|\xi_2|$, it cannot select them separately. Moreover, although in-plane crack fronts might play a role in fracture dynamics, they cannot leave fractographic marks by themselves, which entails an outof-plane component.



FIG. 1 (color online). (a) $\Re[\bar{q}_{11}]$ vs s/c_s with $\nu = 0.25$ for $\nu = 0.3c_R$ (solid line, no zero crossing) and $\nu = 0.7c_R$ (dashed line, zero crossing). (b) The normalized crack corrugation wave speed c_c/c_R vs ν/c_R for $\nu = 0.25$ (solid blue line) and $\nu = 0.4$ (dashed blue line). For completeness, we also show the normalized in-plane crack front wave speed c_f/c_R for $\nu = 0.25$ (dotted red line) and $\nu = 0.4$ (dotted-dashed red line).

To study the out-of-plane stability, we apply the PLS to Eq. (8), i.e., set $\bar{K}_{II}^{(1)} = 0$. The outcome is a complex equation for a complex variable $\omega/|\xi_2|$ and a real variable $|\xi_2|L$. We expect $\bar{K}_{II}^{(1)} = 0$ to be equivalent to

$$\Re[\omega/|\xi_2|] = h(\nu, |\xi_2|L), \quad \Im[\omega/|\xi_2|] = g(\nu, |\xi_2|L), \quad (12)$$

where $h(\cdot)$ and $g(\cdot)$ are in principle calculable. The crack stability against out-of-plane perturbations is determined by the sign of $\Im[\omega/|\xi_2|]$. For $\Im[\omega/|\xi_2|] < 0$ perturbations are attenuated and the crack is stable. For $\Im[\omega/|\xi_2|] > 0$ perturbations are amplified and the crack is unstable, developing surface corrugations. Therefore, the instability threshold is determined by $\Im[\omega/|\xi_2|] = 0$ [50,51]. Before we perform this analysis, let us discuss two possible scenarios. In the first, we set $|\xi_2| L \rightarrow \infty$. Therefore, at the instability threshold, the second equation in Eq. (12) reads $g(v, \infty) = 0$. The solution, which determines the critical speed v_c for instability, is then substituted into the first equation in Eq. (12), yielding $\Re[\omega/|\xi_2|] = h(v_c, \infty)$, which is a dispersion relation for corrugation waves (similar to the one derived for in-plane front waves). In the second scenario, we keep $|\xi_2|L$ finite (albeit large) and obtain $g(v, |\xi_2|L) = 0$ and $\Re[\omega/|\xi_2|] = h(v, |\xi_2|L)$. Here both ω and $|\xi_2|$ are being selected, and the critical speed v_c (if it exists) is determined from a solvability condition. In this case, the wave number in the propagation direction, $\xi_1 = \omega/v$, is also selected and the complete crack surface topography is determined.

To test these scenarios we use the stability condition $\Im[\omega/|\xi_2|] = 0$ to obtain (for $\nu < c_R$) [48]

$$2\Im[\bar{q}_{11}(s)] = s\partial_v \ln[\beta(1-\beta^2)/R(v)], \qquad (13)$$

where $s \equiv \omega/|\xi_2|$ is now real. Substituting this result in $\bar{K}_{II}^{(1)} = 0$ [see Eq. (8)], we obtain

$$\Re[\bar{q}_{11}(s)] = -\frac{a_3}{|\xi_2|L} + \frac{\Re[\bar{t}_{II}(s, |\xi_2|L)]}{\Theta_{13}\sqrt{|\xi_2|L}}, \quad (14)$$

$$\hat{\omega}_{13} = -v\Im[\bar{t}_{II}(s, |\xi_2|L)]/(s\sqrt{|\xi_2|L}), \quad (15)$$

where $2\hat{\omega}_{13} \equiv 2\omega_{13} - \Theta_{13}\upsilon\partial_{\upsilon}\ln[\beta(1-\beta^2)/R(\upsilon)].$

To analyze these equations within the first scenario we set $|\xi_2|L \to \infty$ such that Eq. (15) becomes $\hat{\omega}_{13}(v) = 0$. This equation admits no solution as $\hat{\omega}_{13}(v) < 0$ for every $v < c_R$. Indeed, it was recently demonstrated that within this scenario there exist no solutions with real $\omega/|\xi_2|$ and that out-of-plane perturbations experience attenuation for every v [42]. To test the second scenario, we propose an iterative scheme for solving Eqs. (14) and (15). As macroscopic length scales are assumed to be much larger than any length scale characterizing the perturbations, to leading order we can set $|\xi_2|L \to \infty$ on the right-hand side of Eq. (14), obtaining $\Re[\bar{q}_{11}(s)] \simeq 0$. If the latter admits a solution, then *s* is determined to order $O(1/\sqrt{|\xi_2|L})$ and Eq. (15) yields $\sqrt{|\xi_2|L} = -v\Im[\bar{t}_{II}(s,\infty)]/(s\hat{\omega}_{13})$, which determines $|\xi_2|$ and requires the calculation of \bar{t}_{II} . Once \bar{t}_{II} is known, the

steps can be iterated to produce higher order corrections in powers of $1/\sqrt{|\xi_2|L}$. Here, however, we do not calculate \bar{t}_{II} , which is a complex function that depends on the geometry and loading conditions of any particular crack problem, and hence truncate the iterative scheme at its first step, $\Re[\bar{q}_{11}(s)] \approx 0$, assuming that the next steps yield a solution for $|\xi_2|$.

To explore this scenario we look for solutions of $\Re[\bar{q}_{11}(s)] = 0$, which we denote by s_c . It turns out that a real solution s_c exists only above a critical propagation speed v_c , which is a function of Poisson's ratio $\nu = \lambda / [2(\lambda + \mu)]$. Examples are shown in Fig. 1(a). Once s_c is known for a given v, the crack corrugation wave speed c_c , in a fixed coordinate system, is given by $c_c^2 = s_c^2 + v^2$. In Fig. 1(b) c_c/c_R is plotted vs v/c_R for two values of v and $v \ge v_c$. c_c is nearly the Rayleigh wave speed c_R . For completeness, we also plot the in-plane crack front wave speed c_f , which exists for every v. In Fig. 2 we show the critical speed for the onset of out-of-plane corrugations v_c as a function of Poisson's ratio ν . This constitutes our main result: the existence of an out-of-plane crack instability above a critical speed within a minimal scenario, accompanied by the propagation of corrugation waves along the crack front. v_c is a monotonically decreasing function of ν , which vanishes above $\nu_c \simeq 0.4$.

Our findings might be directly related to the experiments of Refs. [15,16], where small out-of-plane perturbations of a crack's front in soda-lime glass were reported to decay exponentially, implying stability (these authors also reported the existence of persistent, nondecaying, out-ofplane front waves for sufficiently large perturbations in Ref. [14]). The propagation front speed for these measurements was below v_c for glass ($\nu \simeq 0.2$, cf. Fig. 2), where we indeed predict linear stability against small out-of-plane perturbations.

Might the out-of-plane corrugations discussed here also be related to the microbranching instability? Providing a definite answer goes well beyond the scope of the present work. It is, however, conceivable that the two phenomena are related. A microbranching event, like the corrugations



FIG. 2 (color online). The normalized critical speed v_c/c_R for the onset of out-of-plane instability (solid blue line) and the normalized critical speed v_b/c_R above which 2D branching is energetically possible vs ν (dashed red line) [30].

discussed above, breaks the translational symmetry along x_2 (it is localized along this dimension [7]) and goes out of plane. One may then speculate that the out-of-plane front instability may trigger the microbranching instability, or if the two instabilities are of different origins, that one may amplify or suppress the other [53].

To see what the implications of this speculation might be, we need an estimate for the critical speed for microbranching v_b . In the absence of a better alternative, we use the energetic bound for 2D branching [30], which is shown in Fig. 2 together with v_c . The two curves intersect at $\nu \simeq 0.32$. Consider materials with $\nu \leq 0.32$ (e.g., $\nu \simeq 0.2$ for silica glass) where $v_b < v_c$. For $v < v_b < v_c$ out-of-plane perturbations are stable and branching is not possible. For $v_b <$ $v < v_c$ out-of-plane perturbations are still stable, though branching is energetically possible. Finally, for $v_b < v_c < v$ out-of-plane perturbations are unstable and branching is energetically possible. Consider then materials with $\nu \ge 0.32$ (e.g., $\nu \simeq 0.35$ for Plexiglas or $\nu \simeq 0.5$ for elastomer gels). Here $v_c < v_b$ and there exists a regime with $v_c < v < v_h$, where out-of-plane perturbations are unstable, while branching is energetically prohibited.

As corrugations and branching events leave traces on fracture surfaces, the different v regimes described above might be related to the common mirror-mist-hackle transition, a fractographic characterization that corresponds to progressive increase in surface roughness with increasing crack speed [54]. For example, in a regime where the crack front is stable against both corrugations and branching, we expect mirror-like surfaces. For $\nu > \nu_c \simeq 0.4$, where such a regime does not exist, we do not expect mirror-like surfaces even at very low propagation speeds. In a regime where only one of the instabilities can be triggered, typically at higher v's, surface roughness will emerge, which might correspond to the mist zone. When both instabilities can take place, at yet higher v's, we expect denser surface features and enhanced roughness, which might correspond to the hackle zone. We hope to further explore these issues in the future.

While our analysis, assuming LEFM and the PLS, implies that the scale of out-of-plane corrugations is determined by an extrinsic (geometric or loading-inherited) length scale L, in practice such corrugations typically feature much smaller scales [4,54]. Nevertheless, we believe our analysis also remains relevant when intrinsic length scales, associated with near crack front nonlinearities, microstructures, and dissipation, play a role in selecting the scales of corrugations. The idea is that the SIFs uniquely couple the large scales of a crack problem to the small scales near the front. Therefore, whenever a region where the square-root singular SIFs' fields dominate the mechanical response exists-true for a broad range of materials [1]—the calculation of the SIFs in Eqs. (8) and (9) is required. Moreover, we expect any generalization of the PLS-incorporating the role of intrinsic scales-to be represented in terms similar to \bar{t}_{II} and thus the structure of Eqs. (14) and (15) to remain valid, where the role of L is played now by an intrinsic length scale.

To conclude, we demonstrate—within a minimal linear elastic fracture mechanics scenario—the existence of an out-of-plane crack front instability characterized by a Poisson's ratio dependent critical propagation speed. We speculate on a possible relation between this instability and the 3D microbranching instability, and its fractographic implications. Extending our analysis to explicitly include intrinsic nonlinear effects [31,32] and finite geometric scales is an important direction for future investigation.

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