

Emergence of the Pointer Basis through the Dynamics of Correlations

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We use the classical correlation between a quantum system being measured and its measurement apparatus to analyze the amount of information being retrieved in a quantum measurement process. Accounting for decoherence of the apparatus, we show that these correlations may have a sudden transition from a decay regime to a constant level. This transition characterizes a nonasymptotic emergence of the pointer basis, while the system apparatus can still be quantum correlated. We provide a formalization of the concept of emergence of a pointer basis in an apparatus subject to decoherence. This contrast of the pointer basis emergence to the quantum to classical transition is demonstrated in an experiment with polarization entangled photon pairs.

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The measurement problem is at the core of fundamental questions of quantum physics and the quantum-classical boundary [1]. One way to approach the classical limit is through the process of decoherence [2], where a quantum measurement apparatus \mathcal{A} interacts with the system of interest \mathcal{S} . The apparatus suffers decoherence through contact with the environment (\mathcal{E}) that collapses \mathcal{A} into some classical set of pointer states, which are not altered by decoherence. The correlations between these states and the system are preserved, despite the dissipative decoherence process. In this sense, decoherence selects the classical pointer states of \mathcal{A} , inducing a transition from quantum to classical states of the measurement apparatus. The time scale associated with this transition is usually estimated by the decoherence half-life. In this work, we show that contrary to this idea, the pointer states can emerge in a well-defined instant of time. This result is obtained by showing that the pointer basis emerges when the classical correlation (CC) [3] between system and apparatus becomes constant. It emphasizes the importance of CC in the investigation of the measurement process, even though the joint $\mathcal{S}\mathcal{A}$ state still has quantum features, as can be inferred by quantum discord [4]. After the transition, measurements are repeatable being verifiable by other observers [5], signaling the emergence of the pointer basis. We demonstrate this behavior experimentally using entangled photons [6].

The discussion starts by considering that a system \mathcal{S} initially in a state $|\psi_s\rangle$ interacts with a measurement apparatus \mathcal{A} , so that they become entangled [1,2].

The apparatus is in constant interaction with the environment \mathcal{E} , so that during the measurement process the composite system $\mathcal{S} + \mathcal{A} + \mathcal{E}$ evolves from the (uncoupled) initial state $|\psi_s\rangle|A_0\rangle|E_0\rangle$ to $\sum_i c_i |s_i\rangle|A_i\rangle|E_i(t)\rangle$, where $|A_i\rangle$ are orthogonal and thus distinguishable states of the apparatus, and $|E_i(t)\rangle$ are the states of the environment, which are inaccessible to the observer. The reduced density matrix of the system and the apparatus becomes

$$\rho_{sa} = \sum_{i,j} c_i c_j^* \langle E_j(t)|E_i(t)\rangle |s_i\rangle\langle s_j| \langle A_j|, \quad (1)$$

where $\langle E_j(t)|E_i(t)\rangle$, with $i \neq j$, are rapidly decaying time-dependent coefficients. Therefore, after a characteristic period of time known as the decoherence time τ_D , the resulting state of $\mathcal{S} + \mathcal{A}$ is well approximated by

$$\rho_{sa} = \sum_i |c_i|^2 |s_i\rangle\langle s_i| \langle A_i|, \quad (2)$$

for which the states of the bases $\{|s_i\rangle\}$ and $\{|A_i\rangle\}$ are classically correlated. This correlation permits an observer to obtain information about \mathcal{S} via measurements on \mathcal{A} . In this sense, it is said that the environment selects a basis set of classical pointer states $\{|A_i\rangle\}$ of the apparatus and the decoherence time τ_D is traditionally recognized as a reasonable estimate of the time necessary for the pointer basis to emerge [2,7]. However, is it correct to assume that τ_D is the necessary time for the information about \mathcal{S} be accessible to a classical observer?

To answer this question, let us consider the amount of information one obtains about the quantum system by

observing the apparatus. This information can be quantified by the CC defined in Refs. [3,4]. It tells us how much information one can retrieve about a first quantum system \mathcal{S} through measurements performed on a second system \mathcal{A} . It is defined as the difference between the entropy of the system \mathcal{S} and the average entropy conditioned to the output of measurements on \mathcal{A} [3,4]:

$$J_{s|\{\Gamma_i^a\}}(\rho_{sa}) = S(\rho_s) - \sum_i p_i S(\rho_s^i|\Gamma_i^a), \quad (3)$$

where S is the von Neumann entropy and $\{\Gamma_i^a\}$ is a complete positive operator valued measure that determines which measurement is performed on \mathcal{A} . In addition, as $J_{s|\{\Gamma_i^a\}}$ depends on the measurement chosen $\{\Gamma_i^a\}$, the maximum over all measurements on \mathcal{A} determines the total CC

$$J_{s|a}^{\max}(\rho_{sa}) = \max_{\{\Gamma_i^a\}} \left[S(\rho_s) - \sum_i p_i S(\rho_s^i|\Gamma_i^a) \right]. \quad (4)$$

For solely classical-correlated states, this definition is equivalent to the mutual information $I(\rho_{sa}) = S(\rho_s) + S(\rho_a) - S(\rho_{sa})$, while for most quantum-correlated states it is not. The difference between $I(\rho_{sa})$ and $J_{s|a}^{\max}$ is the so-called quantum discord (QD) [4], $\delta_{s|a}(\rho_{sa}) = I(\rho_{sa}) - J_{s|a}^{\max}(\rho_{sa})$, which quantifies genuine quantum correlations. This includes correlations that can be distinct from entanglement.

Once $J_{s|a}^{\max}$ quantifies the information an observer retrieves about the quantum system by measuring her apparatus, it is natural to expect that its dynamics under decoherence can help us to understand the measurement process. In the following, we state two theorems that characterize the dynamics of $J_{s|a}^{\max}$ and $J_{s|\{\Pi_i^a\}}$ during any decoherence process. In the following, when we refer to decoherence, we mean a channel with a well defined and complete pointer basis. The complete proofs of the theorems are given in the Supplemental Material [8].

Theorem 1.—Let ρ_{sa} be the state of system-apparatus at a given moment. If the apparatus is subject to a decoherence process that leads to the projectors on the pointer basis $\{\Pi_i^a\}$, then $J_{s|\{\Pi_i^a\}}$ is constant throughout the entire evolution.

The interpretation of this theorem is clear. The classical correlations between the quantum system and the pointer states remain constant during the decoherence process. Therefore, if an observer monitors the apparatus through the pointer basis, she will always get the same information about the quantum system, independent of time and the decoherence rate. In the next theorem, we will show that this is a particular property of the pointer basis.

Theorem 2.— Let ρ_{sa} be the state of the system apparatus at a given moment. If the apparatus is subject to a decoherence process leading to the projectors on the pointer basis $\{\Pi_i^a\}$ and $J_{s|\{\Pi_i^a\}} > 0$, then either (i) $J_{s|a}^{\max}$

is constant and equal to $J_{s|\{\Pi_i^a\}}$, or (ii) $J_{s|a}^{\max}$ decays monotonically to value $J_{s|\{\Pi_i^a\}}$ in a finite time, remaining constant and equal to $J_{s|\{\Pi_i^a\}}$ for the rest of the evolution.

Theorem 2 shows that $J_{s|a}^{\max}$ displays two kinds of evolution: (i) constant or (ii) decay, which are fundamentally different in terms of the measurement process. During the decay process (ii), $J_{s|a}^{\max}$ is maximized in a basis of nonclassical states, given by superpositions of the pointer states. Thus, the information about \mathcal{S} that is available in the apparatus $J_{s|a}^{\max}$ is obtained by observation of nonclassical (nonpointer) states, and it decays because these states are affected by decoherence. When $J_{s|a}^{\max}$ is constant (i), it is obtained by measurements in a basis of classical (pointer) states not altered by decoherence.

In the decay case (ii) the transition from a decaying function to a constant cannot be analytical. The switch is signaled by a point of nonanalyticity in the behavior of $J_{s|a}^{\max}$ as function of time. The study of nonanalytic points of this sort, usually referred to as ‘‘sudden changes’’, have already been reported in the literature [9], and typically focus on sudden changes in the quantum discord. However, as we show here, a compelling and fundamental physical interpretation can be obtained by focusing rather on the sudden changes of the classical correlations in the context of the measurement process.

Now, consider an observer who was given the task to describe the quantum system \mathcal{S} , and suppose she can measure the apparatus \mathcal{A} in any basis at any instant during the decoherence process. Before the sudden change transition, the maximum information she obtains, $J_{s|a}^{\max}$, is a decaying function of time. However, a classical description of a quantum system cannot depend on the decoherence rate of \mathcal{A} . That is, for repeated tests of some fixed state of \mathcal{S} , the apparatus must always provide the same information, otherwise the apparatus is useless. So, from the perspective of the correlations between system and apparatus, a meaningful pointer basis cannot emerge before the transition. Indeed, after the transition, the maximum information $J_{s|a}^{\max}$ that can be obtained about \mathcal{S} is given by measurement of \mathcal{A} in the pointer basis. Thus, the time instant at which the classical correlations $J_{s|a}^{\max}$ become constant can be viewed as the emergence time of the pointer basis. Moreover, it is an immediate consequence of Theorem 2 that after the transition of $J_{s|a}^{\max}$ from decay to the constant regime, the QD, i.e., quantum correlation, decays faster. Nevertheless, due to the analyticity of $I(\rho_{sa})$, QD vanishes only in the asymptotic limit. Therefore, we prove the conjecture that QD has no sudden death [10] for these channels.

To illustrate the consequence of Theorems 1 and 2, consider a bipartite two-level (or two qubit) system $\mathcal{S} + \mathcal{A}$ where the apparatus \mathcal{A} is affected by the environment in two distinct ways: a phase damping (PD) and an

amplitude damping channel (AD) [11]. We consider two initial states (see Fig. 1) for the joint system $\mathcal{S} + \mathcal{A}$, both defined by the density matrix:

$$\rho_{sa} = \begin{pmatrix} c & 0 & 0 & w \\ 0 & b & z & 0 \\ 0 & z & b & 0 \\ w & 0 & 0 & c \end{pmatrix}. \quad (5)$$

This state is an incoherent mixture of four Bell states. For initial state 1, we choose $c = 0.4$, $b = 0.1$, $z = 0.1$, and $w = 0.4$, and for initial state 2 we take $c = 0.4$, $b = 0.1$, $z = 0.1$, and $w = 0.15$. For these two cases, and considering the effects of PD and AD on the apparatus, we can consider only two measurements to maximize $J_{s|a}^{\max}$ during the dissipative dynamics: the projectors on the eigenstates of σ_x and σ_z , $\{\Pi_x^a\}$ and $\{\Pi_z^a\}$ [12]. Figure 1 displays the evolution of $J_{s|\{\Pi_x^a\}}$ and $J_{s|\{\Pi_z^a\}}$ as function of the time dependent parameter $p = (1 - e^{-\gamma t})$, where γ is the half-life of the decoherence. The maximum information available $J_{s|a}^{\max}$ is given by the larger of these two quantities. Figure 1(a) shows evolution under the action of the PD channel, for initial state 1. In this case the classical correlation $J_{s|\{\Pi_z^a\}}$ is constant, while the CC defined by measurements in any other basis decay. The selection of the pointer basis emerges in the maximization of $J_{s|a}^{\max}$ through a sudden transition, occurring at a finite time ($p < 1$), at which point $J_{s|a}^{\max} = J_{s|\{\Pi_z^a\}}$, remains constant in the asymptotic limit. The σ_z eigenstates thus form the pointer basis for the PD channel. In Fig. 1(b), the trivial case for the initial condition 2 is shown. There $J_{s|a}^{\max} = J_{s|\{\Pi_z^a\}}$ is constant and maximum, and always corresponds to measurement in the pointer basis of σ_z eigenstates. Figure 1(c) shows the AD channel acting on initial state 1. In this case, $J_{s|a}^{\max}$ decays monotonically,

since both correlations $J_{s|\{\Pi_x^a\}}$ and $J_{s|\{\Pi_z^a\}}$ decay and never cross. In Fig. 1(d), we observe that $J_{s|a}^{\max}$ suffers a sudden change, but continues to decay asymptotically. The behavior shown in Figs. 1(c) and 1(d) is due to the fact that the AD channel does not define a pointer basis. Consequently, the CC for all possible bases of the apparatus $J_{s|\{\Pi_x^a\}}$ decay asymptotically to zero at some rate. In other words, although there is a sudden transition from one basis to the other in the maximization of $J_{s|a}^{\max}$ in Fig. 1(d), no preferred pointer basis of \mathcal{A} is identified, since all correlations vanish asymptotically. The emergence of the pointer basis at a finite time can be attributed to the instant of time τ_E when a sudden transition occurs only when $J_{s|a}^{\max}$ remains constant after the transition.

For states like those in Eq. (5), it is straightforward to find the emergence time τ_E , namely, when $J_{s|\{\Pi_x^a\}} = J_{s|\{\Pi_z^a\}}$:

$$\tau_E = \frac{1}{\gamma} \ln \left| \frac{z+w}{c-b} \right|. \quad (6)$$

Comparing this expression for τ_E with the decoherence half-life $\tau_D = 1/\gamma$, shows that the pointer basis can emerge at times smaller or larger than τ_D . This suggests that the decoherence half-life is not the best estimation for the emergence of the pointer basis of the apparatus \mathcal{A} . In particular, there are situations in which measurements in the pointer basis at time τ_D provide less information than other measurements.

We performed an experiment to investigate the emergence of the pointer basis using polarization entangled photons produced in parametric down-conversion [13], subject to a phase damping channel [6,11]. The experimental setup is outlined in Fig. 2.

We produce polarization entangled photon pairs of the type

$$|\psi_1\rangle = \alpha_1 |H_s\rangle |H_a\rangle + \beta_1 |V_s\rangle |V_a\rangle, \quad (7)$$

where $|H\rangle$ and $|V\rangle$ are orthogonal polarization states, α_1 and β_1 are complex coefficients, and the index a and s refer to apparatus and system respectively. The generation of the entanglement between photons s and a represents the fast interaction between the system and the measurement apparatus.

To observe the sudden transition induced by decoherence, we produce an incoherent mixture of four Bell states, having the structure of Eq. (5) [9]. To do so, the s photon is sent directly to polarization analysis and detection, while the a photon is sent to an unbalanced Mach-Zehnder interferometer, as illustrated in Fig. 2. Signal photons in mode 1 pass through the interferometer unchanged, while photons in mode 2 propagate through a half wave plate which is oriented at 45° . This operation switches polarization $|H\rangle$ to $|V\rangle$ and vice versa, producing the state

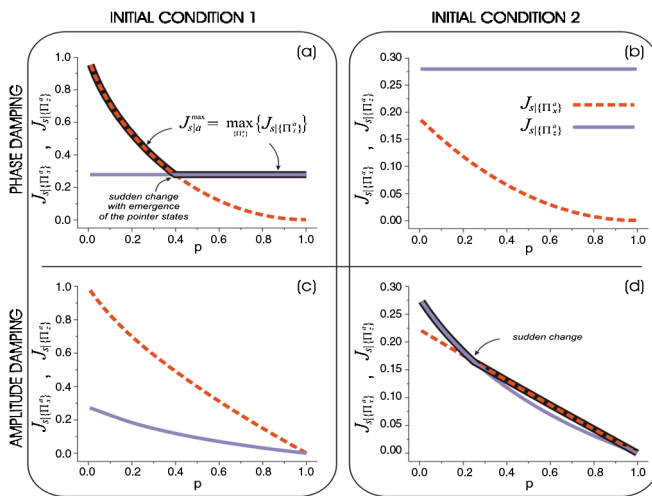


FIG. 1 (color online). Evolution of the quantity $J_{s|\{\Pi_x^a\}}$ calculated for two different projectors: in the σ_z basis (solid line) and in the σ_x basis (dashed line).

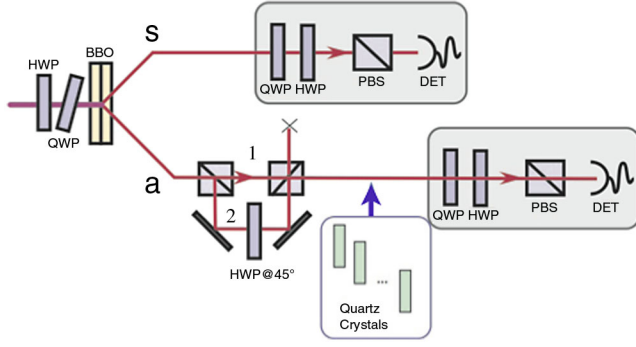


FIG. 2 (color online). Sketch of the experimental setup. Polarization entangled photons are generated in a BBO crystal. States in the form of Eq. (5) are produced using the Mach-Zehnder like scheme in mode a , and dephasing channels are implemented with birefringent quartz crystals. Polarization state tomography is performed using the wave plates [quarter wave plate (QWP), half wave plate (HWP)] and a polarizing beam splitter.

$$|\psi_2\rangle = \alpha_2|H_s\rangle|V_{a2}\rangle + \beta_2|V_s\rangle|H_{a2}\rangle. \quad (8)$$

The ratio, α_i/β_i , is controlled in the preparation of the initial state, while the ratio between the weights of the two Bell states is controlled with the neutral filter and the phase plate inside the interferometer. At the output, modes 1 and 2 are recombined incoherently, due to the large relative path length difference. The state after the recombination is in the X form of Eq. (5). The coefficients can be controlled through the parameters of the initial state and those of the interferometer. We were able to produce states with fidelities as high as 0.98 compared to the target states. The PD channel is implemented with successive 1 mm long birefringent quartz crystals in mode a , which produces a relative delay between H and V polarization components. When the temporal information is ignored, this corresponds to a dephasing channel. Varying the total length of the quartz plates, we can control the amount of decoherence induced, indexed by a parameter p , ranging from $p = 0$ to $p = 1$ for which the coherence becomes zero. The values of p are obtained from process tomography, and the classical correlations are obtained from the reconstructed density matrix of the two-photon state. From the reconstructed density matrix, we calculate the CC for different values of p .

Figure 3 shows the measurement results for the CC, $J_{s|\{\Pi_z^a\}}$ and $J_{s|\{\Pi_x^a\}}$ cross, after which $J_{s|a}^{\max} = J_{s|\{\Pi_z^a\}} = J_{s|\{\Pi_x^a\}}$ is constant, signaling the appearance of the pointer basis for $p \geq 0.4$. The maximum CC, obtained independently by maximizing $J_{s|a}^{\max}$ over all possible projective measurements, shows that either the σ_x and σ_z measurements optimize the available CC throughout the evolution. The Fig. 3(b) shows the measurement directions in the Bloch sphere, where the jump from σ_x to σ_z occurs between the third and fourth data point. The data show that the pointer

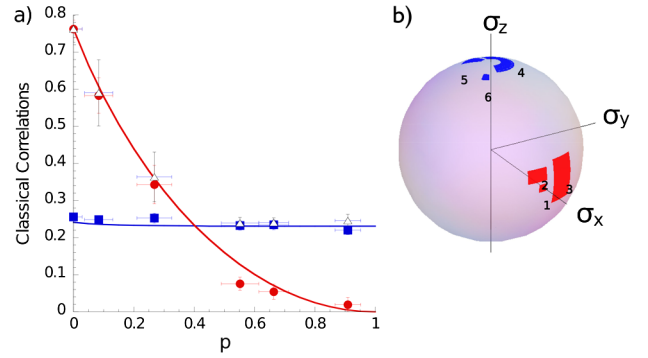


FIG. 3 (color online). Experimental results for the phase damping channel showing emergence of the classical pointer states. Blue squares and red circles correspond to the classical correlations $J_{s|\{\Pi_z^a\}}$ and $J_{s|\{\Pi_x^a\}}$, respectively. Hollow triangles correspond to $J_{s|a}^{\max}$ obtained by maximizing over all possible projective measurements. The inset shows the basis states used in obtaining $J_{s|a}^{\max}$ in the Bloch sphere, where it can be seen that the relevant basis jumps from σ_x eigenstates to σ_z eigenstates. The solid lines correspond to theoretical calculations from the initial $p = 0$ state. Error bars were calculated using Monte Carlo simulations.

basis is selected well before the quantum discord vanishes, which occurs only in the asymptotic limit at $p = 1$.

In conclusion, the measurement problem in quantum mechanics has been for long a difficult and debating subject. An enormous advance was obtained through the einselection [2] program, shedding light on the obscure principles behind repeatability and predictability [2,5] of measurements. Nonetheless, it has always been assumed that the emergence of the pointer basis for the apparatus and the emergence of the classicality for the system + apparatus state were interchangeable phenomena. We have demonstrated in Theorems 1 and 2 that this is not always true, with the formal definition for the emergence of the pointer basis in terms of the constancy of the classical correlation (CC) between system and apparatus. This constant profile for the correlations occurs whenever there exists projectors $\{\Pi_i^a\}$ commuting with the quantum map leading to the decoherence channel on the apparatus. To understand why the constant behavior is required, we recall the fundamental principle that any measurement must be repeatable and verifiable by other observers—according to the Copenhagen interpretation, reductions of the wave packet must return the same information any time and by any observers, a fact which is true only when the CC between system and apparatus is constant. In view of the interpretation given by “quantum Darwinism” [5], copies of the state of the apparatus will be reliable only when the CC is constant. In both ways the formal definition of the pointer basis, presented here, is suitable. We also observe that the time of the transition is usually different from the decoherence time. Therefore, we must distinguish the classicality of the apparatus, which manifests itself through

becoming a classical mixture of the pointer states due to decoherence, from the emergence of the pointer basis, which corresponds to another concept connected to repeatability and reproducibility of observations. As we show in this work, these two phenomena are independent and usually occur at distinct stages of a measurement process.

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