

Observation of Exclusive $\gamma\gamma$ Production in $p\bar{p}$ Collisions at $\sqrt{s} = 1.96$ TeV

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We have observed exclusive $\gamma\gamma$ production in proton-antiproton collisions at $\sqrt{s} = 1.96$ TeV, using data from 1.11 ± 0.07 fb⁻¹ integrated luminosity taken by the Run II Collider Detector at Fermilab. We selected events with two electromagnetic showers, each with transverse energy $E_T > 2.5$ GeV and pseudorapidity $|\eta| < 1.0$, with no other particles detected in $-7.4 < \eta < +7.4$. The two showers have similar E_T and azimuthal angle separation $\Delta\phi \sim \pi$; 34 events have two charged particle tracks, consistent with the QED process $p\bar{p} \rightarrow p + e^+e^- + \bar{p}$ by two-photon exchange, while 43 events have no charged tracks. The number of these events that are exclusive $\pi^0\pi^0$ is consistent with zero and is < 15 at 95% C.L. The cross section for $p\bar{p} \rightarrow p + \gamma\gamma + \bar{p}$ with $|\eta(\gamma)| < 1.0$ and $E_T(\gamma) > 2.5$ GeV is $2.48^{+0.40}_{-0.35}(\text{stat})^{+0.40}_{-0.51}(\text{syst})$ pb.

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In proton-(anti)proton collisions, two direct high- E_T photons can be produced at leading order by $q\bar{q} \rightarrow \gamma\gamma$ and by $gg \rightarrow \gamma\gamma$ through a quark loop. In the latter case it is possible for another gluon exchange to cancel the color of the fusing gluons, allowing the (anti)proton to emerge intact with no hadrons produced. For $p\bar{p}$ collisions, this is the “exclusive” process $p\bar{p} \rightarrow p + \gamma\gamma + \bar{p}$, for which the leading order diagram is shown in Fig. 1(a) [1,2]. The outgoing (anti)proton has nearly the beam momentum, and transverse momentum $p_T \lesssim 1$ GeV/c, having emitted a pair of gluons in a color singlet. There is a pseudorapidity gap $\Delta\eta > 6$ adjacent to the (anti)proton. In Regge theory this is diffractive scattering via pomeron [3,4], \mathbb{P} , exchange. The cross section for $|\eta(\gamma)| < 1.0$ and transverse energy $E_T(\gamma) > 2.5$ GeV is predicted [5,6] to be $\sigma(\gamma\gamma)_{\text{exclusive}} \sim 0.2\text{--}2$ pb, depending on the low- x (unintegrated) gluon density. Additional uncertainties come from the cross section for $g + g \rightarrow \gamma + \gamma$, the

probability that no hadrons are produced by additional parton interactions (rapidity gap survival factor and Sudakov suppression [7]), and the probability that neither proton dissociates (e.g., $p \rightarrow p\pi^+\pi^-$) [5]. The calculation is also imprecise because of the low Q^2 , the squared 4-momentum transfer. The total theoretical uncertainty on the cross section can be estimated to be a factor $\frac{\times 3}{\div 3}$ [8]. Apart from its intrinsic interest for QCD, the process tests the theory of exclusive Higgs boson production [1,2,5,8–13] $p + p \rightarrow p + H + p$, Fig. 1(b), which may be detectable at the LHC. The leading order processes $gg \rightarrow \gamma\gamma$ and $gg \rightarrow H$ are calculable perturbatively, but the more uncertain elements of the exclusive processes (mainly the unintegrated gluon densities, the Sudakov suppression, and the gap survival probability) are common to both (see Fig. 1). For a 120 GeV standard model Higgs boson the exclusive cross section at $\sqrt{s} = 7$ TeV is 3 fb with a factor $\frac{\times 3}{\div 3}$ uncertainty [8].

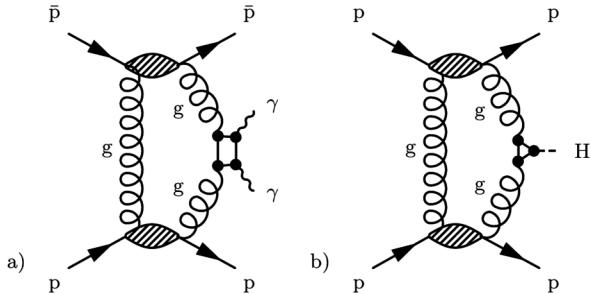


FIG. 1. Leading order diagrams for central exclusive production in $p(\bar{p})$ - p collisions: (a) exclusive $\gamma\gamma$ production in \bar{p} - p collisions; (b) exclusive Higgs boson production in p - p collisions. Note the screening gluon that cancels the color flow from the interacting gluons.

Processes other than $gg \rightarrow \gamma\gamma$ can produce an exclusive $\gamma\gamma$ final state. Contributions from $q\bar{q} \rightarrow \gamma\gamma$ and $\gamma\gamma \rightarrow \gamma\gamma$ are respectively $<5\%$ and $<1\%$ of $gg \rightarrow \gamma\gamma$ [5]. Backgrounds to exclusive $\gamma\gamma$ events to be considered are $\pi^0\pi^0$ and $\eta\eta$, with each meson decaying to two photons, of which one is not detected. We also consider events where one or both protons dissociate, e.g., $p \rightarrow p\pi^+\pi^-$, to be background. These backgrounds are small.

We previously published a search for exclusive $\gamma\gamma$ production, finding three candidate events with $E_T(\gamma) > 5$ GeV and $|\eta| < 1.0$, using data from 532 pb^{-1} of integrated luminosity [14]. The prediction of Ref. [5] was $0.8^{+1.6}_{-0.5}$ events. Two events had a single narrow electromagnetic (EM) shower on each side, as expected for $\gamma\gamma$, but no observation could be claimed. This Letter reports the observation of 43 events with a contamination of $<15\pi^0\pi^0$ events (at 95% C.L.), after we lowered the trigger threshold on the EM showers from 4 GeV to 2 GeV and collected data from another 1.11 fb^{-1} of integrated luminosity. We used the QED process $p + \bar{p} \rightarrow p + \gamma^*\gamma^* + \bar{p} \rightarrow p + e^+e^- + \bar{p}$ in the same data set, for which the cross section is well known, as a check of the analysis.

The data were collected by the Collider Detector at Fermilab, CDF II, at the Tevatron, with $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV. The CDF II detector is a general purpose detector described elsewhere [15]; here we give a brief summary of the detector components used in this analysis. Surrounding the beam pipe is a tracking system consisting of a silicon microstrip detector, a cylindrical drift chamber (COT) [16], and a solenoid providing a 1.4 Tesla magnetic field. The tracking system is fully efficient at reconstructing isolated tracks with $p_T \geq 1 \text{ GeV}/c$ and $|\eta| < 1$. It is surrounded by the central and end-plug calorimeters covering the range $|\eta| < 3.6$. Both calorimeters have separate EM and hadronic compartments. A proportional wire chamber (CES) [17], with orthogonal anode wires and cathode strips, is embedded in the central EM calorimeter, covering the region of $|\eta| < 1.1$, at a depth of six radiation lengths. It allows a measurement of the number and shape, in both η and azimuth ϕ , of EM showers (clusters of wires

or strips). The anode-wire pitch (in ϕ) is 1.5 cm and the cathode-strip pitch varies with η from 1.7 cm to 2.0 cm. The CES provides a means of distinguishing single photon showers from $\pi^0 \rightarrow \gamma\gamma$ up to $E_T(\pi^0) \sim 8$ GeV. The region $3.6 < |\eta| < 5.2$ is covered by a lead-liquid scintillator calorimeter called the Miniplug [18]. At higher pseudorapidities, $5.4 < |\eta| < 7.4$, scintillation counters, called beam shower counters (BSC-1/2/3), are located on each side of the CDF detector. Gas Cherenkov detectors, with 48 photomultipliers per side, covering $3.7 < |\eta| < 4.7$, detect charged particles, and were also used to determine the luminosity with a 6% uncertainty [19].

The data were recorded using a three-level on-line event selection system (trigger). At the first level we required one EM cluster with $E_T > 2$ GeV and $|\eta| < 2.1$ and no signal above noise in the BSC-1 counters ($|\eta| = 5.4\text{--}5.9$). This rapidity gap requirement rejected a large fraction of inelastic collisions as well as most events with more than one interaction (pileup). A second EM cluster with similar properties was required at level two. A level three trigger selected events with two calorimeter showers consistent with coming from electrons or photons: i.e., passing the requirement (cut) that the ratio of shower energy in the hadronic (HAD) calorimeter to that in the EM (HAD:EM) be less than 0.125, and that the signal shape in the CES is consistent with a single shower.

We now describe the offline selection of events, with two isolated EM showers and no other particles except the outgoing p and \bar{p} , which were not detected. Two central, $|\eta| < 1$, EM showers were required with $E_T > 2.5$ GeV to avoid trigger threshold inefficiencies. The energy resolution is $dE/E \sim 8\%$ from test beam studies and *in situ* p/E matching for electrons. A refined HAD:EM ratio cut of $<0.055 + 0.00045E$ was applied, as well as an acoplanarity cut of $|\pi - \Delta\phi| < 0.6$. The trigger selection efficiency for single photons was measured using data collected with an interaction trigger (minimum bias). The BSC-1 gap trigger was taken to be 100% efficient as the BSC-1 trigger threshold was clearly above the noise level and the offline selection criteria. We measured an overall trigger efficiency of $\varepsilon_{\text{trig}} = 92\% \pm 2\%(\text{syst})$. A weighting process was necessary due to the different slope in E_T of the minimum bias probe data compared to the signal. The trigger efficiency did not show any η or ϕ dependence for $|\eta| < 1$. Monte Carlo signal simulation data samples were generated using the SUPERCHIC program (version 1.3) [11,20] based on recent developments of the Durham KMR model [2]. The Monte Carlo samples were passed through a simulation of the detector, CDFSIM 6.1.4.m including GEANT version 3.21/14 [21]. The systematic error was estimated by using the binwise uncertainty of the efficiency in the weighting process of the signal Monte Carlo sample. Taking into account a combined detector and offline reconstruction efficiency of $\varepsilon_{\text{rec}} = 55\% \pm 3\%(\text{syst})$, and a photon identification efficiency of

$\varepsilon_{\text{id}} = 93\% \pm 1\%$ (syst), we obtained a photon-pair efficiency $\varepsilon_{\text{pho}} = \varepsilon_{\text{trig}}^2 * \varepsilon_{\text{rec}} * \varepsilon_{\text{id}}^2 = 40\% \pm 3\%$ (syst). The systematic uncertainties of the reconstruction and identification efficiency were estimated by shifting kinematical input parameters over a reasonable interval motivated by the dominating EM-energy-scale uncertainty [22]. The offline selection then required that no activity other than these two showers (or clusters of showers) occurred in the entire detector, $|\eta| < 7.4$. We used the same procedure as in our earlier study of exclusive e^+e^- events [23], searching all the calorimeters for any signal above noise levels, determined using noninteraction events; 99.2% of such events have no tower (out of 480) with $E_T > 125$ MeV. We also required the CLC counters and the more forward BSC counters to have signals consistent with only noise. Events triggered only on a bunch crossing (zero bias) showed that the exclusive efficiency, $\varepsilon_{\text{excl}}$, defined as the factor to be applied to the delivered luminosity to account for the requirement of no pileup, is $\varepsilon_{\text{excl}} = 6.8\% \pm 0.4\%$ (syst). The probability $P(0)$ of a zero-bias event satisfying all the exclusivity cuts, i.e., having no detected inelastic interaction, is $P(0) = A \exp(-\bar{n}) = A \exp(-L_x \sigma_{\text{vis}})$, where L_x is the single bunch crossing luminosity (cm^{-2}) and σ_{vis} is the visible cross section; $\sigma_{\text{vis}} = \sigma_{\text{inel}}$ if every inelastic collision is detected. We find $\sigma_{\text{vis}} = 67 \pm 6$ mb. In the absence of noise (above our chosen thresholds) $A = 1.0$; we find $A = 0.98 \pm 0.02$. We checked that the rate of candidate events, corrected for the exclusive efficiency, was constant during data taking (one year). The systematic uncertainty was estimated using the spread in slope parameters from fits to data in different time periods.

The selection of 81 events passing all cuts was made without reference to the track detectors. We found that 34 have exactly two oppositely charged tracks, 43 have no tracks in the COT, and four are in neither class. Visual inspection of the latter showed that two had photon conversions, and two were likely to be e^+e^- events with bremsstrahlung. These numbers are consistent with expectations from the detector simulation. The tracks in the 34 two-track events agree in all aspects with the QED process $p + \bar{p} \rightarrow p + e^+e^- + \bar{p}$ via two virtual photons, previously observed in CDF [23,24]. The calorimeter shower energies are consistent with the momenta measured from the tracks. Kinematic distributions, after detector simulation, are as expected. The mass $M(e^+e^-)$ distribution is presented in Fig. 2(a), together with the QED prediction normalized to the delivered luminosity and efficiencies, showing that the cross section agrees with the QED prediction in both magnitude and shape. We measured a cross section of $\sigma_{e^+e^-,\text{exclusive}}(|\eta(e)| < 1, E_T(e) > 2.5 \text{ GeV}) = 2.88^{+0.57}_{-0.48}(\text{stat}) \pm 0.63(\text{syst}) \text{ pb}$, compared to $3.25 \pm 0.07 \text{ pb}$ (QED, [25]). The systematic uncertainties for the QED study are mostly identical to the photon case. Distinct from photons, electrons leave tracks in the

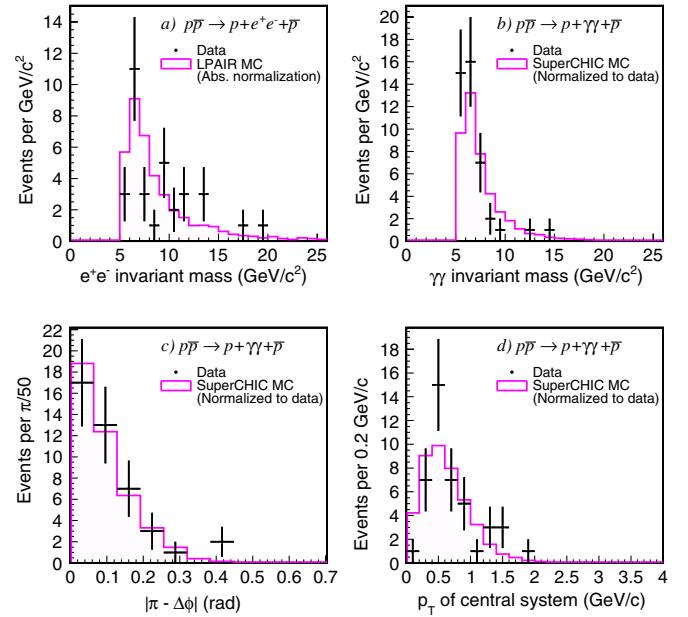


FIG. 2 (color online). The e^+e^- candidates: invariant mass distribution (a). The two-photon candidates: invariant mass distribution (b), $|\pi - \Delta\phi|$ distribution (c), and p_T distribution of the two photons (d). All error bars are statistical. The MC predictions for $\gamma\gamma$ are normalized to data. The QED prediction for e^+e^- is normalized to the delivered luminosity and efficiencies. The MC samples for the QED process were generated with the LPAIR program [25].

tracking detectors and may radiate. The systematic uncertainty on the radiation probability was estimated by varying the exclusivity cuts by $\pm 10\%$. This e^+e^- sample provides a valuable check of the exclusive $\gamma\gamma$ analysis.

The 43 events with no tracks have the kinematic properties expected for exclusive $\gamma\gamma$ production [20]. In particular the $M(\gamma\gamma)$ distribution [Fig. 2(b)] extending up to 15 GeV/c^2 is as expected, as well as the acoplanarity $\pi - \Delta\phi(\gamma\gamma)$ [Fig. 2(c)] and the 2-vector sum of p_T [Fig. 2(d)]; in these plots [unlike Fig. 2(a)] the SUPERCHIC Monte Carlo prediction is normalized to the same number of events as the data. An important issue is whether some of these events could be $\pi^0\pi^0$, rather than $\gamma\gamma$. Note that $\gamma\pi^0$ events are forbidden by C parity. The CES chambers give information on the number of EM showers. The minimum opening angle $\Delta\theta_{\min}$ between the two photons from π^0 decay is $2\tan^{-1}(m(\pi)/p(\pi)) = 3.1^\circ$ for $p(\pi) = 5 \text{ GeV}$, well separated in the CES chambers, which have a granularity $< 0.5^\circ$. A π^0 can fake a γ only if one photon ranges out before the CES, or falls in an inactive region (8%) of the detector. All of the 68 e^\pm events in our sample, with similar energies, had matching showers in the CES chambers. A GEANT [21] simulation predicts the probability that a photon in our energy range produces a shower to be $\geq 98.3\%$. We summed the number of reconstructed CES showers in the event, mostly 2 or 3 as shown in Fig. 3 (left). The distribution agrees very well with the $\gamma\gamma$ simulation, and

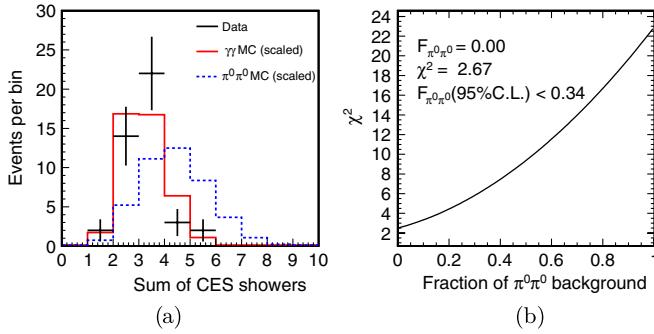


FIG. 3 (color online). Estimate of $\pi^0\pi^0$ background fraction in the candidate sample. Distribution of reconstructed CES showers per event for data compared to $\gamma\gamma$ and $\pi^0\pi^0$ Monte Carlo simulations (a). Background fraction estimate using Pearson's χ^2 test to fit the composition hypothesis to the data distribution (b).

strongly disagrees with the $\pi^0\pi^0$ simulation. Fitting to the sum of the two components gives a best fit to the fraction $F(\pi^0\pi^0) = 0.0$, with a 95% C.L. upper limit of 15 events. Since obtaining this result, a new calculation of exclusive $\pi^0\pi^0$ production [26] predicts $\sigma_{\text{excl}}(\pi^0\pi^0) = 6\text{--}24 \text{ fb}$ for $E_T(\pi^0) > 2.5 \text{ GeV}$ and $|\eta| < 1.0$, ≤ 0.01 of our measured exclusive $\gamma\gamma$ cross section. In the cross section calculation we take this background to be zero. Exclusive $\eta\eta$ production is also expected to be negligible. The only other significant background could be undetected proton dissociation, about 10% for the QED e^+e^- process but $<1\%$ for $\text{P} + \text{P} \rightarrow \gamma + \gamma$ [5,27,28]. The cross section for both photons with $E_T(\gamma) > 2.5 \text{ GeV}$ and $|\eta(\gamma)| < 1.0$ and no other produced particles is given by:

$$\sigma_{\gamma\gamma, \text{exclusive}} = \frac{N(\text{candidates}) - N(\text{background})}{\mathcal{L}_{\text{int}} \cdot \varepsilon \cdot \varepsilon_{\text{excl}}},$$

where ε is the product of the trigger, reconstruction, identification, and conversion efficiencies (22.8%) in Table I.

TABLE I. Summary of parameters used for the measurement of the exclusive photon-pair cross section for $E_T(\gamma) > 2.5 \text{ GeV}$ and $|\eta(\gamma)| < 1.0$. Values for the e^+e^- control study are also given. Note that b/g stands for background.

| | |
|--|--|
| Integrated luminosity \mathcal{L}_{int} | $1.11 \pm 0.07 \text{ fb}^{-1}$ |
| Exclusive efficiency | $0.068 \pm 0.004 \text{ (syst)}$ |
| Exclusive $\gamma\gamma$ | |
| Events | 43 |
| Photon-pair efficiency | $0.40 \pm 0.02 \text{ (stat)} \pm 0.03 \text{ (syst)}$ |
| Probability of no conversions | $0.57 \pm 0.06 \text{ (syst)}$ |
| $\pi^0\pi^0$ b/g (events) | $0.0, <15 \text{ (95\% C.L.)}$ |
| Dissociation b/g (events) | $0.14 \pm 0.14 \text{ (syst)}$ |
| Exclusive e^+e^- | |
| Events | 34 |
| Electron-pair efficiency | $0.33 \pm 0.01 \text{ (stat)} \pm 0.02 \text{ (syst)}$ |
| Probability of no radiation | $0.42 \pm 0.08 \text{ (syst)}$ |
| Dissociation b/g (events) | $3.8 \pm 0.4 \text{ (stat)} \pm 0.9 \text{ (syst)}$ |

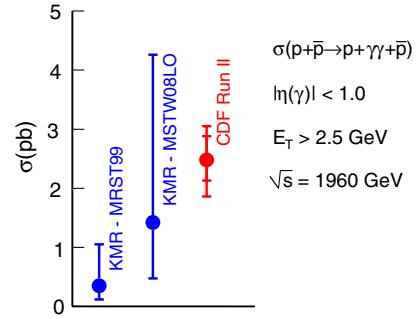


FIG. 4 (color online). Comparison of the measured cross section for the exclusive $\gamma\gamma$ production in $p\bar{p}$ collisions at $\sqrt{s} = 1.96 \text{ TeV}$ with theoretical predictions [11].

The systematic uncertainty on the conversion probability was estimated by varying the exclusivity cuts by $\pm 10\%$. We find $\sigma_{\gamma\gamma, \text{excl}}(|\eta(\gamma)| < 1, E_T(\gamma) > 2.5 \text{ GeV}) = 2.48^{+0.40}_{-0.35} \text{ (stat)}^{+0.40}_{-0.51} \text{ (syst)} \text{ pb}$. The theoretical prediction [11] is strongly dependent on the low- x gluon density, having central values 1.42 pb (MSTW08LO) or 0.35 pb (MRST99), with other uncertainties estimated to be a factor of about $\times 3$ [28]. A comparison of our measurement with the only theoretical prediction available to date is shown in Fig. 4. The rates of e^+e^- and $\gamma\gamma$ events with $E_T(e/\gamma) > 5 \text{ GeV}$ are consistent with those in our earlier studies [14,23].

In conclusion, we have observed the exclusive production of two high- E_T photons in proton-antiproton collisions, which constitutes the first observation of this process in hadron-hadron collisions. The cross section is in agreement with the only theoretical prediction, based on $g + g \rightarrow \gamma + \gamma$, with another gluon exchanged to cancel the color and with the p and \bar{p} emerging intact. If a Higgs boson exists, it should be produced by the same mechanism (see Fig. 1), and the cross sections are related.

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