

Photoexcitation Dynamics in Films of C₆₀ and Zn Phthalocyanine with a Layered Nanostructure

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We elucidate photoexcitation dynamics in C₆₀ and zinc phthalocyanine (ZnPc) from picoseconds to milliseconds by transient absorption and time-resolved terahertz spectroscopy. Autoionization of C₆₀ is a precursor to photocarrier generation. Decay of the terahertz signal is due to decreasing photocarrier mobility over the first 20 ps and thereafter reflects recombination dynamics. Singlet diffusion rates in C₆₀ are determined by modeling the rise of ground state bleaching of ZnPc absorption following C₆₀ excitation. Recombination dynamics transform from bimolecular to monomolecular as the layer thickness is reduced, revealing a metastable exciplex at the C₆₀/ZnPc interface with a lifetime of 150 μ s.

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Organic solar cell [1] performance has dramatically improved over the past decade due to materials advances and optimization of film morphology [2,3]. Solution-processed blends self-organize into segregated domains [4], whereas cosublimed blends have an amorphous structure that impedes transport [5]. Recent advances in sublimed cells [3] have renewed interest in charge generation in molecular systems with particular attention on charge transfer between C₆₀ and phthalocyanines [6,7]. The C₆₀/phthalocyanine heterojunction is an archetype for sublimed cells, yet there are relatively few studies of excited state dynamics in this system [8–10]. A better understanding of excitation dynamics in this model system has broad implications.

In this Letter, we elucidate charge transfer and photoexcitation dynamics in C₆₀ and zinc phthalocyanine (ZnPc) films. Time-resolved terahertz spectroscopy (TRTS) is a powerful, noncontact probe of photoconductivity [11], yet its application to organic semiconductors is controversial. Interpretation of the frequency-dependent complex conductivity $\sigma(\omega)$ is not straightforward, as similar features over the typical terahertz bandwidth can be described by different models [12–14]. Based on the shape of $\sigma(\omega)$, the subpicosecond rise and decay of terahertz absorption has been attributed to free carriers [12,15]. However, the addition of a fullerene acceptor to polythiophene does not enhance the initial signal [14,16,17] nor does the addition of ZnPc to C₆₀ [18]. Both carrier populations and mobilities vary on a picosecond time scale, complicating interpretation of the temporal dependence of the conductivity [19]. Transient absorption (TA) spectroscopy has been widely used to investigate photoexcitation dynamics, yet there have been no detailed comparisons of TA and terahertz spectroscopy in organic semiconductors. The combination of these two techniques permits us to decouple carrier mobility and populations, providing unique insight.

We extend the scope of this study with continuous wave (cw) spectroscopy to identify the excited absorption bands of long-lived carriers and measure their recombination dynamics.

ZnPc and C₆₀ were purified by consecutive vacuum train sublimation. Films were deposited onto fused silica substrates at a rate of 0.5 $\text{\AA}/\text{s}$ in vacuum (10^{-7} Torr). Deposition rates and layer thicknesses were measured *in situ* by a calibrated quartz crystal monitor. 300 nm thick superlattice films with layers from 1 to 10 nm thick were prepared by alternate deposition of ZnPc and C₆₀. Neat films of ZnPc and C₆₀ and a 1:1 blend by weight of C₆₀ and ZnPc were also prepared. For TRTS, thin films were excited with a frequency-doubled Ti:sapphire laser (60 fs, 3.1 eV, 5×10^{13} photons/pulse) and interrogated with synchronized terahertz probe pulses. [20] Optical transients were measured by using an amplified femtosecond laser system (150 fs, 3.2 eV, 10^{13} photons/pulse) as the pump and a frequency-doubled optical parametric amplifier to generate the probe. Photoinduced absorption (PA) was performed by using a cw argon ion laser as the pump (488 nm) and a quartz halogen lamp dispersed through a monochromator as the probe. PA spectra and transients were measured by a lock-in amplifier and a digital storage oscilloscope, respectively. The system resolution was 10 μ s. Samples were measured in ambient conditions, though dry air was used for TRTS measurements. We also tested encapsulated samples and found no significant differences in spectra and dynamics.

Figure 1(a) shows the differential terahertz transmission for neat films of C₆₀ and ZnPc. The terahertz dynamics of the C₆₀ film consist of an instrument-limited rise and exponential decay ($\tau = 0.5$ ps) with a slowly decaying residual absorption. No sharp terahertz transient was observed from a neat film of ZnPc nor from C₆₀ in solution or matrix isolated in polystyrene. The terahertz transients

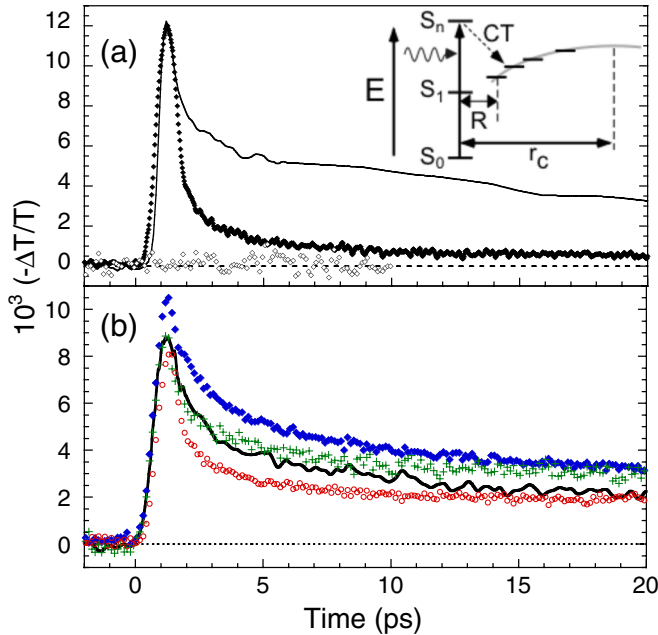


FIG. 1 (color online). (a) TRTS of C_{60} (filled circles) and ZnPc (open circles) films and TA at 2.25 eV of a C_{60} film (line). Inset: A schematic representation of the autoionization process. (b) TRTS of $C_{60}/ZnPc$ films with 1 (black line), 2 (blue diamonds), 5 (green pluses), and 10 nm (red circles) thick layers.

of $C_{60}/ZnPc$ layered films, shown in Fig. 1(b), resemble the C_{60} transient for early times, but the initial decay is slower. The decay of terahertz absorption slows within 10 ps for samples with ≥ 5 nm thick layers and continues over the first 20 ps for samples with thinner layers. The strongest signal at longer delays was observed from the 2 or 5 nm layered films. The signal persists past the maximum delay (600 ps) for all layered samples. The slower decay and long-lived terahertz absorption in blends [10] and layered films [20] is indicative of photocarrier generation.

Comparison of TRTS with TA allows us to disentangle the contributions of carrier mobility and population to terahertz absorption. Figure 2 shows (a) TA with the probe set to the maxima of excited state absorption by ZnPc cations ($h\nu = 2.25$ eV) and (b) the minima of ground state bleaching (GSB) of the ZnPc Q band ($h\nu = 1.84$ eV). These energies are taken from the PA spectra (see Fig. 3). The TA dynamics contain a weak, short-lived transient followed by exponential decay with a lifetime independent of layer thickness ($\tau = 31$ ps). At later times, the TA signal decay slows, decreasing by half between 100 and 600 ps. The early dynamics of GSB are qualitatively different. A subpicosecond TA signal arising from C_{60} is followed by a rise in GSB of ZnPc ($\Delta T > 0$). The most significant difference in TA and GSB dynamics is the gradual rise of GSB that slows with increasing layer thickness. The rise of GSB in a neat ZnPc film is instrument-limited. We show below that the GSB dynamics for all

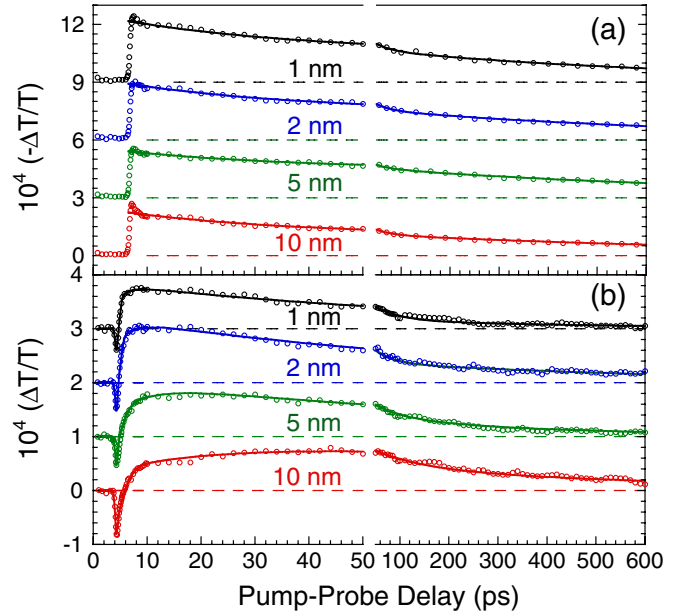


FIG. 2 (color online). (a) TA ($h\nu = 2.25$ eV) and (b) GSB ($h\nu = 1.84$ eV) of layered $C_{60}/ZnPc$ films. Solid lines show (a) a fit to biexponential decay and (b) a Monte Carlo simulation of GSB dynamics (see the text for details).

layered samples originate from singlet exciton diffusion within C_{60} layers.

We first consider the initial TRTS and TA dynamics of C_{60} and layered films. The rise time of a neat C_{60} film is instrument-limited and is followed by subpicosecond decay ($\tau = 0.5$ ps). The initial transient cannot originate from an intramolecular excited state of C_{60} , as it is not seen in solution nor in matrix isolated films. Free carriers are precluded, as the spike in terahertz absorption is lower in mixed films than in neat C_{60} films [18]. Furthermore, the decay time is inconsistent with long-lived photocarriers seen in mixed films of C_{60} and ZnPc [10]. Intrinsic photoconductivity in an organic solid originates from autoionization, a process in which excitation to an upper-lying Franck-Condon state (S_n) is followed by charge transfer to a neighboring molecule [21]. The geminate pair recombines or the ionized electron can escape the Coulomb potential via a thermally activated process, subsequently generating free carriers. This process accounts for both the instrument-limited rise and subpicosecond decay of terahertz absorption. Similar TRTS transients observed in other organic semiconductors should be reconsidered in light of these results.

The observation of autoionization and recombination in real time allows us to correlate the photocarrier yield (ϕ) with the mobility (μ) of the transferred charge [22]:

$$\phi^{-1} \cong 1 + \frac{R^3}{D\tau r_c} [\exp(r_c/R) - 1], \quad (1)$$

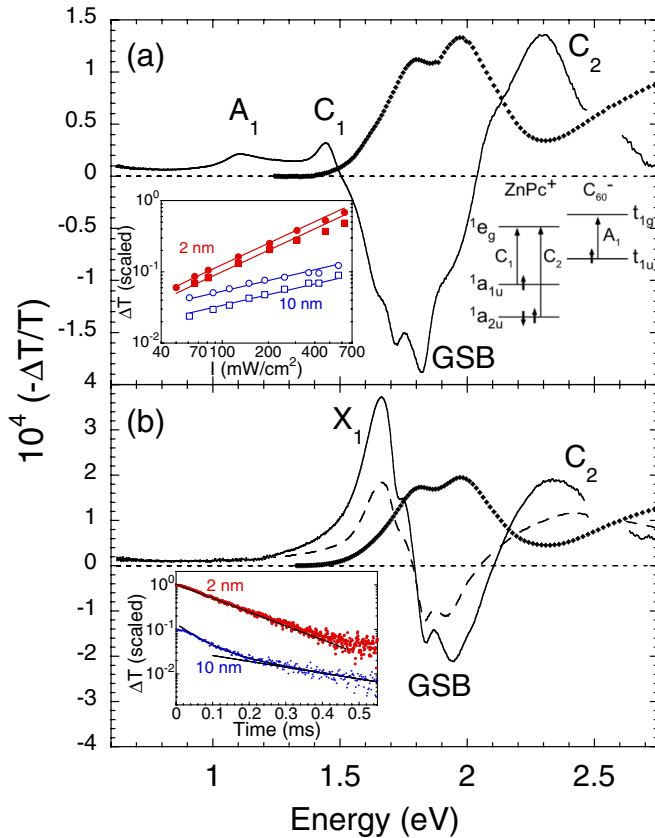


FIG. 3 (color online). (a) PA (line) and absorption (symbols) spectra of a film with 10 nm layers. Left inset: Power dependence of C_2 (squares) and GSB (circles) for samples with 2 (filled symbols) and 10 nm (open symbols) thick layers. Right inset: Schematic diagram of orbitals and transitions of C_{60}^- and $ZnPc^+$. (b) PA (line) and absorption (symbols) spectra of a film with 2 nm layers and the PA spectrum of a C_{60} :ZnPc blend (dashed line). Inset: Decay of C_2 for samples with 2 (top trace) and 10 nm (bottom trace) thick layers.

where R is the initial pair separation, D is the diffusivity, τ is the lifetime of the geminate pair (0.5 ps), and r_c is the interaction radius (14.4 nm at 300 K for C_{60}) [10]. Lee *et al.* combined transient absorption and photoconductivity to determine the mobility-yield product $\mu\phi \cong 0.025 \text{ cm}^2/\text{V s}$ for excitation at 2.9 eV [23]. Using this value and for nearest neighbors ($R = 1.0 \text{ nm}$), we obtain $D = 1.25 \text{ cm}^2/\text{s}$. The corresponding mobility ($D = \mu e/k_B T$) is $50 \text{ cm}^2/\text{V s}$, more than an order of magnitude larger than the steady state photocarrier mobility in C_{60} (ca. $1 \text{ cm}^2/\text{V s}$) [24,25].

Following initial charge transfer, the TRTS signal of all samples decays significantly over the first 20 ps. The TRTS signal decays by 40% for samples with 5 and 10 nm thick layers, increasing to 50% for 2 nm layers and 60% for 1 nm layers. The rapid initial decay of the TRTS signal stands in stark contrast to the GSB, which is flat or rising, demonstrating that the decay of TRTS is not due to recombination. The short time TRTS dynamics thus reflect a decrease in

mobility with time as photocarriers migrate to lower energy sites [26]. The slower decay of the terahertz absorption of the films with thicker layers may be a consequence of delayed charge transfer. The TA (Fig. 2) and TRTS [20] signals are correlated with one another for $t > 20 \text{ ps}$, reflecting recombination dynamics rather than changes in mobility. This is consistent with a recent study that compared the TA and time-resolved microwave conductivity of polymer:fullerene blends [27].

The slower rise of GSB of ZnPc than of TA is a consequence of singlet exciton diffusion within C_{60} layers. Despite the fundamental role that C_{60} plays in sublimed organic solar cells, singlet exciton diffusion in C_{60} has not been studied directly. The extinction coefficient of C_{60} is twice that of ZnPc at 388 nm, and thus most GSB is a consequence of charge transfer following migration to an interface rather than direct excitation of ZnPc. The GSB dynamics were modeled by a Monte Carlo simulation of hopping between neighboring C_{60} molecules followed by charge transfer with unit quantum yield at the C_{60}/ZnPc interface [28]. The short-lived TA of C_{60} is also incorporated. The solid lines in the left-hand side of Fig. 2(b) show the results of the model for a hopping rate of 2.5 THz in C_{60} and a recovery time of 90 ps in ZnPc. Rate constants $\leq 2 \text{ THz}$ or $\geq 3 \text{ THz}$ yielded a poor fit to the experimental data. The only parameter varied is the layer thickness, which was fixed to the measured values for the thicker films (5 and 10 nm). The GSB rise dynamics of the 1 and 2 nm layered films reveal a degree of phase segregation. The GSB peak occurs ca. 5 ps after excitation, whereas charge transfer would be subpicosecond for uniformly deposited layers. Hong *et al.* found that a similar layered film of C_{60} and ZnPc has a highly crystalline structure, much more so than a blend [5,10]. We obtained a good model of the GSB of the thinner layered samples by introducing rough domains of 2.0–2.5 nm. The corresponding singlet diffusivity of C_{60} is $D = 4.2 \times 10^{-3} \text{ cm}^2/\text{s}$, and we calculate a singlet exciton diffusion length of $L_D = 10 \pm 4 \text{ nm}$ in C_{60} by using the Monte Carlo simulation and the C_{60} singlet lifetime (150 ps) [29]. Peumans, Yakimov, and Forrest reported $L_D = 40 \pm 5 \text{ nm}$ for C_{60} [30], reflecting the contribution of triplet excitons to the photocurrent under cw illumination.

The TRTS and TA signals persist past 1 ns, requiring cw spectroscopy to study recombination dynamics. Figure 3 shows the PA and absorption spectra of samples with (a) 10 and (b) 2 nm thick layers. For 10 nm layers, there are one strong and two weak PA bands and GSB of ZnPc. PA band A_1 at 1.1 eV originates from C_{60} anions [31]. The energies and relative intensities of PA bands C_1 at 1.45 eV and C_2 at 2.30 eV match the absorption of oxidized ZnPc [32,33] and are assigned to ZnPc cations. The GSB is more structured than the absorption of ZnPc, as the excited states inhabit a narrower energy range of sites. As the layer thickness is reduced, a new band emerges (X_1) at 1.66 eV and C_2

broadens. The PA spectra of the blend [Fig. 3(b)] is similar but only half as strong. The PA spectrum of a neat film of C_{60} (not shown) was consistent with previous work [34]. The strongest feature is an electroabsorption maxima at 2.2 eV ($\Delta T/T = 5 \times 10^{-5}$). No significant PA was observed from a neat ZnPc film ($\Delta T/T < 10^{-6}$).

There are striking differences in recombination dynamics between the two films. The insets in Fig. 3 show (a) the dependence of the C_2 and GSB on laser power and (b) decay dynamics of C_2 following excitation. Both PA and GSB increase with the square root of the laser power for the 10 nm layered sample, indicating bimolecular recombination, whereas the signals increase linearly with laser power for the 2 nm layered sample as well as the blend. The decay of PA and GSB of the 10 nm layered sample slows with time, consistent with bimolecular recombination ($dn/dt \propto n^2$). The reciprocal recombination rate is $67 \pm 3 \mu\text{s}$. The decays of the C_2 and X_1 of the 2 nm layered sample are correlated with one another and can be fit to exponential decay ($\tau = 144 \pm 2 \mu\text{s}$). The GSB decay is more complicated, consisting of a strong, fast component correlated with the PA decays ($\tau = 0.2 \text{ ms}$) and a weak long-lived component ($\tau = 1.8 \text{ ms}$).

These results demonstrate the sensitivity of photocarriers to the heterojunction. Monomolecular recombination for films with ultrathin layers and blends indicates the formation of a bound state. Changes in recombination dynamics are accompanied by the emergence of a new PA band. Neutral states of C_{60} or ZnPc are precluded, as no such PA is seen in neat films. The best explanation for a metastable state associated with charge transfer is a C_{60}^-/ZnPc^+ exciplex. Such charge transfer states play a crucial role in organic solar cells, as both the photocurrent density (J) and voltage (V) directly depend upon them. Nonradiative recombination is a significant loss factor, up to 0.3 V, and thus must be suppressed [35,36]. Typical lifetimes for exciplex emission are of the order of 10^{-8} – 10^{-7} s [36,37], whereas lifetimes in the range 10^{-6} – 10^{-4} s are needed to obtain a good agreement between the measured J - V of cells and models assuming geminate recombination [38]. Many open questions remain concerning recombination mechanisms in organic bulk heterojunctions. In light of such ongoing interest, our observation of such a long-lived exciplex at a molecular heterojunction is significant.

In conclusion, transient terahertz and absorption spectroscopy shows that autoionization of C_{60} forms a short-lived charge transfer state. Decay of the TRTS signal following charge transfer reflects a decrease in carrier mobility over the first 20 ps and subsequently results from recombination. Singlet exciton migration within the C_{60} layer is manifested by a rise in GSB of ZnPc absorption, permitting us to determine the diffusivity of singlet excitons in C_{60} . Finally, cw spectroscopy reveals a metastable C_{60}^-/ZnPc^+ exciplex.

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