Multiband Spectroscopy of Ultracold Fermions: Observation of Reduced Tunneling in Attractive Bose-Fermi Mixtures

J. Heinze, S. Götze, J. S. Krauser, B. Hundt, N. Fläschner, D.-S. Lühmann, C. Becker, and K. Sengstock Institut für Laser-Physik, Universität Hamburg, Luruper Chaussee 149, 22761 Hamburg, Germany (Received 12 July 2011; published 23 September 2011)

We perform a detailed experimental study of the band excitations and tunneling properties of ultracold fermions in optical lattices. Employing a novel multiband spectroscopy for fermionic atoms, we can measure the full band structure and tunneling energy with high accuracy. In an attractive Bose-Fermi mixture we observe a significant reduction of the fermionic tunneling energy, which depends on the relative atom numbers. We attribute this to an interaction-induced increase of the lattice depth due to the self-trapping of the atoms.

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Quantum gases in optical lattices have proven to be a versatile model system to investigate unsolved many-body problems from condensed-matter theories in a highly controlled fashion [1]. The tunability of interaction strength, lattice depth, and lattice geometry makes these systems particularly well suited to explore the dependencies and limits of anticipated models such as the Hubbard Hamiltonian [2,3]. In particular, fermionic quantum gases have attracted much interest over the last years due to their close analogy to electrons in crystals. This led, e.g., to the observation of Fermi surfaces [4], antibunching [5], and the fermionic Mott insulator [6,7]. At the same time, quantum gases in optical lattices allow us to realize completely new systems like mixtures of bosonic and fermionic atoms where several exotic phases have been predicted. Among them are density waves [8], supersolids [9], and polaronic quasiparticles [10]. Pioneering experiments found a pronounced shift of the bosonic Mott insulator transition [11-13] and more recently a renormalization of the on-site interaction [14]. The realization of these systems over a broad range of parameters has extended the theoretical interest. There is a controversial debate on the correct theoretical description of Bose-Fermi mixtures: Various models have been discussed, including adiabatic heating during the lattice loading [15], an effective potential approach including the admixture of higher bands [13,16], and an extended Hubbard model with nearestneighbor interactions [17]. Multiband effects were also found to be crucial in pure bosonic and fermionic systems with strong interactions [18,19]. For a complete comparison with experiments, it is important to independently measure both the effective on-site interaction and the effective tunneling, where the latter has not been directly observed in experiments yet.

In this Letter, we study the tunneling properties of ultracold fermions in optical lattices with high accuracy. This is done for pure spin-polarized fermions and for attractive mixtures of fermionic potassium and bosonic rubidium atoms. As a key result, we directly observe an interaction-induced change in the effective lattice depth and thus a reduction of the fermionic tunneling energy Jdue to the bosonic component [20]. We explain this in an effective potential picture where a mutual self-trapping of both species is expected [13,16]. For these measurements we apply a novel multiband spectroscopy for fermions in optical lattices based on lattice modulation and a subsequent band mapping. Similar to ARPES in solid state physics, our method can accurately resolve the excitation spectrum with full momentum resolution. This allows for the determination of the lattice depth and the tunneling energy with high accuracy but is also promising to study excitons as well as nonequilibrium dynamics of particle and hole excitations.

We prepare a spin-polarized ultracold mixture of bosonic ⁸⁷Rb and fermionic ⁴⁰K atoms in a crossed optical dipole trap with typically 10^5 fermions at a temperature below 0.2 T_f and 2×10^5 atoms in the BEC. The dipole trap is operated near the magic wavelength at 811 nm, compensating the differential gravitational sag, with an average trap frequency of $\bar{\omega} = 2\pi \times 50$ Hz. We superimpose a 3D optical lattice with beam waists of 200 μ m and $\lambda = 1030$ nm, which we ramp up in 100 ms. Note that the rubidium atoms experience an approximately 2.5 times stronger lattice than the potassium atoms with respect to their recoil energies due to the different masses and detunings. In the following, the lattice strength will be denoted in fermionic recoil energies $E_r = \hbar^2 k_L^2 / 2m$ with the mass *m* of ⁴⁰K and the lattice vector $k_L = 2\pi/\lambda$. Depending on the lattice depth, the fermionic ground state is a metal or band insulator [4,7] while the bosons form a superfluid or Mott insulator.

The spectroscopy is performed as follows (see Fig. 1): We excite the system to higher bands by modulating the depth of the optical lattice in one direction for 1 ms with a variable frequency and a typical modulation depth of 15% [21,22]. Because of the different curvature of the individual bands, only a specific quasimomentum class of atoms can be resonantly excited at a fixed modulation frequency.



FIG. 1 (color online). (a) Experimental sequence. (b) Experimental procedure in momentum space. (c) Typical absorption image and column sum with nonresonant modulation. The atoms occupy the first Brillouin zone. (d) With resonant modulation: Particle-hole excitations are clearly visible.

Finally, we map the quasimomentum distribution onto real momenta by ramping down the optical lattice nonadiabatically in 200 μ s, suppressing band transitions [23]. The ramp is designed to be much faster than the trap dynamics to prevent redistribution within each band. Because of the finite ramp time, however, the distribution is smoothed out at the zone boundaries [24] [see Fig. 1(c)]. We investigate the resulting momentum distribution after a time-of-flight of typically 20 ms thus spatially separating different bands and momenta. Applying off-resonant modulation, the atoms stay in the ground state and occupy the first Brillouin zone [see Fig. 1(c)]. If the modulation frequency corresponds to a transition energy, a specific momentum class is excited to a higher band and mapped onto its corresponding Brillouin zone creating a hole within the first Brillouin zone [see Fig. 1(d)]. Note that, in contrast to localized particle-hole states in the Mott insulating regime [6], we create particle-hole excitations in momentum space. Varying the modulation frequency gives access to the full band structure with full momentum resolution.

To demonstrate the capabilities of this method, we first apply it to the well-known case of a pure noninteracting fermionic sample in an optical lattice as shown in Fig. 2. Experimentally, we measure the energy difference between the first band and the excited bands, obtaining all information to deduce the full band structure. The outcoupled particles correspond to the extended zone scheme of the band structure. The rise of the band gap and the corresponding flattening of the dispersion are clearly visible at the edge of the Brillouin zones. The holes represent the quasimomenta of the outcoupled atoms and thus the band structure within the reduced zone scheme in a textbooklike



FIG. 2 (color online). Momentum-resolved band structure of noninteracting fermions in an optical lattice of 5 E_r : Shown are the column densities of the momentum distributions for different modulation frequencies. Atoms in the first Brillouin zone are represented by the central plateau. Missing particles are holes, representing the reduced zone scheme. Narrow peaks at higher momenta are the outcoupled particles, representing the extended zone scheme.

manner. Note the inverted curvature of the second band, which becomes apparent in the reversed dispersion of the holes. To our knowledge, this is the first experimental observation of the full band structure for ultracold fermions in optical lattices.

To analyze the band structure quantitatively, we determine the center-of-mass of the outcoupled atoms in the time-of-flight pictures and extract the corresponding momenta for all applied modulation frequencies. The result is shown exemplarily in Fig. 3(a) for the third band at a lattice depth of 11 E_r with the fermions forming a pure band insulator. The momentum transfer is given in units of $k_{\rm BZ} = 2\pi/\lambda$. We estimate the lattice depth of the system by a least square fit of a homogeneous 1D band structure (red solid line) to the experimental data. In our data, we clearly observe a deviation of the excitation spectrum from the homogeneous case, particularly at small and large momenta. We attribute this to a combination of two effects: First, there is a broadening of the quasimomentum distribution of the eigenstates due to the inhomogeneity of the system. Second, in our data analysis, for the case of quasimomenta close to the zone edges, our center-of-mass determination leads to a systematic shift of the spectroscopic signal away from the edges of the Brillouin zone. Including this effect, we find good qualitative agreement comparing our full data set to a numerical linear response calculation of a finite 1D system including the harmonic confinement (black dashed line). In particular, the bending of the spectroscopic signal at the zone edges is well reproduced. For a



FIG. 3 (color online). (a) Extracted dispersion of the third band. Red circles are the center-of-mass of the excited atoms. The dashed line is an inhomogeneous 1D calculation, reproducing the data qualitatively. The red solid line is a fit to the data for $0.55 < q < 0.85k_{\rm BZ}$ with a homogeneous 1D band structure. Shaded areas show the dispersion for slightly different lattice depths. (b) Histogram showing the relative number of outcoupled atoms.

specific range of momenta the effect of the inhomogeneity is negligible. Therefore, we restrict the fitting to our data to a momentum range between 0.55 and 0.85 $k_{\rm BZ}$. From this fit we can determine the lattice depth with very high accuracy. The dominant errors of about 2% are of a systematic nature, given by the spatial dependence of the lattice depth due to the Gaussian shape of our lattice beams. For noninteracting particles, the lattice depth fully determines the tunneling energy. The fitted value of 11.3(2) E_r corresponds to J/h = 67(3) Hz. In addition to the dispersion relation we count the number of transferred atoms in the corresponding Brillouin zone, shown as a histogram in Fig. 3(b). It has a maximum at low momenta and is small where the signal bends away from the homogeneous dispersion as expected.

We now turn to our main results, investigating the influence of interspecies interaction on the fermionic band structure: We apply our spectroscopy method to study an attractive mixture of bosonic ⁸⁷Rb atoms in the state $|1, 1\rangle$ and fermionic ⁴⁰K atoms in the state $|9/2, 9/2\rangle$. In this system, interaction effects represented by the strong attractive interspecies scattering length $a_{bf} \approx -215a_0$ between ⁴⁰K and ⁸⁷Rb [25] and the repulsive bosonic scattering length $a_{bb} \approx 100a_0$, play a crucial role. We choose lattice depths such that the rubidium atoms form a Mott insulator and the potassium atoms form a band insulator. Because of the 2.5 times higher lattice depth the tunneling energy of the bosons is very small, while the fermions experience a moderate lattice potential with high tunneling energies. We describe this situation in an effective potential picture [13,16]: If a boson and a fermion are at the same



FIG. 4 (color online). (a) Sketch of the effective potential for different bosonic fillings. Fermions are more tightly bound if attractive bosons are added. (b) Shift of the outcoupled momentum against the relative atom number $N_{\rm Rb}/N_{\rm K}$ at 5 E_r at a fixed modulation frequency of 34 kHz. Lower outcoupled momenta correspond to a deeper lattice. The shaded area is a guide to the eye. (c) Fermionic band structure for different bosonic admixtures. The red squares correspond to $N_{\rm K} = 5.7 \times 10^4$, the green diamonds to $N_{\rm K} = 5.3 \times 10^4$ and $N_{\rm Rb} = 1.56 \times 10^5$, and the blue circles to $N_{\rm K} = 2.2 \times 10^4$ and $N_{\rm Rb} = 2.63 \times 10^5$. Solid lines are fitted dispersions. (d) Histogram showing the relative number of outcoupled atoms.

lattice site, the attractive interaction leads to an enhanced localization and thus a suppression of the tunneling. This can be described as an effective potential which is proportional to the respective density of the other component. Since both species mutually influence each other, this is called self-trapping. The local deformation of the wave functions can be interpreted as the admixture of higher bands. The resulting potential for the fermionic atoms due to the admixture of bosons is sketched in Fig. 4(a). In a homogeneous system with a fixed number of rubidium atoms per site the effective potential for the fermions has the same periodicity as the original lattice but the effective lattice depth is significantly increased. Here, for the first time, we are able to directly observe this interaction shift for different mixture ratios of potassium and rubidium. To maximize the signal-to-noise ratio, we restrict our measurements to the third band, which has the highest excitation rate.

A detailed scan of the third band is shown in Fig. 4(c). The purely fermionic system (red circles) at a lattice depth of 7.6 E_r shows the typical characteristics at low momenta, which can be qualitatively understood as in Fig. 3. The admixture of bosons leads to a clear shift of the band structure and a suppression of the excitation fraction. For moderate atom numbers with a bosonic Mott insulator of one and two atoms per site, there is a small but distinct overall shift of the spectroscopic signal (green diamonds). Using the same fit as for the pure fermionic case, we obtain a lattice depth of 8.2 E_r . This is consistent with the theoretical prediction without correlation effects. For higher particle numbers a third shell with three bosons per site emerges. In this case, the spectroscopic signal shows large deviations from the simple model (blue squares). We attribute this to the increased inhomogeneity of the effective potential due to the bosonic shell structure. If we fit a band structure as before, we obtain a lattice depth of 9.2 E_r , which is consistent with an interaction shift induced by three bosons per site. We also observe a pronounced decrease of the excited fraction for low modulation frequencies as shown in Fig. 4(d). At energies below the fitted dispersion the excitation is not resonant for fermions with three bosons per site. Thus, only fermions with a lower bosonic occupation per site contribute to the excitation which manifests itself in a significantly lower excited fraction. Assuming the fermions as still noninteracting, we can derive their tunneling energy from the lattice depth with a simple calculation. We obtain values for J/h of 160 Hz, 138 Hz, and 109 Hz for the three respective cases showing a clear reduction of the fermionic tunneling due to the admixture of bosons.

To investigate the particle-number dependence in more detail, we performed a measurement at a fixed modulation frequency for a shallow lattice of 5 E_r [see Fig. 4(b)]. For pure fermions, the modulation frequency of 34 kHz results in a momentum of $q = 0.58k_{BZ}$. For an increasing admixture of bosons the mean outcoupled momentum decreases. This is consistent with the effective potential approach, which predicts an increase of the effective lattice depth as in Fig. 4(c). The nonlinear slope indicates enhanced localization due to stronger correlation effects for higher particle numbers.

In conclusion, we have performed a fully momentumresolved spectroscopy of ultracold fermions in optical lattices for the first time. We have investigated a mixture of fermions and localized bosons in an optical lattice and observed an interaction-induced shift of the fermionic band structure due to the attractive bosons as expected from an effective potential approach. The effective lattice depth was increased up to 20% while the corresponding tunneling energy was reduced by more than 30%. Further, we found evidence for a correlation induced enhancement of the interaction shift. As a complementary measurement to [14], this is an important step for the comparison with the theory. In agreement with [13,14], we conclude that a simple single-band Hubbard model is not sufficient for the realistic description of strongly attractive quantum gas mixtures. Moreover, we observe a confinement-induced deformation of the spectroscopy signal at small momenta, consistent with numerical calculations. Our easy-to-implement spectroscopy method is also promising for the investigation of interacting fermi gases in optical lattices. It is, in particular, suited for band gap excitations such as excitons and the dynamics of particle and hole excitations.

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Note added in proof.—Recently, we became aware of related work on bosons [26].

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