

## Electronic Origin of Giant Magnetic Anisotropy in Multiferroic $\text{LuFe}_2\text{O}_4$

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We investigated the orbital anisotropy of  $\text{LuFe}_2\text{O}_4$  using the Fe  $L_{2,3}$ - and O  $K$ -edge x-ray absorption spectroscopy (XAS) and cluster model calculations. X-ray magnetic circular dichroism reveals a surprisingly large orbital magnetic moment ( $m_o \sim 0.8\mu_B/\text{f.u.}$ ), which originates the giant magnetic anisotropy. The polarization dependent XAS enables us to identify the orbital states and occupations, different from the band calculation predictions. These findings were examined by using the cluster model analysis, which also explains the orbital magnetic moment as well as the total moment ( $2.9\mu_B/\text{f.u.}$ ). Taking into account the charge order, we also determined the spin structure.

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Since the discovery of intriguing coupled phenomena between magnetism and ferroelectricity in manganese oxides [1], multiferroicity has been intensively studied for its scientific interest and potential technological applications. Recent studies have shown that multiferroicity originates from various kinds of couplings between charge-spin-orbital-lattice degrees of freedom [2–4].  $\text{LuFe}_2\text{O}_4$  is the first iron-based multiferroic system with a charge order, which is coupled with the spin degree of freedom [5], and exhibits interesting properties such as giant magnetic coercivity [6], a magnetodielectric response [7], strong insulating behavior with a large band gap [8], etc. Although the spin and charge ordering patterns are naturally considered as key factors of the underlying physics, the exact patterns as well as the physical mechanisms are still under debate in both theory and experiment [8–11].

$\text{LuFe}_2\text{O}_4$  is crystallized in a layered hexagonal structure as shown in Fig. 1(a). The unit cell consists of three Fe double layers with 2.5+ average nominal valence (1:1  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  ratio). A three dimensional charge order develops at  $T_{\text{CO}} \approx 320$  K [11,12] upon cooling, and magnetic spins are ordered ferrimagnetically along the  $c$  axis below  $T_C \approx 240$  K [6,10]. Such sequential charge and spin ordering in the triangular lattice is essential for the multiferroic properties since charge and spin orders are frustrated structurally and a polar charged state is stabilized as shown in Fig. 1(b); each double layer is divided into an  $\text{Fe}^{2+}$  and an  $\text{Fe}^{3+}$  rich layer in which the rare  $\text{Fe}^{3+}$  and  $\text{Fe}^{2+}$  ions locate at the center of the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  honeycombs, respectively. The ground state is antiferroelectric with the  $\vec{q} = (\frac{1}{3} \frac{1}{3} \frac{2}{3})$  charge order [11] while the electric field cooling drives the system to be ferroelectric as presented in Fig. 1(c). The electric polarization increases with

development of the magnetic order [5], resulting in a giant magnetodielectric response [7].

Theoretical explanations were proposed for the antiferroelectric and ferroelectric charge orders [9,11] and the magnetodielectric effect was explained to be due to suppression of charge fluctuation [9]. However, the detailed spin structure is still unknown, and the microscopic view is obscured by the complex coupling of spin and charge orders. A possible spin configuration was suggested based on the neutron diffraction [10] and the Monte Carlo simulation [13], but both studies could not determine the site specific spin structure. On the other hand,  $\text{LuFe}_2\text{O}_4$  exhibits extreme magnetic properties: a giant magnetic anisotropy

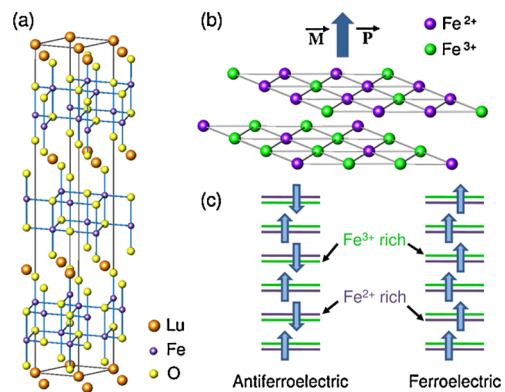


FIG. 1 (color online). (a) Crystal structure of  $\text{LuFe}_2\text{O}_4$  with Fe double layers and Lu layers. (b)  $\text{Fe}^{2+}$  rich (2:1  $\text{Fe}^{2+}/\text{Fe}^{3+}$  ratio) and  $\text{Fe}^{3+}$  rich (2:1  $\text{Fe}^{3+}/\text{Fe}^{2+}$  ratio) double layer with the charge order. The electric polarization ( $\leq 320$  K) and the magnetization ( $\leq 240$  K) are parallel to the  $c$  axis. (c) Schematic diagrams of two different charge orders.

ropy of over 70 T [14] and a huge magnetic coercivity of  $\sim 10$  T [6]. These magnetic behaviors were attributed to the formation of Ising pancakelike domains [14], but their microscopic origin has not been understood, yet.

In this Letter, we investigated the orbital anisotropy and spin configuration of  $\text{LuFe}_2\text{O}_4$  using the x-ray absorption spectroscopy (XAS) and x-ray magnetic circular dichroism (XMCD). The polarization dependent XAS enables us to explore the local electronic structure including the orbital occupations. On the other hand, XMCD gives quantitative information on the spin and orbital magnetic moments. Combined study of XAS and XMCD not only shows that the system has a large orbital magnetic moment induced by the orbital anisotropy, but also determines the spin structure.

The XAS and XMCD measurements were performed at the 2A elliptically polarized undulator beam line in the Pohang Light Source. Stoichiometric  $\text{LuFe}_2\text{O}_4$  single crystals grown by the floating zone method [14] were cleaved *in situ* in ultrahigh vacuum better than  $5 \times 10^{-10}$  Torr. The polarization dependent XAS spectra were obtained at 250 K with more than 98% linearly polarized light at  $70^\circ$  beam incidence to the surface normal (hexagonal  $c$  axis), which yields near  $\vec{E} \parallel \vec{c}$  or  $\vec{E} \perp \vec{c}$  without change of the experimental geometry by utilizing the planar or vertical polarization selectivity of the elliptically polarized undulator, respectively. The XMCD spectra were measured with  $\sim 95\%$  circularly polarized light at 220 K. A 0.8 T electromagnet was used to align the magnetic moments along the  $c$  axis and the photon incident angle is  $22.5^\circ$  off from the  $c$  axis. All the spectra were obtained in total electron yield mode and the mesh current was simultaneously recorded for normalization. The cluster model calculation including the full ionic multiplets and configuration interactions, often called the configuration-interaction (CI) calculation [15], was performed for the  $\text{FeO}_5$  bipyramid, and the Fe-O distances [16] were taken into account.

The Fe  $L$ -edge XMCD results of  $\text{LuFe}_2\text{O}_4$  are presented in Fig. 2. The absorption spectra  $\rho_+$  and  $\rho_-$ , which were collected for the respective magnetization  $\vec{M}$  parallel and antiparallel to the photon helicity vector, are roughly divided into the  $L_3$  and  $L_2$  regions due to the large core-hole spin-orbit coupling energy. The spectral feature in the  $L_3$  region is represented by prominent two peaks corresponding to the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  white lines [17]. The dichroism signal  $\Delta\rho$  displays ferrimagnetic features;  $\Delta\rho$  is negative and positive at the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  peak regions, respectively, and the negative weight is larger than the positive one. These features mean that the net  $\text{Fe}^{2+}$  magnetic moment is parallel while the net  $\text{Fe}^{3+}$  moment is antiparallel to the total net magnetization  $\vec{M}$ . The spin value of  $\text{Fe}^{2+}$  ( $S = 2$ ) is smaller than that of  $\text{Fe}^{3+}$  ( $S = 5/2$ ), and thus the smaller positive weight for  $\text{Fe}^{3+}$  implies that all  $\text{Fe}^{3+}$  spins are not aligned to be antiparallel to the total net moment. Considering that the magnetic unit cell formed by triple formula units in the hexagonal structure has three  $\text{Fe}^{2+}$  and

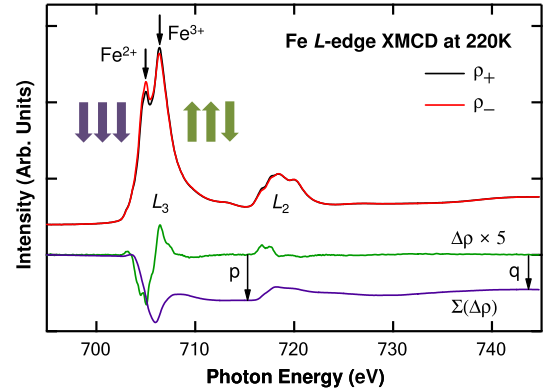


FIG. 2 (color online). Fe  $L_{2,3}$ -edge XMCD spectra of  $\text{LuFe}_2\text{O}_4$  at 220 K.  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  white lines in the  $L_3$  region are indicated by arrows. The dichroism spectrum,  $\Delta\rho = \rho_+ - \rho_-$ , is obtained from the difference of the absorption spectra. The bold arrows represent the ferrimagnetic spin configuration in the triple unit cell.

$\text{Fe}^{3+}$  ions, one can naturally conclude that one  $\text{Fe}^{3+}$  spin is parallel and the other two spins are antiparallel to  $\vec{M}$  while all three  $\text{Fe}^{2+}$  spins are parallel to  $\vec{M}$ . This peculiar spin alignment yields the total spin magnetic moment of  $2.33\mu_B/\text{f.u.}$  in the simple ionic limit ( $4\mu_B$  for  $\text{Fe}^{2+}$  and  $5\mu_B$  for  $\text{Fe}^{3+}$ ). This value is somewhat away from the observed saturation moment  $2.9\mu_B/\text{f.u.}$ , which was previously explained in terms of a model of the  $\text{Fe}^{2.5+}$  ( $4.5\mu_B$ ) mixed valence state with a 2:1 ratio ferrimagnetic spin alignment yielding  $3\mu_B/\text{f.u.}$  [6,10]. This model, however, is not compatible to the XMCD results.

The large difference between the net spin moment ( $2.33\mu_B$ ) and the saturation moment ( $2.9\mu_B$ ) can be explained with an extremely large unquenched orbital magnetic moment  $m_o$ , which is generally quenched by the crystal field [18]. XMCD is a unique tool to explore  $m_o$  [19]. As can be seen in Fig. 2, the integration  $\Sigma(\Delta\rho)$  of the dichroism signal over the entire  $L_{2,3}$  region, which is proportional to  $m_o$ , indeed gives a considerably large value, meaning that a large  $m_o$  survives in this system. Although the measurement was performed at relatively high temperature to avoid the charging effect in this insulating  $\text{LuFe}_2\text{O}_4$  and the ordered moment is greatly reduced from the full moment at 4 K [20], we can still extract the orbital to spin moment ratio from the XMCD result [21]. Taking  $p = -0.12$  and  $q = -0.09$ , the ratio is estimated to be  $m_o/m_s = 0.34$  [22] by using the sum rule, and the ionic net spin moment  $m_s = 2.33\mu_B/\text{f.u.}$  leads to  $m_o = 0.79 \pm 0.05\mu_B/\text{f.u.}$  The negative  $q$  value designates that the orbital momentum is parallel to the spin momentum, consistent with the fact of the more than half full, and the total moment is estimated to be  $3.12\mu_B/\text{f.u.}$  This ionic value will be slightly reduced with the degree of covalency and become very close to the saturation moment discussed far below. The XMCD results verify that  $\text{LuFe}_2\text{O}_4$  has an extremely large  $m_o$ . This large  $m_o$  contributes a huge magnetic anisotropy energy,  $-\xi\Delta L \cdot S$  [23], of a few

tens meV, which is very consistent with the observed giant magnetic anisotropy, leading to an Ising ferrimagnet with the gigantic coercivity [14].

The origin of the large orbital moment can be found in the electronic structure with strong orbital anisotropy. Figure 3(a) shows the polarization dependent O *K*-edge XAS spectra compared with the cluster model calculation results. The spectra reflect the unoccupied conduction bands due to the hybridization with the O 2*p* states. The full range spectra presented in the inset display three prominent features in the 530–550 eV region, which correspond to the Fe<sup>3+</sup> 3*d*, Fe<sup>2+</sup> 3*d*, and Lu 5*d*/6*sp*/Fe 4*sp* states as denoted in the figure, respectively. Here we focus on the Fe 3*d* region, which mainly determines the physical properties. The spectra in the Fe<sup>3+</sup> region show nearly the same peak structures as those in the Fe<sup>2+</sup> region. Under the FeO<sub>5</sub> bipyramid crystal field, the Fe 3*d* level split into two doublets,  $e_g''$  ( $d_{zx}$ ,  $d_{yz}$ ) and  $e_g'$  ( $d_{xy}$ ,  $d_{x^2-y^2}$ ), and a singlet,  $a_{1g}$  ( $d_{3z^2-r^2}$ ) [18]. The strict dipole selection rule of the polarization dependent XAS enables us to identify the orbital states. The  $e_g'$  in-plane orbital states are exclusively hybridized with the in-plane O 2*p*<sub>*x,y*</sub> states, and thus can be reached in the absorption process for the polarization vector  $\vec{E} \parallel \vec{ab}$ , while the out-of-plane  $a_{1g}$  orbital state is mainly accessed for  $\vec{E} \parallel \vec{c}$ . Taking advantage of the selection rule, we can confidently assign  $e_g''$ ,  $e_g'$ , and  $a_{1g}$  states indicated in the figure. The O *K*-edge XAS verifies the orbital energy level structure with the  $e_g''$  lowest, different from the previous band calculation, which predicted more occupation in the  $e_g'$  state [9,24].

The XAS spectra in details can be explained by the cluster model (CI) calculation for the FeO<sub>5</sub> bipyramid [25]. As shown in the figure, all the spectral features are well reproduced for both  $\vec{E} \parallel \vec{ab}$  and  $\vec{E} \parallel \vec{c}$ . The  $e_g''$  and  $e_g'$  energy levels under the bare crystal field become reversed due to the difference in the hybridization strengths with the O 2*p*, and the  $e_g''$  state becomes the lowest as depicted in Fig. 3(b). With the aforementioned electronic structure, the unquenched large orbital moment  $m_o$  can be explained as follows. In Fe<sup>2+</sup> ( $d^6$ ), an extra minority spin electron occupies the lowest doublet,  $e_g''$  ( $d_{zx}$ ,  $d_{yz}$ ). The degenerate  $d_{yz} = \frac{1}{\sqrt{2}}(\phi_{3d1} + \phi_{3d-1})$  and  $d_{zx} = \frac{-1}{\sqrt{2}}(\phi_{3d1} - \phi_{3d-1})$  becomes split into  $m_l = 1$  and  $-1$  states by the spin-orbit coupling, resulting in the unquenched large  $m_o$ . Indeed the ground state in the CI calculation exposes a large  $m_o = 0.81\mu_B$  for Fe<sup>2+</sup>, consistent with the XMCD result. The spin moment is obtained to be  $4.50\mu_B$  for Fe<sup>3+</sup> and  $3.67\mu_B$  for Fe<sup>2+</sup>, yielding the net spin moment  $2.17\mu_B/\text{f.u.}$  in the ferrimagnetic spin order obtained in the XMCD study. This value is slightly reduced from the ionic value  $2.33\mu_B/\text{f.u.}$  due to the configuration mixing, and the total magnetic moment becomes  $2.98\mu_B/\text{f.u.}$  in a good agreement with the reported saturation moment  $2.9\mu_B/\text{f.u.}$  [5,14].

Now let us discuss the spin structure. The spin structure in the charge ordered double layer has been investigated in

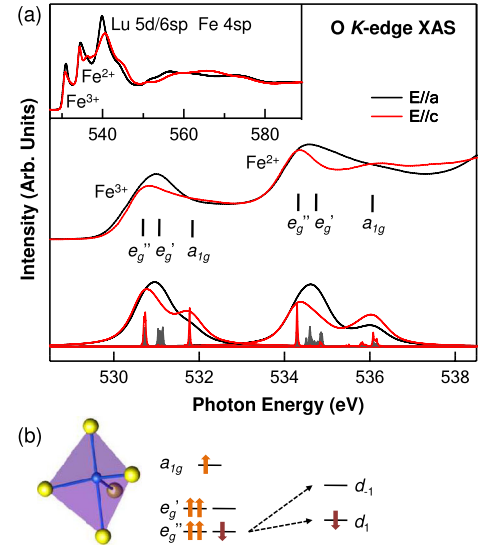


FIG. 3 (color online). (a) Polarization dependent O *K*-edge XAS spectra (top) of LuFe<sub>2</sub>O<sub>4</sub> at 250 K are compared with the CI calculation results (bottom). The wide range spectra are presented in the inset. The  $e_g'$ ,  $e_g''$ , and  $a_{1g}$  orbital states under the FeO<sub>5</sub> crystal field are identified. (b) Schematic crystal field splitting. The lowest  $e_g''$  ( $d_{zx}/d_{yz}$ ) doublet is split into  $m_l = 1$  and  $-1$  states by the spin-orbit coupling.

various approaches such as group theoretical analysis, neutron diffraction, and Monte Carlo simulation [10,13], but none of the studies provided a conclusive spin structure. As discussed in the XMCD results, all the Fe<sup>2+</sup> spins are aligned ferromagnetically, probably due to the large orbital moment of Fe<sup>2+</sup> contributing a strong uniaxial magnetic anisotropy. On the other hand, the orbital moment in the half-filled Fe<sup>3+</sup> is negligible, and the spin alignment, which could be determined by the competition between the Fe<sup>2+</sup>-Fe<sup>3+</sup> double exchange and the Fe<sup>3+</sup>-Fe<sup>3+</sup> superexchange interactions in the magnetically frustrated triangular lattice, results in the 1:2 ferrimagnetic spin order; one Fe<sup>3+</sup> spin is parallel and the other two spins are antiparallel to the ferromagnetic Fe<sup>2+</sup> spin. These spin alignments topologically allow only two possibilities for the spin structure in the Fe<sup>2+</sup>-Fe<sup>3+</sup> charge ordered hexagonal double layer structure as shown in Fig. 4: the parallel Fe<sup>3+</sup> spin either in the Fe<sup>3+</sup> rich plane [Fig. 4(a)] or in the Fe<sup>2+</sup> rich plane [Fig. 4(b)].

The XMCD spectra for the two spin structures were simulated with the CI calculations, in which the site difference is taken into account. It should be noticed that the Fe<sup>2+</sup> and Fe<sup>3+</sup> rich layers have different charge environments, which contribute differences in the Madelung potential at the Fe sites and energy shifts in the absorption energies [26]. As shown in the figure, the calculated XMCD spectrum well reproduces the complicated line shape of the experimental one in the spin structure with the parallel Fe<sup>3+</sup> spin in the Fe<sup>3+</sup> rich layer [Fig. 4(a)] while the agreement between the calculation and the experiment becomes much worse in the other spin structure

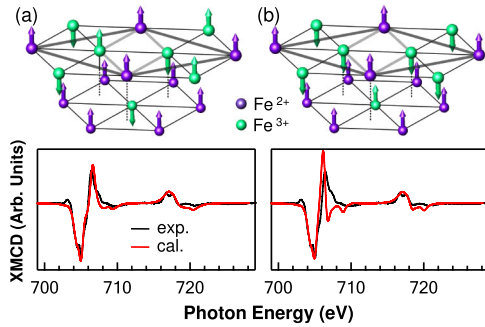


FIG. 4 (color online). CI calculations for the XMCD spectra for the two spin structure, in which the  $\sqrt{3} \times \sqrt{3}$  magnetic unit cell is indicated with solid thick lines; (a) antiparallel and (b) parallel  $\text{Fe}^{3+}$  spin configurations in  $\text{Fe}^{3+}$  rich layer.

[Fig. 4(b)]. Indeed, the Mössbauer study reported that there exist three distinguishable  $\text{Fe}^{3+}$  sites and concluded the antiparallel  $\text{Fe}^{3+}$  spin alignment in the  $\text{Fe}^{3+}$  rich layer [27], consistent with the former spin structure: one  $\text{Fe}^{3+}$  in the  $\text{Fe}^{2+}$  rich layer and two with different spin directions in the  $\text{Fe}^{3+}$  rich layer. Moreover, it is expected to show the same diffraction pattern observed in the neutron study [10] if the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  spins are replaced with the average  $\text{Fe}^{2.5+}$  spins. The formation of the spin structure can simply be understood in terms of the antiferromagnetic superexchange interactions in the different  $\text{Fe}^{3+}$ -O- $\text{Fe}^{3+}$  bond angles. The bonding angle for the interlayer neighboring  $\text{Fe}^{3+}$  ions is  $97^\circ$ , which is close to  $90^\circ$ , and thus the superexchange interaction is not large enough to dominate the magnetic coupling. On the other hand, neighboring  $\text{Fe}^{3+}$  ions in the  $\text{Fe}^{3+}$  rich plane is  $118^\circ$ , a relatively larger angle, so that the interaction stabilizes the antiferromagnetic coupling, as also pointed out by the Monte Carlo simulation [13].

In summary, we investigated the orbital anisotropy and spin configuration of the multiferroic  $\text{LuFe}_2\text{O}_4$  using the x-ray absorption spectroscopy with circular and linear dichroism at the Fe  $L_{2,3}$  edge and O  $K$  edge. The XMCD showed that the system has the large unquenched orbital magnetic moment, which originates the giant magnetic anisotropy and coercivity, and also suggested a peculiar ferrimagnetic alignment of the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  spins. In the polarization dependent XAS, the lowest energy orbital state is identified as  $e_g''(zx, xy)$ , which becomes split by the spin-orbit coupling and results in the large orbital moment at the  $\text{Fe}^{2+}$  sites. The observations as well as the total magnetic moment were well described in the cluster model analysis, which also enables us to finalize the site specific spin structure.

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