

## Rate-Dependent Avalanche Size in Athermally Sheared Amorphous Solids

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We perform an extensive numerical study of avalanche behavior in a two-dimensional Lennard-Jones glass at  $T = 0$ , sheared at finite strain rates  $\dot{\gamma}$ . From the finite size analysis of stress fluctuations and of transverse diffusion we show that flip-flip correlations remain relevant at all realistic strain rates. We predict that, in steady flow, the avalanche size scales as  $\dot{\gamma}^{-1/d}$ , with  $d$  the space dimension.

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Considerable effort has been spent in recent years to derive constitutive laws for plasticity in amorphous media from a realistic description of the elementary mechanisms of dissipation. It is now agreed that, in these disordered systems, plasticity involves “shear transformations,” i.e., irreversible rearrangements (or flips) of small clusters of (a few tens of) particles. By analogy with Eshelby transformations [1], Bulatov and Argon [2] inferred that such local rearrangements should generate long-range elastic fields; hence, since each flip alters the strain field in its surroundings, the flowing system is submitted to a self-generated dynamical noise. From these premises, theories have developed along two very distinct lines. (i) Several mesoscopic models [2,3] explicitly incorporate long-range elastic interactions, but introduce many phenomenological parameters, in order to take into account effects such as thermal activation, short-range disorder, and flip duration, which makes it quite difficult to test their assumptions and evaluate their parameters. (ii) Mean-field theories—STZ [4] and SGR [5]—rely upon the assumption that flips can be viewed as uncorrelated, local events activated by an effective thermal noise. These models, which do not specify how their effective temperature relates to the dynamical noise, clearly overlook the possibility that elastic couplings may give rise to correlations between flips. Indeed, it is well-known from studies on driven pinned systems—such as wetting lines or magnetic walls—that such long-range interactions in general give rise to avalanches (see [6] and references therein).

A first investigation of correlation effects has been performed by Maloney and Lemaître using quasistatic (QS) simulations of sheared two-dimensional (2D) glasses [7]. They found that, in this vanishing shear-rate regime, flips are not independent, random events, but organize into avalanches, the size of which scales roughly as the linear size  $L$  of the system. The existence of such avalanches, recently confirmed by Lerner and Procaccia [8], strongly supports the view that correlation effects are essential under shear. How and to which extent QS results carry over to finite strain rates  $\dot{\gamma}$ , however, remains an open question. Indeed, under QS conditions, the duration of flips and acoustic propagation delays is irrelevant on the shear-

ing time scale  $\dot{\gamma}^{-1} \rightarrow \infty$ . This permits the separation of unambiguously plastic events as stress or energy drops occurring over a zero strain interval. The scaling with  $L$  of the drop sizes then suffices to demonstrate the existence of avalanches. At finite  $\dot{\gamma}$ , such a separation can no longer be performed, and one must devise other methods to characterize possible spatiotemporal correlations between flips.

In this Letter, we present extensive numerical simulation results on a 2D Lennard-Jones (LJ) glass at  $T = 0$ , driven over a wide range of finite strain rates, for various system sizes. We rely on a systematic analysis of stress fluctuations and of the self-diffusion coefficient in steady state, helped by direct imaging of the velocity and strain fields. A heuristic decomposition of the dynamical noise leads us to a successful scaling prediction for the  $L$  and  $\dot{\gamma}$  dependence of the diffusion coefficient. We conclude that (i) even at very high strain rates, plasticity remains due to local flip events producing a long-range elastic field with measurable effects, beyond the initial (preparation-dependent) transients, (ii) it is only at unrealistically high  $\dot{\gamma}$  that correlations between flips become negligible, and (iii) as  $\dot{\gamma}$  decreases, correlation effects become increasingly important and the dynamics continuously reaches its QS behavior below a system-size-dependent crossover  $\dot{\gamma}_c$ . On this basis, we propose that, above  $\dot{\gamma}_c$ , the length scale of flip correlations (avalanche size) scales as  $\dot{\gamma}^{-1/d}$ , with  $d$  the space dimension.

We use the same 2D binary LJ mixture as that of Ref. [9], and we work in standard reduced LJ units. Large ( $L$ ) and small ( $S$ ) particle radii and numbers are  $R_L = 0.5$ ,  $R_S = 0.3$ ,  $N_L = N_S(1 + \sqrt{5})/4$ , and particles have equal masses  $m = 1$ . These values ensure that no crystallization occurs. The packing fraction of our  $L \times L$  systems is  $\pi(N_L R_L^2 + N_S R_S^2)/L^2 = 0.9$  as in [10], with  $L$  ranging from 10 to 160.

In our glassy system, Rayleigh scattering results in preferential damping of short wavelength sound modes. In order to implement  $T = 0$  dynamics, we thus introduce dissipative interparticle forces of the form  $\mathbf{f}_i^{\text{visc}} = \frac{m}{\tau} \sum_j \phi(r_{ij})(\mathbf{v}_j - \mathbf{v}_i)$  with  $\mathbf{v}_i$  a particle velocity, and  $\phi(r)$  a nearly flat, normalized weight function vanishing at the LJ cutoff  $r = 2$ . We choose  $\phi(r) \propto 1 - 2(r/2)^4 + (r/2)^8$ ,

which guarantees sufficient smoothness. This form of dissipation, similar to that used by Maloney and Robbins, [11] guarantees overdamping of sound for wavelengths  $\lambda < \lambda_c \sim \pi a^2 / \tau c_s$  (with  $c_s \simeq 3.4$  the transverse sound speed in our system and  $a \sim 1$  a typical interparticle distance). We take  $\tau = 0.2\tau_{LJ}$ , so that  $\lambda_c/a \sim 5$ .

Simple shear deformation is imposed along the  $x$  axis, using Lees-Edwards boundary conditions and SLLOD dynamics. The integration time step is usually  $dt = 10^{-2}\tau_{LJ}$ , but smaller values are used when needed to resolve small strain steps for large values of  $\dot{\gamma}$ . All configurations are prepared by rapid quench from a random initial state. A constant shear rate  $\dot{\gamma}$  is then applied, and steady state is reached beyond typical strains  $\gamma \simeq 0.3$ . All data presented here have been obtained for strains ranging from 1 to at least 4, which guarantees their relevance to steady state dynamics. Strain rates  $\dot{\gamma}$  range between  $5 \times 10^{-5}$  and  $10^{-2}$ . We checked that, over this range, the kinetic energy per atom remains  $\leq 2 \times 10^{-3}$ .

In order to access the qualitative features of the dynamics, we first inspect the instantaneous particle velocity field. Typical images (see Fig. 1) exhibit highly localized regions of enhanced mobility, with roughly quadrupolar symmetry, which can be identified as “weak” zones close to their instability threshold. The emergence, growth, and subsequent decay of these structures, visible on time series and movies [12], demonstrate that the plastic response results from the accumulation of flip events. This phenomenology is closely similar to that observed in QS simulations, yet it is also visible on movies where flip events trigger the emission of signals propagating out through the medium at velocities compatible with the transverse sound speed. This is a direct confirmation of the idea that shear-induced local rearrangements promote a long-range elastic field, i.e. can be viewed as Eshelby transformations.

A second qualitative piece of information can be obtained by constructing maps of the coarse-grained non-affine strain field  $\epsilon_{xy}(\mathbf{r}; \Delta\gamma)$  accumulated over moderate macroscopic strain intervals  $\Delta\gamma$  [13]. A typical sequence of such maps is shown in Fig. 2, for a system of size  $L = 160$ , at  $\dot{\gamma} = 10^{-4}$ . The existence of spatial correlations is obvious: flips gradually organize themselves into quasi-linear patterns roughly aligned with the Bravais axes of the simulation cell. At this strain rate, an interval  $\Delta\gamma = 1\%$  corresponds to a time  $\Delta t = 100$ , during which the acoustic

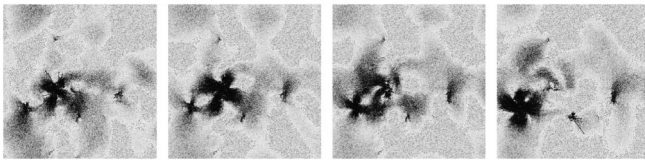


FIG. 1. The instantaneous velocity field of a  $160 \times 160$  system sheared at  $\dot{\gamma} = 5 \times 10^{-5}$ , at equally spaced times, with  $\Delta t = 2$ ,  $\Delta\gamma = 10^{-4}$ . We see one zone which grows then disappears and triggers the flip of a nearby zone. See also a movie [12] with the same parameters, covering an interval  $\Delta t = 20$ .

signal propagates over a distance  $\sim 34 \sim L/5$ . This is the maximal length scale at which the information that a flip has occurred is transmitted through the elastic medium; hence, it is an upper bound to the size of possible avalanches. Indeed, it compares well with the size of the linear features seen in Fig. 2(a). As  $\Delta\gamma$  ( $\Delta t$ ) increases, a criss-cross pattern, analogous to that observed by Maloney and Robbins [11], gradually builds up due to both the preferential triggering of flips along preexisting lines and the random emergence of new ones [see Figs. 2(b) and 2(c)]. Clearly, avalanches persist at finite strain rates.

In order to quantify the importance of these correlations, we first focus on the macroscopic shear stress  $\sigma$  ( $\equiv \sigma_{xy}$ ) in steady state. We compute its configurational average  $\bar{\sigma}(\dot{\gamma})$  and its variance  $\overline{\Delta\sigma^2}$ , for various  $\dot{\gamma}$  and system sizes. As shown in Fig. 3 (left),  $\bar{\sigma}(\dot{\gamma})$  quickly converges towards a size-independent limit (reached beyond  $L \simeq 40$ ), for which an excellent empirical fit is  $\bar{\sigma} = 0.74 + 4.87\sqrt{\dot{\gamma}}$ . We plot in Fig. 3 (right) the product  $L^2\overline{\Delta\sigma^2}$ . The data collapse for  $\dot{\gamma} \geq 4 \times 10^{-3}$  shows that at the higher strain rates, stress fluctuations reasonably follow the law of large numbers, hence that the underlying dynamics is only weakly correlated. This collapse, however, becomes increasingly poorer when  $\dot{\gamma}$  decreases, indicating the growing importance of correlations. The spread culminates in the small rate regime, where  $L^2\overline{\Delta\sigma^2}$  extrapolates nicely to its QS values (shown in filled symbols), a strong hint that the underlying dynamics continuously approaches the QS behavior.

However, the fluctuations of such a macroscopic quantity only provide very global information about the dynamics. To better qualify the spatiotemporal correlations, we turn to another observable which carries more microscopic information, namely, the transverse (self-)diffusion coefficient. It is obtained from the space and ensemble average of the fluctuations  $\Delta y^2$  of the transverse displacements  $\Delta y_i = y_i(\gamma_0 + \Delta\gamma) - y_i(\gamma_0)$ . To facilitate comparison with QS data, we introduce the quantity  $\Delta y^2 / 2\Delta\gamma$ , which is plotted versus  $\Delta\gamma$  for different system sizes, in Fig. 4 (left). At very short times (small  $\Delta\gamma$ 's), particle motion is ballistic; hence, the curves start with a finite slope (see insert). After a transient regime extending up to  $\Delta\gamma \sim 1$  they reach a plateau value,  $\hat{D}$ , related to the usual diffusion coefficient  $D$  as  $D = \hat{D}\dot{\gamma}$ . It is striking that, for a fixed  $\dot{\gamma}$ ,  $\hat{D}$  is strongly size dependent. We plot  $\hat{D}$  as a function of  $L$  in

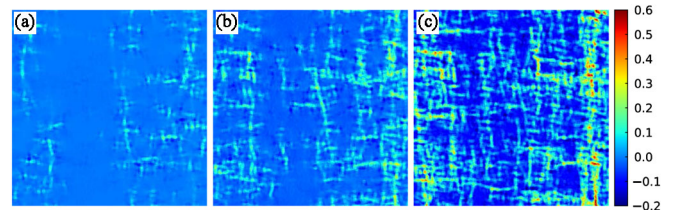


FIG. 2 (color online). The strain field of a  $160 \times 160$  system sheared at  $\dot{\gamma} = 10^{-4}$ , for growing strain intervals  $\Delta\gamma = 1\%$ ,  $5\%$ , and  $20\%$  (from left to right) from the same initial configuration.

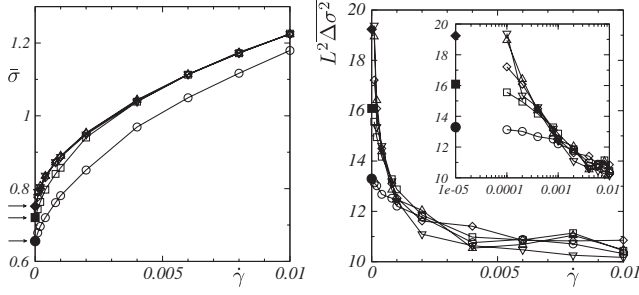


FIG. 3. For sizes  $L = 10$  ( $\circ$ ),  $20$  ( $\square$ ),  $40$  ( $\diamond$ ),  $80$  ( $\triangle$ ),  $160$  ( $\nabla$ ): macroscopic stress  $\bar{\sigma}$  vs strain rate  $\dot{\gamma}$  (left);  $L^2 \Delta \sigma^2$  vs  $\dot{\gamma}$  (right) and  $\log \dot{\gamma}$  (insert). QS values (for  $L = 10, 20, 40$ ) are indicated by filled symbols and arrows.

Fig. 4 (right), for various strain rates. Although the size dependence is stronger near the QS limit where  $\hat{D}(L)$  becomes nearly linear (see insert), it persists up to the highest value  $\dot{\gamma} = 10^{-2}$ , for which  $\hat{D}$  is quasilinear in  $\ln L$ .

It is surprising that  $\hat{D}$  remains size dependent for  $\dot{\gamma}$  values at which stress fluctuations were shown to obey the law of large numbers, an indication that plastic events are then uncorrelated. The only way to reconcile these two observations is to assume that each event induces a long-range elastic field, which is precisely the case for Eshelby-like transformations. In our simple shear geometry the relevant transformations are purely deviatoric sources. In an infinite medium, the expression of the displacement field due to such a source located at the origin is [15]  $\mathbf{u}_E = \frac{2a^2 \Delta \epsilon_0}{\pi} \frac{xy}{r^4} \mathbf{r}$ , with  $a$  the source size, and  $a^2 \Delta \epsilon_0$  its dipolar strength. For  $L \gg a$  the transverse displacement fluctuation due to a single flip can then be computed to leading order as a space average:  $\langle u_{y,E}^2 \rangle \approx \frac{a^4 \Delta \epsilon_0^2}{2\pi L^2} \ln(L/a)$ . Since each flip releases a macroscopic strain  $a^2 \Delta \epsilon_0^2 / L^2$  (up to a prefactor of order 1 which characterizes the local zone structure), the average number of flips, in steady state,

occurring over a strain range  $\Delta \gamma$  is  $N_f(\Delta \gamma) = L^2 \Delta \gamma / a^2 \Delta \epsilon_0$ . Assuming the flips are uncorrelated, we thus obtain  $\hat{D} = N_f \langle u_{y,E}^2 \rangle / 2 \Delta \gamma \approx \frac{a^2 \Delta \epsilon_0}{4\pi} \ln(L/a)$ . In light of this result, our data for  $\dot{\gamma} = 10^{-2}$  demonstrate that at high  $\dot{\gamma}$  the dynamics results from uncorrelated Eshelby flips. A fit of  $\hat{D}(L)$  at  $\dot{\gamma} = 10^{-2}$  yields a value of order 1 for  $a^2 \Delta \epsilon_0$ , which is reasonable since we expect  $a \sim 4 - 5$ , and  $\Delta \epsilon_0 \sim$  a few percent.

The growing departure from the  $\ln L$  scaling at decreasing  $\dot{\gamma}$  must then be due to the emergence of correlations between flips. Guided by the observation of quasilinear avalanches in strain maps, we now try out a model in which diffusion results from a set of independent events, each of which is a linear avalanche of length  $l$ , oriented at random along either the  $x$  or  $y$  axis. In such a picture, the existence of flip-flip correlations is embodied in the value of  $l$ . We assume the linear density of flips  $\nu$  in an avalanche to be a constant, and compute the transverse displacement fluctuations due to a line of homogeneously distributed flips as  $\langle \Delta y^2 \rangle_A = \nu^2 \int_0^l \int_0^l ds ds' C(\mathbf{r}_s - \mathbf{r}_{s'})$  with  $C(\delta \mathbf{r}) = \langle u_{y,E}(\mathbf{r}) u_{y,E}(\mathbf{r} + \delta \mathbf{r}) \rangle$ . For  $|\delta \mathbf{r}| \ll L$ , to leading order,  $C(\delta \mathbf{r}) \approx (a^4 \Delta \epsilon_0^2 / 2\pi L^2) \int_{|\delta \mathbf{r}|/L}^{\infty} \frac{dq}{q} J_0(q)$ , whence  $\langle \Delta y^2 \rangle_A \approx \frac{a^4 \Delta \epsilon_0^2 \nu^2}{2\pi} \frac{l^2}{L^2} \ln(L/l)$ . Since the average number of avalanches over an interval  $\Delta \gamma$  is  $N_A = N_f / \nu l$ , the resulting diffusion coefficient is

$$\hat{D} \approx \frac{a^2 \Delta \epsilon_0}{4\pi} \nu l \ln(L/l). \quad (1)$$

At this stage,  $l$  is an unknown function of both  $\dot{\gamma}$  and  $L$ . Yet we know that (i)  $l \sim a$  at large  $\dot{\gamma}$ , and (ii)  $l \propto L$  in the QS limit: our model thus does capture the limiting logarithmic and quasilinear scaling behaviors.

For further comparison with the data, we seek to complement this model with an estimate of the dependence of  $l$  on  $\dot{\gamma}$ . Let us recall that each zone receives noise due to elastic signals propagating away from flips occurring in the whole system at rate  $\mathcal{R} = L^2 \dot{\gamma} / a^2 \Delta \epsilon_0$ . Each signal carries directional information and gives rise to a stress jump with rise time (or autocorrelation time)  $\tau \sim \eta^{-1} \sim a/c_s$ . Given a distance  $l$ , we distinguish between (i) signals originating from nearby sources (within  $r < l$ ) occurring at rate  $\mathcal{R}_l = \mathcal{R} l^2 / L^2$ , and of amplitude  $\Delta \sigma_0 \geq \mu (a^2 \Delta \epsilon_0 / l^2)$ , and (ii) a background noise due to all other sources, of rate  $\mathcal{R}'_l = \mathcal{R} - \mathcal{R}_l$ . It is incoherent and isotropic, the sources being evenly distributed in space, as soon as several far-field signals overlap at any time ( $\mathcal{R}'_l \gg \tau^{-1}$ ). We assume  $l \ll L$  so that  $\mathcal{R}'_l \approx \mathcal{R}$ . We then make the ansatz that  $l$  is the flip-flip correlation length if the near-field signals constitute a shot-noise which stands out of the incoherent background. This entails two conditions: (a) near-field signals must not overlap,  $\mathcal{R}_l \lesssim \tau^{-1}$ ; (b) their amplitude  $\Delta \sigma_0$  must be larger than the background stress fluctuations accumulated during  $\tau$ ,  $\overline{\Delta \sigma^2} \sim \dot{\gamma} \tau (\mu^2 a^2 \Delta \epsilon_0 / l^2)$ . Both lead to a common estimate for the correlation length:

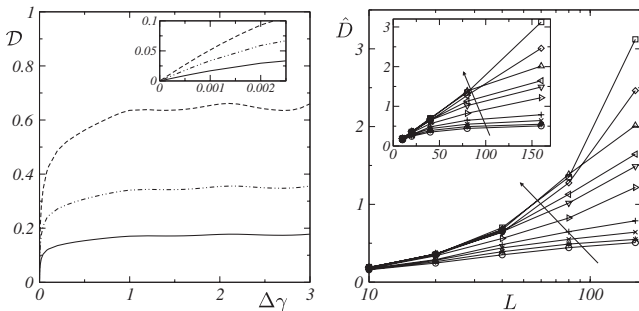


FIG. 4. Left: Transverse displacement fluctuations, normalized as  $\mathcal{D} = \langle \Delta y^2 \rangle / 2 \Delta \gamma$ , as a function of  $\Delta \gamma$ , for  $\dot{\gamma} = 10^{-3}$  and system sizes  $L = 20$  (solid line),  $40$  (dash-dotted), and  $80$  (dashed). Right: Their plateau value  $\hat{D}$  versus  $L$  for strain rates  $\dot{\gamma} = 10^{-4}, 2 \times 10^{-4}, 4 \times 10^{-4}, 8 \times 10^{-4}, 10^{-3}, 2 \times 10^{-3}, 4 \times 10^{-3}, 6 \times 10^{-3}, 8 \times 10^{-3}, 10^{-2}$ . Arrows indicate decreasing  $\dot{\gamma}$ . QS values, shown for sizes 10, 20, and 40, are indistinguishable from lowest strain rate values.



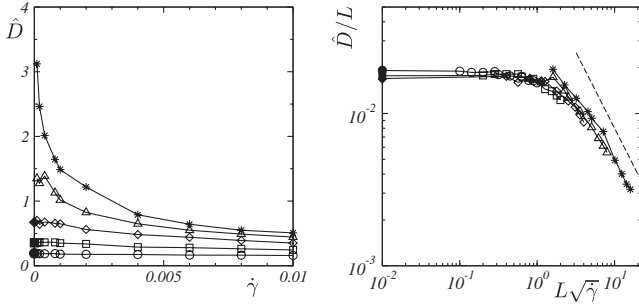


FIG. 5. For sizes  $L = 10$  ( $\circ$ ),  $20$  ( $\square$ ),  $40$  ( $\diamond$ ),  $80$  ( $\triangle$ ),  $160$  ( $*$ ): (left)  $\hat{D}$  vs  $\dot{\gamma}$ ; (right)  $\hat{D}/L$  vs  $L\sqrt{\dot{\gamma}}$ . The dashed line has slope  $-1$ . QS values for  $L = 10, 20, 40$  are shown as filled symbols on the vertical axis.

$$l \approx \sqrt{\frac{a^2 \Delta \epsilon_0}{\dot{\gamma} \tau}}, \quad (2)$$

which also guarantees the incoherence of the background ( $\mathcal{R}'_l \approx \mathcal{R} \gg \tau^{-1}$ ). Of course, this expression is valid only for  $l \ll L$ , as  $l$  should saturate to its QS plateau value  $\sim L$ , below a crossover strain rate  $\dot{\gamma}_c \sim a^2 \Delta \epsilon_0 / \tau L^2$ . This argument predicts that the diffusion coefficient  $\hat{D}$  should obey a scaling of the form  $\frac{\hat{D}}{L} = f(L\sqrt{\dot{\gamma}})$ , with  $f(x) \sim 1/x$  for  $x \gg 1$ . We test it using the  $\hat{D}(\dot{\gamma})$  data presented in Fig. 5. Not only is the collapse satisfactory, but the prediction for a  $-1$  slope at high  $\dot{\gamma}$  fits the data remarkably well (in view of the numerous simplifying assumptions of our model). The crossover occurs near  $L\sqrt{\dot{\gamma}} \approx 1$ , which is compatible with our expression for  $\dot{\gamma}_c$  since  $\tau \approx 0.2$  and  $a^2 \Delta \epsilon_0$  was found to be of order 1 from the analysis of  $\hat{D}(L)$  at  $\dot{\gamma} = 10^{-2}$ . These results nicely support our simple argument and validate the prediction [Eq. (2)] for the strain-rate dependence of the avalanche size.

As a side note, let us attempt to estimate the departure from the yield stress as  $\bar{\sigma}(\dot{\gamma}) - \bar{\sigma}_y \approx \mu \tilde{\tau} \dot{\gamma}$ , with  $\tilde{\tau}$  a microscopic time. If we take it to be an avalanche duration  $\tau_A \sim l(\dot{\gamma})/c_s$  we obtain the right functional behavior,  $\bar{\sigma}(\dot{\gamma}) \approx \bar{\sigma}_y + C\sqrt{\dot{\gamma}}$ , with  $C \approx \frac{\mu}{c_s} (a^2 \Delta \epsilon_0 / \tau) = 13$ , a quite decent guess compared to the value  $\sim 5$  which fits our data.

To sum up, we have studied plastic flow in a 2D amorphous system over a broad range of shear rates. We find that the flip-flip correlations due to long-range elastic fields, observed in the QS simulations, persist under dynamic conditions. In other words, zone flips are not in general independent random events, but occur as directional quasilinear avalanches, whose average size  $l$  depends on  $\dot{\gamma}$ . Below a crossover rate  $\dot{\gamma}_c$ , any finite size system presents a pseudo-QS regime in which  $l$  plateaus at its QS value  $l \sim L$ , while all flow properties converge to their QS values. Beyond  $\dot{\gamma}_c$ ,  $l(\dot{\gamma})$  roughly scales as  $\sim 1/\sqrt{\dot{\gamma}}$ . Its crossover value therefore diverges in the large system size limit. It is only at very large strain rates, beyond at

least  $\dot{\gamma} \sim 10^{-2}$ , that correlations become negligible. This is the only limit in which existing mean-field models—based upon independent flips activated by an incoherent noise, modeled as an effective temperature—are justified for describing steady plastic flow.

Of course, our results pertain to 2D systems and call for similar 3D numerical simulations. Pending such studies, we tentatively carry over our ansatz to 3D. It predicts that avalanches should extend over a length scale  $l(\dot{\gamma}) \sim a(\Delta \epsilon_0 / \dot{\gamma} \tau)^{1/3}$ . This permits the estimation of the order of magnitude of avalanche extent for particular classes of materials. For instance, for atomic or molecular glasses,  $\tau_{LJ} \approx 10^{-13}$  s and a typical maximum strain rate is  $\dot{\gamma} \lesssim 1$  s $^{-1}$ . Using  $\Delta \epsilon_0 \sim 5\%$  and  $a \sim 5$  LJ unit length,  $\approx 1$  nm, we obtain a minimum value for  $l$  in the  $10$   $\mu$ m range. The fully decorrelated regime  $l \rightarrow a$  would then be attained for completely unrealistically large strain rates  $\dot{\gamma} \gtrsim 10^9$ – $10^{10}$  s $^{-1}$ . We thus claim that, in these systems, at low temperatures, avalanches should always be relevant.

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