Quantum Critical Point at Finite Doping in the 2D Hubbard Model: A Dynamical Cluster Quantum Monte Carlo Study

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We explore the Matsubara quasiparticle fraction and the pseudogap of the two-dimensional Hubbard model with the dynamical cluster quantum Monte Carlo method. The character of the quasiparticle fraction changes from non-Fermi-liquid, to marginal Fermi liquid, to Fermi liquid as a function of doping, indicating the presence of a quantum critical point separating non-Fermi-liquid from Fermi-liquid character. Marginal Fermi-liquid character is found at low temperatures at a very narrow range of doping where the single-particle density of states is also symmetric. At higher doping the character of the quasiparticle fraction is seen to cross over from Fermi liquid to marginal Fermi liquid as the temperature increases.

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Introduction.—The unusual properties of the hole-doped cuprate phase diagram, including a pseudogap (PG) at low doping, and unusual metallic behavior at higher doping have lead many investigators to propose that there is a quantum critical point in the cuprate phase diagram at optimal doping. Different investigators argue that the PG is related with the establishment of order [1–4], where the optimal doping is in the proximity of the quantum critical point (QCP) associated with this order [2]. Other investigators argue that the QCP is located at the transition from a non-Fermi-liquid (NFL) to Fermi-liquid (FL) ground state without the establishment of order in the PG region [5].

In previous work employing cluster extensions of the dynamical mean field, a PG region was found at low doping in the two-dimensional Hubbard model. It is characterized by a narrow gaplike feature in the single-particle density of states, a suppression of the spin susceptibility, and a self energy of NFL character [6–8].

In this Letter, we employ significantly larger clusters than most previous studies, which affords us greater momentum resolution. We investigate the single-particle properties of the two-dimensional Hubbard model with the dynamical cluster approximation (DCA) [9]. We find further evidence for a QCP and are able to determine its character as the terminus of a marginal Fermi-liquid (MFL) region, which separates a NFL PG region at low doping from a FL region at high doping. We present a comparative discussion of a few existing scenarios for quantum criticality in the context of our results.

Formalism.—We consider a 2D Hubbard Hamiltonian

$$H = -\sum_{\langle ij \rangle \sigma} t(c_{i\sigma}^{\dagger} c_{j\sigma} + \text{H.c.}) + \epsilon \sum_{i\sigma} n_{i\sigma} + U \sum_{i} n_{i\uparrow} n_{i\downarrow}, \quad (1)$$

where t is the hopping matrix, $c_{i\sigma}^{\dagger}(c_{i\sigma})$ is the creation

(annihilation) operator for electrons on site *i* with spin σ , and *U* is the on-site Coulomb repulsion which is taken to be three quarters of the bandwidth W(=8t). The hopping *t* is restricted to nearest neighbors $\langle ij \rangle$.

We employ the DCA with a quantum Monte Carlo algorithm as the cluster solver. The DCA is a cluster meanfield theory which maps the original lattice model onto a periodic cluster of size $N_c = L_c^2$ embedded in a selfconsistent host. Spatial correlations up to a range L_c are treated explicitly, while those at longer length scales are described at the mean-field level. However, the correlations in time, essential for quantum criticality, are treated explicitly for all cluster sizes. To solve the cluster problem we use the Hirsch-Fye quantum Monte Carlo method [6,10] and employ the maximum entropy method [11] to calculate the real-frequency spectra.

A number of the normal state anomalies in the cuprates are describable by a MFL in proximity to a quantum critical point at finite doping [4]. The imaginary part of the MFL self energy has the form $\Sigma''_{MFL}(\omega) =$ $-\alpha \max(|\omega|, T)$. In contrast the imaginary part of the FL self energy has the form $\Sigma''_{FL}(\omega) = -\alpha \max(\omega^2, T^2)$ which would be expected to be valid at large doping and low temperatures. In the doping region beyond but near the QCP, the single-particle properties of the model are observed to cross over from FL to MFL as the temperature crosses T_X and the frequency ω_x , we find that this may be fit with the form

$$\Sigma_X''(\omega) = \begin{cases} -\alpha \omega_x \max(|\omega|, T) & \text{for } |\omega| > \omega_x \text{ or } T > T_X \\ -\alpha \max(\omega^2, T^2) & \text{for } |\omega| < \omega_x \text{ and } T < T_X. \end{cases}$$
(2)

Causality requires that $\alpha > 0$, and the integrals over these

forms for the self energy are cutoff at ω_c which is of the order of the bandwidth W(=8t).

To compare our Matsubara frequency results to these forms of the real-frequency self energy [12], we transform each of these forms to Matsubara frequency using the transform of the non-Hartree part of the self energy $\pi \Sigma(i\omega_n) = -\int d\omega \Sigma''(\omega)/(i\omega_n - \omega)$ and then evaluate $Z_0(\mathbf{k}) = (1 - \text{Im}\Sigma(\mathbf{k}, i\omega_0)/\omega_0)^{-1}$, where $\omega_0 = \pi T$ is the lowest Fermion Matsubara frequency. For a wellbehaved self energy, $\lim_{T\to 0} Z_0(\mathbf{k}) = Z(\mathbf{k})$ is the quasiparticle renormalization factor. For example, for the MFL, we find [12]

$$\frac{\Sigma_{\rm MFL}(\mathbf{k}, i\omega_0)}{\omega_0} = \frac{\alpha}{\pi} \bigg[\ln \bigg(\frac{(\pi^2 + 1)T^2}{\pi^2 T^2 + \omega_c^2} \bigg) - \frac{2}{\pi} \tan^{-1} \frac{1}{\pi} \bigg].$$
(3)

While for the FL, we find

$$\frac{\Sigma_{\rm FL}(\mathbf{k}, i\omega_0)}{\omega_0} = \frac{-2\alpha T}{\pi} \left(\frac{\omega_c}{T} + 0.066\,235 - \,\pi \tan^{-1} \frac{\omega_c}{\pi T} \right) \tag{4}$$

when $T < \omega_c$. The crossover form is more complicated, but can be constructed from the same integrals used to derive Eqs. (3) and (4)

$$\left(-\frac{\pi}{2\alpha}\right)\frac{\mathrm{Im}\Sigma(i\omega_{0})}{\omega_{0}} = T\Theta(T_{X}-T)\left[\frac{\omega_{x}}{T}+0.066\,23\right] \\ -\left(0.308\frac{\omega_{x}}{\pi T}+\pi \tan^{-1}\frac{\omega_{x}}{\pi T}\right)-\frac{\omega_{x}}{2T} \\ \times \ln\left(\frac{\omega_{x}^{2}+\pi^{2}T^{2}}{(1+\pi^{2})T^{2}}\right)\right] \\ +\omega_{x}\left[0.0981+\frac{1}{2}\ln\left(\frac{\omega_{c}^{2}+\pi^{2}T^{2}}{(1+\pi^{2})T^{2}}\right)\right].$$
(5)

The parameters, α , ω_c , T_X , and ω_x are determined from a fit to the quantum Monte Carlo data.

Results.—We will present results for the model with U = 1.5 with bare bandwidth W = 2 setting the energy unit, and a 4×4 cluster. With this choice of U/t and cluster we are able to access low temperatures $T \ge 0.01$ before the average sign of the sampling weight falls below 0.05. The low-energy scales in the problem are the anti-ferromagnetic exchange energy J near half filling, the PG temperature T^* in the PG region, and the effective Fermi energy T_X at higher doping. From the analysis described previously [13], we find that $J_{\text{eff}} \approx 0.11$ for N = 0.95 and N = 1. The energy scales T^* and T_X are extracted from fits to the data presented below. It is important to note that in each of these fits, we include data for $T \ll J_{\text{eff}}$.

The Matsubara quasiparticle fraction is calculated with **k** on the Fermi surface (FS) defined by the maximum $|\nabla n(\mathbf{k})|$ along the (1, 1) and (0, 1) directions. The Fermi surface identified using the spectral function $A(\mathbf{k}, \omega = 0)$ [14] is slightly different than that identified using $|\nabla n(\mathbf{k})|$.

Nevertheless, for N > 0.85, the quasiparticle weight $Z \rightarrow 0$ everywhere on both Fermi surfaces (and shows a similar anisotropy on both), and so our conclusions do not vary depending on whether we use $|\nabla n(\mathbf{k})|$ or $A(\mathbf{k}, \omega = 0)$ to identify the FS. We will present detailed results and analysis for the (0, 1) direction only as we are interested in the crossover from PG to FL behavior, and the PG is stronger along the (0, 1) direction. Z_{001} is shown in the main panel in Fig. 1 for U = 1.5 in units where W = 2 for different fillings.

The low temperature Matsubara quasiparticle data changes character as the filling N increases through N =0.85. The data for N > 0.85 has negative curvature at all T. Whereas the data for N < 0.85 has negative curvature at high T, a region of weak positive curvature is found at lower T. The change in curvature of the low temperature data for N < 0.85 is easily understood as a crossover to a FL region. In a FL at zero temperature $Z_{0FL}(0) = 1/(1 + 1)$ $2\alpha\omega_c/\pi$) while for low T, $Z_{0\text{FL}}(T) \approx Z_{0\text{FL}}(0)(1 + 1)$ 3.099 $\alpha Z_{0FL}(0)T$). Since $\alpha Z_{0FL}(0) > 0$, $Z_{0FL}(T)$ at low T has a finite intercept and a linear region with positive initial slope indicative of FL formation. The next correction, of order T^2 , is small for $Z_{0FL}(0) \approx 0.6$ so that $Z_{0FL}(T)$ is a nearly linear function when fit to the low T data. On the other hand, the MFL always has negative curvature, as can be inferred from an expansion of Eq. (3) to second order in T. So at the transition between FL and MFL, a region of positive curvature is found at $T \approx T_X$. The data for N <0.85 is well fit by the crossover form posed above, but is poorly fit by a FL form over the fitting region (see, e.g., the solid line fit to the N = 0.75 data). When the data at N =0.85 is fit with the crossover form for the $Z_{0X}(T)$, the fitting

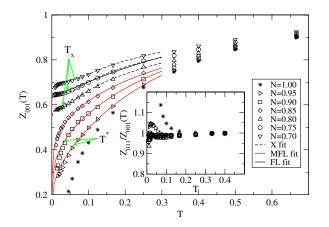


FIG. 1 (color online). Matsubara quasiparticle fraction $Z_0(\mathbf{k})$ versus temperature T evaluated with \mathbf{k} on the Fermi surface along the (0,1) direction for different fillings N when U = 1.5 and the bandwidth W = 2. The lines represent fits in the region T < 0.3 to either the MFL form, Eq. (3), for $N \ge 0.85$ or the crossover form (X), Eq. (5), for N < 0.85. The arrows indicate the values T_X extracted from the crossover fits or T^* (cf. Figs. 3 and 4). Inset: The ratio, Z_{011}/Z_{001} , is plotted as a function of temperature for different fillings.

routine returns $\omega_x = T_X = 0$ (within the precision of the fit and data), consistent with the formation of a MFL. So the solid line shown in the plot is a MFL fit. The MFL fit to the N = 0.85 data is very good. In fact the quality of this fit was better than that obtained for any of the fitting forms to any of the other data sets, despite the fact that the MFL form only has two adjustable parameters. In order to show that the conclusions from the above analysis are not specific to the direction (0, 1), we plot the ratio, Z_{011}/Z_{001} , in the inset of Fig. 1 as a function of temperature for different fillings. The ratio is seen to be essentially the same for all fillings at the QCP, indicating that Z is essentially isotropic at the QCP, and becomes progressively more anisotropic as we dope into the PG region. In addition, Z calculated at $\mathbf{k} = (0, \pi)$ (not shown) is qualitatively the same as that calculated along the 01 direction on the Fermi surface. Therefore, the QCP is not due to interpolation nor is it due to the change of the Fermi wave vector with filling. Rather, it is due to a dramatic change of the nature of the self energy for k near the Fermi surface.

The data with N = 0.85 in some other ways is special. For example, at this filling the low temperature singleparticle density of states (DOS), which is plotted in Fig. 2 for several fillings, is peaked at zero frequency. At low energies $|\omega| \leq J_{\text{eff}}$ the N = 0.85 DOS is nearly symmetric around this point. This is consistent with the observation of particle-hole (p-h) symmetry in the transport of the cuprates at optimal doping [5].

In order to characterize the region N > 0.85, the PG region, we also explored the temperature dependence of the DOS and the bulk, $\mathbf{Q} = 0$, spin susceptibility of the cluster, as shown in Fig. 3 and its inset, respectively. We find a concomitant depression of the low-energy DOS at temperatures below the peak in the susceptibility. The suppression of the susceptibility indicates the suppression of low-energy spin excitations. $Z_{001}(T)$ in this region is

well fit for $T > T^*$ (see Fig. 4) by the MFL form, but is poorly fit by the MFL form for $T < T^*$, as shown in Fig. 1. The MFL form changes too slowly with decreasing *T*, due to the formation of the PG for $T < T^*$.

The relevant temperatures near the QCP, T_X and T^* , are shown in Fig. 4. The PG temperature was determined from the peak in the susceptibility and the initial appearance of the PG in the DOS as shown in Fig. 3, and T_X from the fit to Eq. (5).

We also explored the effect of larger clusters and U/t; however, these results are restricted by computational limitations including, especially, the minus sign problem. Despite this, some calculations were possible for $N_c = 24$ site clusters. For the same parameters used above, we find that the PG temperature T^* increases slightly near half filling. At the critical filling where the $Z_{001}(T)$ is best fit by a MFL, the p-h symmetry in the DOS at low frequencies remains between $N \approx 0.85$ to $N \approx 0.86$. Because of the minus sign problem, we did not have enough low temperature data to determine T_X precisely for clusters with $N_c = 24$. We also explored a larger value of U =W = 2 for the $N_c = 16$ cluster with similar limitations. We find that the PG vanishes at roughly N = 0.78, consistent with optimal doping in the cuprates [5], and at this filling the DOS is again p-h symmetric at low energies.

Discussion.—Several different scenarios have been proposed to explain the transition from PG to FL behavior and the strange behavior seen near optimal doping in the cuprates such as the MFL phenomena.

An extension of the bond-solid scenario [2,3,15] is consistent with our results. Here, a bond solid is conjectured to coexist with the pseudogap in the underdoped region, and the QCP marks the doping where the transition temperature to bond order vanishes. We suggest that the NFL behavior could be due to the scattering of quasiparticles from bond excitations. For dopings greater than, but near,

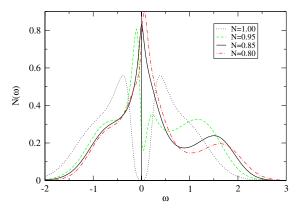


FIG. 2 (color online). The single-particle DOS when U = 1.5, W = 2, and T = 0.01429. Note that the low-energy DOS is roughly symmetric around $\omega = 0$ for the critical filling N = 0.85 where $Z_0(\mathbf{k})$ fits a MFL form. This is consistent with the observation of rough particle-hole symmetry in the cuprates in the proximity of optimal doping [5].

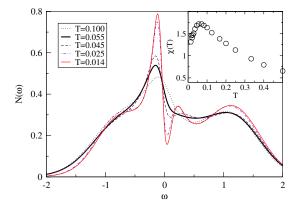


FIG. 3 (color online). The single-particle density of states in the pseudogap region for various temperatures with N = 0.95, U = 1.5, W = 2. Inset: The bulk, $\mathbf{Q} = 0$, cluster susceptibility for the same parameters. The PG in the DOS begins to develop at roughly the same temperature T^* which identifies the peak susceptibility.

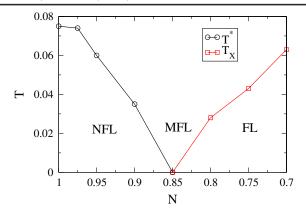


FIG. 4 (color online). The pseudogap temperature T^* , and the crossover temperature T_X as a function of filling.

the PG region, there would be remnant bond excitations. The low-energy scale of these excitations will be cut off by the finite correlation length of the bond order, yielding a gap to bond excitations. So low-energy quasiparticles may form a FL, while higher energy ones do not, due to scattering from these bond excitations. In this scenario, the gap to bond excitations is proportional to T_X , which presumably will grow as the bond correlation length falls as the system is doped away from the QCP. One problem with this scenario is that it requires gapless bond excitations in the PG region, but due to the finite size of the cluster there should be a small gap which scales with the cluster size.

The spectral-weight transfer scenario [5,16] also provides a consistent interpretation. In a hole-doped Mott insulator, with doping x = 1 - N and large U/t, each doped hole yields two states immediately above the chemical potential. One comes from the lower and the other from the upper Hubbard band. When the system is doped so that the number of low-energy states (i.e., not including those in the upper band) above and below the chemical potential are equal, so that 2x = 1 - x or x = 1/3, then *p*-*h* symmetry is obtained. For finite U/t, the critical doping for *p*-*h* symmetry is smaller [5]. Thus this scenario explains the *p*-*h* symmetry of the DOS at the QCP, as found in Fig. 2.

Our results differ from previous extended DCA results for the *t-J* model on a $N_c = 4$ cluster where a FL-FL crossover, with maximum scattering is found at a critical point not associated with MFL behavior [17]. It is not clear whether the model or the method is responsible for these differences, although note that the spectral-weight transfer arguments discussed above suggest FL physics for the *t-J* model even at small doping [18].

Conclusion.—We investigate the Matsubara quasiparticle fraction on the Fermi surface and the PG of the twodimensional Hubbard model. As a function of doping, $Z_{001}(T)$ changes character. For doping beyond the critical point, as the temperature is lowered the curvature of $Z_{001}(T)$ changes from negative to positive. This can be understood as a change from a MFL to a FL as *T* falls. At lower doping, the curvature is negative for all T, including $T \ll J_{\text{eff}}$, consistent with a NFL state. A PG is also found in the DOS and the bulk spin susceptibility at lower doping. At the QCP which separates these two regions, we find a MFL which is also found for $T > T^*$ and $T > T_X$.

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