

## Strain Softening in Stretched DNA

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The microscopic mechanics of DNA stretching was characterized using extensive molecular dynamics simulations. By employing an anisotropic pressure-control method, realistic force-extension dependences of effectively infinite DNA molecules were obtained. A coexistence of *B* and *S* DNA domains was observed during the overstretching transition. The simulations revealed that strain softening may occur in the process of stretching torsionally constrained DNA. The latter observation was qualitatively reconciled with available experimental data using a random-field Ising model.

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The mechanical properties of double-stranded DNA (dsDNA) have been the subject of extensive studies [1–7] because of their fundamental importance to gene regulation processes in biological cells. Single molecule manipulation experiments revealed a characteristic plateau in the force-extension curve of dsDNA that signifies a highly cooperative transition from a canonical *B*-DNA structure to an overstretched *S*-DNA conformation, the so-called *B* to *S* transition [2]. Despite extensive experimental and theoretical studies, the nature of the *B* to *S* transition remains controversial [5]. Molecular dynamics (MD) simulations revealed that stretching DNA with a force transforms the canonical *B*-DNA structure into a ladderlike conformation [2,6]. However, subsequent thermodynamic analysis of DNA stretching suggested that the “*B*-*S*” plateau indicates a melting transition, i.e., separation of the two DNA strands that occurs at the beginning of (and throughout) the plateau [7]. Furthermore, both theory [3] and experiment [8] suggest that depending on the twist of the DNA helix, i.e., the number of base pairs per turn of the helix, several DNA conformations may coexist during the transition.

In contrast to previous MD studies of short dsDNA fragments [2,6,9–11], in this Letter we report the force-extension dependence of an effectively infinite DNA molecule that was stretched using an anisotropic pressure-control method. Figure 1(a) illustrates the setup of our simulations. A fragment of dsDNA two helical turns in length was submerged in an aqueous solution of 1 M KCl. Each strand of the DNA helix was covalently linked to itself over the periodic boundary of the system. Thus, any deformation modes with wavelengths bigger than the system size were suppressed. We constructed three systems having the following nucleotide sequences: poly(dA) poly(dT), poly[d(CGATATATCG)] poly[d(GCTATATAGC)], and poly(dC) poly(dG), which are referred to as sequence *A*, *B*, and *C*, respectively. Note that sequence *A* and *C* is homogeneous, and sequence *B* is heterogeneous. According to experiment, the systems were built to contain 20 base pairs (bps) in two helical turns for sequences *A* and *B* [12,13], and 21 bps for sequence *C* [12].

Following assembly, each system was equilibrated in the NPT ensemble [14] ( $P = 1$  bar,  $T = 310$  K) for 10 ns using the program NAMD [15], the parm94 force field for DNA [16], the TIP3P model of water [17], standard parameters for ions [18], particle-mesh Ewald (PME) electrostatics and multiple time stepping [19]. van der Waals interactions were calculated using a smooth (10–12 Å)

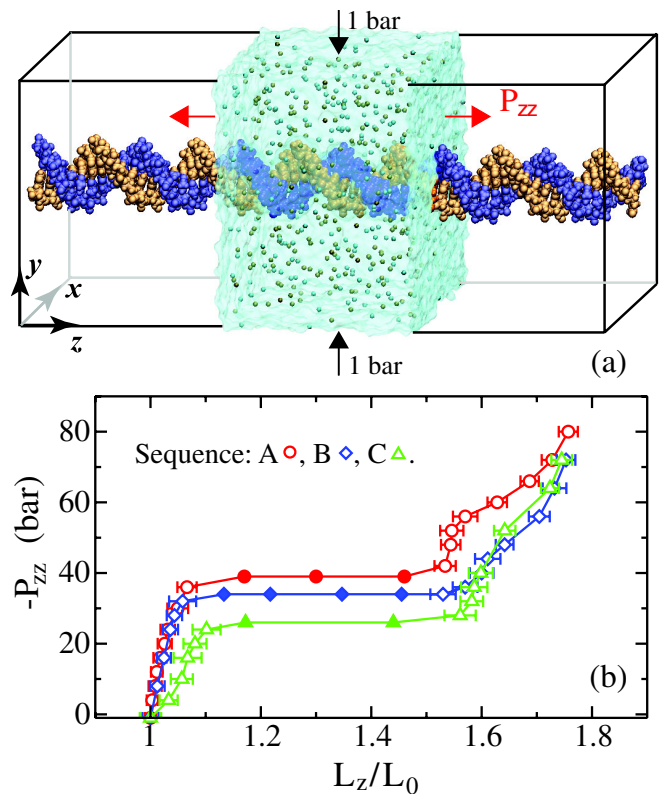


FIG. 1 (color online). Anisotropic pressure-control simulations of DNA stretching. (a) Setup of MD simulations. The transparent box illustrates the boundaries of the simulated system. Ions are shown as spheres. The DNA outside the transparent box illustrates the periodic boundary condition. (b) Simulated stress-extension dependence. The metastable states are shown as solid symbols with no error bars.

cutoff. The temperature was kept constant by applying a Langevin thermostat with damping rate  $1 \text{ ps}^{-1}$  to all non-hydrogen atoms. After equilibration, the systems measured about  $78 \times 78 \times L_0 \text{ \AA}^3$ , where the average DNA contour length  $L_0$  was 65.8, 65.3, and 67.0  $\text{\AA}$  for sequence *A*, *B*, and *C*, respectively. During the equilibration, DNA remained in the *B*-form conformation.

To obtain the force-extension curve, each system was simulated under anisotropic pressure conditions that were maintained using the Nosé-Hoover Langevin piston pressure control [14]. While the  $P_{xx}$  and  $P_{yy}$  components of the pressure tensor were kept at 1 bar, the  $P_{zz}$  component was stepwise decreased [Fig. 1(a)]. When  $P_{zz}$  was assigned a negative value, the system stretched in the  $z$  direction until the total internal stress in the simulated system balanced the applied pressure  $P_{zz}$ . The water density remained constant. Repeating such simulations at different (negative) values of  $P_{zz}$  yielded the stress-strain curves shown in Fig. 1(b). Each point in the curves was obtained by equilibrating the system at a preset value of  $P_{zz}$ , requiring from 5 to 40 ns. Such equilibration times are considerably larger than a typical relaxation time of the internal stress,  $2L_z/v \sim 10 \text{ ps}$ , where  $v$  ( $\sim 15 \text{ \AA/ps}$ ) is the speed of sound in water.

Each stress-extension curve shown in Fig. 1(b) has three characteristic regions: elastic, transition, and overstretched. The DNA was observed to deform elastically up to a normalized extension ( $L_z/L_0$ ) of 1.06 for sequence *A* and *B* and up to 1.12 for sequence *C*. A plateau indicating a transition from canonical to overstretched structure was observed in a very narrow range of negative pressures [Fig. 1(a)]. The overstretched region begins at the end of the transition plateau where DNA extends to  $1.6L_0$ , in excellent agreement with experiment [2,20]. Small plateaus in the overstretched region are reminiscent of the experimentally observed melting transition that follows the *B* to *S* transition [21,22].

The kinetics of the *B* to *S* transition is illustrated in Fig. 2. At  $P_{zz} = -24 \text{ bar}$ , the DNA molecule is elastically stretched; its average length is 67.7  $\text{\AA}$  [Fig. 2(a)]. When  $P_{zz}$  was set to  $-32 \text{ bar}$ , the DNA's length was about 69.1  $\text{\AA}$  for the most of the 20 ns trajectory but could transiently increase to 78  $\text{\AA}$  as a group of 7–10 bps transiently lost its canonical *B*-DNA structure [Fig. 2(b)]. These elastic instabilities indicated proximity of the transition. When temperature was raised to 350 K, the transition occurred within 5 ns [Fig. 2(b)]. Further decreasing  $P_{zz}$  to  $-34 \text{ bar}$  (at 310 K) initiated transition to the overstretched conformation [Fig. 2(c)]. After about 40 ns, the length of the DNA molecule reached 100  $\text{\AA}$ , i.e.,  $1.54L_0$ . During the transition, the system visited several metastable states, which were identified as steps ( $L_z = 74, 80, 88,$  and  $94 \text{ \AA}$ ) in the DNA extension curve [Fig. 2(c)]. When  $P_{zz}$  was decreased directly from  $-32$  to  $-36 \text{ bar}$ , the transition occurred much faster than when quenched to  $-34 \text{ bar}$  [Fig. 2(d)]; the system visited only two metastable states

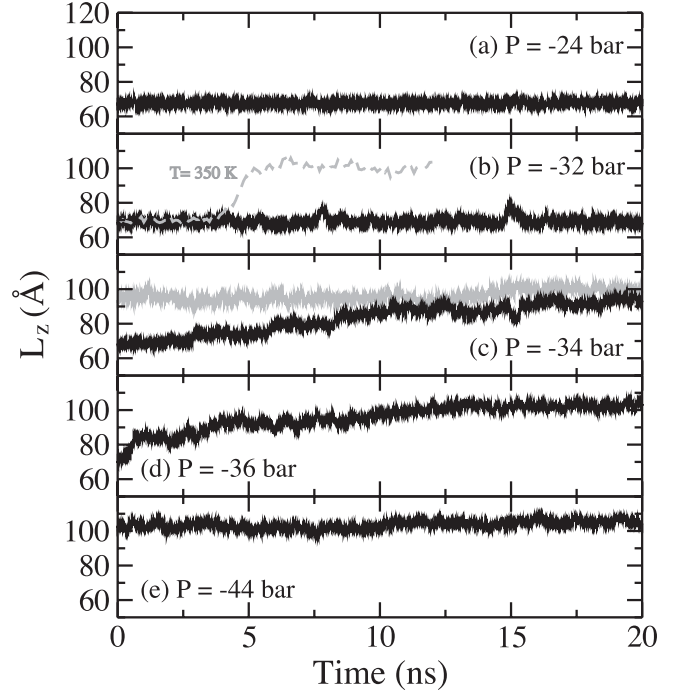


FIG. 2. Kinetics of the *B* to *S* transition. (a)–(e) The length of a DNA fragment  $L_z$  versus simulation time under different values of  $P_{zz}$  (sequence *B*), decreasing in steps from  $-24$  to  $-44 \text{ bar}$ . At  $P_{zz} = -34 \text{ bar}$  (panel c), the gray line corresponds to the last 20-ns fragment of the 40-ns MD trajectory.

( $L_z = 85$  and  $93 \text{ \AA}$ ). Decreasing  $P_{zz}$  from  $-36$  to  $-44 \text{ bar}$  increased the DNA length by 3  $\text{\AA}$  only [Fig. 2(e)], indicating the end of the transition plateau.

In our simulations, the applied external pressure  $P_{zz}$  is balanced by the internal stress in DNA and water. Assuming the pressure in bulk water is isotropic, the  $zz$  component of the water stress tensor  $P_{zz}^{\text{water}} \approx P_{xx} \approx 1 \text{ bar}$ . Hence, the tensile force inside DNA can be computed as  $F = -(P_{zz}S - P_{zz}^{\text{water}}(S - S_{\text{DNA}}))$ , where  $S$  and  $S_{\text{DNA}}$  are the area of the simulation system and of DNA, respectively, in the  $x$ - $y$  plane. Because  $P_{zz}^{\text{water}}S_{\text{DNA}} < 0.5 \text{ pN}$ , we use the following approximate formula to compute the tensile force in DNA:  $F = -(P_{zz} - 1) \times S$ .

Using the above formula, the force-extension dependence of a DNA molecule, Fig. 3(a), was computed from the stress-extension dependence of the entire system [Fig. 1(b)]. Estimated from the slope of the force-extension curve, the elastic modulus of sequence *C* is much smaller than that of sequence *A* and *B*, which is consistent with previous MD studies [23]. A striking feature of the force-extension curves is a monotonic decrease of the tensile force in the transition region after initial increase to the yield force  $f_y$ , i.e., a strain-softening effect. This effect was also observed using an 11 bps per turn model of sequence *A*, and in the simulations performed using the latest parm-bsc0 force field [24] (data not shown). Microscopically, the strain-softening effect is caused by the breakup of the base pairing and base stacking inter-

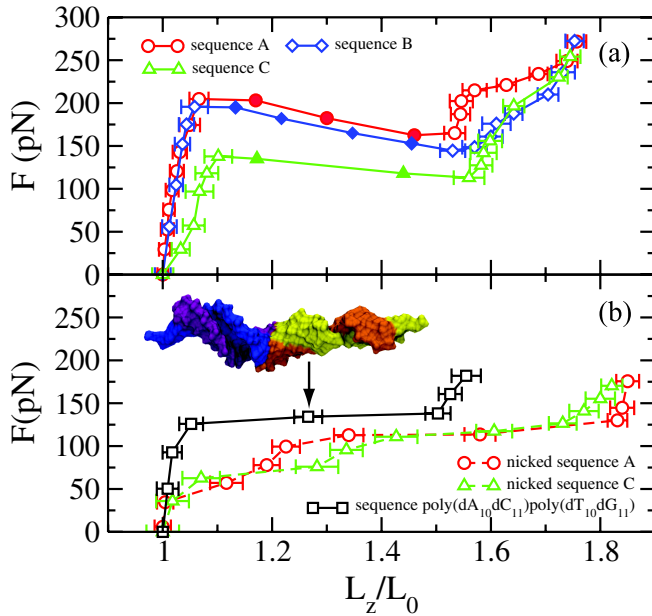


FIG. 3 (color online). (a) Force-extension dependence of a torsionally constrained DNA. Solid symbols indicate the metastable states in the transition region [Fig. 2(c)]. (b) Force-extension dependence of nicked (circles, triangles) and torsionally constrained (squares) DNA. The inset shows the stable conformation of the torsionally constrained heterogeneous sequence DNA at  $L_z/L_0 = 1.27$ . The adenine, thymine, cytosine, and guanine are shown in blue (black), purple (dark gray), orange (gray), and yellow (light gray), respectively.

actions. Thus, depending on the history of the stretching process, the same stretching force can drive dsDNA into different conformations.

Figures 4(a)–4(c) illustrate three possible conformations of DNA under the tensile force of 170 pN. The local deformation of the DNA structure was quantified by plotting the averaged over six bps distance between the phosphorous atoms of the DNA backbone  $L_{pp}^{(z)}$  versus the bp number [Fig. 4(d)].

The conformation of the elastically stretched dsDNA [Fig. 4(a)] is close to the canonical *B*-DNA form, in which the tensile force is partially borne by orderly stacked bases. Beyond the elastic region, as the hydrogen bonds and base stacking yield, the DNA backbone stretches to bear a higher fraction of the tensile force. In the metastable state [Fig. 4(b)], domains of *B* DNA and overstretched *S* DNA coexist, as also indicated by a nonuniform deformation of the DNA backbone [Fig. 4(d)]. An independent 10-ns MD simulation restarted from a metastable state revealed a stable coexistence of *B*- and *S*-DNA domains when the DNA length  $L_z$  was kept constant. The tensile force in that simulation was constant and lower than the yield force. The coexistence of the *B*- and *S*-DNA states, observed for the first time in our simulations, was postulated in previous theoretical models of DNA deformation [2,25]. In the overstretched conformation [Fig. 4(c)], most of the bps are broken. Large fluctuations of  $L_{pp}^{(z)}(n)$  in this regime

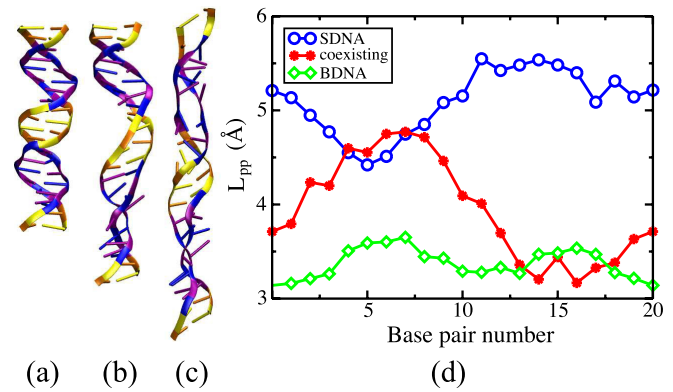


FIG. 4 (color online). Phase coexistence during the *B* to *S* transition. (a)–(c) Possible conformations of a DNA molecule (sequence *B*) in the elastically stretched (a), transition (b), and overstretched (c) regions under the tensile force of 170 pN. The nucleotides are colored as in Fig. 3(b). Panel (c) illustrates a typical conformation of overstretched *S* DNA, i.e., a partially melted duplex DNA. (d) The average distance between the phosphorous atoms of the DNA backbone (see text) for the DNA conformations shown in (a)–(c).

reflect a variation of the local DNA structure. The small value of  $L_{pp}^{(z)}$  at  $n = 5$  is due to the locally overwound DNA (the angle between consecutive bases in the same strand is  $\sim 150^\circ$ ), while the large value of  $L_{pp}^{(z)}$  at  $n = 14$  corresponds to a “bubble” (the angle is  $\leq 20^\circ$ ) of broken bps [Fig. 4(c)]. The convergence of the three force-extension curves in the overstretched region [Fig. 3(a)] indicates that the tensile force in this region is likely borne by DNA backbone only.

It has been established that a force-extension curve of a long DNA fragment such as  $\lambda$ -DNA exhibits an overstretching plateau at a tension of about 70 pN and that the tension slightly increases in the plateau region [2,20]. In our simulations, the DNA molecules were torsionally restrained via the periodic boundary condition. Under similar conditions, experiment has shown a significantly higher yield force of about 110 pN [8], which is close to the yield force of sequence *C* [Fig. 3(a)]. Allowing DNA to unwind in our simulations was observed to greatly reduce the yield force. Figure 3(b) shows the force-extension curves of DNA molecules that have a nick in one of their strands. As such nicked DNA unwinds, its tertiary structure continuously transforms from the *B* DNA to ladderlike structure. Such a continuous transformation of the tertiary structure does not require the hydrogen bonds between the two strands to break; the strain-softening effect is abolished, which is consistent with experiments on stretching DNA of homogeneous sequences without applying torsional restraints [21].

Even under torsional constraints, experiments using  $\lambda$ -DNA revealed a force-extension plateau [8], i.e., no strain-softening effect. We believe that this apparent discrepancy is due to the highly heterogeneous sequence of  $\lambda$ -DNA. Our simulations have demonstrated a strong de-

pendence of the yield force on the DNA sequence. Hence, the  $B$  to  $S$  transition in dsDNA of a heterogeneous sequence would start with the DNA fragments characterized by the lowest yield force. The initial metastable growth of the  $S$ -DNA domains that could be accompanied by strain-softening would be limited to the domains of DNA sequence having similar yield forces. Driving the domain boundary towards  $B$ -DNA domains would require a higher stretching force that could also nucleate  $S$ -DNA domains elsewhere. Overall, the force-extension dependence appears as the  $B$ - $S$  plateau.

To provide a theoretical model for the above description, we treat the microscopic process of the  $B$  to  $S$  transition as the motion of a magnetic domain driven by an external magnetic field in the Ising model [25]. As the yield force was found to be sequence dependent, we considered a mean field model similar to the random-field Ising model [26]. The Hamiltonian  $H = -J\sum_i(l_i l_{i-1} + l_i l_{i+1}) + \sum_i(f - f_i)l_i$  with  $l_i = 0.5(L_S + L_B) - L_i$ , where the length of a DNA fragment (e.g. one helical turn)  $L_i$  equals  $L_B$  or  $L_S$  for  $B$ - or  $S$ -DNA conformation, respectively. The coupling term  $-J\sum_i(l_i l_{i-1} + l_i l_{i+1})$  describes the interaction between neighboring DNA fragments. The condition of  $J > 0$  is consistent with our MD results: at the same tensile force, the DNA conformation is stable when the two neighboring DNA fragments are in the  $B$ -DNA state [Fig. 4(a)] but unstable when one of them is stretched [Fig. 4(b)]. The yield force of the  $i$ th fragment  $f_i$  is analogous to the random local field in the Ising model. We define  $f_i = f_0 + f'\rho_i$ , where  $\rho_i$  is a random number between  $-1$  and  $1$ . The Hamiltonian can be rewritten as  $H = -\sum_i l_i F_i$ , where the effective local field  $F_i = J(l_{i-1} + l_{i+1}) - f + f_i$ . In the case of a homogeneous DNA sequence,  $\rho_i (= \rho)$  is a constant and the yield force of the whole DNA  $f_y = J(L_S - L_B) + f_0 + f'\rho$ . Here,  $f_y$  is the force to nucleate an  $S$ -DNA domain. The required force to drive the domain walls, however, can be as low as  $f_0 + f'\rho$ , consistent with the strain-softening effect. For a heterogeneous DNA sequence, this model predicts that the “hysteresis” (or strain-softening) effect is reduced or even eliminated when the sequence is random. This phenomenon was investigated in detail for the hysteresis loop of magnetization [26].

To test our theory, we performed simulations of torsionally constrained DNA having the following heterogeneous sequence: poly(dA<sub>10</sub>dC<sub>11</sub>) · poly(dT<sub>10</sub>dG<sub>11</sub>). In the middle of the overstretching plateau [Fig. 3(b)], we observed a stable (over 35 ns) state in which only the poly(dC<sub>11</sub>) · poly(dG<sub>11</sub>) fragment was overstretched. A force higher than the yield force of the dC<sub>11</sub> fragment was required to induce stretching of the dA<sub>10</sub> fragment. Hence, strain softening was not observed. Note that sequence  $B$  is also heterogeneous. However, the CGCG segment of sequence  $B$  could not maintain the  $B$ -DNA structure after neighboring ATATAT segments became overstretched. Hence, strain softening was observed.

In conclusion, we investigated the  $B$  to  $S$  transition in stretched dsDNA using an anisotropic pressure-control method. Our simulations suggest that the force-extension dependence of a torsionally constrained DNA molecule can exhibit a strain-softening effect if no stable coexistence of  $B$ -DNA and  $S$ -DNA domains is possible at a tensile force higher than the yield force. We expect such behavior to emerge when stretching torsionally constrained simple repeat DNA sequences that are abundant in mammalian genomes [27]. The strain-softening effect can be exploited in DNA nanotechnology to construct a mechanical “all or nothing” switch that unravels a torsionally constrained DNA helix when the tensile force exceeds a certain value. Physically, the strain-softening effect is similar to the hysteresis effect in the first-order phase transition. Removing torsional restraints eliminates the strain-softening effect, as in that case the DNA structure can continuously transform from the  $B$ -DNA to  $S$ -DNA state through unwinding. Although the strain-softening effect has not been experimentally observed in DNA, we believe such observations are possible using current experimental methods [8,21].

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