# Flow-radiation coupling in CO<sub>2</sub> hypersonic wakes using reduced-order non-Boltzmann models

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The current work presents a computationally tractable simulation methodology combining different model-reduction techniques for resolving non-Boltzmann thermodynamics, chemical kinetics, and radiative transfer in complex three-dimensional flows. The empiricism associated with conventional multitemperature nonequilibrium models is abandoned in favor of the multigroup maximum entropy method combined with kinetics-informed adaptive binning. This group-based approach allows for time-varying optimal reducedorder representation of the internal state population distribution while accounting for all collisional processes included in the state-to-state model. Similarly, radiative transfer calculations are performed efficiently by discretization in the spectral, angular, and spatial spaces using the smeared band, discrete ordinate, and finite-volume methods, respectively. This reduction in computational overhead allows truly non-Boltzmann simulations with two-way coupling between the flow and radiation fields to be realized without simplifying approximations based on the tangent-slab method or local escape-factors. The simulation procedure is used in conjunction with the US3D flow solver to investigate the impact of vibrational nonequilibrium on CO2 wake flows and resultant infrared radiation around the Mars 2020 vehicle. This involves comparing predictions for flow-field properties and radiative transfer obtained using the conventional two-temperature model, the multigroup non-Boltzmann model, and for decoupled/coupled flow-radiation calculations. Conventional two-temperature models overestimate the rate of thermal equilibration in the nearwake region resulting in the population of midlying and upper CO<sub>2</sub> vibrational levels being underpredicted by multiple orders of magnitude. Additionally, the two-temperature approach (in comparison to bin-based StS) overpredicts the rate of CO2 dissociation thereby leading to erroneous estimates for flow properties in the postshock region (primary source of afterbody radiative emission). This results in inflated values for surface radiative heat flux with two-temperature modeling, although overall differences in radiative behavior are tempered by the fast characteristic relaxation times for ground vibrational levels.

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#### I. INTRODUCTION

A key step in the development of reliable and cost-effective thermal protection systems for shielding spacecraft from the rigors of hypersonic planetary entry is accurate characterization of

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thermal and aerodynamic force loads [1]. A combination of high free-stream enthalpy and rarefied atmospheric conditions can result in the flow surrounding the spacecraft being dominated by nonequilibrium phenomena. The rapid dissipation of flow kinetic energy downstream of the shock drives energetic collisions and radiative transitions [2]. Collisional-radiative (CR) processes drive both local and nonlocal (absorption of radiative intensity) thermochemical changes in the flow field, resulting in excitation of internal energy modes, dissociation, and ionization of gas particles [2]. The impact of radiation on flow-field properties and flight characteristics grows in significance with entry speeds. Recent work on Martian atmospheric entry [3–6] indicates that CO<sub>2</sub> infrared radiation (IR) dominates the total heat flux received by the backshell of spacecraft. Thus, as heat shields are designed with more aggressive safety margins, accurately resolving complex thermochemical and radiative phenomena is of paramount importance.

Thermal and chemical nonequilibrium has traditionally been modeled using semiempirical models that suffer from two key shortcomings. First, they introduce arbitrary empiricism by relying on parameters calibrated to reproduce experimental data obtained for only a limited range of test conditions. Second, the underlying physics of nonequilibrium is simplified through assumptions such as a rigid separation of the internal energy modes (translational, rotational, vibrational, and electronic) and defining one or multiple temperatures to describe the population of each internal energy level [7]. Consequently, most state-of-the-art approaches applied to computational fluid dynamics (CFD) simulations are fundamentally incapable of capturing complex nonequilibrium internal distributions characterized by different features for the low-lying, mid- to high-lying, and predissociated states [8–10].

The current study aims to efficiently incorporate detailed state-to-state (StS) models, thereby enabling large-scale predictive simulations. The increasing availability of first-principles based rate data for microscopic interactions between individual quantum states [11–14] opens the door to accurate characterization of nonequilibrium state population distributions. However, full StS models are prohibitively expensive even for the simple physical problems. Coarse-grained models that group individual levels together into a reduced number of macroscopic bins (the terms "bins" and "groups" are used interchangeably) have been used previously to overcome this impediment [15–17]. The work by Liu et al. [18]—referred to as the multigroup maximum entropy method (MGME)—establishes a mathematically rigorous formulation for the time evolution of macroscopic bin properties (population, energy, and higher-order moments) while retaining all microscopic processes from the StS model. Unlike StS models, the populations of individual states no longer serve as system unknowns. Instead, they are reconstructed using bin-specific distribution functions that maximize entropy and are constrained by bin properties. The reduced system of governing equations for bin properties is derived by summing up moments of the StS master equations. The multigroup maximum entropy method has been further improved through the introduction of grouping strategies informed by kinetics (referred to as adaptive binning) [19]. State-to-state processes with different characteristic timescales are modeled with varying degrees of fidelity, which results in better predictions for transient behavior borne out of selective equilibration of internal energy levels [19,20]. The current study uses the multigroup maximum entropy method in conjunction with adaptive binning to strike a balance between accuracy and computational tractability while describing nonequilibrium dynamics.

Radiative emissions are strongly dependent on the exact internal state population distribution [21], and therefore non-Boltzmann deviations in expanding wakes can dramatically alter radiative heat transfer. Additionally, radiative transitions themselves can alter populations for individual states of radiating gaseous species through local emission and absorption of the globally determined radiative intensity. This two-way coupling makes it imperative that thermochemistry and radiation be dealt with in a unified manner. The first step in achieving this is by allowing non-Boltzmann bin-based distributions (in addition to other bulk properties) from flow-field calculations to be used for computing spectral properties from state-specific radiation databases. Analogous to StS modeling, the use of a line-by-line approach [22] with  $O(10^6)$  frequency evaluations for a single line-of-sight is computationally impractical for multidimensional radiation fields. A range of model

reduction techniques in frequency space have been employed previously [3,4,23–25] for solving radiative transfer for complex high-enthalpy flows. The current work extends the smeared band method [26] to compute spectral variation in absorption and emission behavior directly from non-Boltzmann bin properties. This consistency with the MGME method also avoids additional costs associated with unpacking bins into state-specific population distributions and then modeling state-to-state radiative transitions (traditionally done when introducing non-Boltzmann effects [27,28]). The geometric aspect of radiation problems involving spatial and angular resolution of the radiative transfer equations (RTEs) is dealt with efficiently through finite-volume discretization [29,30] and the discrete ordinate method [31,32]. The aforementioned elements of the radiation solution procedure together allow a detailed treatment of reaction rates driven by local and nonlocal radiative transitions without resorting to conventional approximations based on escape factors [33] or the tangent-slab method for radiative intensity [21,34].

Previous work on CO<sub>2</sub> wake flows and associated IR radiation [3–6] has been limited to the use of conventional multitemperature models. Researchers have also modeled CO<sub>2</sub> vibrational nonequilibrium by defining independent temperatures for different modes (symmetric stretching, bending, asymmetric stretching) [25,35]. These efforts are impeded by a common constraint—internal levels being forced into Boltzmann distributions—and the semiempiricism that stems from it. Additionally, existing research on the subject completely disregards the two-way coupling between thermochemistry and radiative transitions, with the radiative field computed simply as a postprocessing step. The current work represents the first attempt at holistically investigating the impact of self-consistent non-Boltzmann modeling on CO<sub>2</sub> thermochemistry and the resultant radiative behavior for hypersonic wake flows. Additionally, it characterizes the possible impact of nonlocal radiative emissions on CO<sub>2</sub> vibrational relaxation and bulk flow properties. This paper is organized as follows:

- (a) Section II provides an overview of the vibrational-specific CO<sub>2</sub> thermochemistry model and the multibin model-reduction paradigm.
- (b) Section III begins with a description of the reduce-order spectral model for  $CO_2$  radiation using the smeared band method [4,36]. This is followed by an outline of angular/spatial discretization techniques for solving RTEs. This section concludes with the self-consistent model for the two-way coupling between flow phenomena and radiation.
  - (c) The hydrodynamic governing equations are discussed in Sec. IV.
- (d) Numerical studies are conducted on the Mars 2020 vehicle by applying the proposed nonequilibrium methodology to the US3D flow solver [37–39]. Section V presents a detailed analysis of flow structures, thermochemistry, and radiative transfer in the afterbody region of the spacecraft.
  - (e) Lastly, the conclusions are summarized in Sec. VI.

#### II. THERMOCHEMISTRY MODEL

## A. State-to-state thermodynamics and kinetics of CO<sub>2</sub>

The current nonequilibrium framework incorporates the vibrational state-to-state thermochemistry model developed by Kustova *et al.* [14,40,41]. Electronic excitation is neglected, and only the ground electronic state  $CO_2(X^1\sum_g^+)$  is considered. Carbon dioxide is a linear molecule and possesses four vibrational modes—one symmetric stretching mode, two degenerate bending modes, and one asymmetric stretching mode. The energy of individual  $CO_2$  vibrational levels is described using the anharmonic oscillator expression [42]:

$$E_{v_{1},v_{2}^{l},v_{3}}/(hc) = \sum_{k=1}^{3} \omega_{k} \left(v_{k} + \frac{d_{k}}{2}\right) + \sum_{k=1}^{3} \sum_{j \geq k}^{3} \chi_{kj} \left(v_{k} + \frac{d_{k}}{2}\right) \left(v_{j} + \frac{d_{j}}{2}\right) + \sum_{k=1}^{3} \sum_{j \geq k}^{3} \sum_{i \geq j \geq k}^{3} y_{kji} \left(v_{k} + \frac{d_{k}}{2}\right) \left(v_{j} + \frac{d_{j}}{2}\right) \left(v_{i} + \frac{d_{i}}{2}\right) + \cdots,$$

$$(1)$$

where h is the Planck constant, c is the speed of light, and the indices i, j, and k define parameters associated with the symmetric, bending, and asymmetric vibrational modes, respectively. Spectroscopic constants such as  $\omega_k$ ,  $\chi_{kj}$ , and  $y_{kji}$  that appear in Eq. (1) are obtained from experimental data [43]. The vibrational quantum number and corresponding degeneracy for mode i are denoted by  $v_i$  and  $d_i$ , respectively. A simplified form of the anharmonic oscillator expression is employed that retains only the first three summation terms in Eq. (1) and neglects higher-order contributions. The existence of multiple vibrational modes allows states with otherwise distinctly different vibrational quantum numbers to have comparable energies, which has a strong impact on the dynamics of the population distribution function. Furthermore, the maximum vibrational energy is limited to the dissociation energy of  $CO_2(X^1 \sum_g^+)$ , resulting in 9056 unique levels.

The complex internal structure of the  $CO_2$  molecule results in a rich set of disparate reaction mechanisms that shape vibrational relaxation. Quantum selection rules preclude inelastic interactions between the translational and symmetric/asymmetric modes. Thus, vibrational-translational equilibration occurs through transitions involving only the bending mode, which leave the other two modes unchanged:

$$VT_2: CO_2(v_1, v_2, v_3) + M \longleftrightarrow CO_2(v_1, v_2 \pm 1, v_3) + M,$$
 (2)

where M denotes the collision partner whose internal state remains unaltered during the reaction. The next category of collisional processes considered is intermodal  $VV_{m-k}$  exchanges resulting in energy transfer between two or more vibrational modes:

$$VV_{1-2} : CO_2(v_1, v_2, v_3) + M \longleftrightarrow CO_2(v_1 \pm 1, v_2 \mp 2, v_3) + M,$$
 (3)

$$VV_{2-3}: CO_2(v_1, v_2, v_3) + M \longleftrightarrow CO_2(v_1, v_2 \pm 3, v_3 \mp 1) + M,$$
 (4)

$$VV_{1-2-3}: CO_2(v_1, v_2, v_3) + M \longleftrightarrow CO_2(v_1 \pm 1, v_2 \pm 1, v_3 \mp 1) + M.$$
 (5)

To limit computational challenges in this introductory study to non-Boltzmann effects on threedimensional flow fields and IR radiation, the authors have considered StS modeling for a single species—CO<sub>2</sub>. Thus, only collisional processes that are defined between vibrational states of CO<sub>2</sub> but do not require a StS description for other species are incorporated into the current kinetic scheme. With a robust simulation framework now in place, additional transitions including statespecific energy exchange with species such as CO and O<sub>2</sub> will be considered in future studies [44]. Finally, state-specific dissociation/recombination reactions are also added to the kinetic scheme:

Dissociation: 
$$CO_2(v_1, v_2, v_3) + M \longleftrightarrow CO + O + M.$$
 (6)

Collision partners considered in the current study include C, CO, O<sub>2</sub>, and CO<sub>2</sub> (total population of carbon-dioxide). A common bottleneck while developing CO<sub>2</sub> StS models is the paucity of state-specific rate data available in the open literature. Additionally, experimental data are only available for transitions between the lowest-energy states. It is imperative that low-energy experimental data be combined with existing theoretical models to yield a self-consistent StS chemistry database. Consequently, the hybrid approach propounded by Kustova and Nagnibeda [40,41,45] is used for computing rate coefficients for vibrational states of CO<sub>2</sub>:

- (a) Rate coefficient data for transitions between the lowest vibrational states are obtained directly from experimental measurements.
- (b) Rate coefficients for higher states are calculated using SSH theory modified for polyatomic molecules.

Lastly, the global dissociation rate constant (legacy values outlined in [7,46]) is unpacked into state-specific values using a generalized form of the Treanor-Marrone model [47].

## B. Adaptive bin-based reduced-order modeling

The application of StS models to practical multidimensional computational fluid dynamics (CFD) problems is unfeasible due to high computational costs. The present work overcomes this limitation through the use of the multigroup maximum entropy method [18] in conjunction with adaptive binning [19]. The process of model reduction can be broken down into two steps:

- (i) Local Representation and Reconstruction. Individual internal energy levels are lumped into larger macroscopic energy bins. The population of grouped levels is reconstructed using binwise distribution functions that are defined using "macroscopic" quantities (bin population and energy).
- (ii) Macroscopic Moment Equations and Rate Coefficients. Governing equations for "macroscopic" quantities are derived by taking successive moments of StS master equations and summing contributions for all internal states belonging to a common bin.

The use of binwise distribution functions allows complex state-to-state dynamics to be resolved with sufficient accuracy without the onerous cost of solving a full set of master equations for individual levels. The following form for the distribution function is assumed:

$$\ln\left(\frac{g_{\text{CO}_2}^v}{n_{\text{CO}_2}^v}\right) = \alpha_{\text{CO}_2}^b + \beta_{\text{CO}_2}^b \epsilon_{\text{CO}_2}^v, \quad \forall v \in \mathcal{I}_b,$$
 (7)

where  $g^v_{\text{CO}_2}$  and  $\epsilon^v_{\text{CO}_2}$  denote the degeneracy and energy of state v, respectively. The set of vibrational states included in the bth bin is denoted by  $\mathcal{I}_b$ . Bin-specific coefficients  $\alpha^b_{\text{CO}_2}$  and  $\beta^b_{\text{CO}_2}$  for the linear distribution function are formulated in terms of two "macroscopic" constraints—bin population  $N^b_{\text{CO}_2}$  and energy  $E^b_{\text{CO}_2}$  per unit volume—using the maximum entropy principle and the variational method outlined in [48]:

$$N_{\text{CO}_2}^b = \sum_{v \in \mathcal{I}_b} n_{\text{CO}_2}^v, \quad E_{\text{CO}_2}^b = \sum_{v \in \mathcal{I}_b} n_{\text{CO}_2}^v \epsilon_{\text{CO}_2}^v,$$
 (8)

where  $n^v_{\text{CO}_2}$  and  $\epsilon^v_{\text{CO}_2}$  are the population and energy densities, respectively, of the vth state. The macroscopic bin properties defined in Eq. (8) are treated as unknowns for the reduced-order model (in lieu of the population of individual levels in the full StS model). The governing equations for the bth bin (which includes excitation/deexcitation and dissociation/recombination processes) are derived by taking successive energy moments of the StS master equations and summing up individual equations corresponding to all states  $v \in \mathcal{I}_b$ :

$$\sum_{v \in \mathcal{I}_{b}} \frac{dn_{\text{CO}_{2}}^{v}}{dt} \left( \epsilon_{\text{CO}_{2}}^{v} \right)^{m} = \sum_{i \in \text{exc}} \sum_{M} \sum_{v \in \mathcal{I}_{b}} \sum_{v' \in \mathcal{I}_{c}} \left[ -\kappa_{v,v'}^{i,M} n_{\text{CO}_{2}}^{v} n_{M} \left( \epsilon_{\text{CO}_{2}}^{v} \right)^{m} + \kappa_{v',v}^{i,M} n_{\text{CO}_{2}}^{v} n_{M} \left( \epsilon_{\text{CO}_{2}}^{v} \right)^{m} \right] 
+ \sum_{M} \sum_{v \in \mathcal{I}_{b}} \left[ -\kappa_{v}^{d,M} n_{\text{CO}_{2}}^{v} n_{M} \left( \epsilon_{\text{CO}_{2}}^{v} \right)^{m} + \kappa_{v}^{r,M} n_{\text{CO}} n_{\text{O}} n_{M} \left( \epsilon_{\text{xCO}_{2}}^{v} \right)^{m} \right], \quad (9)$$

where  $\kappa_{v,v'}^{i,M}$  and  $\kappa_{v',v}^{i,M}$  are the forward and backward rate coefficients for the *i*th type of excitation process and collision partner M between vibrational states v to v'. The state-specific dissociation and recombination rate coefficients for collision partner M are denoted by  $\kappa_v^{d,M}$  and  $\kappa_v^{r,M}$ , respectively. The total population densities of CO, O, and collision partner M are given by  $n_{\rm CO}$ ,  $n_{\rm O}$ , and  $n_{M}$ , respectively. The macroscopic equations for bin population and vibrational energy are obtained by setting the order of the moment to m=0 and 1, respectively:

$$\dot{\omega}_{\text{col,CO}_2}^b \times N_A : \frac{d}{dt} N_{\text{CO}_2}^b = \sum_{i \in \text{exc}} \sum_{M} \sum_{c} \left( -{}^{0}K_{b,c}^{i,M} N_{\text{CO}_2}^b n_M + {}^{0}\bar{K}_{c,b}^{i,M} N_{\text{CO}_2}^c n_M \right) + \sum_{M} \left( -{}^{0}C_b^M N_{\text{CO}_2}^b n_M + {}^{0}\bar{C}_b^M n_{\text{CO}} n_{\text{O}} n_M \right),$$
(10)

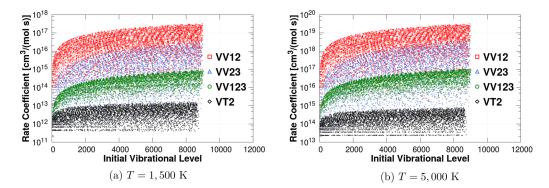


FIG. 1. Comparison of rate coefficients for intermode  $VV_{m-k}$  and vibrational-translational  $VT_2$  excitation processes with  $M = CO_2$ .

$$\Omega_{\text{col,CO}_{2}}^{b} \times N_{A}: \frac{d}{dt} E_{\text{CO}_{2}}^{b} = \sum_{i \in \text{exc}} \sum_{M} \sum_{c} \left( -{}^{1}K_{b,c}^{i,M} E_{\text{CO}_{2}}^{b} n_{M} + {}^{1}\bar{K}_{c,b}^{i,M} E_{\text{CO}_{2}}^{c} n_{M} \right) + \sum_{M} \left( -{}^{1}C_{b}^{M} E_{\text{CO}_{2}}^{b} n_{M} + {}^{1}\bar{C}_{b}^{M} n_{y\text{CO}} n_{\text{O}} n_{M} \right), \tag{11}$$

where  ${}^mK_{b,c}^{i,M}$  and  ${}^m\bar{K}_{c,b}^{i,M}$  are the bin-averaged forward and backward rate coefficients for *i*th excitation process (collision partner M) between bin b to bin c, and  $N_A$  is Avogadro's number. The bin-averaged dissociation and recombination coefficients for the bth bin are  ${}^mC_b^M$  and  ${}^m\bar{C}_b^M$ , respectively. The bin-averaged rate coefficients are a function of the translational temperature of the mixture and bin internal temperature  $T_b$ . The bin internal temperature  $T_b$  is compute by performing a nonlinear inversion on the average internal energy of the bin:  $(E_{CO_2}^b/N_{CO_2}^b)$ . Additional details on the multigroup maximum entropy method and computing bin-averaged rate coefficients can be found in [18].

The next paradigm in realizing more accurate bin-based reduced-order methods focuses on evolving a physic-based binning strategy that is guided by StS dynamics of the chemical system. Previous studies using linear bins for reduced-order modeling [18,49] have relied on energy being the sole metric for grouping internal states into bins. This "energy binning" approach involves partitioning the internal energy space into continuous nonoverlapping intervals, each representing a macroscopic bin. Internal states are then assigned to an appropriate bin (interval) on the basis of their energies. The multigroup maximum entropy approach through the use of linear binwise distribution functions implicitly assumes that internal levels within a common bin are in a state of thermal equilibrium. Thus, energy-based binning, with the erroneous expectation that states with similar energies quickly equilibrate with respect to each other, can result in inaccurate solutions. This ad hoc assumption can be eliminated through a more generalized approach that is based on correctly identifying clusters of internal levels that are connected by more probable transitions and, therefore, thermalize faster among themselves. In the context of CO<sub>2</sub> StS kinetics, Kustova and Nagnibeda [40] have reported that the characteristic times of various vibrational relaxation processes (VT<sub>2</sub>,  $VV_{1-2}$ ,  $VV_{2-3}$ ,  $VV_{1-2-3}$ ) can differ by multiple orders of magnitude. This localized equilibration behavior has also been observed in other chemical systems [19] with certain internal states, due to energy and quantum chemistry considerations, being linked by preferential transition pathways that allow faster interactions. The current work utilizes the modified island algorithm [10,19] to identify clusters of states interconnected directly or indirectly by "fast" processes.

The rate coefficients for  $VV_{m-k}$  and  $VT_2$  processes have been evaluated for two temperatures that are relevant for Martian wake flows—T=1500 and 5000 K—in Fig. 1. The 9056 unique levels considered for the study are sorted by increasing energy and then described using a single global index v. The mode-specific quantum numbers are then defined as  $\{v_1(v), v_2(v), v_3(v)\}$ . The horizontal axis

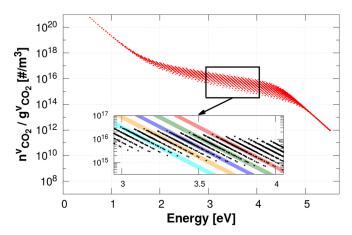


FIG. 2. Nonequilibrium  $CO_2$  vibrational population at  $t = 10^{-4}$  s computed using the full StS model in an ideal chemical reactor [10]. The inset figure magnifies the distinct comblike structure of the nonequilibrium region.

in Fig. 1 corresponds to the initial vibrational level v for a given StS excitation transition. It is evident that barring limited outliers, a clear separation exists between the bulk of  $VV_{m-k}$  and  $VT_2$  processes. Rate-coefficient values for both 1500 and 5000 K suggest that the most probable excitation pathways in descending order are  $VV_{1-2}$ ,  $VV_{2-3}$ ,  $VV_{1-2-3}$ , and  $VT_2$ . This results in vibrational levels linked through  $VV_{m-k}$  transitions (rather than  $VT_2$ ) thermalizing faster and reaching a state of localized equilibrium (as indicated by previous StS simulations for  $CO_2$  [10]). Thus,  $VV_{1-2}$ ,  $VV_{2-3}$ , and  $VV_{1-2-3}$  processes are classified as "fast" while binning the current StS system. Individual vibrational levels are initially divided into bins using the conventional energy-based strategy. The recursive island algorithm is then applied, which moves all internal states connected by fast reactions into a common bin. It should be noted that the generalized approach outlined in [19] for effecting adaptive binning can be used in future studies for an expanded  $CO_2$  StS kinetic scheme, where no clear *a priori* assertions about the relative difference between reaction characteristic timescales can be made. This would involve identifying "fast" processes based on a numerically computed threshold value for rate coefficients rather than the type of process being considered.

A detailed assessment of the efficacy of the current reduced-order approach for  $CO_2$  has been performed previously through unsteady simulations in an ideal chemical reactor [10]. Strong thermochemical nonequilibrium is induced by instantly changing the translational temperature T of the chemical reactor. The ensuing energy transfer and chemical change is then computed using both the full StS model and different reduced-order models (number of bins/binning strategies). A preliminary insight into non-Boltzmann behavior during rapid expansion in the wake region was gained by subjecting the idealized reactor to a sudden temperature drop from 5000 to 1500 K. The vibrational state population distribution under such conditions is split into three different regions:

- (a) The low-energy states, which rapidly reach their final equilibrium values.
- (b) Middle-energy states that split into a complex non-Boltzmann cloud.
- (c) Overpopulated high-energy states.

The comblike nonequilibrium structure in the middle consists of multiple linear strands of states in local equilibrium. A detailed investigation of the quantum numbers of states that lie on the same linear thread in the nonequilibrium comb structure, i.e., within the same colored bounding box in Fig. 2, indicates that they are connected through either  $VV_{1-2}$ ,  $VV_{2-3}$ , or  $VV_{1-2-3}$  processes. It is also observed that states in two adjacent strands are connected through the slower  $VT_2$  processes. The threadwise distribution strongly suggests that inter-modal  $VV_{m-k}$  transitions induce localized equilibration between connected vibrational states much more rapidly as compared to  $VT_2$  exchanges.

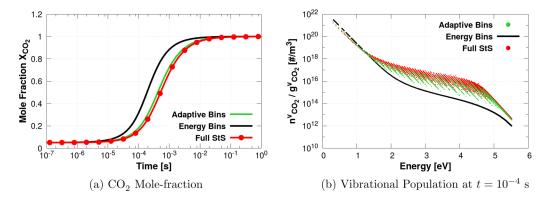


FIG. 3. Chemical reactor predictions for full StS, and reduced-order models based on 30 adaptive and energy bins [10].

Figure 3 presents the time-varying  $CO_2$  mole-fraction and the vibrational population distribution at  $t = 10^{-4}$  s computed using the full StS model (9056 levels), and reduced-order systems based on 30 adaptive and energy bins. A system of 10–30 adaptive bins (as opposed to the original energy-based binning), by accommodating for localized thermalization, is sufficient for accurately modeling both the state population distribution and macroscopic quantities of interest. It can be concluded that if the assumption of faster local equilibration through intermodal  $VV_{m-k}$  transitions was for the most part invalid, the resultant adaptive binning MGME models (as is the case with energy binning) would not be able to replicate the dynamics of the original StS model. The bulk behavior of the 30 adaptive bins reduced-order model is analyzed by computing global dissociation coefficient for the quasi-steady-state (QSS) distribution and characteristic vibrational relaxation times. These values are obtained using the ideal chemical reactor while considering  $VV_{m-k}$ ,  $VT_2$ , and dissociation/recombination (only for QSS dissociation rate) processes for a given collision partner. Good agreement with experimental data for  $CO_2$  collated in [7,50,51] is obtained (Fig. 4), further bolstering confidence in the  $CO_2$  StS model and the reduced-order system derived from it.

## III. RADIATION MODELING

## A. Radiation database for CO<sub>2</sub>

The approximately 400 million radiative transitions for CO<sub>2</sub> included in the CDSD-4000 database [52] are reduced to a convenient spectral model based on the smeared band method

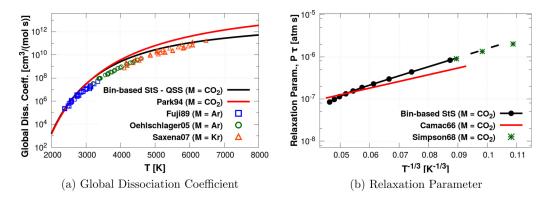


FIG. 4. Comparison of reduced-order model characteristics with experimental data (dashed lines represent extrapolated values).

[4,26]. This reduced-order spectral model is developed as a function of frequency, temperature, upper rovibrational level, and lower rovibrational level. To compile the smeared band spectrum, the absorption cross-sections within spectral grid intervals of 1 cm<sup>-1</sup> are summed as follows [26]:

$$\sigma_{\nu}^{i-j}(T_V) = \sum_{\nu_{\text{CL}} \in \nu + \Delta \nu} \left( \frac{I_{\text{CDSD}}^{i-j}}{\Delta \nu} \frac{1}{1 - \exp[-h_P \, \nu/(k_B T_{\text{ref}})]} \right) \times \frac{Q_{\text{RV}}(T_{\text{ref}})}{Q_{\text{RV}}(T_V)} \frac{\exp\left[-\epsilon_{\text{CO}_2}^{i,\text{RV}}/(k_B T_V)\right]}{\exp\left[-\epsilon_{\text{CO}_2}^{i,\text{RV}}/(k_B T_{\text{ref}})\right]} , \tag{12}$$

where i and j represent the upper and lower rovibrational levels of the transition, respectively,  $\epsilon_{\text{CO}_2}^{i,\text{RV}}$  is the rovibrational energy of the ith level, and  $Q_{\text{RV}}$  is the CO<sub>2</sub> total rovibrational partition function. The normalized absorption cross sections in Eq. (12) can simply be scaled by total CO<sub>2</sub> number density  $N_{\text{CO}_2}$  to obtain the absorption/emission terms for rovibrational levels in a Boltzmann distribution at temperature  $T_V$ . The breakdown of the Boltzmann equilibrium assumption requires the following nonequilibrium correction factor for rovibrational level number densities [33]:

$$\phi^{i-j} = \frac{n_{\text{CO}_2}^j}{n_{\text{CO}_2}^{j,\text{Bol}}} \frac{n_{\text{CO}_2}^{i,\text{Bol}}}{n_{\text{CO}_2}^i} = \frac{n_{\text{CO}_2}^j}{n_{\text{CO}_2}^i} \frac{g_{\text{CO}_2}^{i,\text{RV}}}{g_{\text{CO}_2}^{j,\text{RV}}} \exp\left[\frac{-\left(\epsilon_{\text{CO}_2}^{i,\text{yRV}} - \epsilon_{\text{CO}_2}^{i,\text{RV}}\right)}{k_B T_V}\right],\tag{13}$$

where  $n_{\text{CO}_2}^j$  and  $n_{\text{CO}_2}^{j,\text{Bol}}$  are the predicted (could be non-Boltzmann) and corresponding Boltzmann (at  $T_V$ ) rovibrational number densities, respectively, and  $g_{\text{CO}_2}^{i,\text{RV}}$  is the degeneracy of the *i*th rovibrational level. Using  $\phi$ , the absorption  $(\kappa_v^{i,j})$  and emission  $(j_v^{i,j})$  spectrum is defined in terms of  $\sigma_v^{i,j}$  as follows [33,53]:

$$\kappa_{\nu}^{i-j} = \frac{n_{\text{CO}_2}^i}{n_{\text{CO}_2}^{i,\text{Bol}}} N_{\text{CO}_2} \, \sigma_{\nu}^{i-j} \{ 1 - \phi^{i-j} \exp[-h_P \, \nu/(k_B T_V)] \}, \tag{14}$$

$$J_{\nu}^{j-i} = \kappa_{\nu}^{i-j} \frac{2h_P \, \nu^3}{c^2} \, \frac{\phi^{i-j}}{\{\exp[h_P \, \nu/(k_B T_V)] - \phi^{i-j}\}}.$$
 (15)

These relationships indicate that only  $\sigma_{\nu}^{i-j}$  needs to be precomputed as a function of frequency and  $T_V$  for each combination of i and j. This represents an excessive amount of data, which is not even immediately congruous with the current vibrational-specific chemistry model. The first step in mitigating these shortcomings is splitting the total rovibrational energy into rotational  $\epsilon_{\text{CO}_2}^{i,R}$  and vibrational  $\epsilon_{\text{CO}_2}^{i,V}$  contributions. The quantum state of internal state i is defined as  $\{v(i), J(i)\}$ , where v(i) and J(i) represent the vibrational and rotational configurations, respectively. The vibrational and rotational components are considered to be uncorrelated. The energy of the rotational mode is computed using the rigid-rotor model [54]. These approximations also posit that the number of possible rotational configurations (and their corresponding energies/degeneracies) is the same for each vibrational state. Furthermore, since this study focuses on low-speed Martian entry, which previous studies indicate experiences little thermal nonequilibrium [4], the rotational mode is assumed to be in equilibrium at  $T_V$  as well. The total  $CO_2$  partition function  $Q_{RV}$  can be expressed as the product of the rotational  $Q_R$  (rigid-rotor) and vibrational  $Q_V$  (from StS level list) parts.

The state-specific description for  $\sigma_{\nu}^{i-j}$  is reduced further by introducing assumptions enshrined in the MGME framework. Thus, vibrational levels are assumed to be in a state of thermal equilibrium (at  $T_V$ ) within their respective bins, whereas rotational modes continue remaining in equilibrium at  $T_V$ . The reconstructed number density is

$$n_{\text{CO}_2}^i = N_{\text{CO}_2}^l \frac{g_{x\text{CO}_2}^{i,\text{RV}} \exp\left\{-\epsilon_{\text{CO}_2}^{i,R}/(k_B T_V)\right\} \exp\left\{-\epsilon_{\text{CO}_2}^{i,V}/(k_B T_V)\right\}}{\hat{Q}_{\text{RV}}^l},$$
(16)

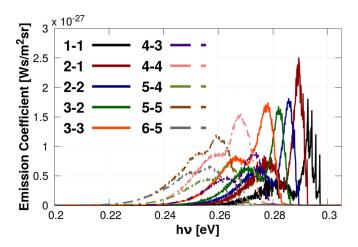


FIG. 5. Normalized emission coefficients for the 4.5  $\mu$ m band originating from a Boltzmann distribution at  $T_V=4100~{\rm K}$ .

where vibrational level v(i), corresponding to rovibrational state i, belongs to the lth bin, and  $N_{\text{CO}_2}^l$  is the requisite bin density. The rotational contribution is constant for all bins. On the other hand, the vibrational component  $\hat{Q}_V^l$  is obtained by summing over all individual vibrational levels included in the lth bin. This binwise thermalization assumption allows an aggregate absorption cross section to be defined, combining all radiative transitions from vibrational levels in bins l to u:

$$\hat{\sigma}_{v}^{l-u} = \sum_{\substack{\forall j \\ v(i) \in \mathcal{I}_{v}, v(i) \in \mathcal{I}_{d}}} \sum_{\substack{\forall i \\ \forall i \ p(i) \in \mathcal{I}_{v}, v(i) \in \mathcal{I}_{d}}} \sigma_{v}^{i-j} \frac{Q_{\text{RV}}}{\hat{Q}_{\text{RV}}^{l}} = \sum_{\substack{\forall j \\ v(i) \in \mathcal{I}_{v}, v(i) \in \mathcal{I}_{d}}} \sum_{\substack{\forall i \\ v(i) \in \mathcal{I}_{d}, v(i) \in \mathcal{I}_{d}}} \sigma_{v}^{i-j} \frac{Q_{\text{V}}}{\hat{Q}_{V}^{l}}, \tag{17}$$

where  $\mathcal{I}_u$  and  $\mathcal{I}_l$  represent the set of vibrational levels included in bins u and l, respectively. The bin-invariant rotational partition function cancels out. Thus, the rotational component, with the assumption that it is uncorrelated with the vibrational configuration, never needs to be separately evaluated while computing the aggregate cross section and the resultant radiative properties. The bin-pair absorption and emission coefficients are obtained in a straightforward manner after scaling with the appropriate bin density (instead of total  $CO_2$  density):

$$\hat{\kappa}_{\nu}^{l-u} = N_{\text{CO}_2}^l \, \hat{\sigma}_{\nu}^{l-u} \, \{ 1 - \phi^{l-u} \exp[-h_P \, \nu/(k_B T_V)] \}, \tag{18}$$

$$\hat{J}_{\nu}^{u-l} = \hat{\kappa}_{\nu}^{l-u} \frac{2h_P \, \nu^3}{c^2} \, \frac{\phi^{l-u}}{\{\exp[h_P \, \nu/(xk_B T_V)] \, - \, \phi^{l-u}\}}.$$
 (19)

The nonequilibrium correction factor  $\phi^{l-u}$  is now computed using the predicted and corresponding Boltzmann bin number densities. Using these equations, emission coefficients for the 4.5  $\mu$ m band originating from a Boltzmann distribution at 4100 K for different bin-pairs and normalized for number density are presented in Fig. 5.

Although the smeared band assumption can become inaccurate in optically thick regions (error in total surface radiative flux up to 20%), these discrepancies are significantly smaller in the afterbody region, which is characterized by intermediate optical thickness. Moreover, any bias introduced through the smeared band method relative to line-by-line modeling is the same for both the reduced-order StS and conventional flow simulations. Therefore, the spectral modeling approach presented here represents a computationally efficient means of enabling consistent comparisons between the two flow-field models and isolating the impact of non-Boltzmann population distributions. It

should be noted that implementing any alternative spectral model such as the line-by-line approach, opacity-binning [55], or statistical narrowband [23] would only require modifications to Eq. (12).

## B. Finite-volume solution of radiative transfer equations

The radiative field is assumed to instantaneously adjust to any changes in the flow field. This is a consequence of radiative transport occurring at timescales (defined by the speed of light) that are much smaller than those for other hydrodynamic and chemical phenomena. The steady-state radiative transfer equation (RTE) in the direction  $\Omega$  through a nonscattering medium is

$$\mathbf{\Omega} \cdot \nabla I_{\nu} = J_{\nu} - \kappa_{\nu} I_{\nu},\tag{20}$$

where  $I_{\nu}$  is the monochromatic light intensity for frequency  $\nu$ . The opacity and emission values corresponding to this frequency are  $\kappa_{\nu}$  and  $J_{\nu}$ , respectively. The first-order RTE along with the following boundary condition for domain-directed intensity at the walls of the spacecraft yields a well-posed solution:

$$I_{v} = J_{v} / \kappa_{v} \quad \text{if} \quad \mathbf{\Omega} \cdot \mathbf{n}_{w} > \mathbf{0}, \tag{21}$$

where  $\mathbf{n}_w$  is the unit vector normal to a given boundary and pointing to the domain. Similarly, the latent domain-directed radiative intensity at the cold far-field boundary is set to zero. The net heat flux at a given point in space can be computed by integrating monochromatic intensity over the entire solid angle and frequency space:

$$\mathbf{q}_{\text{rad}} = \int_{\nu_i}^{\nu_f} \oint_{4\pi} I_{\nu} \, \mathbf{\Omega} \, d\mathbf{\Omega} \, d\nu. \tag{22}$$

A three-dimensional angularly resolved solution of the RTE requires discretization in both the spatial and angular domains. The discrete-ordinates method involves computing the RTE equations for a set of discrete directions,  $\Omega^m$ . This allows angular integration to be approximated by a weighted vector sum of radiative intensities in these discrete directions to obtain the spatially varying net radiative flux (and its divergence):

$$\mathbf{q}_{\mathrm{rad}} = \int_{\nu_i}^{\nu_f} \oint_{4\pi} I_{\nu} \, \mathbf{\Omega} \, d\mathbf{\Omega} = \int_{\nu_i}^{\nu_f} \sum_{m} w^m I_{\nu}^m \, \mathbf{\Omega}^m \, d\nu. \tag{23}$$

The quadrature weight and radiative intensity associated with direction  $\Omega^m$  are denoted by  $w^m$  and  $I_{\lambda}^m$ . Lebedev's quadrature rules are employed to determine  $\Omega^m$  and the corresponding weights [56], which minimizes the number of directions required for exactly integrating a polynomial of a given order.

The different methods for solving the problem of radiative heat transfer in participating media can be broadly classified into the following:

- (a) Techniques based on ray tracing, such as the ray tracing method [57] and the Monte Carlo method [58].
- (b) Methods based on spatial discretization RTEs using the finite-element [32,59] and finite-volume [30,60,61] approaches.

The current unified nonequilibrium framework is aimed at interfacing with existing finite-volume based CFD solvers such that radiation and flow-field calculations can be performed in a loosely coupled manner. Therefore, in the interest of greater compatibility, a finite-volume-based spatial discretization is adopted for resolving the RTE as well. Equation (20) for direction  $\Omega^m$  and frequency  $\nu$  is recast by integrating it over the *i*th computational cell:

$$\int_{\mathbf{S}^{\mathbf{i}}} I_{\nu}^{m} \mathbf{\Omega}^{m} \cdot d\mathbf{S} = \int_{V_{\nu}} \left( J_{\nu} - \kappa_{\nu} I_{\nu}^{m} \right) dV, \quad I_{\nu}(\mathbf{x}, \mathbf{\Omega}^{m}) : I_{\nu}^{m}(\mathbf{x}). \tag{24}$$

Standard finite volume simplifications are introduced by approximating the volume integral as the product between the value at the cell center ( $\mathbf{x}_c^i$ ) and the cell volume ( $\Delta V^i$ ), and the surface

integral as the summation over faces of the product between the face-centered values (at  $\mathbf{x}_k^i$ ) and the corresponding areas ( $\Delta \mathbf{S}_k^i$ ):

$$\sum_{k} I_{\nu}(\mathbf{x}_{k}^{i}, \mathbf{\Omega}^{m}) \mathbf{\Omega}^{m} \cdot \Delta \mathbf{S}_{k}^{i} = \left\{ J_{\nu}(\mathbf{x}_{c}^{i}) - \kappa_{\nu}(\mathbf{x}_{c}^{i}) I_{\nu}(\mathbf{x}_{c}^{i}, \mathbf{\Omega}^{m}) \right\} \Delta V^{i}.$$
(25)

The surface summation can be further split into incoming  $(\Omega^m \cdot \Delta S_k^i < 0)$  and outgoing  $(\Omega^m \cdot \Delta S_k^i > 0)$  components. The current work uses first-order upwinding (referred to as the step scheme in the radiation literature [62]) with outgoing radiative intensities at different face-centers set equal to the cell-center values for the corresponding cells. This sequence of steps yields the following form for  $I_v(\mathbf{x}_c^i, \Omega^m)$ :

$$I_{\nu}(\mathbf{x}_{c}^{i}, \mathbf{\Omega}^{m}) = \frac{\sum_{\forall k \ni \mathbf{\Omega}^{m} \cdot \Delta \mathbf{S}_{k}^{i} < 0} I_{\nu}(\mathbf{x}_{k}^{i}, \mathbf{\Omega}^{m}) |\mathbf{\Omega}^{m} \cdot \Delta \mathbf{S}_{k}^{i}| + J_{\nu}(\mathbf{x}_{c}^{i}) \Delta V^{i}}{\sum_{\forall k \ni \mathbf{\Omega}^{m} \cdot \Delta \mathbf{S}_{k}^{i} > 0} |\mathbf{\Omega}^{m} \cdot \Delta \mathbf{S}_{k}^{i}| + \kappa_{\nu}(\mathbf{x}_{c}^{i}) \Delta V^{i}}.$$
(26)

Equation (26) when written for all computational cells represents a sparse system of linear equations. The original system can be solved more efficiently by performing "mesh sweeps" [63,64]. This involves identifying the sequence (referred to as the "advance-order list") in which individual cells need to be accessed for a given direction such that  $I_{\nu}^{m}$  for all upstream neighboring cells have already been ascertained. This allows  $I_{\nu}^{m}$  for a given cell to be computed using the closed-form explicit expression outlined in Eq. (26). The mesh reordering process transforms the original system into a lower triangular system, and solving Eq. (26) is then akin to performing forward substitution. This is also analogous to marching along a discretized line-of-sight (or a characteristic), starting from a boundary surface. A potential drawback with applying mesh reordering algorithms to unstructured meshes is the possibility of encountering regions of computational cells with circular dependencies. This can result in an incomplete advance-order list unless explicit measures are introduced for breaking ties borne out of this circular behavior. The current framework utilizes sub-iterations (comparable to the Gauss-Seidel method) to accurately calculate  $I_{\nu}^{m}$  for meshes with such deficiencies [65].

# C. Self-consistent radiation-flow-field coupling

Spontaneous emission based on radiative transitions from vibrational levels included in  $CO_2$  bin u (upper) to vibrational levels in  $CO_2$  bin l yields the following transition rate [analogous to bin-averaged rate coefficients for collisional processes in Eq. (10)]:

$$\bar{K}_{u-l}^{\text{em}} = \frac{\mathcal{M}_u}{\rho_u N_A} \int_{\nu_i}^{\nu_f} \frac{\hat{J}_{\nu}^{u-l}}{h_P \nu} 4\pi \ d\nu, \tag{27}$$

where  $\hat{J}_{v}^{u-l}$  is the emission coefficient for transitions between vibrational bins u and l for frequency v, and  $\rho_{u}$  and  $\mathcal{M}_{u}$  (= $\mathcal{M}_{CO_{2}}$ ) are the partial density and molar mass of the uth  $CO_{2}$  vibrational bin. Radiative transitions in the opposite direction, from vibrational levels in bin l to vibrational levels in bin u, are driven by the absorption of the angularly/spectrally integrated radiative intensity at a given spatial location.

$$K_{l-u}^{ab} = \frac{\mathcal{M}_l}{\rho_l N_A} \int_{\nu_l}^{\nu_f} \left( \frac{\hat{\kappa}_{\nu}^{l-u}}{h_P \nu} \oint_{4\pi} I_{\nu} d\mathbf{\Omega} \right) d\nu, \tag{28}$$

where  $\hat{k}_{\nu}^{l-u}$  is the absorption coefficient for transitions between vibrational bins l and u for frequency  $\nu$ . The evaluation of  $K_{l-u}^{ab}$  for the line-by-line (LBL) approach is computationally challenging because of the dependence on nonlocal radiative intensity and the need to recompute absorption coefficients for individual transitions for all relevant frequency ranges. The current framework overcomes this problem through a combination of reduced-order models for thermochemistry and radiative properties, and an efficient RTE solver based on finite volume and discrete ordinate

methods. The resultant source terms arising from radiative transitions are added to the species continuity equation for the lth  $CO_2$  vibrational bin:

$$\dot{\omega}_{\text{rad,CO}_2}^b = \sum_{\forall u|u \neq l} \left( -K_{l-u}^{ab} \frac{\rho_l}{\mathcal{M}_l} + \bar{K}_{u-l}^{\text{em}} \frac{\rho_u}{\mathcal{M}_u} \right). \tag{29}$$

The following energy source term is obtained due to net radiative heating:

$$\Omega_{\text{rad}} = -\nabla \cdot \mathbf{q}_{\text{rad}} = -\nabla \cdot \int_{\nu_i}^{\nu_f} \oint_{4\pi} I_{\nu} \, \mathbf{\Omega} \, d\mathbf{\Omega} \, d\nu. \tag{30}$$

## IV. HYDRODYNAMIC GOVERNING EQUATIONS

The original two-temperature (2-T) model [66] for species mass, mixture momentum, mixture total energy, and vibrational-electronic energy conservation when augmented with the bin-based vibrational StS approach yield the following system of equations:

$$\partial_t \rho_i^b + \nabla \cdot \left[ \rho_i^b (\mathbf{u} + \boldsymbol{\mathcal{V}}_i^b) \right] = \mathcal{M}_i^b \dot{\omega}_i^b, \tag{31}$$

$$\partial_t \rho_j + \nabla \cdot [\rho_j(\mathbf{u} + \mathbf{\mathcal{V}}_j)] = \mathcal{M}_j \dot{\omega}_j,$$
 (32)

$$\partial_t(\rho \mathbf{u}) + \nabla \cdot (\rho \mathbf{u} \otimes \mathbf{u} + p \mathbb{I}) = \nabla \cdot \boldsymbol{\tau}, \tag{33}$$

$$\partial_{t}\rho\mathcal{E} + \nabla \cdot (\rho H\mathbf{u}) + \nabla \cdot \sum_{i} \sum_{b} \rho_{i}^{b} \mathcal{V}_{i}^{b} h_{i}^{b} + \nabla \cdot \sum_{i} \rho_{j} \mathcal{V}_{j} h_{j} = \boldsymbol{\tau} : \nabla \mathbf{u} - \nabla \cdot \mathbf{q} + \Omega_{\text{rad}}, \quad (34)$$

$$\partial_t \rho e_V + \nabla \cdot (\rho e_V \mathbf{u}) + \nabla \cdot \sum_i \sum_b \rho_i^b \mathcal{V}_i^b e_{V,i}^b + \nabla \cdot \sum_j \rho_j \mathcal{V}_j e_{V,j}$$

$$= -\nabla \cdot \mathbf{q}_V + \Omega^{CE} + \Omega^{VT} + \Omega_{rad}, \tag{35}$$

where the symbol  $\partial_t$  is the partial time derivative  $\partial/\partial t$ . Species i for which a StS description is sought (CO<sub>2</sub> with a vibrational state-specific model in this study) is now replaced by b macroscopic bins. These bins are treated as pseudospecies in the gaseous mixture with their independent conservation equations. The net chemical production term  $\mathcal{M}_i \dot{\omega}_i$  for individual bins includes all collisional  $[\dot{\omega}_{\mathrm{col},i}^b, \mathrm{Eq.} \ (10)]$  and radiative  $[\dot{\omega}_{\mathrm{rad},i}^b \ \mathrm{from} \ \mathrm{Eq.} \ (29)]$  processes considered in the StS model. Conversely, species j is still modeled in the conventional manner as a unitary entity with each internal mode described by only a single Boltzmann distribution. As a first approximation, no independent bin energy equations are solved in the current calculations, and the total internal energy of bins is divided between the mixture translational-rotational and vibrational-electronic energies [67]. Thus, the conventional 2-T assumption is retained with translational energy states assumed to be in equilibrium at temperature T while vibrational and electronic modes are equilibrated at  $T_V$ . For the current reduced-order vibrational-specific StS model, this implies that vibrational states within individual bins are in localized Boltzmann distributions at  $T_V$ .

The contributions of individual species (and bins) is summed to yield mixture mass density  $\rho$ , mixture internal energy e, mixture enthalpy H, mixture total energy  $\mathcal{E} = e + \frac{1}{2}\mathbf{u} \cdot \mathbf{u}$ , and total pressure p. The total internal energy for the bth bin of species i is  $[e_i^b = e_i^T(T) + e_i^R(T) + e_{i,b}^T(T_V) + e_i^E(T_V) + e_i^F]$ , where the translational, rotational (rigid-rotor), electronic, and formation components are common across a single species. However, vibrational energy is bin-specific because it is computed using partition functions based on the exact StS levels included in a given bin. Additionally, aggregate properties for species i (to be used with conventional 2-T modeling) are obtained by forcing the constituent bins into a single Boltzmann distribution [68]. Both binwise and Boltzmann-aggregate thermodynamic properties for species i are fitted using the NASA 9-coefficient polynomials [69], which are then directly evaluated during flow-field simulations.

Viscosity stress tensor  $\tau$  and conductive heat flux  $\mathbf{q}$  are modeled using Blottner viscosity fits [70] and the Eucken relation [71] with the Wilke mixing rule [72]. The diffusion velocities  $\mathcal{V}_i$ obey Fick's law and are based on mixture-averaged diffusion coefficients [73]. Standard models are used for internal energy relaxation through vibration-translation energy transfer  $\Omega^{VT}$  and chemistry vibrational-electronic coupling  $\Omega^{CE}$  [74]. The Blottner viscosity coefficients and Millikan-White relaxation parameters of individual bins are currently set equal to the standard species model. This allows straightforward comparisons with state-of-the-art modeling approaches with a traditional 2-T flow field being recovered in the absence of non-Boltzmann effects. The authors are currently involved in efforts to introduce separate bin energy equations that would allow bin temperatures to evolve independently of mixture  $T_V$  through StS inelastic energy transfer/chemical change processes [Eq. (11)] and radiative transitions. The impact of detailed bin-specific transport properties (in contrast to using common Blottner viscosity fits and other simplifications) on aerothermal characteristics also needs to be ascertained in future studies. This would require developing rigorous formulations starting with approaches such as the Chapman-Enskog method [75,76] and suitably modifying them to ensure compatibility with the MGME methodology [77]. The change in total mixture energy due to radiative heating is accounted for through  $\Omega_{rad}$  in Eq. (30). This term is also included in the vibrational-electronic energy equation on account of radiative transitions occurring between CO<sub>2</sub> vibrational levels.

#### V. RESULTS

The new reduced-order state-specific nonequilibrium framework has been used to improve predictive capabilities of the US3D code [37–39]. US3D solves the Navier-Stokes equations on unstructured grids using implicit data-parallel line-relaxation based on upwind numerical flux functions. It has been used extensively to study a wide variety of hypersonic flow problems including afterbody wakes [39,78–81]. The standard Mars gaseous mixture comprised of five species—CO<sub>2</sub>, CO, O<sub>2</sub>, C, and O—is considered in the present analysis [82]. The StS calculations replace CO<sub>2</sub> with 10 vibrational bins, which provides an optimal tradeoff between accuracy and computational speed, while all other species are treated conventionally.

Absorption/emission spectra and subsequent radiative transfer calculations are performed using the nonequilibrium radiation (NERO) library [83]. This library is being developed by the authors to analyze complex three-dimensional radiative fields at reduced computational outlays by incorporating efficient numerical discretization techniques for RTEs and reduced-order modeling in the frequency space. The three main bands for  $CO_2$  IR radiation—1.5, 2.7, and 4.5  $\mu$ m—are considered in the present work. The smeared band technique is used to formulate bandwise spectral models, each comprised of 1000 frequency points. A total of 170 discrete quadrature directions and 10 subiterations are found to be sufficient for accurately modeling the radiation field around typical afterbody geometries. The finite-volume-based RTE solver has been verified using canonical test cases such as radiative transfer inside a sphere with prescribed temperature profiles [84]. Additionally, afterbody surface radiative heat flux estimates obtained using NERO for the conventional 2-T flow field are in excellent agreement with previous studies based on detailed line-by-line models and ray-tracing calculations [1,4]. The flow-field solution is accessed by NERO after a predefined number of iterations (set to 5000 for this study). This information is used to update the spectral properties and recompute spatially resolved, angularly integrated radiative intensity. Furthermore, in the coupled mode, the volumetric radiative source terms for both species and energy [Eq. (30)] equations are determined and then passed on to the flow solver.

## A. Simulation methodology

The present work is aimed at characterizing the interactions between flow structures, thermochemistry, and radiation for the Mars 2020 vehicle [1]. The upstream flow conditions are  $V_{\infty} = 3.9$  km/s,  $\rho_{\infty} = 1.84 \times 10^{-3}$  kg/m<sup>3</sup>, and angle-of-attack in the x-z plane  $\alpha = 15.8$  deg. These

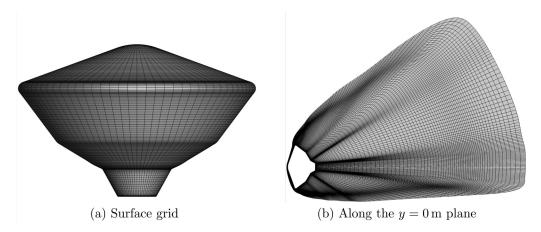


FIG. 6. Computational mesh for modeling the Mars 2020 wake flow.

conditions correspond to the trajectory point at t = 91.5 s and result in high backshell radiative heat flux as identified by previous studies based on tangent slab calculations. Supersonic inflow and outflow conditions are imposed on the outer periphery of the flow-domain. The surface of the spacecraft is assumed to be a no-slip, isothermal ( $T_{\text{wall}} = 523 \text{ K}$ ), supercatalytic wall. The supercatalytic boundary condition, as outlined in [85], is a conservative limiting case for design purposes when not modeling exact finite-rate surface reactions. It assumes that the gaseous mixture is forced back to its free-stream composition, and the available mixture chemical enthalpy is entirely reclaimed at the wall. This approximation has been widely used in aerothermal analysis of previous Martian missions such as Mars Exploration Rover (MER), Phoenix, and Mars Science Laboratory (MSL). The flow field is assumed to be laminar, and no special turbulence models are employed for the present work.

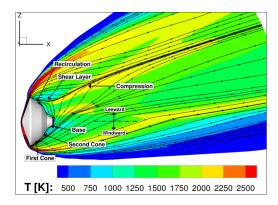
The computational mesh for the current study is illustrated in Fig. 6. Certain features, such as a sharp step between the heatshield-backshell juncture, which were present in the original outer mold line of the Mars 2020 capsule have been simplified in the interest of reducing simulation complexity. The resultant point-matched, multiblock structured mesh is comprised of 1740 800 volumetric elements and 13600 elements on the vehicle surface. The original mesh has also been tailored at the outer grid boundary while clustering off-wall normal cells to allow sufficient resolution of the bow shock and boundary layer. Additional details on grid generation, element distribution, and mesh convergence for the Mars 2020 afterbody environment can be found in [1,86].

Aerothermodynamic simulation best practices favored by previous work on afterbody heating for Mars 2020 and Mars Science Laboratory missions [1] have been adopted to obtain statistically converged flow field and surface results. This involves first converging the forebody flow field and then performing unsteady calculations with global time-stepping while computing a running means of afterbody surface heat flux. The flow field is considered to be converged once the change in the running means is below a certain threshold. All subsequent analysis is then performed on the mean flow field and the resultant radiation transfer phenomenon. It should be noted that the variance in surface heating is less than 0.5% for all cases presented in this work. Three sets of simulations are compared—first using the conventional 2-T Boltzmann approach (decoupled from radiation), next based on the reduced-order state-specific thermochemistry model (decoupled from radiation), and lastly with coupled reduced-order thermochemistry and radiation.

## **B.** Decoupled radiation

#### 1. Flow phenomenology

This section compares the difference in flow-field characteristics for the conventional 2-T and the ten bin-based StS approaches. Figure 7 presents the distribution of the translational-rotational



(a) Translational-rotational temperature T

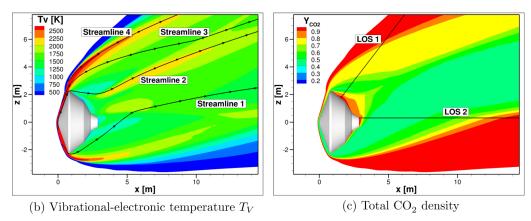


FIG. 7. Distribution for T,  $T_V$ , and  $\rho_{CO_2}$  along the y=0 m plane for the 10 bin-based StS model. Individual streamlines and LOSs for analyzing flow-field and radiative intensity, respectively, are also defined.

temperature T, vibrational-electronic temperature  $T_V$ , and total CO<sub>2</sub> mass-fraction  $Y_{CO}$ , along the y = 0 m plane. Individual streamlines and lines-of-sight (LOSs) for analyzing the evolution of flow-field and radiative intensity are also outlined. The typical dynamics of wake flows are clearly captured through the flow streamlines in Fig. 7(a). A detached bow shock is formed ahead of the spacecraft, which rapidly converts kinetic energy into internal energy resulting in a sharp increase in temperature and the onset of thermochemical nonequilibrium. The strength of the shock, characterized by the sudden change in properties across it, diminishes as it stretches downstream of the vehicle. The flow undergoes rapid expansion as it travels around the shoulder. The rapidity with which this temperature/pressure change occurs and the likelihood that the flow would be "frozen" in a state of nonequilibrium decreases as the distance from the spacecraft surface increases. Despite this drop in temperature, the flow-field continues to be dominated by CO, O, and O<sub>2</sub> due to the large characteristic timescales for CO<sub>2</sub> recombination [87]. Viscous and pressure forces (which dominate inertial forces in the near-wake region) together result in the boundary layer originating from the vehicle surface separating and forming a free shear layer. A combination of viscous effects and an adverse pressure gradient in the immediate vicinity of the vehicle results in the flow past the shoulder eventually separating and forming a recirculation region. Since the current simulation has been performed for  $\alpha = 15.8$  deg, the system of rotating vortices typifying this region is no longer symmetric. Instead, the delay in separation on the windward side results in the recirculation region being skewed toward the leeward side with large vortices on the leeward first cone and the base and smaller vortices near the windward side second cone. Further downstream, the flow turns into itself

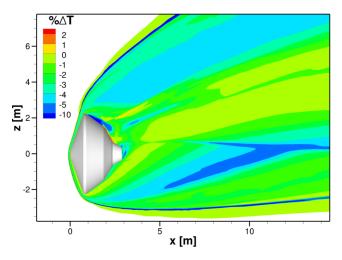


FIG. 8. Percentage difference in predicted translational-rotational temperature T between bin-based StS and 2-T models:  $(T^{\text{StS}} - T^{2-T}) / T^{2-T} \times 100\%$ .

and undergoes compression upon reaching the outer wake. The asymmetry due to a nonzero angle of attack also induces higher angles of deflection for streamlines moving from the windward side to the leeward side, and it results in stronger compression shocks and higher temperatures on the leeward side.

## 2. Non-Boltzmann thermochemistry

The trajectory profile for Mars entry is characterized by relatively low free-stream velocities. Consequently, the conventional 2-T model, barring narrow isolated zones around the bow shock and the shoulder, yields similar predictions for both T and  $T_V$ . This behavior has also been observed in previous studies focusing on wake flows during Mars entry [4,88]. Minimal differences in T and  $T_V$  values further underpin the fact that conventional multitemperature models fail to sufficiently resolve nonequilibrium effects stemming from complex thermal relaxation mechanisms and non-Boltzmann state population distributions.

Figure 8 compares predictions for the translational-rotational temperature T obtained using the bin-based StS and 2-T models. The percentage difference between quantities of interest from the two approaches is computed as  $(Q^{\text{StS}} - Q^{2-T})/|Q^{2-T}| \times 100\%$ . Similarly, the differences in partial densities of CO<sub>2</sub> vibrational bins 1, 2, 5, and 8 are presented in Fig. 9. The corresponding binwise properties for the conventional 2-T model are obtained as a postprocessing step by assuming that  $CO_2$  vibrational states lie on a Boltzmann distribution at  $T_V$ . StS modeling in an ideal chemical reactor (summarized in Sec. IIB) allows a rough interpretation of nonequilibrium dynamics for wake flows. The first three bins reach Boltzmann distribution at  $t = 10^{-4}$  s (~average flow transit time) once the reactor is suddenly cooled. The high-energy states (>1.5 eV), on the other hand, are overpopulated and form a complex non-Boltzmann comblike structure that is equilibrated only at  $t \sim 10^1$  s. This disparity in equilibration time scales is also observed Fig. 9, with partial density estimates varying by multiple orders of magnitude between the StS and 2-T approaches for the upper bins. The difference for the first three bins, which represent about 99% of all CO<sub>2</sub> molecules, is significantly lower. Thus, the sparsely populated upper bins have minimal impact on the bulk T (which also includes contributions from other mixture species) and despite their strongly non-Boltzmann behavior  $|\%\Delta T| < 4\%$  for most of the afterbody.

The streamlines defined in Fig. 7(b) are characterized by different rates at which the flow expands while traveling around the shoulder to the afterbody region ranging from streamlines 1 and 2 that experience "freezing" in the near-wake region to streamline 4, which completely skips the

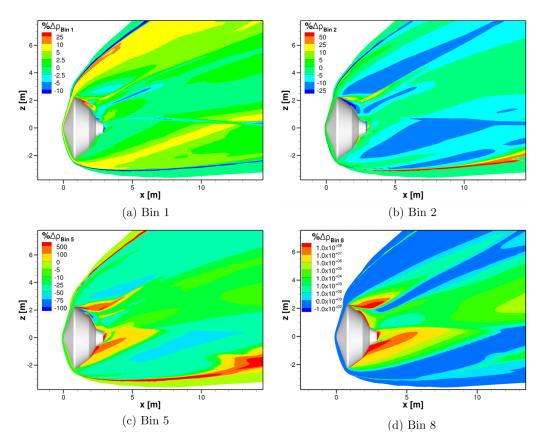


FIG. 9. Percentage difference in predicted partial densities of CO<sub>2</sub> vibrational bins 1, 2, 5, and 8 between bin-based StS and 2-T models:  $(\rho_{\text{bin}}^{\text{StS}} - \rho_{\text{bin}}^{\text{2-T}})/\rho_{\text{bin}}^{\text{2-T}} \times 100\%$ .

core viscous wake and experiences no concomitant expansion after the oblique shock. Figure 10 highlights the variation in rate of expansion and the subsequent recovery due to compression along streamlines 2 and 3. It is clear that both T (black) and  $T_V$  (red) match each other except for a narrow region around the shoulder. Additionally, T and  $T_V$  values computed using the bin-based StS (solid)

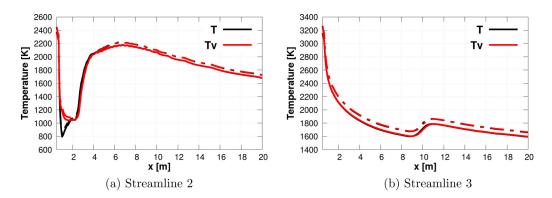


FIG. 10. Distribution of T (black) and  $T_V$  (red) along streamlines 2 and 3. Solid and dashed lines represent bin-based StS and conventional 2-T models, respectively.

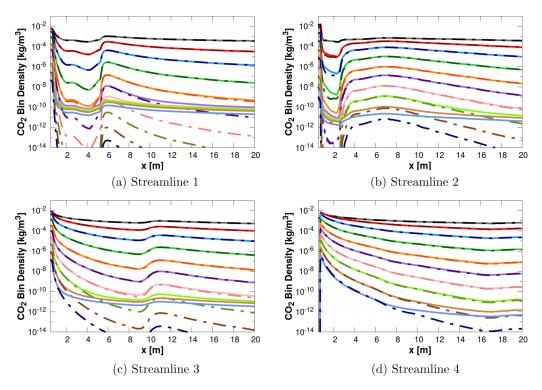


FIG. 11. Partial densities of CO<sub>2</sub> vibrational bins along different streamlines. Solid and dashed lines represent bin-based StS and conventional 2-T models, respectively.

model are slightly lower than those for the conventional 2-T (dashed) model. Bin densities for both bin-based StS (solid) and 2-T (dashed) models along the four streamlines are plotted in Fig. 11. A simple rule of thumb for the reduced-order model is that a higher density value corresponds to a lower bin index. A common theme for all four streamlines is the limited dissimilarity between results for the first four bins, which constitute the lower end of the state population distribution. On the contrary, partial densities for the last four bins differ by almost nine orders of magnitude. Low number densities and temperatures during expansion severely restrict thermal relaxation and render the state population distribution unable to respond to changes in flow conditions. This behavior is particularly pronounced for the upper bins with high characteristic relaxation times, resulting in the conventional 2-T model under-predicting bin populations due to the assumption of instantaneous equilibration at  $T_V$ . It is interesting to note that relaxation timescales for the last two bins are so large that nonequilibrium behavior is induced even in streamline 4 once the flow has moved sufficiently away from the high-temperature region around the bow shock. A non-Boltzmann factor to quantify deviation from a Boltzmann state population distribution for the ith bin can be defined as

$$NB_i = \rho_i / \rho_i^{Bol}, \tag{36}$$

where  $\rho_i$  is the bin density obtained directly from the reduced-order StS simulation, and  $\rho_i^{\text{Bol}}$  is the corresponding bin density based on a Boltzmann distribution at  $T_V$  and total CO<sub>2</sub> density  $\rho_{\text{CO}_2}$  from the same simulation. The non-Boltzmann factor for the first four bins remains close to 1 except for the strong expansion region near the shoulder of the spacecraft (Fig. 12). The strong nonequilibrium experienced by the upper bins is illustrated in Fig. 13 with NB<sub>i</sub> for different bins ranging between  $10^1$  and  $10^9$ . The increasing distance from the vehicle surface and easing rate of expansion between streamlines 1 and 3 allows thermalization to proceed further and reduces the peak value of NB<sub>i</sub> from  $10^9$  to  $10^4$ . Non-Boltzmann effects persist longer along streamline 1 on the windward side

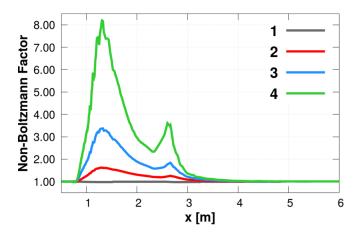


FIG. 12. Non-Boltzmann factor [Eq. (36)] for CO<sub>2</sub> vibrational bins 1–4 along streamline 2.

as compared to streamline 2 on the leeward side (as evidenced by Figs. 11 and 13) despite more rapid expansion and a larger recirculation zone on the leeward side. This is a direct consequence of weaker recompression and lower temperature recovery on the windward side, which reduces the collision rates and retards equilibration.

## 3. Radiative transfer

Although the current framework computes the three-dimensional angularly integrated radiation field, it is still instructive to compare flow-field properties and the resultant radiative intensity along individual LOSs for the two approaches. The two LOSs outlined in Fig. 7(c) are normal to the first cone and the base of the afterbody on the leeward side. A parameter called flow factor  $F_{i-j}$  is defined that attempts to condense the impact of bin densities,  $T_V$ , and the degree of non-Boltzmann behavior on radiative transitions between the *i*th and *j*th bins for frequency  $\nu$  into one convenient term:

$$F_{i-j} = \rho_i \frac{\text{NB}_j}{\text{NB}_i} \exp\left(\frac{-h_P \nu}{k_B T_V}\right),\tag{37}$$

where h is Planck's constant,  $k_B$  is the Boltzmann constant, and  $\rho_i$  is the bin density either obtained directly from the reduced-order StS simulation or postprocessed in the case of conventional 2-T modeling. The flow factor term appears in the expression for absorption  $\kappa_{\nu}^{i-j}$  and  $J_{\nu}^{i-j}$  emission coefficients for a given bin pair [Eqs. (18) and (19)]:

$$\hat{\kappa}_{\nu}^{i-j} = \frac{\sigma_{\nu}^{i-j}}{N_A} (\rho_i - F_{i-j}), \tag{38}$$

$$\hat{J}_{\nu}^{j-i} = \frac{\sigma_{\nu}^{i-j}}{N_A} \frac{2h_P \,\nu^3}{c^2} \,F_{i-j},\tag{39}$$

where  $\sigma_{\nu}^{i-j}$  is the absorption cross section at  $\nu$ ,  $N_A$  is Avogadro's number, and c is the speed of light. A larger value of  $F_{i-j}$  implies more emission and less absorption emanating from radiative transitions between bin pair i-j, and it would increase radiative intensity along a LOS. To simplify the flow factor analysis,  $\nu$  is set equal to the frequency at the middle of the dominant 4.5  $\mu$ m band. Figure 5 indicates that emission coefficients per unit bin density for radiative transitions between bin pairs 1-1, 2-1, 2-2, 3-2, 3-3, 4-3, and 4-4 are comparable. However, the partial densities of the first two bins are much larger compared to the remaining bins. Flow factors (see Fig. 14) and the resulting radiative emissions from transitions involving these two bins are significantly higher as

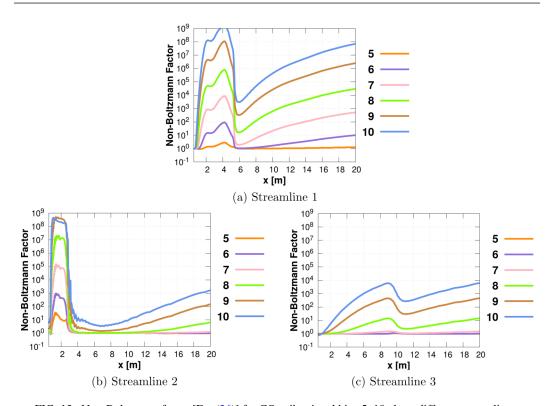


FIG. 13. Non-Boltzmann factor [Eq. (36)] for CO<sub>2</sub> vibrational bins 5–10 along different streamlines.

well. Thus, IR radiation for the afterbody region is shaped entirely by the characteristics of bin 1 and to a lesser extent bin 2.

Figure 15 presents the variation in temperatures (both T and  $T_V$  with solid and dashed lines corresponding to bin-based StS and conventional 2-T models, respectively), percentage difference in flow factors, and total radiative intensity along the two LOSs. The total radiative intensity for the first LOS reaches  $\sim$ 90% of its peak value approximately 5 m from the wall surface. This location is marked as a white dot in Fig. 16, which also presents the percentage different in total CO<sub>2</sub> density

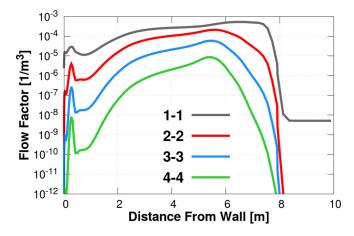


FIG. 14. Flow factors [Eq. (39)] for the first four CO<sub>2</sub> vibrational bins along LOS 1.

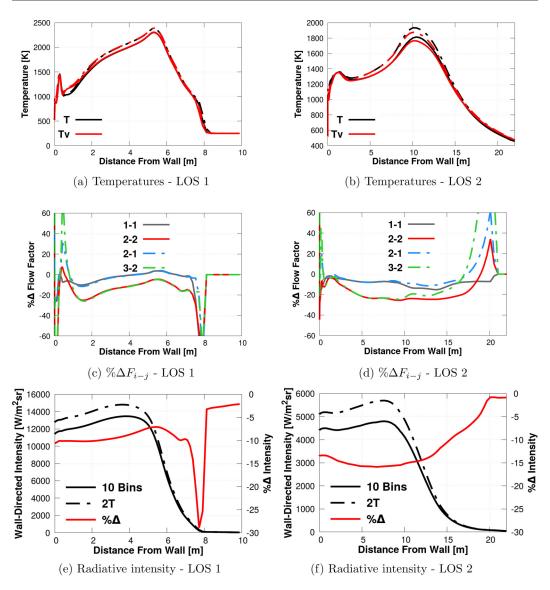


FIG. 15. Temperatures T and  $T_V$  (solid and dashed lines correspond to bin-based StS and conventional 2-T models, respectively),  $\%\Delta F_{i-j}$ , and total radiative intensity along different LOSs. Percentage difference is computed as  $(Q^{\text{StS}} - Q^{2\text{-T}})/|Q^{2\text{-T}}| \times 100\%$ .

between bin-based StS and 2-T models. Figure 16 indicates that the rise in radiative intensity occurs almost exclusively in a region bounded by the bow shock and streamline 4, which is comprised of dissociating flow that undergoes no expansion. Kustova *et al.* [13] have previously shown that conventional 2-T modeling overpredicts the rate of dissociation when compared to the current StS model. Thus,  $\%\Delta\rho_{\text{CO}_2} > 0$  and T (and  $T_V$ ) is lower (one possible explanation being that greater  $\text{CO}_2$  results in a larger mixture heat capacity) for the bin-based StS model. This trend is reversed in the case of the second bin, with partial density (Fig. 9) being smaller due to lower  $T_V$ . The two competing effects of higher density and lower temperature characterizing the first bin appear to cancel each other out with  $\%\Delta F_{1-1}$  being only slightly negative. Conversely,  $\%\Delta F_{2-2}$  has a more pronounced negative value due to both density and temperature effects reinforcing each other. It

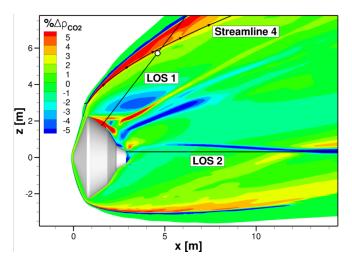


FIG. 16. Percentage difference in total  $CO_2$  density between bin-based StS and 2-T models:  $(\rho_{CO_2}^{SIS} - \rho_{CO_1}^{2-T}) / \rho_{CO_2}^{2-T} \times 100\%$ .

should be noted that  $\%\Delta F_{2-1}$  and  $\%\Delta F_{3-2}$  follow  $\%\Delta F_{1-1}$  and  $\%\Delta F_{2-2}$ , respectively, except for the recirculation region where nonequilibrium effects dominate. The utility of analyzing local radiative behavior using flow factors is evident in Fig. 15, with changes in  $\%\Delta I$  mirroring the behavior of  $\%\Delta F_{i-j}$ . The percentage difference in radiative intensity at the wall is approximately the weighted mean value along the LOS of  $\%\Delta F_{i-j}$  for the dominant bin-pairs. The same behavior borne out of slower dissociation for the StS approach is seen along the middle section of LOS 2. Crucially, non-Boltzmann effects are more pronounced further into the viscous wake ( $\sim$ 12 m onward), which results in  $F_{i-j}$  being higher for the StS model. An important conclusion that can be drawn from this analysis is that radiative energy emanating from the bow shock is much higher compared to the rest of the wake.

Figure 17 presents the divergence of the total radiative heat flux  $\nabla \cdot \mathbf{q}_{rad}$  along the y=0 m plane for the bin-based StS simulation and the percentage difference between the two models. A positive (negative) value for  $\nabla \cdot \mathbf{q}_{rad}$  indicates that the flow at a particular location is a net emitter (absorber)

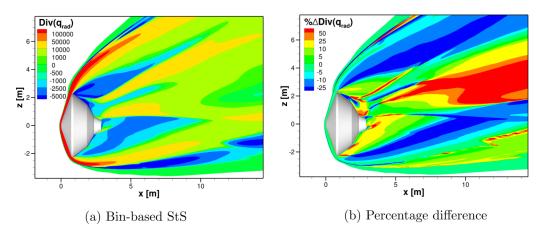


FIG. 17. Negative value of volumetric radiative heating term. Percentage difference computed as  $(\nabla \cdot \mathbf{q}_{rad}^{SIS} - \nabla \cdot \mathbf{q}_{rad}^{2\text{-T}})/|\nabla \cdot \mathbf{q}_{rad}^{2\text{-T}}| \times 100\%$  (right).

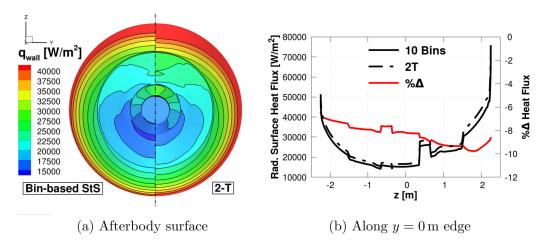


FIG. 18. Total radiative surface heat flux  $q_{\rm wall}$  from bin-based StS and 2-T models. Percentage difference computed as  $(q_{\rm wall}^{\rm StS} - q_{\rm wall}^{\rm 2-T})/q_{\rm wall}^{\rm 2-T} \times 100\%$ .

of radiative energy and adds to the radiative heat flux received by the vehicle. The consistently high magnitude of the  $\nabla \cdot \mathbf{q}_{rad}$  term associated with the hot dissociating flow after the oblique shock further confirms that radiative surface heat flux is dominated by the properties of this small section of the flow field. Figure 18 compares the total radiative heat flux received by the afterbody and the difference between the two approaches along the y = 0 m edge. The conventional 2-T model clearly overpredicts surface heat flux, which is in line with our previous analysis. It is interesting to note that the percentage difference in estimates for incident radiative flux continues to hover around the same value as  $\%\Delta I$  at the wall for LOS 1 and  $\%\Delta(\nabla\cdot\mathbf{q}_{\mathrm{rad}})$  near the bow shock region. The enclosed expanding wake despite experiencing significant non-Boltzmann behavior [resulting in higher  $\%\Delta(\nabla \cdot \mathbf{q}_{rad})$  and  $\%\Delta I$  for LOS 2] is rendered radiatively nonparticipating due to a significant drop in CO<sub>2</sub> density. Thus, its role in determining vehicle radiation characteristics is largely limited even for the base  $(z \in [-0.37, 0.37] \text{ m})$ , which has a comparatively smaller view factor with respect to the bow shock. Furthermore, unlike atomic radiation [21], the impact of non-Boltzmann effects on total CO<sub>2</sub> IR heating is further minimized due to the preponderance of radiative transitions within the ground vibrational bin, which has a short thermal relaxation time period. This also leads the authors to conclude that increasing the total number of vibrational bins would not appreciably change estimates for surface radiative flux. The dominant lower bins (although now more in number) would continue to remain in an equilibrium Boltzmann distribution, matching the behavior implicitly obtained through the 2-T model.

#### C. Coupled radiation

Previous studies on earth entry flows with low-density wakes [21] have indicated that radiative transitions outlined in Sec. III C can have a significant effect on the population of higher energy levels. Conventional techniques for coupling radiation to flow chemistry/thermal relaxation rely on the escape factor approximation [89] for computing reaction rate coefficients due to absorption  $K_{l-u}^{ab}$ . An escape factor parameter  $EF_{l-u}$  with a value between 0 (optically thick transitions) and 1 (optically thin transitions) is used to express  $K_{l-u}^{ab}$  as a fraction of the total spontaneous emission from a given location:

$$K_{l-u}^{ab} = (1 - \mathrm{EF}_{l-u}) \, \bar{K}_{u-l}^{\mathrm{em}} \, \frac{\rho_u}{\rho_l}.$$
 (40)

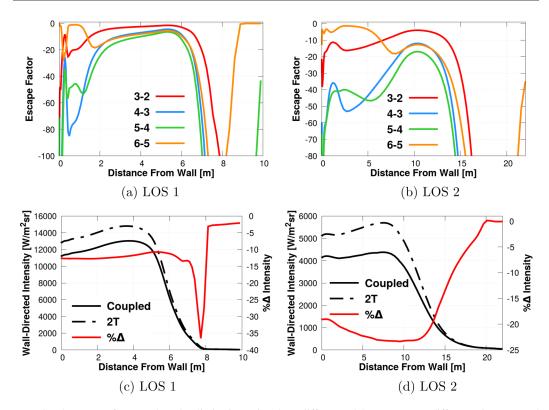


FIG. 19. Escape factor and total radiative intensity along different LOSs. Percentage difference is computed as  $(Q^{\text{StS}} - Q^{2\text{-T}}) / |Q^{2\text{-T}}| \times 100\%$ .

The escape factor  $EF_{l-u}$  is either prescribed a constant value [90] or computed solely on the basis of local properties at a given location [89]. Additionally, this approach is unable to model strong absorption of nonlocal emission because  $EF_{l-u}$  cannot be assigned negative values. The current framework eschews these simplifications in order to accurately assess the possible effects of absorption of nonlocal IR radiation emanating from surrounding flows. This is computationally prohibitive without the use of model-reduction techniques, and apart from a few exceptions involving atomic species [21,58] it has typically been avoided while studying complex three-dimensional flow problems. An exact value for  $EF_{l-u}$  is later computed as a postprocessing step using the detailed values of  $\bar{K}_{u-l}^{em}$  and  $K_{l-u}^{ab}$  in order to gain useful insights into the coupling between local thermochemistry and the global radiative field:

$$EF_{l-u} = 1 - \frac{K_{l-u}^{ab} \rho_l}{\bar{K}_{u-l}^{em} \rho_u}.$$
 (41)

The escape factors for transitions involving the upper vibrational bins such as 3-2, 4-3, 5-4, and 6-5 along the two LOSs for 10 bins-based StS simulations with self-consistent non-local radiation coupling are outlined in Fig. 19. It should be noted that unlike approximate escape factor methods, the lower limit for escape factors is not set to zero in the current study. Large negative values in Fig. 19 indicate that due to the global radiation field [represented by the scalar integral  $\oint_{4\pi} I_{\nu} d\Omega$  in Eq. (28)], transition rates due to absorption are significantly higher than those due to emission. This results from photochemical transitions involving different CO<sub>2</sub> vibrational levels (or bins for the current reduced-order StS description) overlapping in the frequency space as outlined in Fig. 5. Thus, emission by the dominant first bin becomes available for absorption by the upper bins.

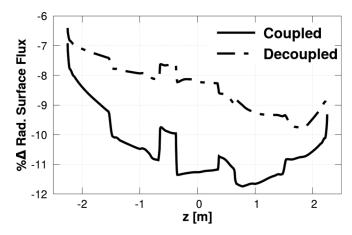


FIG. 20. Percentage difference in total radiative surface heat flux coupled/uncoupled bin-based StS and 2-T models along the y=0 m edge:  $(q_{\rm wall}^{\rm StS}-q_{\rm wall}^{\rm 2-T})/q_{\rm wall}^{\rm 2-T} \times 100\%$ 

This radiative environment is fundamentally different from those for atomic species [91] where the underlying spectra largely consist of separated lines. However, as Fig. 14 and the subsequent analysis indicates, absorption/emission coefficients for the upper bins are smaller than those of the first bin by multiple orders of magnitude. Additionally, the characteristic radiative intensity for Martian missions is lower than that for Earth-return profiles due to the large difference in entry speeds. Consequently, there is no significant repopulation of the upper bins, and their contribution to total radiative emission remains minimal even for the coupled simulation. Moreover, lower radiative intensity also results in internal dynamics of the first two bins being dominated by collisional processes in the strongly emitting regions with radiative processes having a discernible impact only in the radiatively insignificant near-wake zone.

The energy source term from Eq. (30) has a more pronounced impact on the total radiative heat flux. The majority of the flow field barring the recirculation zone are net emitters of radiative energy (Fig. 17). This loss of energy results in a drop in temperature and subsequently lowered spontaneous emissions. Thus, radiative intensities for StS simulations with radiative coupling are lower than those for the uncoupled StS and 2-T cases. A comparison between the total radiative surface heat flux along the y = 0 m edge obtained using the 2-T, uncoupled StS, and coupled StS simulations is presented in Fig. 20.

## VI. CONCLUSIONS

This work presents a simulation methodology for modeling nonequilibrium thermochemistry and radiative transfer in a unified manner for complex three-dimensional flow problems. The resultant simulation toolset is then employed with the US3D flow solver for characterizing CO<sub>2</sub> wake flows during planetary entry of the Mars 2020 vehicle. The combination of the multigroup maximum entropy method and adaptive binning allows the non-Boltzmann state population distribution and resultant macroscopic properties to be computed accurately at significantly lowered costs. Thus, starting from a vibrational-specific CO<sub>2</sub> StS model, an equivalent reduced-order system with only 10–30 bins is synthesized. Similarly, self-consistent flow-coupled radiative transfer calculations are achieved by reducing computational overhead associated with spectral, angular, and spatial discretizations. These improvements in computational tractability allow flow source terms emanating from nonlocal absorption of radiative intensity to be consistently computed without resorting to traditional simplifications such as the tangent-slab method or the local escape factor approximation.

Statistically converged solutions for hypersonic flows around the Mars 2020 vehicle are obtained using the conventional 2-T model and 10 bin-based StS model for CO<sub>2</sub> vibrational nonequilibrium. This includes both decoupled radiative calculations (by postprocessing the final flow field) and flow-radiation two-way coupled simulations. The translational-rotational temperature is found to be equal to the vibrational-electronic temperature for most of the flow field, which clearly indicates that non-Boltzmann deviations in vibrational state population distributions cannot be fundamentally accounted for through conventional multitemperature approaches. Streamlines that pass through the near-wake region undergo rapid expansion resulting in the population of midlying and upper CO<sub>2</sub> vibrational levels being frozen. The bin-based StS approach captures this nonequilibrium behavior, whereas the 2-T model by forcing thermal equilibration underpredicts the population distribution by multiple orders of magnitude. However, both ideal chemical reactor and flow-field simulations suggest that the lowest vibrational levels (bins 1 and 2) reach their final equilibrium state fairly rapidly. Hence, bulk properties such as temperature, density, and total CO<sub>2</sub> mass fraction do not differ significantly between the bin-based StS and 2-T approaches. An analysis of the resultant radiative transfer indicates that its dynamics are completely dominated by the ground vibrational levels (bin 1) due to their considerably higher number densities. Furthermore, radiative intensities along LOSs crossing the bow shock reach their final values exclusively in a narrow high density/temperature region around the shock that undergoes no discernible expansion. Thus, the impact of non-Boltzmann effects induced in near-wake flows on the total afterbody radiative heat flux is largely minimized. Nevertheless, surface heat flux predicted by the 2-T model is almost 10% greater due to higher postshock temperatures. Coupled flow-radiation simulations accentuate the difference in surface flux estimates between the two approaches to a slightly higher 12%. Nonlocal absorption (negative escape factors) of radiative energy emitted by the shock results in repopulation of the higher levels in the wake. But, lower Martian entry speeds (less total radiative intensity) and the dynamics of ground vibrational levels still being informed by collisional processes restricts the overall influence of flow-radiation coupling.

The current work provides a cost-effective modular framework that can be applied to the study of any nonequilibrium dominated problem ranging from hypersonic planetary entry (Lunar-return, Titan entry) to low-temperature plasmas in material processing and manufacturing. To that end, the validity of StS models and LBL radiation databases has a strong bearing on the accuracy of the current reduced-order thermochemistry and spectral properties, respectively. The current work relies on a vibrational-specific description of CO<sub>2</sub> that is derived using modified-SSH theory and legacy experimental data. Conventional multitemperature models for thermochemical nonequilibrium also attempt to reproduce these experimental results. This common reliance could explain the limited contrast between the current 2-T and bin-based StS predictions. Research is currently being performed to develop the CO<sub>2</sub> StS model from first-principles quantum chemistry calculations [87] that can introduce two key improvements:

- (a) Eliminate selective vibrational transitions that are an artifact of extrapolating low energy rates through SSH theory.
- (b) Correctly replicate  $CO_2$  recombination, which involves a transient electronically excited triplet state being formed from ground CO and O and then decaying to the ground singlet state  $CO_2(X^1\sum_{\rho}^+)$ .

The results from this study will be revisited in the future once these *ab initio* rates for CO<sub>2</sub> become available. Additionally, the authors plan to perform detailed comparisons with data for the Mars afterbody radiative environment obtained from both ground-based facilities [5,92] and free-flight measurements [93] in future studies.

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