Role of charge regulation and flow slip in the ionic conductance of nanopores: An analytical approach

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The number of precise conductance measurements in nanopores is quickly growing. To clarify the dominant mechanisms at play and facilitate the characterization of such systems for which there is still no clear consensus, we propose an analytical approach to the ionic conductance in nanopores that takes into account (i) electro-osmotic effects, (ii) flow slip at the pore surface for hydrophobic nanopores, (iii) a component of the surface charge density that is modulated by the reservoir pH and salt concentration c_s using a simple charge regulation model, and (iv) a fixed surface charge density that is unaffected by pH and c_s . Limiting cases are explored for various ranges of salt concentration and our formula is used to fit conductance experiments found in the literature for carbon nanotubes. This approach permits us to catalog the different possible transport regimes and propose an explanation for the wide variety of currently known experimental behavior for the conductance versus c_s .

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I. INTRODUCTION

The transport of fluids in small section nanochannels and nanopores is very different from that in the bulk. Indeed, at this nanometer scale, the pore surface influences drastically the transport properties, which therefore provide a handle for characterizing the surface-fluid interactions whose range can reach throughout the whole pore section (as opposed to a thin boundary layer in the case of large diameters). Societally important examples motivating a growing interest in such systems arise from the search for selective and energy efficient membranes for sea water desalination and electrokinetic energy conversion (using pressure or salt concentration gradients). The recent development of nanofluidics has led to a huge amount of experimental data on ionic transport properties in nanopores. Among the various flux measurements, the ionic conductance G = I/V, where I is the measured electrical current and V the applied voltage, is of central interest to characterize ion transport and ion selectivity in nanopores. Although in the past decade ionic conductance has been measured in numerous nanopores and nanochannels, including carbon nanotubes (CNT) [1-7], boron nitride nanotubes (BNNT) [8], PDMS-glass [9], and polymeric track-etched nanopores [10,11], it is still not clear what role mechanisms like surface charge regulation [12-14] and fluid slip [11,15-18] play in determining it. The essential difference between this recent work and the early pioneering experimental and modeling studies (see, e.g., Refs. [19,20]) lies in the use of single well-characterized nanopores, which facilitates enormously modeling.

Although the chemical nature of these various nanopores can differ a lot, some features, due to the nanoscale transport, are common and a simple theoretical model that rationalizes

them is still missing. To model these experimental results and therefore extract important nanopore characteristics such as the radius or surface charge density, either a simple interpolation formula is used [8,9] or the full space-charge model (Poisson-Nernst-Planck (PNP) and Stokes equations) [21–24] is solved numerically [1,17–20,25]. Recently a formula has been proposed for the conductance of nanopores bearing a constant surface charge density with or without fluid slippage at the nanopore surface [11]. It has been shown that for hydrophobic nanopores, such as CNTs or polymer track-etched ones, the electro-omostic contribution can play a nonnegligible role, especially for highly charged nanopore surfaces and/or strong slippage.

Some properties of the conductance at low salt concentration c_s can be understood in terms of the surface charge density of the nanopore, σ , since electroneutrality imposes that the concentration of oppositely charged carriers (the counter-ions) in the pore be proportional to σ . This is the reason why a plateau is often observed for G at low c_s for a constant surface charge density. However, Pang et al. [1] measured a nonconstant conductance $G \propto c_s^{\alpha}$ with $\alpha \simeq 0.37$ at low c_s in CNTs. Later, Secchi et al. [5] showed that the CNT conductance at low c_s varies with c_s and the pH of the solution and extracted an exponent $\alpha = 1/3$. A similar observation has been made recently by Yazda et al. [7] but with a different power law. The theoretical explanation proposed in the literature is *charge* regulation [5,25], i.e., charged groups appear at the nanopore surface when the pH is increased, which could be due various mechanisms, such as the adsorption of hydroxyde ions [5] or the dissociation of carboxylic groups COOH. This adsorption or dissociation is also influenced by screened electrostatic interactions, the screening being due to the presence of ions in the pore. This could be the reason why the behavior of G versus c_s is modified at low c_s . Since Secchi et al. [5] and Biesheuvel and Bazant [25] each developed different approximate theoretical approaches that led to different exponents ($\alpha = 1/3$

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and 1/2, respectively) at low salt concentration, the situation needs to be reexamined. Furthermore, in addition to charge regulation, the role of flow slip, neglected in Refs. [5,25], but already touched upon in Ref. [18], needs to be assessed. In this last reference, the influence of slip on conductivity was investigated, but only for the single case of a relatively large nanopore radius (5 nm) and small slip length (1.25 nm). The conclusion in this special case was that although slip leads to an increase in conductivity, the effect is minor. It is known from theory and simulations, however, that slip lengths in hydrophobic nanopores can be very large [26], and, as observed in molecular dynamics simulations, can even be much larger than the pore radius [27,28]. Such large slip lengths can modify in important ways the transport properties of hydrophobic nanopores [11,15-18]. These effects for slippery hydrophobic surface can be modified if the mobility of surface charges is taken into account, as shown for electro-osmotic flow in Ref. [29].

Nevertheless, it should be stressed that the nature of the surface charge of CNTs has not yet been elucidated. It can have *a priori* several origins, which can be intrinsic to the nanopore, such as an affinity of the graphene surface for OH⁻ ions or structural defects creating local charges (crystallographic defects or weak acid groups, e.g., COOH), but also extrinsic, such as chemical or electrostatic doping induced by the nanotube environment (resin, matrix, etc.).

Interesting recent work has attempted to go beyond the standard space charge model by using input from molecular dynamics simulations to introduce inhomogeneous dielectric function and viscosity profiles. The experimentally observed excess surface conductivity can be explained for both hydrophilic and hydrophobic surfaces using the nonelectrostatic ion-surface interaction as a fitting parameter [30,31]. Other recent work has incorporated a more detailed description of aqueous interfaces into the space charge model by employing a basic Stern model to describe the uncharged surface dielectric layer (in conjunction with slip in Ref. [18]) and more sophisticated extensions for specific surfaces, such as an electrical triple-layer model for silica to include the surface contributions from salt ions [32].

In this paper, we propose an extension of the analytical formula for nanopore conductance (based on the space charge model incorporating slip) that we proposed in Ref. [11] to include the charge regulation mechanism. In an effort to increase the physical content of the model incrementally and retain as much simplicity as possible, we do not attempt to include the additional features evoked above (such as surface ion mobility, Stern layer effects, etc., cf. Refs. [18,29-32]). Although the approach presented here can be extended to include these additional features, we believe that the next important step in understanding transport in CNTs is to combine charge regulation and flow slip. Our analytical formula is then used to fit experimental conductance measurements in CNTs found in the literature. We concentrate here on small radius CNTs with large slip lengths for which the ratio of slip length to pore radius is very large (as expected for such nanopores [28]). We show in particular that (i) slip can play an important and even dominant role and (ii) to get physically reasonable fits to the conductivity data for the tightest nanopore studied in Ref. [5] (3.5-nm radius) over the whole pH range investigated experimentally, a

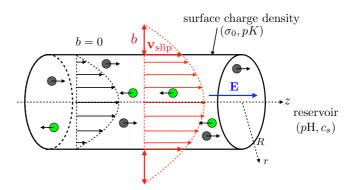


FIG. 1. Sketch of the nanopore showing counterions (black spheres) and co-ions (green/light spheres) for a negative surface charge density σ which is controlled by its saturation value σ_0 , the pK of the anion adsorption or neutral group dissociation at the surface, and the pH and the salt concentration c_s in the reservoirs. A constant electric field is applied E is applied between the two reservoirs located at the pore extremities. Two velocity fields are sketched, with (in red) or without (in black) slippage at the pore surface (the slip length is noted b).

weak fixed surface charge density must be included along with slip and surface charge regulation. Previous efforts to fit the data for this CNT simultaneously for the four studied values of pH using just surface charge regulation were not successful, even with unphysically high values of maximum surface charge density (a difficulty that led the authors of Ref. [25] to suggest that slip may play an important role). Our analytical approach is complementary to the one based on numerical solutions to the PNP equations and can be used to draw a global "phase diagram" for the conductance mechanisms in the salt concentration versus surface charge density plane, which is less accessible by purely numerical methods (cf. Refs. [17,18,25]).

II. MODEL

We consider a monovalent salt (such as NaCl or KCl) in an aqueous solution inside a cylindrical nanopore of radius R and length L. We assume that $L \gg R$ so that the ionic concentration in the pore is independent of the distance z along the cylinder axis and end effects are negligible. At both ends the nanopore is connected to two electrolyte reservoirs at salt concentration c_s (Fig. 1).

We assume that a negative surface charge develops following a simple charge regulation mechanism [12–14] whose detailed physical chemistry we leave unspecified awaiting further studies on this topic. This model is general enough to include (i) anion (e.g., OH^-) adsorption at a hydrophobic nanopore surface, and (ii) surface grafted acid group (e.g., COOH) dissociation, leading to the release of hydronium ions H_3O^+ in the reservoir. Note that the model is easy to modify in the case of the formation of positive surface groups (such as NH_3^+). As a first hint into this complex problem, we follow the usual practice of neglecting dielectric effects [33,34] and ion-ion correlations [35], which could potentially play an important role, and thus treat the electrostatic statistical problem at the level of the mean-field Poisson-Boltzmann (PB) equation.

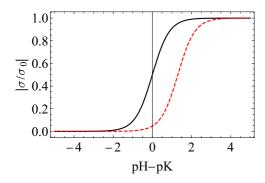


FIG. 2. Absolute value of the dimensionless surface charge density versus pH - pK for $|\phi_s| = 0$ (black) and 3 (red dashed) [Eq. (1)]. Decreasing the salt concentration increases the surface potential at constant pH and favors the formation of neutral groups. For sufficiently large $pH \gg pK$, σ remains close to saturation (σ_0) until a low threshold concentration is reached.

Our goal is to obtain the variations of the nanopore conductance as a function of the bulk salt concentration c_s by assuming that the conductivity (at low c_s) is influenced by a nanopore surface charging mechanism, for example, through anion adsorption or neutral group dissociation.

Following the usual charge regulation (or Langmuir) model in its simplest form, the (negative) surface charge density is taken to have an absolute value

$$\sigma = \frac{\sigma_0}{1 + 10^{pK - pH} e^{|\phi_s|}},\tag{1}$$

where pK refers to the equilibrium constant of the charging mechanism, $pH \equiv -\log_{10}[H_3O^+]_b$ refers to the external (bulk) reservoirs, $\sigma_0 = ne/(2\pi RL)$ with n ionizable groups (e is the positive elementary charge), and $\phi_s = e\psi_s/k_BT \leq 0$ is the dimensionless electrostatic potential at the pore surface. An important limitation to Eq. (1) should be kept in mind: the acid, such as HCl, or base, such as NaOH, added to the bulk to adjust the pH must be low enough in concentration to avoid modifying the surface potential ϕ_s , which in the approximation adopted here is fixed entirely by bulk salt (with the ions coming from the added acid or base playing the role of a spectators, or trace, species). Within this approximation, which is valid when

$$-\log_{10} c_s \ll pH \ll 14 + \log_{10} c_s \tag{2}$$

(with c_s in mol/L), the value of the surface charge density depends only on the effective (salt concentration dependent) pH value in the pore: $pH_{pore} = pH - |\phi_s(c_s)|/\ln(10) \le pH$, which decreases with increasing c_s . In Fig. 2 we plot σ/σ_0 as a function of pH - pK and recall that σ/σ_0 goes quickly to its maximum value for $pH_{pore} - pK > 1$ and to 0 for $pH_{pore} - pK < 1$. At high reservoir salt concentration, the pore charge is screened, $|\phi_s|$ goes to zero, and therefore σ reaches its maximum pH-dependent value,

$$\sigma_{\max}(pH) = \frac{\sigma_0}{1 + 10^{pK - pH}} \leqslant \sigma_0. \tag{3}$$

As the reservoir salt concentration is lowered, more and more co-ions are excluded and $|\phi_s|$ increases to maintain electroneutrality in the pore, driving the pore to lower and

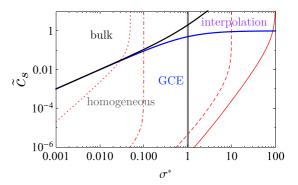


FIG. 3. Diagram in the (σ^*, \tilde{c}_s) plane of the various regimes (see text): *bulk* above the black line [Eq. (14)], *homogeneous* for $\sigma^* < 1$, GCE below the blue line [Eq. (11)], and the remaining *interpolation* one. The four thin red curves correspond to $\sigma^*(c_s)$ for (from left to right) $(\sigma_0^*, pH - pK) = (0.1, 0)$ (dotted), (0.1,5) (dashed-dotted), (10,5) (dashed), and (100,5) (solid).

lower charge states (see, e.g., Refs. [5,25]):

$$\sigma \approx \sigma_0 \, 10^{pH - pK} e^{-|\phi_s|}.\tag{4}$$

To relate the dimensionless electrostatic potential $\phi_s = \phi(r = R)$ (where r is the radial coordinate in the pore) to the surface charge density and therefore obtain an implicit relation which gives σ as a function of the salt concentration c_s and the pH of the bulk solution, we would need to solve the PB equation in a cylindrical pore with the appropriate boundary conditions:

$$\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial\phi}{\partial r}\right) = \frac{1}{\lambda_{\rm DH}^2}\sinh\phi\tag{5}$$

$$\left. \frac{\partial \phi}{\partial r} \right|_{r=0} = 0, \quad \left. \frac{\partial \phi}{\partial r} \right|_{r=R} = -4\pi \ell_B \frac{\sigma}{e},$$
 (6)

where $\lambda_{\rm DH}=(8\pi\ell_Bc_s)^{-1/2}$ is the Debye screening length in the bulk, and $\ell_B=e^2/(4\pi\epsilon_0\epsilon k_BT)$ the Bjerrum length, equal to 0.7 nm in water at room temperature (recall that σ is the absolute value of the negative surface charge).

Although no exact solution of Eq. (5) is known for arbitrary pore radius, surface charge density, and bulk concentration, certain limiting cases lead to relatively simple approximations, as summarized in Ref. [11] (see Fig. 3).

(i) In the homogeneous approximation, the electrostatic potential is taken as constant over the pore cross-section. This approximation,

$$e^{|\phi_s|} \approx e^{|\phi_{\rm H}|} = \frac{|\sigma|}{eRc_s} \left[\sqrt{1 + \left(\frac{eRc_s}{\sigma}\right)^2} + 1 \right],$$
 (7)

is valid over the whole concentration range for $\sigma^* < 1$, where the dimensionless surface charge density is

$$\sigma^* = \sigma \frac{\pi R \ell_B}{e}.$$
 (8)

(ii) In the good co-ion exclusion (GCE) limit,

$$e^{|\phi_s|} \approx e^{|\phi_{\text{GCE}}(R)|} = 16\sigma^* (1 + \sigma^*) \left(\frac{\lambda_{\text{DH}}}{R}\right)^2,$$
 (9)

This approximation is valid if the normalized GCE electrostatic potential at the pore center is greater than 1 (see, for example, Eq. (10) of Ref. [11]):

$$|\phi_{\text{GCE}}(0)| = \ln\left[16\frac{\sigma^*}{1 + \sigma^*} \left(\frac{\lambda_{\text{DH}}}{R}\right)^2\right] > 1, \quad (10)$$

that is for

$$\tilde{c}_s < \tilde{c}_{GCE} \equiv \frac{\sigma^*}{1 + \sigma^*},$$
 (11)

where

$$\tilde{c}_s = \pi \ell_B R^2 c_s = \frac{1}{8} \left(\frac{R}{\lambda_{\text{DH}}} \right)^2 \tag{12}$$

is a dimensionless salt concentration. At low surface charge density $\sigma^* < 1$, the homogeneous approximation is valid and $|\phi_{GCE}(0)| \approx |\phi_{GCE}(R)|$. At very high surface charge density $\sigma^* \gg 1$, and although $|\phi_{GCE}(0)|$ saturates to $\ln(2/\tilde{c}_s)$ (for $\tilde{c}_s < \tilde{c}_{GCE} \approx 1$), $|\phi_{GCE}(R)|$ grows indefinitely.

We can combine these two approximations by using the following interpolation formula:

$$e^{|\phi_s|} pprox \frac{\sigma^*(1+\sigma^*)}{\tilde{c}_s} \left[\sqrt{1 + \left[\frac{\tilde{c}_s}{\sigma^*(1+\sigma^*)} \right]^2} + 1 \right]. \quad (13)$$

Equation (13) allows us to obtain the following correct three limits: (i) the high concentration (bulk) one,

$$\tilde{c}_s \gg \tilde{c}_{\text{bulk}} \equiv \sigma^* (1 + \sigma^*),$$
 (14)

where $\phi_s \rightarrow 0$; (ii) the weak surface charge homogeneous one, $\sigma^* < 1$; and (iii) the low concentration GCE one, $\tilde{c}_s < \tilde{c}_{GCE}$ [when $\sigma^* < 1$ we enter the homogeneous GCE regime, which overlaps with (ii)]. Equation (13) should therefore be a good approximation for ϕ_s over the whole range of pore surface charge density and bulk salt concentration.

The different regimes in the (σ^*, \tilde{c}_s) plane presented above are shown in Fig. 3. The interpolation regime that exists at sufficiently high σ^* for intermediate \tilde{c}_s is characterized by a high dimensionless electrostatic potential near the pore surface (with corresponding good co-ion exclusion) and a low potential near the pore center (with corresponding poor co-ion exclusion). This regime is therefore an intermediate one in terms of ion selectivity. The low surface charge limit of charge regulation, Eq. (4), is valid in the GCE regime [see Eqs. (9,11)] whenever

$$\tilde{c}_s < \tilde{c}_{CR} \equiv 2\sigma^* (1 + \sigma^*) 10^{pK - pH}. \tag{15}$$

Following the theoretical framework developed in Ref. [11], the nanopore conductance is

$$G = \frac{\pi R^2}{L} \kappa, \tag{16}$$

where the conductivity is given by

$$\kappa = e^{2} c_{s} [\mu_{+} \langle e^{-\phi} \rangle + \mu_{-} \langle e^{\phi} \rangle]$$

$$+ \frac{e^{2} c_{s}}{2\pi \ell_{B} \eta} \langle (\phi(R) - \phi) \sinh \phi \rangle + \kappa_{\text{slip}}, \qquad (17)$$

with $\eta = 8.94 \times 10^{-4}$ Pa.s the water viscosity and μ_i the mobility of ion *i* (in the absence of better values in CNTs,

it is taken equal to its bulk value) and $\langle A \rangle = \frac{2}{R^2} \int_0^R A(r) r dr$ corresponds to average quantities in the pore. The first term on the right-hand side of Eq. (17) gives the ionic migration contribution, whereas the second and third terms give the electro-osmotic one. The last term,

$$\kappa_{\rm slip} = \frac{2\sigma^2 b}{\eta R},\tag{18}$$

is the exact slip contribution to the electro-osmotic one (see the Appendix) and comes directly from the nonvanishing solvent velocity at the pore wall, $\mathbf{v}_{\text{slip}} = -\frac{b\sigma}{\eta}\mathbf{E}$ where \mathbf{E} is the applied electric field and b the slip length, as sketched in red in Fig. 1 (taken to be constant, i.e., independent of σ and R). Following Ref. [11], using an interpolation similar to the one that led to Eq. (13), Eq. (17) simplifies to

$$\kappa = e^{2}(\mu_{+} + \mu_{-})c_{s}\sqrt{1 + \left(\frac{\sigma}{eRc_{s}}\right)^{2} + \frac{e|\sigma|}{R}(\mu_{+} - \mu_{-})} + \frac{\sigma^{2}}{2\eta} \left[\frac{2}{\sigma^{*}}\left(1 - \frac{\ln(1 + \sigma^{*})}{\sigma^{*}}\right) + 4\frac{b}{R}\right].$$
 (19)

The first two terms on the right-hand side of Eq. (19) give the ionic migration contribution, whereas the third term gives the electro-osmotic one, including the exact slip contribution.

We implicitly suppose that the proton concentration in the pore, coming from the acid introduced into the bulk to adjust the pH to low values, does not substantially affect the electrical migration contribution despite the very high proton mobility (5 times higher than that of K^+ and 7 times higher than that of Na^+ in the bulk). The increase in counter-ion concentration coming from adding a base such as NaOH to adjust the pH to high values is also assumed negligible [see Eq. (2)].

Equation (19) has been successfully used to fit conductivity experiments in hydrophobic track-etched nanopores without charge regulation [11].

To simplify the analysis we use the following additional dimensionless quantities:

$$\tilde{\kappa} = \kappa \frac{2\pi^2 R^2 \ell_B^2 \eta}{e^2}; \quad \tilde{\mu}_i = \mu_i 2\pi \eta \ell_B; \quad \tilde{b} = \frac{b}{R}. \tag{20}$$

For a typical nanopore of radius R=1 nm, we have $\tilde{c}_s=1.2\,c_s$ [mol/L]. Note, moreover, that with this choice, $\tilde{\kappa}$ is also the dimensionless conductance defined as $\tilde{G}=(2\pi\ell_B^2L\eta/e^2)G=\tilde{\kappa}$. Equations (1), (13), and (19), therefore, simplify to

$$\tilde{\kappa} = (\tilde{\mu}_{+} + \tilde{\mu}_{-})\tilde{c}_{s}\sqrt{1 + \left(\frac{\sigma^{*}}{\tilde{c}_{s}}\right)^{2}} + \sigma^{*}(\tilde{\mu}_{+} - \tilde{\mu}_{-})
+ 2\sigma^{*}\left[1 - \frac{\ln(1 + \sigma^{*})}{\sigma^{*}}\right] + 4\tilde{b}\sigma^{*2},$$
(21)
$$\tilde{c}_{s} = \frac{2h\sigma^{*2}(1 + \sigma^{*})(\sigma_{0}^{*} - \sigma^{*})}{[\sigma_{0}^{*} - (1 + h)\sigma^{*}][\sigma_{0}^{*} - (1 - h)\sigma^{*}]},$$
(22)

where $h = 10^{pK-pH}$ (and $\sigma_0^* = \sigma_0 \pi R \ell_B/e$). This system of coupled nonlinear equations, which can be simply solved parametrically as a function of σ^* (without any need for numerical methods), is the central result of our paper. In the following we first discuss the various regimes of $\tilde{\kappa}(\sigma^*)$ as a function of

 $\tilde{c}_s(\sigma^*)$ $(0 < \sigma^* < \sigma^*_{max})$ and then use this formula to fit some experimental data found in the literature. Equation (22) can be used to trace \tilde{c}_s versus σ^* in the (σ^*, \tilde{c}_s) plane (Fig. 3). There are four distinct cases: (i) pure scaling, $\sigma^* \propto \tilde{c}_s^{\beta}$, in the low surface charge GCE regime ($\sigma^* < 1$) for low saturation surface charge density σ_0 and low pH (dotted red curve in Fig. 3); (ii) a constant surface charge density plateau in the low surface charge GCE regime, followed by a cross-over to scaling at low concentration for low σ_0 and high pH (dashed-dotted red curve); (iii) a constant surface charge density plateau in the high surface charge GCE regime ($\sigma^* > 1$), followed by a cross-over to scaling at low concentration, first in the high surface charge GCE regime and then in the low ($\sigma^* < 1$), for high σ_0 and high pH (dashed red curve); (iv) scaling, first in the high surface charge GCE regime and then in the low, for very high σ_0 and high pH (solid red curve).

The system of equations given in Eqs. (21) and (22) simplifies in several scaling regimes for the conductivity, which are attained when the charge regulation relation, Eq. (1), and surface potential, Eq. (13), tend to high or low concentration limits:

(1) In the *high salt concentration* limit where $\tilde{c}_s \gg \tilde{c}_{\text{bulk}}$ (which corresponds to $|\phi_s| \to 0$), one deduces from Eq. (22) that $\sigma^* \simeq \sigma^*_{\text{max}}(p\text{H}) = \sigma^*_0/(1+h)$ [which is the maximum absolute value of the surface charge density at a given pH, see Eq. (3)], and we recover the bulk conductivity

$$\tilde{\kappa}^{\text{bulk}} \simeq (\tilde{\mu}_+ + \tilde{\mu}_-)\tilde{c}_s,$$
 (23)

since the surface charge effects are screened. This limit also corresponds to low $pH \ll pK$ for which very few surface groups are ionized and $\sigma \to 0$.

(2) In the *low surface charge density* (homogeneous) GCE limit, reached at low salt concentration and low σ^* , $\tilde{c}_s < \sigma^* < 1$, we find from Eq. (22) that if the inequality Eq. (15) is satisfied, then $\sigma^{*2} \simeq \sigma_0^* \tilde{c}_s/(2h)$, i.e., $\sigma \propto \sqrt{c_s}$, and the conductivity becomes

$$\tilde{\kappa}^{\text{GCE}} \simeq 2\tilde{\mu}_+ \left(\frac{\sigma_0^* \tilde{c}_s}{2h}\right)^{1/2} + (1 + 4\tilde{b})\frac{\sigma_0^* \tilde{c}_s}{2h}.$$
 (24)

The leftmost two curves in Fig. 3 at low c_s and σ_0 illustrate the regime where we expect this type of behavior. The first (dominant) term at sufficiently low \tilde{c}_s is due to electrical migration and the second (asymptotically subdominant) one is due to electro-osmosis. We therefore expect at low enough c_s a scaling law with an exponent of 1/2 (in agreement with Ref. [25]). At low but intermediate c_s and high enough slip length the second term may dominate and lead to a cross-over exponent of 1.

(3) In the *high surface charge density* (inhomogeneous) GCE limit, reached at low salt concentration and high σ^* , $\tilde{c}_s < 1 < \sigma^*$, we find from Eq. (22) that if the inequality Eq. (15) is satisfied, then $\sigma^{*3} \simeq \sigma_0^* \tilde{c}_s / (2h)$, and therefore

$$\tilde{\kappa}^{\text{inter}} \simeq 2(1+\tilde{\mu}_+) \left(\frac{\sigma_0^* \tilde{c}_s}{2h}\right)^{1/3} + 4\tilde{b} \left(\frac{\sigma_0^* \tilde{c}_s}{2h}\right)^{2/3}.$$
(25)

The rightmost two curves in Fig. 3 at intermediate c_s and σ_0 illustrate the regime where we expect this type of behavior. We thus formally expect at low enough intermediate c_s a cross-over

scaling law with an exponent of 1/3 (the low concentration scaling regime predicted in Ref. [5]). Our analysis, which differs from the thin double argument proposed in Ref. [25] to explain the origin of this scaling regime, shows that it can only be an intermediate one observable at sufficiently high values of maximum surface charge density $\sigma_{max}(pH)$ (for *intermediate* values of low c_s) because charge regulation will eventually drive the system into the low surface charge density (homogeneous) GCE regime with an exponent of 1/2. The first (dominant) term at sufficiently low intermediate \tilde{c}_s is due to both electrical migration and the nonslip electro-osmotic contribution and the second (subdominant) one is due to the slip part of the electro-osmotic contribution. Without slip we therefore expect an intermediate scaling behavior with an exponent of 1/3, although unphysically high values of maximum surface charge densities may be needed to actually observe this regime ($\sigma_0^* \gg 1$, i.e. $\sigma \gg 0.1$ C/m² for $R \simeq$ 1 nm). With sufficiently high slip length we expect the first (putatively dominant) term to be completely masked by the second one because a sufficiently high value of the prefactor (proportional to \hat{b}) can counterbalance the higher exponent for sufficiently low but still intermediate \tilde{c}_s . Then the intermediate scaling behavior would have an exponent of 2/3.

Before fitting the available data on conductivity in nanopores, we study theoretically the different regimes. We thus consider a nanopore with R = 1 nm and a NaCl electrolyte with the following mobilities $\mu_+ = 3.3 \times 10^{11} \text{ s/kg (Na}^+)$ and $\mu_{-} = 5.0 \times 10^{11} \text{ s/kg (Cl}^{-})$, at room temperature ($\ell_{B} =$ 0.7 nm), which leads to the dimensionless mobilities $\tilde{\mu}_+$ = 1.28 and $\tilde{\mu}_{-}=1.95.~A~priori~$ three unknown parameters remain: the maximum surface charge density σ_0 attainable at high pH (or the number of ionizable groups n), the pH - pK value, and the slip length b which is close to 0 for hydrophilic nanopores and can be as high as 300 nm for very hydrophobic ones (such as CNTs [28]). In Fig. 4 we present results for an unphysically large surface charge density of $\sigma_0 = 6.8 \text{ C/m}^2$ ($\sigma_0^* = 94$) to illustrate theoretically the various intermediate regimes discussed above, which are not all visible for physically reasonable surface charge densities (for comparison, the extremely highly charged BNNT studied in Ref. [8] showed maximum surface charge densities less than 2 C/m^2). Furthermore, we choose b = 0 or 30 nm ($\tilde{b} = 30$) as in Ref. [11].

In Figure 4(a) is shown the conductivity for various values of pH - pK = -1, 1, 3, 5. We clearly observe the various regimes presented above, the asymptotic GCE regime in $c_s^{1/2}$ at very low c_s and the bulk one in c_s at high c_s . In particular, the intermediate regime in $c_s^{1/3}$ appears only at high pH and high surface charge density for b = 0 (thin dotted-dashed green and brown dotted curves at pH - pK = 3 and 5) to satisfy the inequality Eq. (15). If slippage is taken into account, a large increase of the conductivity at intermediate concentrations occurs which varies as $c_s^{2/3}$, whatever the pH value (thick curves). These low and intermediate concentration scaling regimes appear for $\tilde{c}_s < \min(\tilde{c}_{GCE}, \tilde{c}_{CR})$. At large pH the surface charge starts to saturate to σ_{max} at intermediate or high c_s and the conductivity plateaus to $\tilde{\kappa} \simeq 4\tilde{b}\sigma_{max}^{*2}$ for large enough c_s along as the system remains in the high surface charge GCE regime (i.e., $\tilde{c}_{CR} < \tilde{c}_s < \tilde{c}_{GCE}$).

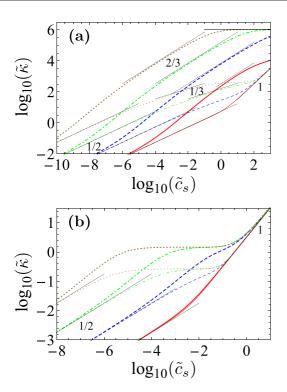


FIG. 4. Dimensionless conductivity $\tilde{\kappa}$ versus \tilde{c}_s given by Eqs. (21) and (22) for pH - pK = -1, 1, 3, 5 (solid red, dashed blue, dotted-dashed green, dotted brown) and $\tilde{b} = 0$ (thin curves) or $\tilde{b} = 30$ (thick curves) (Log-Log scale): (a) $\sigma_0^* = 94$, and (b) $\sigma_0^* = 0.1$. The thin solid lines correspond to the power laws $\tilde{c}_s^{1/2}$ and \tilde{c}_s of Eqs. (23) and (24) found in the high and low salt limit, respectively. The power laws in $\tilde{c}_s^{2/3}$ (for $\tilde{b} = 30$) and $\tilde{c}_s^{1/3}$ (for $\tilde{b} = 0$) appearing in (a) at intermediate \tilde{c}_s are explained in the text.

For small diameter nanopores and lower and more realistic values of σ_0 , as shown in Fig. 4(b) for $\sigma_0^* = 0.1$, the behavior is quite different. At low pH, the surface charge σ^* is so small over the entire salt concentration range that the conductivity interpolates directly between the two limiting behaviors, bulk and homogeneous GCE, given in Eqs. (23) and (24), which vary, respectively, as c_s and $c_s^{1/2}$ (red curves). For increasing pH the saturation occurs at low salt concentrations and the conductivity profile becomes close to the one at constant σ except for very low c_s . Indeed, when the inequality Eq. (15) is reversed ($\tilde{c}_{CR} < \tilde{c}_s < \tilde{c}_{GCE}$), the system enters the low concentration GCE (plateau) regime before the surface charge density begins to deviate significantly from its maximum value $\sigma_{\max} \approx \sigma_0$. For low enough $\tilde{c}_s < \min(\tilde{c}_{GCE}, \tilde{c}_{CR})$, however, the conductivity eventually enters the scaling regime. For such low values of σ_0 the system enters the homogeneous GCE regime at low c_s and therefore large enough flow slippage leads to a shift of the plateau value from the no-slip value $\tilde{\kappa} \simeq 2\tilde{\mu}_+ \sigma_{\max}^*$ to the slip one, $\tilde{\kappa} \simeq (1 + 4\tilde{b})\sigma_{\max}^{*2}$.

Moreover, a shoulder in $\tilde{\kappa}(\tilde{c}_s)$ is observed at intermediate values of \tilde{c}_s which leads to apparent power laws $\tilde{\kappa} \propto \tilde{c}_s^{\alpha}$ with $1/2 \leq \alpha \leq 1$ (before saturation), where the value 1 for α corresponds to the second term in Eq. (24). Such high values of α cannot be observed without slippage at intermediate values of \tilde{c}_s , where $\alpha \leq 1/2$. A glance at Fig. 4 shows that the conductivity approaches the asymptotic low concentration scaling, $\tilde{c}_s^{1/2}$,

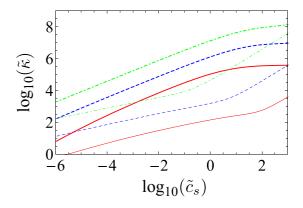


FIG. 5. Dimensionless conductivity $\bar{\kappa}$ vs. \bar{c}_s for pH - pK = 3, $\sigma_0 = 6.8 \text{ C/m}^2$, b = 30 nm (thick curves), and R = 1 (solid red), 10 (dashed blue) and 100 nm (dotted-dashed green) (Log-Log scale). The thin curves corresponds to the no slip case (b = 0).

from above in the presence of slip and below without [except at very low saturation surface charge density/low pH, where the cross-over is directly from bulk to low concentration scaling; see the rightmost thin red curve in Fig. 4(b)]. This type of qualitative behavior can therefore be used as a signature of the presence or absence of strong slip effects.

In Fig. 5 is studied the influence of the nanopore radius R=1,10,100 nm on the dimensionless conductance $\tilde{G}=\tilde{\kappa}$ for b=1 and 30 nm. It clearly shows that the smaller the pore, the more influent the slippage is. Moreover for large b, increasing R only shifts the conductance to higher values without changing its shape. Keeping σ and c_s fixed, $\tilde{c}_s/\sigma^* \propto R$ which shows that it becomes increasingly difficult to enter the GCE regime $(\tilde{c}_s/\sigma^* < 1)$ and therefore observe the plateau and scaling behaviors for large pores.

III. DISCUSSION

Equations (21) and (22) are quite general and should therefore apply to any cylindrical nanopore whatever its chemical composition. In particular, Eq. (21) has been successfully used to fit conductivity measurements of NaCl in polymeric track-etched nanopores with radii varying from 0.5 to 5 nm [11]. In these experiments, the conductance clearly shows a plateau at low concentrations, $c_s < 10^{-2}$ mol/L, which is the signature of a constant surface charge density. Since these nanopores were coated with hexamethyldisilazane, their surface was hydrophobic, as confirmed by MD simulations [11]. These nanopores turn out to be very weakly charged, with fitted values $0.05 < \sigma^* < 0.4$ and $b \simeq 30$ nm. Hence, the electro-osmotic contribution due to flow slippage at the nanopore surface plays a dominant role [last term on the right-hand side of Eq. (21)].

Experiments on single-walled CNTs have been performed recently for radii between 0.6 and 1 nm and using KCl [7]. For those that showed a linear I-V curve, the conductance was measured as a function of c_s . The results are reproduced in Fig. 6 together with the fits (in red) using Eqs. (21) and (22) (the mobility of K⁺ is $\mu_+ = 5.2 \times 10^{11}$ s/kg). Is also shown the surface charge density versus c_s (dotted-dashed blue curve). Figure 6(a) corresponds to a device with one

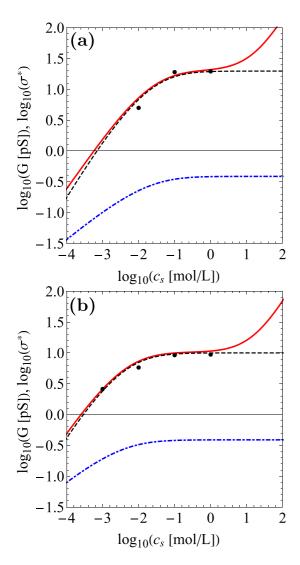


FIG. 6. Conductance (KCl) of devices with SWCNT versus the reservoir salt concentration c_s : (a) device with a single nanotube (R=0.7 nm, L=40 μ m), (b) device with 8 nanotubes (R=0.7 nm, L=20 μ m). The red solid line is the fit using Eq. (19), and the black dashed line corresponds to the slip contribution, $\propto 4b\sigma^2$. The surface charge density $\sigma^*(c_s)$ is also shown (blue dotted-dashed line). Fitting parameters are $\sigma_0^*=0.39$, $\tilde{b}=71$, and pK=4.92 (a) and 4.17 (b).

unique nanotube. For the other device [Fig. 6(b)], eight tubes are present but the number of conducting tubes is unknown. To get approximately the same conductance value as for the preceding device, we assumed that five tubes were conducting. The fits are reasonably good, the fitting parameter values (for the two devices) being b = 50 nm, $\sigma_0 = 0.041$ C/m², and pK = 4.92 for (a) and 4.17 for (b) (for pH = 7 in the experiments). These slight differences can be due to variation of the pH from one sample to the other or slight differences in the nature of surface charges. The plots clearly show that the slip contribution given in in the last term of Eq. (24) dominates the nanopore conductivity in the salt concentration range of interest (black dashed line). Moreover, charge regulation is absolutely necessary to reproduce this sub-linear dependence, which interpolates between the asymptotic very low concentration law in $c_s^{1/2}$ [electrical migration (first term in Eq. (24)]

not seen in the plots] and the plateau corresponding to surface charge saturation, by first passing through an intermediate scaling linear in c_s [second term in Eq. (24) dominated by slip]. The nanopore is weakly charged since $\sigma_0^*=0.39$, which puts this system in the homogeneous regime. This behavior is therefore qualitatively similar to that observed for the dashed-dotted red curve in Fig. 3.

Recently Secchi *et al.* [5] reported experimentally and proposed theoretically that for various nanopore radii (R = 3.5, 10, 14, 35 nm) and pH (from 4 to 10) the conductances of individual CNTs exhibit a power law $c_s^{1/3}$ behavior at low c_s . Note that, compared to the CNTs used in Ref. [7], these CNTs have much larger radii, and are multiwalled. To model their data, Secchi *et al.* simplified the problem by adopting several assumptions (including the neglect of electro-osmosis) that enable them to uncover the intermediate scaling regime in $c_s^{1/3}$, which we have shown to be visible only for very highly charged nanopores in the absence of slip.

Biesheuvel and Bazant have fitted the data of Secchi *et al.* by using the full space-charge/charge regulation model and solving numerically the PNP equations, but without slippage [25]. Although they succeeded in fitting the large radii (R = 10, 14, and 35 nm) conductivity data simultaneously for the four pH values studied using a physically reasonable surface charge density, they did not succeed in fitting the smallest pore radius (R = 3.5 nm) (the model predictions deviate considerably from experiment for the two highest pH values).

Using our model without slip, we also obtained an acceptable fit for R = 3.5 nm (not shown), but with $\sigma^* = 500$, i.e., $\sigma_0 = 10.4 \text{ C/m}^2$, which is unrealistic. In contrast, by taking into account slippage at the nanopore surface, we obtained a reasonable fit as shown in Fig. 7(a) except for the points at low pH and low c_s . The fitting parameters values are pK = 6.69, $\sigma_0 = 0.095 \text{ C/m}^2 \ (\sigma_0^* = 4.6), \text{ and } b = 45.5 \text{ nm}, \text{ which are}$ all reasonable values. In particular, the slip length is quite similar to the one used in Fig. 6. The surface charge density computed using this charge regulation model is shown in Fig. 7(b) versus c_s . It shows that at pH = 4 (dotted blue curves) the surface is practically uncharged and in the experimental concentration range we expect bulk-like behavior. For pH = 6(dotted-dashed orange curves) and 8 (dashed green curves) at low enough concentrations, we observe the weak charge GCE regime with what appears to be a 2/3 power law because of the combined contributions of electrical migration (1/3 power law) and electro-osmosis (1 power law); see Eq. (24). At pH = 8 and intermediate concentrations we also observe a 2/3power law, but now because the system is in the strong charge GCE regime where slip dominates; see Eq. (25). At pH = 10(solid red curves) the surface charge density nearly saturates to $\sigma_{\rm max} \approx \sigma_0$ already at $c_s = 0.01$ mol/L. The experimental concentrations do not, however, reach low enough values to see clearly the low concentration scaling regime, just the cross-over from an incipient constant surface charge plateau to intermediate scaling in the high surface charge GCE regime; see Eq. (25).

For the low pH = 4 value, the surface remains practically uncharged, which leads to the classical bulk behavior for the fitted conductance $G \propto c_s$, whatever the value of c_s . The data show a weaker slope (close to 1/3, as shown by Secchi *et al.*), which can be obtained for a higher value of $pH - pK \simeq 0$ [as

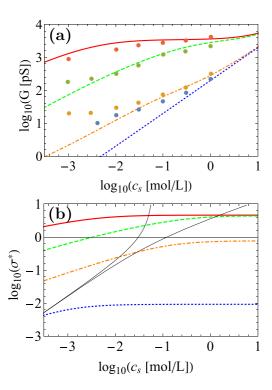


FIG. 7. (a) Conductance (KCl) measured in CNTs (data from Secchi *et al.* [5]) and fits using Eqs. (21) and (22) (R=3.5 nm, $L=3~\mu m$ and pH=4,6,8,10 from bottom to top). Fitting parameter values are: $pK=6.69,~\sigma_0^*=4.6,$ and $\tilde{b}=13$. (b) Associated (dimensionless) surface charge density $\sigma^*(\tilde{c}_s)$. The thin solid black lines correspond to $c_{\rm GCE}(\sigma^*)$ (upper) and $c_{\rm bulk}(\sigma^*)$ (lower).

shown in Fig. 4(b)]; i.e., $pK \simeq 4$. However, this would imply a much higher, probably unphysical, surface charge density for the highest pH value, which leads to a very poor fit for the three other sets of data.

One reason why we obtain a too low conductance at low c_s might be that some fixed charges remain on the nanopore surface at low pH, i.e., charged groups that cannot be neutralized by protons over the studied pH range (possessing for example a $pK \ll 4$) or surface-trapped charges due to doping. This is quite easy to implement in our model, by adding a residual negative fixed surface charge density of amplitude σ_f to the right-hand side of Eq. (1). Equation (22) is therefore modified according to

$$\tilde{c}_s = \frac{2h\sigma^*(1+\sigma^*)(\sigma^*-\sigma_f^*)(\sigma_0^*+\sigma_f^*-\sigma^*)}{[\sigma_0^*-(1+h)(\sigma^*-\sigma_f^*)][\sigma_0^*-(1-h)(\sigma^*-\sigma_f^*)]},$$
(26)

and the parametric plot is done by enforcing that $\sigma_f \leq \sigma \leq \sigma_f + \sigma_{max}(pH)$. The corresponding plot is shown in Fig. 8. The fit is much improved for low pH (dotted blue and dotted-dashed orange curves), showing a plateau at very low c_s . This plateau is due to the weak fixed surface charge density $\sigma_f = 6.9 \times 10^{-3} \, \text{C/m}^2$, whereas $\sigma_0 = 0.124 \, \text{C/m}^2$. The fits at high pH are therefore not affected by this residual charge. The pK = 6.53 is almost identical to the one found for $\sigma_f = 0$, whereas $b = 22 \, \text{nm}$ is slightly smaller. More data are needed at $pH \leq 6$ and

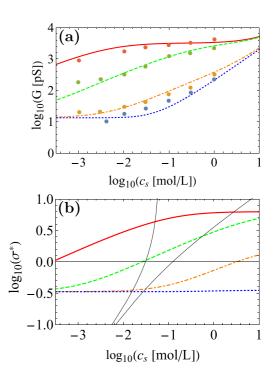


FIG. 8. Same plots as in Fig. 7 but with an additional residual surface charge density $\sigma_{\rm f}$, i.e., fits are done using Eqs. (21) and (26). Fitting parameter values are: pK = 6.53, $\sigma_{\rm 0}^* = 5.96$, $\tilde{b} = 6.35$, and $\sigma_{\rm f}^* = 0.33$.

 $c_s \simeq 10^{-3} \text{ mol/L}$ to confirm the existence of such a residual surface charge.

Note that in this paper we assumed that the nanopore is uniformly charged. The eventual presence of charge defects leads to nonlinear *I-V* curves such as observed in Ref. [7]. Furthermore, we adopted the bulk values for the ionic mobilities and treated the ions at the mean-field level (thereby neglecting the effects of excluded volume, ionic correlations, and dielectric exclusion [33,35]). Finally, we decided not to introduce a slip length that varies with the surface charge, but we have checked that the formula proposed by Huang et al. in Ref. [36] and fitted from molecular dynamics simulations does not change the results. Secchi et al. have shown recently [28] that the slip length in CNTs increases very quickly when the pore radius decreases from 50 to 15 nm, which will accentuate the increasing influence of slip for decreasing pore radius (see Fig. 5). However, a precise (experimental) law is still missing for smaller radii. Should this law be obtained in the near future, it would be easy to implement it in our

In conclusion, a relatively simple analytical formula has been derived for the conductance in nanopores. It combines the classical bulk transport equation incorporating electrical migration with the electro-osmotic contributions. This last contribution may become important in small nanopores with high surface charge and/or large slip length, which is the especially case in CNTs. The charge regulation model is also solved analytically using an approximate formula appropriate for cylindrical nanopores that interpolates between the homogeneous regime (described by the Donnan potential,

valid for sufficiently weak surface charge density), the exact good co-ion exclusion limit (valid for sufficiently low salt concentration), and bulk behavior (valid for sufficiently high salt concentration). This formula allows us to extract the pK, the saturation surface charge density σ_0 and the slip length b from experimental conductance measurements in track-etched nanotubes and CNTs. In particular a large variety of apparent exponents α governing the scaling regime of the conductance, $G \propto c_s^{\alpha}$, are observed at low and intermediate salt concentrations (between 10^{-6} and 0.1 mol/L for nanopores), i.e., the experimental range of interest. For small diameter nanopores without flow slippage we find $\alpha \leq 1/2$, in agreement with Ref. [25]), whereas for hydrophobic nanopores at high pH with strong slip effects, $1/2 \le \alpha \le 1$ before a plateau ($\alpha = 0$) at intermediate concentrations. At low pH the conductance crosses overs smoothly from the $\alpha = 1/2$ scaling at low concentration to a bulk behavior, $\alpha = 1$, at high salt concentration. For small radius nanopores, the $\alpha = 1/3$ scaling proposed in Ref. [5] is uncovered as an intermediary cross-over regime that is only visible for unphysically high surface charge densities. It would be interesting if experiments could clearly detect the various accessible scaling regimes, especially the strong slip one and its qualitative signature, namely that at sufficiently high pH the conductivity approaches the asymptotic low concentration scaling ($\alpha = 1/2$) from above in the presence of slip and below without (see Fig. 4). To fit the data for the 3.5-nm radius CNT of Ref. [5] using physically reasonable surface charge densities, we have shown that is necessary to include not only slip, but also a fixed surface charge (in addition to a charge regulation contribution).

We hope that the relatively simple analytic approach proposed here will help experimentalists not only to better characterize their nanopores, but also to better plan their experiments and ameliorate their nanopore design protocols. After more high-quality conductivity measurements for CNTs are modeled using our approach, a clear assessment can be made to determine if in this case the Space Charge model needs to be extended beyond the present model. A key open question concerns the importance of the dielectric, ion correlation, and excluded volume effects mentioned above, which provide corrections to the mean field space charge model, on ionic conductivity in nanopores, especially when coupled to charge regulation (for work in this direction see Refs. [34,35]). If there is experimental evidence for the need for further extensions, the important recent modeling work discussed in the introduction could also provide significant contributions [18,29–32]).

Note added: While this article was under revision, an article citing our work (preprint [37]) was submitted and published [38]. This recent modeling work, which included charge regulation, but not slip, reproduced our scaling analysis without slip and fully corroborated it by solving numerically the full space charge Model. When applied to the CNTs studied in Ref. [5], this approach led to good simultaneous fits to the conductivity data for the 35-nm radius nanopore as a function of salt concentration for the four pH values studied, but not for the 3.5-nm radius one at pH 6, despite using an unphysically high maximum surface charge density (a maximum ionizable surface site density of $19/\text{nm}^2$, equivalent to a maximum surface charge density of 3 C/m^2 , obtainable at high pH). This value, which is greater than the one obtained for extremely

highly charged BNNTs [8], does not appear to be compatible with what is known about CNTs [39]. Figure 2(d) of Ref. [25] shows that if physically reasonable values of maximum surface charge density are used without slip the situation is even worse for the 3.5 nm radius nanopore (the data for the two highest *pH* values are poorly fitted).

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APPENDIX: GENERAL RESULT FOR THE SLIP CONTRIBUTION TO CONDUCTIVITY

In this Appendix, we show that the slip contribution to conductivity is, within the scope of the PNP model, an additional contribution equal to

$$\kappa_{\text{slip}} = \frac{2\sigma^2 b}{nR},\tag{A1}$$

as given in Eq. (18) (see the Supplemental Material for Ref. [11]). The Stokes equation along the axial direction z is

$$\frac{\eta}{r}\partial_r(r\partial_r v_z) - \rho_c\partial_z V - \partial_z p = 0, \tag{A2}$$

where V(z) = -zE arises from the applied voltage difference, $\Delta V = -LE$ (where L is the length of the nanopore, p is the pressure, and the charge density ρ_c is related to the electrostatic potential $\phi(r)$ through the Poisson equation,

$$\rho_c = -\frac{\epsilon_0 \epsilon}{r} \partial_r (r \partial_r \phi). \tag{A3}$$

Inserting Eq. (A3) in Eq. (A1) and using the slip boundary condition,

$$v_{z}(r) + b\partial_{r}v_{z}(r)|_{r=R} = 0, \tag{A4}$$

and the Gauss law $\partial_r \phi|_{r=R} = \sigma/(\epsilon_0 \epsilon)$, yields the modified Helmholtz-Smoluchowski equation,

$$v_z(r) = -\frac{\epsilon_0 \epsilon}{\eta} [\phi(R) - \phi(r)] \partial_z V - \frac{\partial_z p}{4\eta} (R^2 - r^2) + v_{\text{slip},z}, \tag{A5}$$

where the slip velocity is

$$v_{\text{slip},z} = \frac{b}{\eta} \left(\sigma \, \partial_z V - \frac{R}{2} \, \partial_z p \right). \tag{A6}$$

Since the slip velocity is a constant, the advective contribution to the pore averaged electric current is directly

$$J_{\text{slip},z} = v_{\text{slip},z} \langle \rho_c(r) \rangle = -2 \frac{b\sigma}{\eta R} \left(\sigma \, \partial_z V - \frac{R}{2} \, \partial_z p \right), \quad (A7)$$

where the electroneutrality, $\langle \rho_c(r) \rangle = -2\sigma/R$, in the pore has been used. Hence, the slip contribution to conductivity, defined by $\kappa_{\rm slip} = -J_{\rm slip,z}/\partial_z V$ for $\partial_z p = 0$, is given by Eq. (A1).

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