Golf-course and funnel energy landscapes: Protein folding concepts in martensites

N. Shankaraiah

TIFR Centre for Interdisciplinary Sciences, 21 Brundavan Colony, Narsingi, Hyderabad 500075, India
(Received 14 December 2016; published 26 June 2017; corrected 7 September 2017)

We use protein folding energy landscape concepts such as golf course and funnel to study re-equilibration in athermal martensites under systematic temperature quench Monte Carlo simulations. On quenching below a transition temperature, the seeded high-symmetry parent-phase austenite that converts to the low-symmetry product-phase martensite, through autocatalytic twinning or elastic photocopying, has both rapid conversions and incubation delays in the temperature-time-transformation phase diagram. We find the rapid (incubation delays) conversions at low (high) temperatures arises from the presence of large (small) size of golf-course edge that has the funnel inside for negative energy states. In the incubating state, the strain structure factor enters into the Brillouin-zone golf course through searches for finite transitional pathways which close off at the transition temperature with Vogel-Fulcher divergences that are insensitive to Hamiltonian energy scales and log-normal distributions, as signatures of dominant entropy barriers. The crossing of the entropy barrier is identified through energy occupancy distributions, Monte Carlo acceptance fractions, heat emission, and internal work. The above ideas had previously been presented for the scalar order parameter case. Here we show similar results are also obtained for vector order parameters.

I. INTRODUCTION

Energy landscape concepts [1] such as golf course and funnel are used in proteins [2–4] to understand the folding kinetics, in temperature (on the x axis) and time (on the y axis) diagrams [5,6]: (i) rapid folding below a transition temperature and slow folding above it and (ii) U-shaped folding curves. The rapid folding changes to become slow at low temperatures on changing the roughness of the funnel [7]. The glassy ruggedness and slope of the folding funnel are estimated [3] from experimental data. In a simple model of Brownian particle searching outside a golf course ("unfolded state") for a funnel inside it ("folded state") find entropic barriers at the golf-course edge and exponential relaxation kinetics [8]. In an off-lattice Go model for an inherent structure energy landscape of proteins a time-dependent effective temperature is obtained from internal energy and entropy [9]. In a topology-based dynamical model, the Vogel-Fulcher divergences that are well known in glasses [10] and broad distributions are found for unfolding of proteins [11]. Such slow relaxations in glasses are understood to arise from entropy barriers *alone* in a simple microscopic model without energy barriers [12,13].

Martensites are materials [14,15] that undergo a diffusionless and displacive first-order phase transition on cooling or under external stress, from high-temperature high-symmetry parent austenite unit cell to low-temperature low-symmetry product martensite unit cells or variants (N_v). Steels, shape memory alloys, high-T_c superconductors, ceramics, oxides, and proteins are a few examples [14,15]. A subset of physical strain components (N_{OP}) are the order parameters (OPs) and the remaining non-OP strains are minimized subject to a no-defect Saint-Venant compatibility constraint that induces scale-free, power-law, anisotropic interactions which orient the domain walls in preferred crystallographic directions [16]. Martensites can have an exponentially large number of multivariant twinned states or nonuniform metastable local minima competing with a single uniform global minimum [17]. Martensites are classified based on conversion times [18,19]: (i) *athermal*,

which are expected to have rapid austenite-to-martensite conversions in milliseconds on quenching below a transition temperature and no conversions above it; and (ii) *isothermal*, which can have slow conversions in minutes or hours.

Systematic temperature quench Monte Carlo (MC) simulations are performed on strain-pseudospin clock-zero model Hamiltonians in two spatial dimensions for scalar-OP ($N_{OP} = 1$) square-rectangle (SR, $N_v + 1 = 3$) transition [17,20], and vector-OP ($N_{OP} = 2$) triangle-centered rectangle (TCR, $N_v + 1 = 4$), square-oblique (SO, $N_v + 1 = 5$), and triangle-oblique (TO, $N_v + 1 = 7$) transitions [21] and found both isothermal and athermal martensite parameter regimes. The pseudospin strain textures obtained from MC simulations and local mean field [17,20–22] are in very good agreement with experiments [23–25]. In the *temperature-time-transformation* (TTT) diagram with temperature on the x axis and time on the y axis [17,20,21], (i) *athermal* martensites have rapid conversions below a transition temperature and delays above it as in experiments, with Vogel-Fulcher divergences that are insensitive to Hamiltonian energy scales, understood from the presence of nonactivated *entropy barriers*; and (ii) *isothermal* ones have U-shaped conversion curves, as expected to arise from activated *energy barriers*. The shapes of TTT curves transform from rapid to slow (or athermal to isothermal) at low temperatures on changing the material elastic stiffness constant [17,20,21].

In the athermal martensite regime, golf-course and funnel energy landscapes that appear in *Fourier space* naturally in a simple three-state strain-pseudospin clock-zero model Hamiltonian for scalar-OP ($N_{OP} = 1$) square-rectangle transition are used to study the rapid and slow austenite-to-martensite conversions and re-equilibration under systematic temperature quench MC simulations [26]. Energy landscape concepts in martensites are used in other contexts [27–32]. In perovskite manganites, the strain-induced metal-insulator phase coexistence is understood through an elastic energy landscape [27]. In a binary alloy system, the crystallization of strain glass and its properties are studied using the frustrated free-energy

landscape [28]. In the iron nanoislands system, an energy landscape is modeled to study the dynamics of electrically driven body-centered cubic to face-centered cubic phase transition [29]. In a single crystal, the Peierls-Nabarro energy landscape is used to model cubic-monoclinic transition [30]. In a shape memory alloy, the distortion-shuffle energy landscape is used to identify the energy barrier for cubic-orthorhombic transition [31]. The global complex energy landscapes are proposed to model elastic moduli and energy barriers for cubic-tetragonal and cubic-monoclinic transitions [32].

In this paper, the athermal regime re-equilibration and nature of entropy barriers are studied in four-state, five-state, and seven-state strain-pseudospin clock-zero model Hamiltonians for vector-OP ($N_{OP} = 2$) triangle-centered rectangle, square-oblique, and triangle-oblique transitions using naturally appearing *Fourier space* golf-course and funnel energy landscapes, and MC acceptance fractions. The rapid and slow incubation-delay conversions are found to arise from the presence of large and small size of the golf-course edges. The Vogel-Fulcher conversion delays that are insensitive to Hamiltonian energy scales are found to have log-normal distributions that are signatures of rare events [33]. The number of successful conversions, that are also insensitive to energy scales, vanishes where the entropy barriers diverge [12,17,20]. In the incubating state, the crossing of the entropy barrier is identified in energy occupancy distributions, MC acceptance fractions, and heat and work releases as the structure factor enters into the Brillouin-zone (BZ) golf course through searches for rare energy-lowering pathways and *elastic photocopying* [34,35].

This paper is organized as follows. In Sec. II, we discuss the strain-pseudospin clock-zero model Hamiltonians and the MC simulation techniques. Section III contains golf courses, funnels, and conversion times; evolution of strain textures in coordinate and Fourier spaces; and energy occupancy distributions of structure factor. We also present the MC acceptance fractions and work and heat releases. Finally, Sec. IV is a summary with an overview of potential further work.

II. STRAIN-PSEUDOSPIN CLOCK-ZERO MODEL HAMILTONIANS

The pseudospin clock-zero model Hamiltonians for TCR, SO, and TO transitions were systematically derived from scaled continuous-strain free energies [16]. We outline here for completeness. In d-spatial dimensions, the distortions of a unit cell are described by $\frac{1}{2}d(d+1)$ Cartesian strain tensor components $e_{\mu\nu}$ and physical strains e_α are linear combinations of these components ($e_{\mu\nu}$). In $d = 1$ dimensions, there is only one strain $e = \partial u(x)/\partial x$. In $d = 2$ dimensions, there are three distinct physical strains, namely, dilatational or compressional (e_1), rectangular or deviatoric (e_2), and shear (e_3):

$$e_1 = \frac{1}{\sqrt{2}}(e_{xx} + e_{yy}),$$

$$e_2 = \frac{1}{\sqrt{2}}(e_{xx} - e_{yy}), \quad e_3 = e_{xy}, \quad (2.1)$$

where e_{xy}, e_{yx} are tilts and e_{xx}, e_{yy} are stretches or compressions along x and y directions of a unit cell. A subset of physical strains (N_{OP}) contains the OP and the remaining are

non-OP strains, which cannot be set to zero. In d dimensions, $\frac{1}{2}d(d+1) - N_{OP}$ are the non-OP strains that are minimized subject to $\frac{1}{2}d(d-1)$ Saint-Venant compatibility constraints that ensure that all the distorted unit cells fit together smoothly so that no dislocations are generated throughout the system. For TCR, SO, and TO transitions, we have $\vec{e} = (e_2, e_3)$ as two-component vector-OP ($N_{OP} = 2$) strain and e_1 as non-OP strain inducing the single compatibility constraint.

The scaled free energy [16] has a transition specific Landau term $\bar{F}_L$ that has ($N_v + 1$) degenerate energy minima at the first-order transition; a Ginzburg term for domain-wall energy costs, quadratic in the OP gradients $\bar{F}_G$; and a compatibility-induced term harmonic in the non-OP strains $\bar{F}_{non}$. Thus

$$F = E_0[\bar{F}_L + \bar{F}_G + \bar{F}_{non}], \quad (2.2)$$

where E_0 is an elastic energy per unit cell.

The discrete-strain pseudospin model Hamiltonians are derived [16] by choosing continuous-strain OP $\vec{e} = (e_2, e_3)$ values only at the $N_v + 1$ Landau minima $\vec{e}(\vec{r}) = |e|(\cos\phi, \sin\phi) \rightarrow \bar{\varepsilon}(\tau)\vec{S}(\vec{r})$ into the total free energy of Eq. (2.2):

$$\beta H(\vec{S}) \equiv \beta F(\vec{e} \rightarrow \bar{\varepsilon}\vec{S}). \quad (2.3)$$

The Landau term in Fourier space becomes

$$H_L(\vec{S}) = \bar{\varepsilon}^2 \sum_{\vec{r}} g_L(\tau)\vec{S}^2(\vec{r}) = \bar{\varepsilon}^2 \sum_{\vec{k}} g_L(\tau)|\vec{S}(\vec{k})|^2, \quad (2.4a)$$

where $g_L = \tau - 1 + (\bar{\varepsilon} - 1)^2$ with $\bar{\varepsilon}^2(\tau) = \frac{3}{4}\{1 + \sqrt{1 - 8\tau/9}\}$ for TCR and $g_L = \tau - 1 + (\bar{\varepsilon}^2 - 1)^2$ with $\bar{\varepsilon}^2(\tau) = \frac{2}{3}\{1 + \sqrt{1 - 3\tau/4}\}$ for SO and TO transitions. The scaled temperature is defined as

$$\tau = \frac{T - T_c}{T_0 - T_c}, \quad (2.4b)$$

where T_0 is the first-order Landau transition temperature and T_c is the metastable austenite spinodal temperature.

The Ginzburg term becomes

$$H_G(\vec{\nabla}\vec{S}) = \xi^2\bar{\varepsilon}^2 \sum_{\vec{r}} (\vec{\nabla}\vec{S})^2 = \xi^2\bar{\varepsilon}^2 \sum_{\vec{k}} \vec{K}^2|\vec{S}(\vec{k})|^2 \quad (2.5)$$

where ξ is the domain-wall thickness constant.

The harmonic non-OP term is minimized subject to Saint-Venant compatibility constraint $\vec{\Delta} \times [\vec{\nabla} \times \vec{e}(\vec{r})]^T = 0$ for physical strains [16] that guarantees the lattice integrity during distortion of the unit cells throughout the system:

$$\vec{\Delta}^2 e_1 - (\Delta_x^2 - \Delta_y^2)e_2 - 2\Delta_x\Delta_y e_3 = 0, \quad (2.6a)$$

with gradient terms as difference operators $\vec{\nabla} \rightarrow \vec{\Delta}$ for sites $\vec{r}$ on a computational grid. In Fourier space, with $\mu = x, y$ and $k_\mu \rightarrow K_\mu(\vec{k}) \equiv 2\sin(k_\mu/2)$, Eq. (2.6a) becomes

$$O_1 e_1 + O_2 e_2 + O_3 e_3 = 0, \quad (2.6b)$$

with the coefficients $O_1 = -\frac{1}{\sqrt{2}}\vec{K}^2$, $O_2 = \frac{1}{\sqrt{2}}(K_x^2 - K_y^2)$, and $O_3 = 2K_x K_y$ for the square lattice and $O_1 = -\vec{K}^2$, $O_2 = (K_x^2 - K_y^2)$, and $O_3 = 2K_x K_y$ for the triangular lattice. Here, $\vec{K}^2 = (K_x^2 + K_y^2)$.

The minimization of non-OP strain generates scale-free and power-law anisotropic interactions between the OP strains and
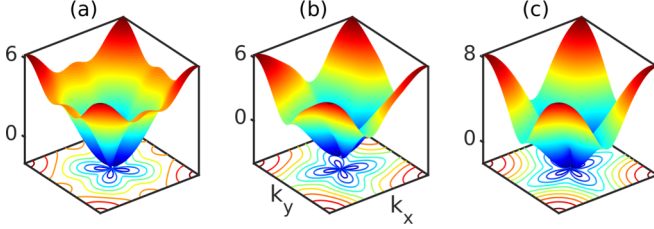
FIG. 1. The martensite energy landscape spectrum: The relief plot of $\epsilon_{\ell\ell'}(\vec{k})$, dimensionless martensite energy spectrum (a) $\epsilon_{22}(\vec{k})$, (b) $\epsilon_{23}(\vec{k})$, and (c) $\epsilon_{33}(\vec{k})$ for TCR, SO, and TO transitions.

becomes

$$H_C = \frac{A_1}{2}\bar{\varepsilon}^2 \sum_{\vec{k}} \sum_{\ell,\ell'=2,3} S_\ell(\vec{k})U_{\ell\ell'}(\vec{k})S_\ell^*(\vec{k}) \qquad (2.7)$$

where A_1 is the *elastic stiffness* constant. The kernels plotted in [21] are $U_{22}(\vec{k}) = v(O_2/O_1)^2$, $U_{23}(\vec{k}) = v(O_2 O_3/O_1)^2$, and $U_{33}(\vec{k}) = v(O_3/O_1)^2$ with $v = (1 - \delta_{\vec{k},0})$.

The Hamiltonian is diagonal in Fourier space [21]:

$$H(\vec{S}) = \frac{K_0}{2} \sum_{\vec{k}} \sum_{\ell,\ell'=2,3} \epsilon_{\ell\ell'}(\vec{k})S_\ell(\vec{k})S_{\ell'}^*(\vec{k}). \qquad (2.8a)$$

The dimensionless martensite strain spectrum,

$$\epsilon_{\ell\ell'}(\vec{k}) \equiv K_0\left[\{g_L(\tau) + \xi^2 \vec{K}^2\}\delta_{\ell\ell'} + \frac{A_1}{2}(1 - \delta_{\vec{k},0})U_{\ell\ell'}(\vec{k})\right], \qquad (2.8b)$$

is plotted in Fig. 1 for $T = 0.79$, which depicts the energy landscapes similar to that used in protein folding [2–8]. Here, $K_0(T) = 2E_0\bar{\varepsilon}(T)^2$. This is a clock-zero model Hamiltonian with an austenite $\vec{S} = (S_2, S_3) = (0,0)$ and N_v martensite variants:

$$\vec{S} = (1,0), \left(-\frac{1}{2}, \pm\frac{\sqrt{3}}{2}\right); \left(\pm\frac{1}{2}, \pm\frac{1}{2}\right); (\pm 1,0),$$

$$\left(\pm\frac{1}{2}, \pm\frac{\sqrt{3}}{2}\right) \qquad (2.8c)$$

for TCR ($N_v + 1 = 4$), SO ($N_v + 1 = 5$), and TO ($N_v + 1 = 7$) transitions, respectively.

We have carried out systematic MC temperature quench and hold simulations on a square lattice in two dimensions [21]. We quench the austenite with 2% of randomly sprinkled martensite seeds of N_v strain-pseudospin values, at $t = 0$, to below the Landau transition $T \ll T_0$ and held for $t \leqslant t_h$ MC sweeps (MCSs). The Metropolis algorithm [36,37] is used for acceptance of energy changes that are calculated through fast Fourier transforms. We visit all $N = L \times L$ sites randomly, but only once, in each MCS. Parameters are $L = 64, T_0 = 1; T_c/T_0 = 0.9, \xi = 1; A_1 = 4; 2A_1/A_3 = 1; E_0 = 3, 4, 5, 6; t_h \leqslant 10\,000$, and conversion times are averaged over $N_{\text{runs}} = 100$ runs.

The TTT phase diagram for TCR, SO, and TO transitions is depicted in Fig. 2 as a log-linear plot of conversion times $\bar{t}_m$ versus scaled temperature variable [17,21] $\eta(T) = \{g_L(\tau) + A_1[U]/2\}/2\xi^2$ that shows the boundary between
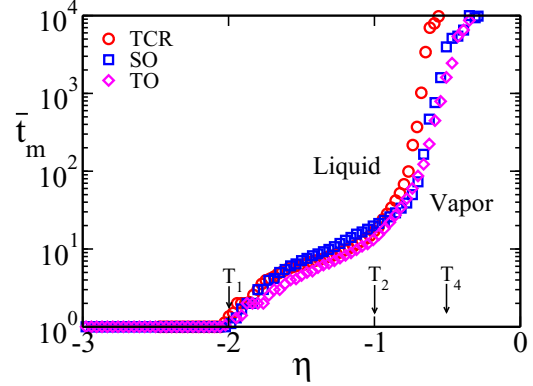

FIG. 2. Temperature-time-transformation phase diagram: On quenching, conversion times $\bar{t}_m$ for domain-wall vapor to liquid have rapid, moderate, and delayed conversions at the transition temperatures $T = T_1(= 0.15, 0.38, 0.38)$, $T_2(= 0.47, 0.55, 0.61)$, and $T_4(= 0.68, 0.81, 0.81)$ that are marked in the scaled temperature variable $\eta(T)$ for TCR, SO, and TO transitions.

domain-wall (DW) vapor and liquid phases. Here $[U] \simeq 0.5$ is the BZ average of $U_{\ell\ell'}(\vec{k})$ in TCR, SO, and TO transitions. The crossover temperatures that are understood through the parametrization of pseudospin strain textures with an effective droplet energy [21] are $T = T_1$ or $\eta(T) = -2$ where conversion times (in units of MCS) $\bar{t}_m \sim 1$, $T = T_2$, or $\eta(T) = -1$ where $\bar{t}_m \sim 10$ and $T = T_4$ or $\eta(T) \sim -0.5$ where $\bar{t}_m$ diverges. For $T > T_4$, there are no conversions to martensite and hence the initial seeds disappear to go back to austenite.

To study the re-equilibration under a quench-and-hold protocol, we track the dynamic structure factor [26]

$$\rho(\vec{k},t) \equiv |\vec{S}(\vec{k},t)|^2 \qquad (2.9)$$

and its BZ average, the martensite fraction,

$$n_m(t) = \frac{1}{N}\sum_{\vec{k}} \rho(\vec{k},t) = \frac{1}{N}\sum_{\vec{k}} |\vec{S}(\vec{k},t)|^2, \qquad (2.10)$$

that is zero in austenite and unity in twinned or uniform martensite. We define conversion time $t = t_m$ when $n_m(t_m) = 0.5$ or 50% [17,20,21,26]. On quenching to different temperatures, we find the conversion success fraction [17] ϕ_m that is the number of successful conversion pathways to martensite out of N_{runs}.

III. RESULTS AND DISCUSSIONS

A. Golf courses, funnels, and conversion times

On quenching to a temperature $T_2 < T < T_4$, Fig. 3 shows the single run Hamiltonian energy of Eq. (2.8a) that is quite flat at $H(t) \equiv H_{22} + H_{23} + H_{33} \simeq 0$ (and $H_{22} = H_{23} = H_{33} \simeq 0$) during incubation and then falls rapidly to lower energies in TCR, SO, and TO transitions. So the relevant spectrum is thus a zero-energy plane through the $\epsilon_{\ell\ell'}(\vec{k}) = 0$ or $\epsilon_{22}(\vec{k}) = \epsilon_{23}(\vec{k}) = \epsilon_{33}(\vec{k}) \simeq 0$ surface and the negative energies below it. The resulting relief plot of $\epsilon_{\ell\ell'}(\vec{k})$ is shown in Fig. 4 (top row) that depicts a momentum space anisotropic golf course defined by $\epsilon_{\ell\ell'}(k) = 0$ and funnel for $\epsilon_{\ell\ell'}(k) < 0$ inside it. Figure 4 (bottom row) shows the temperature-dependent,
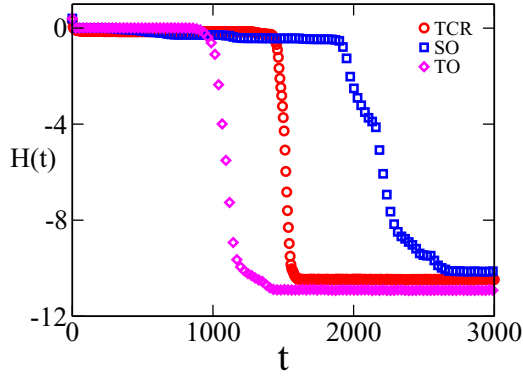
FIG. 3. Evolution of Hamiltonian energy: On quenching to a temperature $T \simeq T_4$, the total Hamiltonian energy $H(t)$ vs time t (in MCS) showing incubation at constant energy $H(t) \sim 0$ in TCR, SO, and TO transitions.

anisotropic golf-course edge that is large (small) at low (high) temperatures. Such energy landscape concepts are used in configuration space to study the rapid and slow folding of proteins [2–4,8].

Figure 5 shows the single run martensite fraction $n_m(t)$ versus time t after quenches to T_1, T_2, and T_4 that have different values in different transitions [21]. See Fig. 2. The martensite fraction $n_m(t)$ rises rapidly to unity and conversion times $t_m \simeq$ 1 MCS at low temperatures $T = T_1$ where the golf-course edge is large. At moderate temperatures $T = T_2$, the golf-course edge is moderate and $t_m \simeq 10$ MCS. As the transition is approached $T \simeq T_4 (\ll T_0)$, $n_m(t)$ shows *incubation* behavior and $t_m \sim 10^3 - 10^4$ MCS where the golf-course edge is small [26]. For $T > T_4$, the four petaled golf-course topology provides an infinite entropy barrier for conversions [26].

The temperature dependence of conversion times [21] $\bar{t}_m(T)$ and conversion success fraction ϕ_m for a fixed elastic stiffness
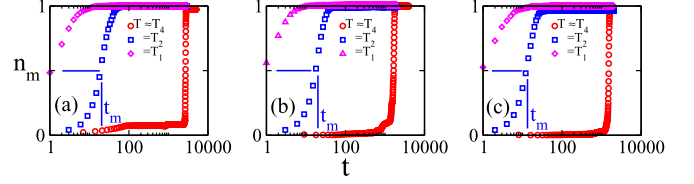

FIG. 5. Martensite fraction: On quenching to temperatures $\Delta T = -0.06 (T \approx T_4), -0.16 (T = T_2), -0.52 (T = T_1)$, martensite fraction $n_m(t)$ vs time t showing incubation delays and rapid conversions, respectively, in (a) TCR, (b) SO, and (c) TO transitions. The conversion time $t = t_m$ is marked at $n_m(t_m) = 0.5$.

A_1 and different Hamiltonian energy scales E_0 is plotted in Fig. 6 for TCR, SO, and TO transitions. The conversion success fraction ϕ_m is unity for $T < T_1$, where conversion times $\bar{t}_m \sim 1$ MCS, and decreases linearly at $T = T_2$, where $\bar{t}_m \simeq$ 10 MCS, to become $\phi_m = 0$ at $T \simeq T_4$ with Vogel-Fulcher conversion times [10] $\bar{t}_m = t_0 \exp[b_0|T_1 - T_4|/|T - T_4|]$, with $t_0 = 1.6, b_0 = 1.7$. The success fraction ϕ_m and conversion times $\bar{t}_m$ are found insensitive to energy scales E_0 and hence are understood to arise from the dominant entropy barriers that vanish at $T = T_1$ and diverge at $T \simeq T_4$ with vanishing of rare conversion pathways [17].

We calculate the arithmetic mean rate $\langle r_m \rangle \equiv \langle 1/t_m \rangle$ that determines $\bar{t}_m = 1/\langle \bar{r}_m \rangle$, with $1/t_h < r_m < 1$ in TCR, SO, and TO transitions. The variance in the rates is $\sigma_{r_m}^2 = \langle (r_m - \langle r_m \rangle)^2 \rangle$. The probability densities $P(r_m)$ versus r_m for various ΔT are shown in Fig. 7, as histograms for different temperatures. For each histogram of N_{hist} data points, the Scott optimized bin size [38] is used, of $dr_m = 3.5\sigma_r/[N_{\text{hist}}]^{1/3}$, as in the SR case [17]. The histograms again narrow sharply for $T < T_2$, as in the delta-function-like peak on the right. See also Figs. 5 and 6. For calculated $\langle r_m \rangle$ and $\sigma_{r_m}^2$ from the data, the best fits shown as solid lines are the log-normal curves that are signatures of rare events [33].
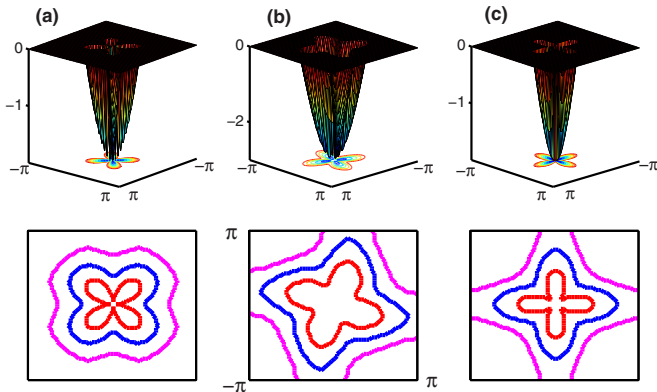

FIG. 4. Anisotropic golf courses and funnels: Relief plot of relevant martensite spectrum $\epsilon_{\ell\ell'}(\vec{k})$ vs $\vec{k}$ in the Brillouin zone for $T = 0.79 (<T_4)$ showing the anisotropic golf course, with a zero-energy plane that has a funnel for negative energies as shown in (a) $\epsilon_{22}(\vec{k})$, (b) $\epsilon_{23}(\vec{k})$, and (c) $\epsilon_{33}(\vec{k})$. The edge of golf course $\epsilon_{22}(\vec{k}) = 0$, $\epsilon_{23}(\vec{k}) = 0$, and $\epsilon_{33}(\vec{k}) = 0$ is plotted, respectively, in the bottom row, at $T_1 (= 0.38)$ (in pink), $T_2 (= 0.55)$ (in blue), and $T_4 (= 0.81)$ (in red) of SO transition.
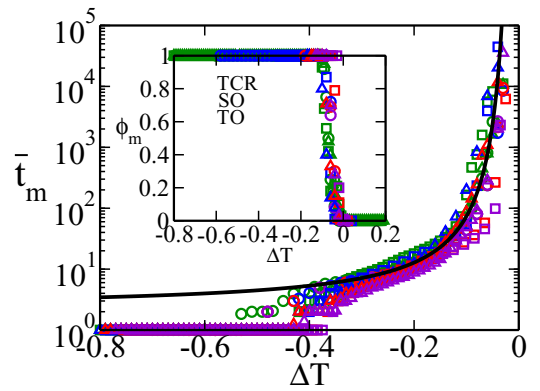

FIG. 6. Vogel-Fulcher conversions and vanishing pathways: The log-linear plot of mean conversion times $\log_{10}(\bar{t}_m)$ vs temperature deviations $\Delta T = T - T_4$ showing, at transition T_4, Vogel-Fulcher divergences that are independent of energy scales $E_0 = 3, 4, 5$, and 6. Inset: The success fraction ϕ_m vs ΔT showing, at the transition T_4, vanishing of rare conversion pathways and insensitivity to E_0.
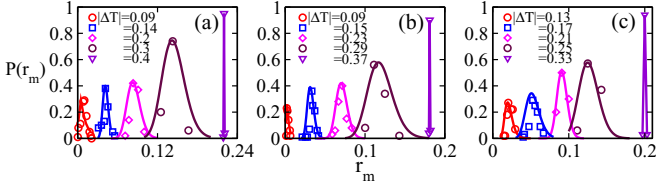
FIG. 7. Log-normal distribution of conversion rates: The probability distributions $P(r_m)$ vs conversion rates r_m plotted for different temperature deviations ΔT for (a) TCR, (b) SO, and (c) TO transitions. The log-normal distributions (solid lines) are the best fits to the data (symbols).

B. Evolution of strain textures in Fourier space

For deep quenches $T < T_1$, the edge is large and hence the structure factor distribution $\ln[1 + |\vec{S}(\vec{k},t)|^2]$ rolls into the golf course quickly within $\bar{t}_m \sim 1$ MCS. For moderate quenches $T_1 < T < T_2$, the edge is also moderate and hence the distribution enters into the golf course in $\bar{t}_m \sim 10$ MCS. To study the re-equilibration and nature of the entropy barriers, we consider the shallow quenches $T_2 < T < T_4$ where the distribution shows *ageing* or *incubation* to enter into the golf course.

After a temperature quench, we track the strain-pseudospin $\vec{S} = (S_2, S_3)$ textures in terms of variant label V that can be $V = 0$ in the austenite and $V = 1, 2, \ldots, N_v$ in the martensite to represent the variants of Eq. (2.8c) for TCR, SO, and TO transitions. We define energy occupancy $\rho(\epsilon, t)$ or Fourier intensity at a given $\vec{k}$, as in protein folding simulations [9]:

$$\rho(\epsilon, t) = \frac{\sum_{\vec{k}} \sum_{\ell, \ell'=2,3} \delta_{\epsilon_{\ell\ell'}, \epsilon_{\ell\ell'}(\vec{k})} \rho(\vec{k}, t)}{\sum_{\vec{k}} \rho(\vec{k}, t)}. \qquad (3.1)$$

Figures 8–10 show the single run evolution of the strain textures both in coordinate and Fourier spaces and also the energy occupancy for TCR, SO, and TO transitions. See [39]. On quenching the dilutely seeded austenite into $T_2 < T < T_4(\ll T_0)$, the coordinate space textures (first row) as found earlier in [21] show that the initial $t = 0$ dilute martensite seeds in the austenite disappear quickly to form single variant droplet(s), recalling Ostwald ripening, to form DW vapor. The incubating vapor droplet(s) grows through fluctuations and *autocatalytic twinning* or *elastic photocopying* [34,35] to convert to DW liquid of wandering walls. The domain walls then orient at a later time into the preferred crystallographic directions to form DW crystal.

The conversion-incubation time is best understood in Fourier space. The second row of Figs. 8–10 shows the same evolving strain textures but now in Fourier space as contour plots. The initial distribution of dilute martensite seeds at $t = 0$ rapidly convert to isotropic Gaussian distribution (broad +-shape distribution in the case of TCR) of DW vapor that incubates and generates wings along the k_x axis to reduce in size with an increase in height as in the SR case [17]. The wings along the k_x axis (both axes in the case of TCR) persist for a long time before generating wings along the k_y axis during elastic photocopying. The anisotropies along both axes reduce and width becomes small in size for the +-shape distribution of DW liquid to fit and enter into the golf course at $t = t_m$. Thus, finding out these constant-energy anisotropic pathways constitute an entropy barrier. Once inside the funnel, the distribution of liquid orients along the preferred directions to form the DW crystal.

The evolution of energy occupancy $\rho_{22}(\epsilon, t)$ versus $\epsilon_{22}(\vec{k})$ is shown in the third row of Figs. 8–10. The evolution of the total occupancy $\rho(\epsilon, t)$ versus ϵ shows a similar behavior (not shown). The edge of the golf course is $\epsilon_{22}(\vec{k}) = 0$. In
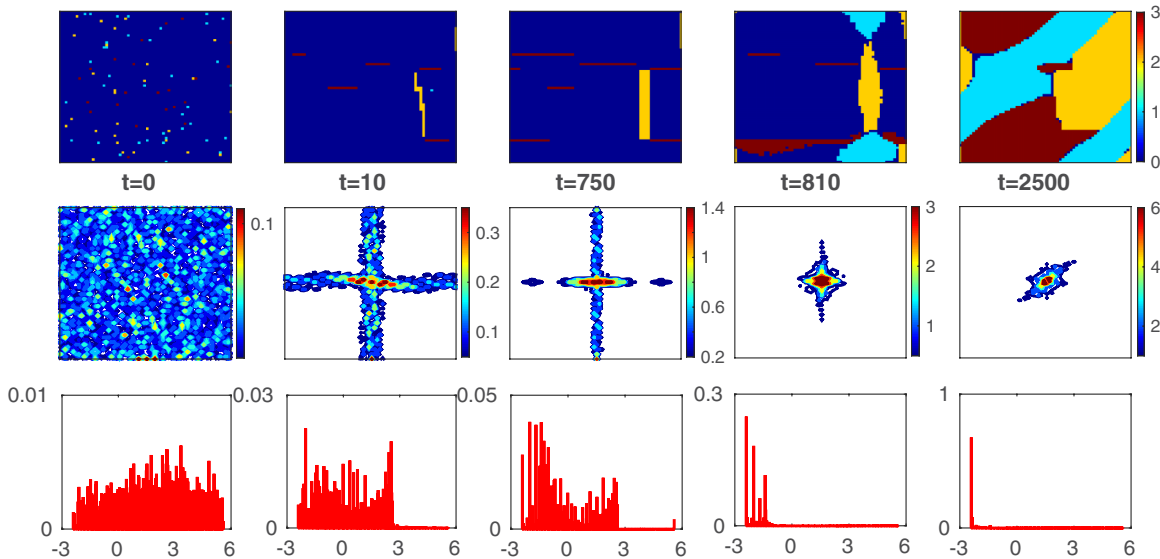

FIG. 8. Evolution of strain textures and energy occupancy in TCR transition: On quench and hold to $T = 0.63$, snapshots of OP textures in the Brillouin zone as $\ln(1 + |\vec{S}(\vec{k})|^2)$ contours (second row) of corresponding coordinate space textures (first row) showing incubation during domain-wall vapor to liquid which finally converts to crystal. See [39] for these evolutions in both coordinate and Fourier spaces. The energy occupancy $\rho_{22}(\epsilon, t)$ of the structure factor vs $\epsilon_{22}(\vec{k})$ (third row) showing the shifting of density of states when the distribution enters into the golf course. See text.
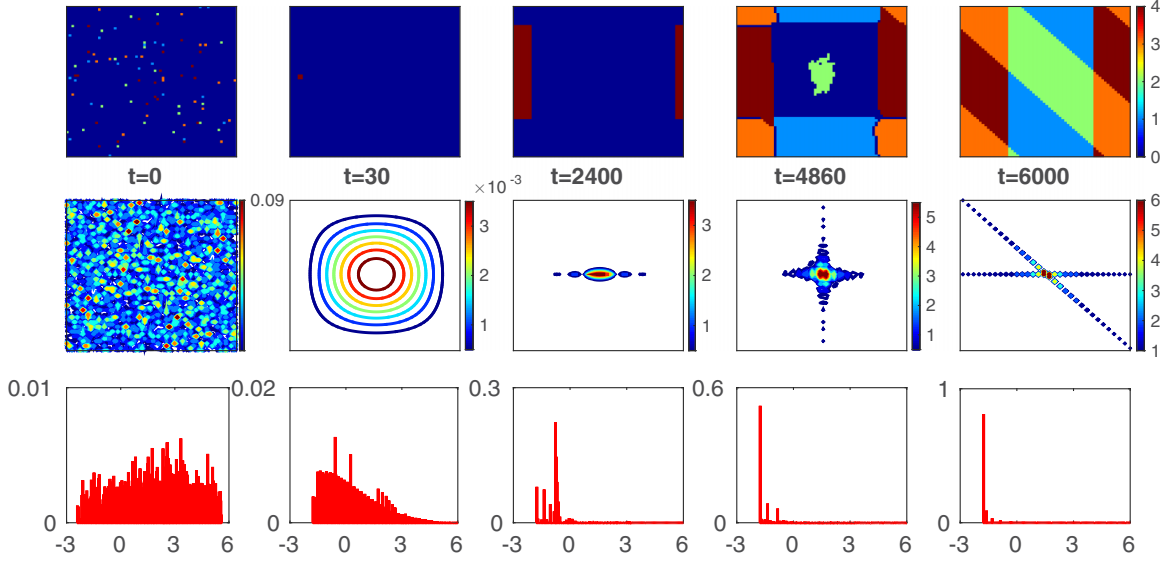
FIG. 9. Evolution of strain textures and energy occupancy in SO transition: On quench and hold to $T = 0.79$, snapshots of OP textures in Brillouin-zone $\ln(1 + |\vec{S}(\vec{k})|^2)$ contours (second row) of corresponding coordinate space textures (first row) showing incubation during domain-wall vapor to liquid which finally converts to crystal. See [39] for these evolutions in both coordinate and Fourier spaces. The energy occupancy $\rho_{22}(\epsilon,t)$ of the structure factor vs $\epsilon_{22}(\vec{k})$ (third row) showing the shifting of the density of states when the distribution enters into the golf course. See text.

the vapor phase and during the incubation, the occupancy is small and remains the same. When the wings are generated, a small peak is seen at higher energies in the occupancy. At $t = t_m$, when the entropy barrier is crossed, the distribution enters into the golf course and the occupancy moves into the negative ($g_L(T) < \epsilon(T) < 0$) energy funnel. In TCR, SO, and TO transitions, the final "equilibrium" distribution (not shown) is an inverse-energy falloff in the excitation energy

above the bulk Landau term, $\tilde{\epsilon} \equiv \epsilon - g_L > 0$ as in the SR case [26],

$$\rho(\tilde{\epsilon},t;T) \to 1/\tilde{\epsilon}, \qquad (3.2)$$

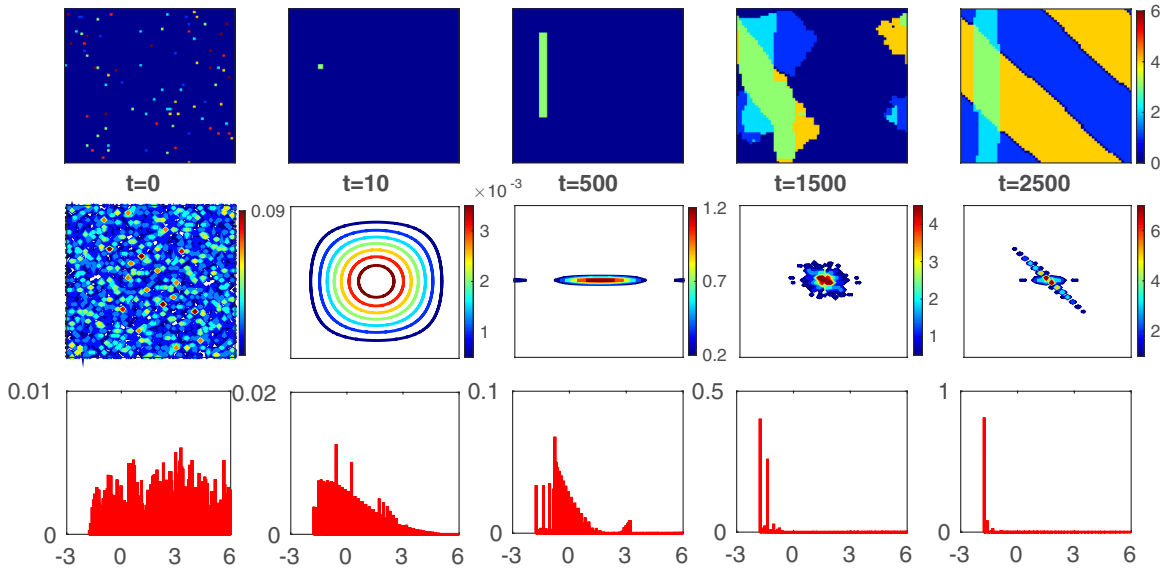that is found in inhomogeneous harmonic oscillators [13].

FIG. 10. Evolution of strain textures and energy occupancy in TO transition: On quench and hold to $T = 0.79$, snapshots of OP textures in the Brillouin zone as $\ln(1 + |\vec{S}(\vec{k})|^2)$ contours (second row) of corresponding coordinate space textures (first row) showing incubation during domain-wall vapor to liquid which finally converts to crystal. See [39] concerning these evolutions in both coordinate and Fourier spaces. The energy occupancy $\rho_{22}(\epsilon,t)$ of the structure factor vs $\epsilon_{22}(\vec{k})$ (third row) showing the shifting of the density of states when the distribution enters into the golf course. See text.
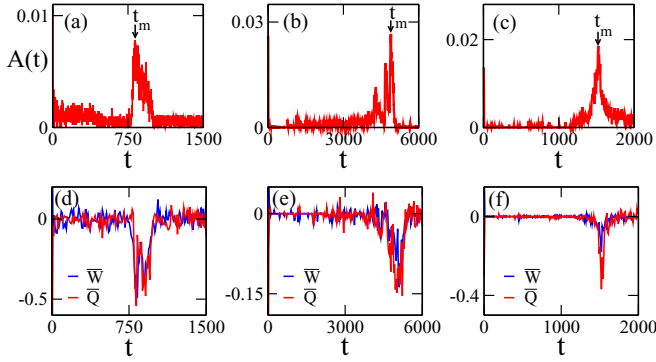
FIG. 11. Monte Carlo acceptance fractions and domain-wall thermodynamics: The Monte Carlo acceptance fraction $A_{act}(t)$ vs time t is almost zero in the incubating state and shows a peak at martensite conversion time $t = t_m$. The rates of work and heat releases $\dot{W}$ and $\dot{Q}$ are also zero in the incubating state and show a dip with large releases at $t = t_m$. In the "equilibrium", both the acceptance fractions and rates of heat and work releases are again zero as shown in (a,d) TCR, (b,e) SO, and (c,f) TO transitions. See text.

C. Textural thermodynamics and acceptance fractions

At a given Monte Carlo sweep t, the expressions for the free energy $F \simeq F_{LMF}(t)$, internal energy $U(t)$, and entropy $S_{entr}(t)$, in terms of the $\{\vec{S}(\vec{r},t)\}$ configurations, are obtained [40] from partition functions [22] for vector-OP TCR, SO, and TO transitions following the same procedure as used in scalar-OP SR transition [17,20,35].

After a temperature quench, the total change in the internal energy is, by a first law of thermodynamics type of relation,

$$dU(t) = \dInline W(t) + \dInline Q(t), \tag{3.3}$$

where $\dInline W(t) = dF_{LMF}(t)$ is the work done by the domain walls and $\dInline Q(t) = TdS_{entr}(t)$ is the heat release by the spins at bath temperature [26]. One can track the relative changes of $\dInline Q, \dInline W$ through an effective temperature T_{eff} from $\dInline W(t) = [1 - \frac{T}{T_{eff}}]dU(t)$ and $\dInline Q = \frac{T}{T_{eff}}dU(t)$ that is similar to the "microcanonical" definition,

$$\frac{T}{T_{eff}(t)} = T\frac{dS(t)}{dU(t)}, \tag{3.4}$$

as used in protein folding models [9]. The effective temperature reaches the bath temperature in equilibrium $T_{eff}(t) \to T$, where the local internal stresses vanish [26]. The detailed study of the DW liquid to DW crystal and effective temperature will be pursued elsewhere.

The single run rates of heat and work emissions by the domain walls are shown in Figs. 11(d)–11(f), where the rates are $\dot{X} = X(t+1) - X(t)$. The rates are zero in the ageing state and large at $t = t_m$ where the entropy barrier is crossed [26]. The rates again become zero in the equilibrium. The MC acceptance fractions $A_{act}(t)$ are shown in Figs. 11(a)– 11(c) for TCR, SO, and TO transitions, respectively. Notice, $A_{act}(t)$ is roughly zero during incubation and rises to peak at $t = t_m$ to signal crossing of the entropy barrier [26] and becomes zero again in the equilibrium.

On cooling, the incubation for transition and transition enthalpy and entropy can be calculated [41–46] systematically for martensitic transitions in two and three spatial dimensions, which could be pursued in our further study [47].

IV. SUMMARY AND FURTHER WORK

We conducted systematic temperature quench Monte Carlo simulations to study the re-equilibration in the athermal martensites using protein folding concepts such as golf courses and funnels *that appear naturally*, in our vector-OP ($N_{OP} = 2$) four-state ($N_v + 1 = 4$), five-state ($N_v + 1 = 5$), and seven-state ($N_v + 1 = 7$) strain-pseudospin clock-zero model Hamiltonians for triangle-centered rectangle, square-oblique, and triangle-oblique transitions. The simulation results are as follows.

(i) The energy landscape concepts such as golf courses and funnels of protein folding and Monte Carlo acceptance fractions from harmonic oscillators turn out to be very useful in understanding the re-equilibration process in athermal martensites. The incubation delays and rapid conversions in the temperature-time-transfomation phase diagram are understood from the presence of small and large edges of the golf course, respectively.

(ii) The incubation-delay times that are insensitive to Hamiltonian energy scales are found to have log-normal distributions, which are signatures of rare events. The conversion success fraction also found insensitive to energy scales becomes zero at the Vogel-Fulcher transition temperature with diverging entropy barriers from vanishing of rare pathways.

(iii) The DW vapor to liquid conversion and incubation in coordinate space is understood best in Fourier space as the ageing for the structure factor distribution to find constant-energy anisotropic pathways while facing the entropy barrier to enter into the golf course. This is reflected in the occupancy as shifting of the density of states into the negative funnel region. Once inside the funnel, the distribution of DW liquid orients later to form DW crystal.

(iv) Monte Carlo acceptance fractions show a peak and heat and work releases show a dip when the entropy barrier is crossed, and are zero in the ageing state. An effective temperature can be defined similar to protein folding models that reaches bath temperature in the equilibrium when local internal stresses vanish.

Further work could also include systematic MC temperature quench simulations to study the re-equilibration using protein folding concepts in strain-pseudospin clock-zero model Hamiltonians for athermal martensitic transitions in three spatial dimensions [47].

[1] D. Wales, *Energy Landscapes: Applications to Clusters, Biomolecules and Glasses* (Cambridge University, Cambridge, 2013).

[2] P. G. Wolynes, Proc. Am. Philos. Soc. **145**, 555 (2001).

[3] R. Nussinov and P. G. Wolynes, Phys. Chem. Chem. Phys. **16**, 6321 (2014).

[4] P. G. Wolynes, J. N. Onuchic, and D. Thirumalai, Science **276**, 1619 (1995).

[5] J. D. Bryngelson and P. G. Wolynes, Proc. Natl. Acad. Sci. USA **84**, 7524 (1987); J. Phys. Chem. **93**, 6902 (1989); J. D. Bryngelson, J. N. Onuchic, N. D. Socci, and P. G. Wolynes, Proteins: Struct., Funct., Genet. **21**, 167 (1995).

[6] K. A. Dill, S. Bromberg, K. Yue, H. S. Chan, K. M. Ftebig, D. P. Yee, P. D. Thomas, and H. S. Chan, Protein Sci. **4**, 561 (1995); K. A. Dill and H. S. Chan, Nat. Struct. Biol. **4**, 10 (1997).

[7] R. Zwanzig, Proc. Natl. Acad. Sci. USA **92**, 9801 (1995).

[8] D. J. Bicout and A. Szabo, Proc. Sci. **9**, 452 (2000).

[9] N. Nakagawa and M. Peyrard, PNAS **103**, 5279 (2006); N. Nakagawa, Phys. Rev. Lett. **98**, 128104 (2007).

[10] K. Binder and W. Kob, *Glassy Materials and Disordered Solids: An Introduction to their Statistical Mechanics* (World Scientific, Singapore, 2005).

[11] M. Cieplak and J. I. Sulkowska, J. Chem. Phys. **143**, 194908 (2005).

[12] F. Ritort, Phys. Rev. Lett. **75**, 1190 (1995); M. L. Mansfield, Phys. Rev. E **66**, 016101 (2002).

[13] A. Garriga and F. Ritort, Phys. Rev. E **72**, 031505 (2005).

[14] K. Bhattacharya, *Microstructure of Martensite: Why it Forms and How it Gives Rise to the Shape-Memory Effect* (Oxford University, Oxford, 2003); K. Bhattacharya, G. Friesecke, and R. D. James, Proc. Natl. Acad. Sci. USA **96**, 8332 (1999).

[15] *Martensite*, edited by G. B. Olson and W. Owen (ASM International, Materials Park, OH, 1992); G. B. Olson and H. Hartman, J. Phys. Colloq. **43**, C4-855 (1982).

[16] S. R. Shenoy, T. Lookman, and A. Saxena, Phys. Rev. B **82**, 144103 (2010).

[17] N. Shankaraiah, K. P. N. Murthy, T. Lookman, and S. R. Shenoy, Phys. Rev. B **84**, 064119 (2011).

[18] G. V. Kurdjumov and O. P. Maximova, Dokl. Akad. Nauk SSSR **61**, 83 (1948); S. R. Pati and M. Cohen, Acta Metall. **17**, 189 (1969).

[19] L. Müeller, U. Klemradt, and T. R. Finlayson, Mater. Sci. Eng. A **438**, 122 (2006).

[20] N. Shankaraiah, K. P. N. Murthy, T. Lookman, and S. R. Shenoy, Europhys. Lett. **92**, 36002 (2010); J. Alloys Compd. **577**, S66 (2013).

[21] N. Shankaraiah, J. Alloys Compd. **675**, 211 (2016).

[22] R. Vasseur, T. Lookman, and S. R. Shenoy, Phys. Rev. B **82**, 094118 (2010).

[23] C. Manolikas and S. Amelinckx, Phys. Status Solidi A **60**, 607 (1980); **61**, 179 (1980).

[24] C. Chu and R. D. James, J. Phys. IV France **5**, C8-143 (1995).

[25] M. Ramudu, A. Satish Kumar, V. Seshubai, K. Muraleedharan, K. S. Prasad, and T. Rajasekharan, Scr. Mater. **63**, 1073 (2010).

[26] N. Shankaraiah, K. P. N. Murthy, T. Lookman, and S. R. Shenoy, Phys. Rev. B **91**, 214108 (2015).

[27] K. H. Ahn, T. F. Seman, T. Lookman, and A. R. Bishop, Phys. Rev. B **88**, 144415 (2013); K. H. Ahn, T. Lookman, and A. R. Bishop, Nature (London) **428**, 401 (2004).

[28] Y. Wang, X. Ren, K. Otsuka, and A. Saxena, Acta Mater. **56**, 2885 (2008); Y. Ji, D. Wang, X. Ding, K. Otsuka, and X. Ren, Phys. Rev. Lett. **114**, 055701 (2015).

[29] L. Gerhard, R. J. H. Wesselink, S. Ostanin, A. Ernst, and W. Wulfhekel, Phys. Rev. Lett. **111**, 167601 (2013).

[30] L. Truskinovsky and A. Vainchtein, Phys. Rev. B **67**, 172103 (2003).

[31] S. Kibey, H. Sehitoglu, and D. D. Johnson, Acta Mater. **57**, 1624 (2009); R. Abeyaratne, C. Chu, and R. D. James, Philos. Mag. A **73**, 457 (1996).

[32] K. Hormann and J. Zimmer, J. Mech. Phys. Solids **55**, 1385 (2007); P. W. Dondl and J. Zimmer, *ibid.* **52**, 2057 (2004).

[33] A. Saha, S. Chakravarty, and J. K. Bhattacharjee, Pramana **71**, 413 (2008); A. N. Kolmogorov, Dokl. Akad. Nauk SSSR **30**, 301 (1941).

[34] G. S. Bales and R. J. Gooding, Phys. Rev. Lett. **67**, 3412 (1991).

[35] S. R. Shenoy and T. Lookman, Phys. Rev. B **78**, 144103 (2008).

[36] N. Metropolis, A. W. Rosenbluth, M. N. Rosenbluth, A. H. Teller, and E. Teller, J. Chem. Phys. **21**, 1087 (1953).

[37] D. P. Landau and K. Binder, *A Guide to Monte Carlo Simulations in Statistical Physics* (Cambridge University, Cambridge, 2009); K. P. N. Murthy, *Monte Carlo Methods in Statistical Physics* (Universities Press, Hyderabad, 2004).

[38] D. W. Scott, Biometrika **66**, 605 (1979).

[39] See Supplemental Material at http://link.aps.org/supplemental/10.1103/PhysRevE.95.063003 for domain-wall vapor to liquid conversion and incubation in triangle-centered rectangle, square-oblique, and triangle-oblique transitions movies.

[40] N. Shankaraiah, Ph.D. thesis, University of Hyderabad, India, 2012.

[41] K. Otsuka, H. Sakamoto, and K. Shimizu, Acta Metall. **27**, 585 (1978).

[42] E. Vives, J. Baró, M. C. Gallardo, J.-M. Martin-Olalla, F. J. Romero, S. L. Driver, M. A. Carpenter, E. K. H. Salje, M. Stipcich, R. Romero, and A. Planes, Phys. Rev. B **94**, 024102 (2016).

[43] T. Krenke, M. Acet, E. F. Wassermann, X. Moya, L. Mañosa, and A. Planes, Phys. Rev. B **73**, 174413 (2006); **72**, 014412 (2005); E. Obrado, L. Mañosa, and A. Planes, *ibid.* **56**, 20 (1997); J. Phys. IV France **07**, C5-233 (1997); C. M. Friend, L. Mañosa, J. Ortin, and A. Planes, *ibid.* **01**, C4-71 (1991); D. Rios-Jara, A. Planes, L. Mañosa, J. Ortin, S. Belkahla, M. Mortin, and J. L. Macqueron, *ibid.* **01**, C4-283 (1991); J. Ortin and A. Planes, Acta Metall. **36**, 1873 (1988).

[44] Y. Kimura, X. Xu, K. Niitsu, T. Omori, and R. Kainuma, Mater. Trans. **57**, 269 (2016).

[45] Z. Liu, Z. Wu, H. Yang, Y. Liu, E. Liu, H. Zhang, and G. Wu, Intermetallics **18**, 1690 (2010).

[46] H. S. Hasan, M. J. Peet, J. M. Jalil, and H. K. D. H. Bhadeshia, Heat Mass Transfer **47**, 315 (2011).

[47] N. Shankaraiah, K. P. N. Murthy, T. Lookman, and S. R. Shenoy (unpublished).