

**Shape and coarsening dynamics of strained islands**Guido Schifani,<sup>1</sup> Thomas Frisch,<sup>1,\*</sup> Mederic Argentina,<sup>1</sup> and Jean-Noël Aqua<sup>2</sup><sup>1</sup>*Université Côte d'Azur, CNRS, INLN, Valbonne, France*<sup>2</sup>*Université Paris VI, CNRS, INSP, Paris, France*

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We investigate the formation and the coarsening dynamics of islands in a strained epitaxial semiconductor film. These islands are commonly observed in thin films undergoing a morphological instability due to the presence of the elastocapillary effect. We first describe both analytically and numerically the formation of an equilibrium island using a two-dimensional continuous model. We have found that these equilibrium island-like solutions have a maximum height  $h_0$  and they sit on top of a flat wetting layer with a thickness  $h_w$ . We then consider two islands, and we report that they undergo a noninterrupted coarsening that follows a two stage dynamics. The first stage may be depicted by a quasistatic dynamics, where the mass transfers are proportional to the chemical potential difference of the islands. It is associated with a time scale  $t_c$  that is a function of the distance  $d$  between the islands and leads to the shrinkage of the smallest island. Once its height becomes smaller than a minimal equilibrium height  $h_0^*$ , its mass spreads over the entire system. Our results pave the way for a future analysis of coarsening of an assembly of islands.

DOI: [10.1103/PhysRevE.94.042808](https://doi.org/10.1103/PhysRevE.94.042808)**I. INTRODUCTION**

Understanding the dynamics of coarsening and its effect on self-organization is a central question in nonequilibrium physics and solid-state physics since its experimental discovery by Ostwald at the end of the 19th century [1] and the seminal theoretical papers of Lishitz and Slyosov and Wagner [2,3] in the late 1960s (see also [4]). Coarsening is a general phenomenon in which the natural size of a pattern increases with time in a continuous manner over a large range of time scales [5–8]. From a more applied point of view, coarsening has a significant impact on properties of matter such as the size of grains in polycrystalline solids, the hardening of metallic alloys, foam dynamics, sintering, sand dunes, etc. We focus here on the fundamental aspect of coarsening of strained semiconductor quantum dots, such as the gallium–aluminum nitride or silicon–germanium islands [9–20]. These islands are extensively under scrutiny both for their present and promising applications in electronics or optics, such as single photons emitters, and for their insights into the fundamental processes of epitaxial growth. The properties and potential applications of quantum dot assembly are indeed crucially dependent on the amount of coarsening, which may critically affect the size homogeneity of such structures [19]. Moreover, the coarsening of such islands seems to be out of the classical description of Ostwald coarsening and requires more investigation.

The formation of self-organized semiconductor quantum dots results from the Stranski-Krastanov growth mode [21]. In this scheme, growth initially proceeds as planar layers that transform above a given critical thickness  $h_c$  into islands separated by a wetting layer. These islands enable a partial relaxation of the elastic stress of the strained film, which overcomes capillary and wetting effects. In SiGe systems, this growth mode includes, in fact, two different kinetic pathways. The seminal work of Lagally [22] showed that at large misfit, i.e., for a large enough Ge composition  $x$ , in a

Si<sub>1-x</sub>Ge<sub>x</sub> film, the island growth initiates via the nucleation of large enough fluctuations [23]. On the other hand, at low enough misfit (i.e., low enough  $x$ ), further experiments [24,25] revealed that the island growth begins with a nucleationless instability, reminiscent of the Asaro-Tiller-Grinfeld (ATG) instability [26–30]. In this case, the film becomes unstable above the critical height  $h_c$ , and an initial surface corrugation increases and transforms after some time into an assembly of quantum dots [24,25,31–37]. After its initial growth, the assembly of islands undergoes some coarsening, driven by the more efficient elastic relaxation of the largest islands. The initial roughly isotropic islands (prepyramids) thence ripen, and as they display steep enough slopes, they transform into anisotropic quantum dots of various sizes, especially pyramids and domes. Even in the paradigmatic SiGe systems, the nature of the island coarsening is still a matter of debate and uncertainty [19]. For the initial isotropic islands [38–40], various theories predict a power-law evolution of the surface roughness and island density at constant mass (annealing); however, the exponents of these power laws are clearly different from the classical Ostwald exponents [19]. In addition, the coarsening might be impacted by the growth dynamics [41], the anisotropy of the surface energy [20,42–47], alloying, and compositional effects.

In this article, we investigate analytically and numerically the basic but still challenging issue of the coarsening of strained islands in isotropic systems that results from the ATG instability. We have found that the island shape can be described by a simple analytical expression, and we report the existence of a continuous family of solutions for the island shape as a function of the system mass. Moreover, we have found that the dynamics of coarsening of two islands can be reduced to a simple two-step model. If the surface evolution might be well described initially in the framework of the linear theory of the ATG instability, the dynamics leads after some time to islands that require a nonlinear analysis. The complexity of the dynamics describing the coarsening of such islands lies in the combination of out-of-equilibrium properties and the long-range elastic effects. Furthermore, the

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power-law behavior mentioned before arises in the late time dynamics where nonlinear effects cannot be neglected. We show here that this dynamics is intimately connected to the static equilibrium shapes of the islands and to the gradient of the chemical potential between two islands.

This article is organized as follows. In the first part, we describe the model under scrutiny, which is a (1+1)-dimensional strained film that evolves via surface diffusion. In the second part, we characterize analytically the stationary equilibrium solutions of our model. This solution corresponds to a single island sitting on top of a wetting layer, whose characteristics [maximum height  $h_0$ , surface (or mass)  $S$ , chemical potential  $\mu$ ] are analytically predicted. In particular, we show that the wetting interactions yield the existence of a minimal island height. In the third part, we numerically integrate the evolution equation of a simple system composed of two islands with slightly different heights, whose interaction leads to a single island after complete coarsening. In the last part, we derive an analytical model that describes the two-island coarsening dynamics. We show that it is characterized by a two-step evolution, with two specific time scales. The first step is well described by a quasistatic approach where each island chemical potential (whose gradient rules the mass transfer between them) is determined by the steady state values. It is associated with an exponential evolution of the island heights, with a characteristic time scale  $t_c$  proportional to the chemical potential gradients, i.e., to the difference of the island chemical potentials divided by their separating distance  $d$ . The second coarsening step occurs once the smallest island is smaller than the minimal stable island height and therefore quickly dissolves on the wetting layer. It is associated with a second characteristic time scale  $\tau$  that describes the dynamics of diffusion of a perturbation on a wetting layer and that depends on the system size. This two-step dynamical evolution compares favorably with the direct numerical simulation of the coarsening dynamics. The two islands' coarsening can be simply modeled by a system of differential equations for each island height. Conclusions and perspectives are drawn in the last part, where this study is promoted with respect to the more general study of the coarsening of an assembly of islands.

## II. CONTINUUM MODEL

We study a film-substrate system, made of a thin film lying on a substrate evolving only via surface diffusion. For studying the formation and the dynamics of the island, we use a standard surface diffusion model whose dynamics is governed by [29]

$$\frac{\partial h}{\partial t} = \mathcal{D} \sqrt{1 + h_x^2} \frac{\partial^2 \mu}{\partial s^2}, \quad (1)$$

where  $\mathcal{D}$  is the surface diffusion coefficient,  $\partial/\partial s$  is the surface gradient, and  $\mu$  is the chemical potential, which depends on the elastic and surface energies. The upper film boundary is free and localized at  $z=h(x)$ , while the film-substrate interface at  $z=0$  is coherent. We solve the Lamé mechanic equilibrium equations with linear isotropic relations. For simplification, we assume that the film and substrate share the same elastic constants. When the film is flat  $h(x) = cte$ , it is subject to an elastic stress measured in units of the volumetric elastic energy  $\mathcal{E}_0 = E \eta^2 / (1 - \nu)$ . Here  $\eta = (a_f - a_s) / a_s$  is the misfit where

$a_f$  ( $a_s$ ) is the film (substrate) lattice spacing,  $E$  is Young's modulus, and  $\nu$  is Poisson's coefficient. In the general case, when  $h(x)$  displays small slopes, the mechanical equilibrium problem can be solved analytically (see, e.g., [40]), and its solution is given in terms of the Hilbert transform  $\mathcal{H}$  of the surface profile. In addition, wetting interactions between the film and its substrate prove to be crucial in thin films. They might be described by a height-dependent surface energy  $\gamma(h)$  [38,48–51]. In semiconductor systems, one can consider a smooth  $\gamma(h)$  with the generic form characterized by a length  $\delta$  and amplitude  $c_w$ ,  $\gamma(h) = \gamma_f [1 + c_w f(h/\delta)]$ , where  $f(h \rightarrow \infty) = 0$ . Here  $\delta$  is of the order of the wetting layer (a few angstroms). Adding the elastic and capillary effects, one finds the chemical potential:

$$\mu(x) = \mathcal{E}[h] + \gamma(h) \frac{\partial^2 h}{\partial x^2} + \gamma'(h) / \sqrt{1 + h_x^2}, \quad (2)$$

where  $\mathcal{E}[h]$  is the volumetric elastic energy on the surface and the third term in Eq. (2) is due to wetting, where  $\gamma'(h) = \frac{\partial \gamma}{\partial h}$ . By balancing the elastic energy to the surface energy, we deduce the characteristic length  $l_0 = \gamma_f / [2(1 + \nu)\mathcal{E}_0]$  describing the typical size of a horizontal surface undulation and the associated time scale  $t_0 = l_0^4 / (\mathcal{D}\gamma_f)$ . For example, for a  $\text{Si}_{0.75}\text{Ge}_{0.25}$  film on Si, we find  $l_0 = 27$  nm and  $t_0 = 23$  s at  $700^\circ$  C (see [52] for an estimate of surface diffusion coefficients). In the small slope approximation, we obtain the following dimensionless equation for the surface evolution:

$$\partial_t h = -\partial_{xx} \left( \partial_{xx} h + \frac{c_w}{\delta} e^{-h/\delta} + \mathcal{H}[\partial_x h] \right), \quad (3)$$

where  $\mathcal{H}[\partial_x h]$  is the Hilbert transform of the spatial derivative of  $h(x,t)$ , defined as  $\mathcal{F}^{-1}(|k|\mathcal{F}(h))$ , where  $\mathcal{F}$  is the Fourier transform [40]. The first term on the right-hand side of Eq. (3) represents the stabilizing effect of the surface energy, the second term is the wetting potential, and the third term represents the destabilizing effect of the elastic strain. Note that Eq. (3) represents a conservation equation, and the integral  $\int h(x) dx$  (which represents the total amount of deposited material) is constant. This equation is nonlinear, and we use a pseudospectral method to solve it numerically [40]. Moreover, as we shall see, an analytical insight can be obtained from an analysis of the stationary solution of Eq. (3). As shown previously [40], there exists a critical height  $h_c$  above which a flat film becomes unstable with respect to infinitesimal perturbations,

$$h_c = -\delta \ln(\delta^2 / 4c_w). \quad (4)$$

For an initial height above  $h_c$ , the initial perturbation evolves towards an assembly of islands that display a noninterrupted coarsening [40] leading to one stationary island. We describe analytically the characteristics of such a stationary island in next section.

## III. THE STATIONARY ISLAND

The goal of this section is to study the equilibrium stationary solutions of Eq. (3), in particular the island profile. Indeed, above the critical height  $h_c$ , the evolution of the surface is characterized by a noninterrupted coarsening that eventually leads to a one-island solution [40]. This stationary profile is

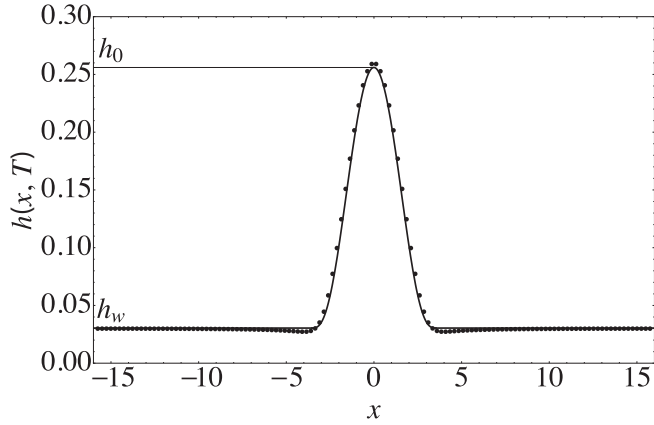


FIG. 1. Island-like solution resulting from the long time evolution of an initially small surface perturbation. The dots are the stationary profile obtained with numerical simulation of Eq. (3). The system size is  $L = 32$ ,  $c_w = 0.045$ , and  $\delta = 0.005$ . The time is  $T = 1000$ . The horizontal and vertical axes are in units of  $l_0$ . The line is the ansatz given in Eq. (6), with a width  $W = 9\pi/4$ . The value of  $h_0$  is taken from the top of the island, and the corresponding value of  $h_w$  is obtained from Eq. (7). The value of the area  $S = \int_{-L/2}^{L/2} h(x, t) dx = 1.5$  is conserved throughout the dynamics.

given by one island of height  $h_0$  lying on top of a wetting layer of thickness  $h_w$  (see Fig 1). It is characterized by a constant chemical potential  $\mu$  on the surface,

$$\mu = -\partial_{xx}h - \frac{c_w}{\delta}e^{-h/\delta} - \mathcal{H}[\partial_x h]. \quad (5)$$

The stationary island characteristics maximum height  $h_0$  and width  $W$  can be predicted by the use of a simple model. This model has a no free parameters and can be characterized by the total surface of the system  $S = \int_{-L/2}^{L/2} h(x, t) dx$ , with  $L$  being the system size. Thus islands of different heights  $h_0$  can be generated numerically by varying the control parameter  $S$  in the initial condition. Motivated by the result of the numerical simulation of Eq. (3), we choose the following ansatz for the stationary solution of Eq. (3). For  $|x| < W/2$ ,

$$h(x) = (h_0 - h_w) \left(\frac{2}{W}\right)^6 \left[\left(\frac{W}{2}\right)^2 - x^2\right]^3 + h_w, \quad (6)$$

while for  $|x| > W/2$ , we choose  $h(x) = h_w$ . This ansatz satisfies the continuity of the function at  $|x| = W/2$  and the continuity of the first and second derivatives as required by Eq. (5). After substitution of this ansatz in Eq. (5) and using a simple polynomial expansion around the point  $x = 0$  up to second order in  $x$ , we obtain at order  $x^0$  the following relation between the island height  $h_0$  and the height of the wetting layer  $h_w$ :

$$h_0 = h_w + \frac{135\pi^2}{128} \frac{c_w}{\delta} e^{-h_w/\delta}. \quad (7)$$

At order  $x^2$ , we obtain the relation for the width of the island  $W = \frac{9\pi}{4}$  [53].

In Fig. 1, we compare the profile of a stationary island obtained with numerical simulation of Eq. (3) with this ansatz. The agreement between the two is rather good, with small

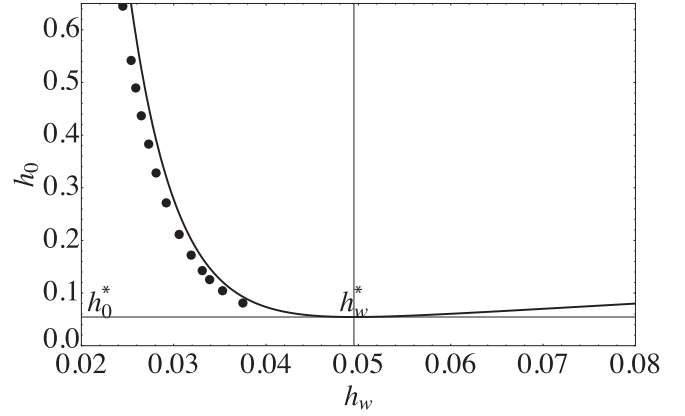


FIG. 2. Height of the island  $h_0$  as a function of  $h_w$  in units of  $l_0$ . Dots are obtained by simulations of Eq. (3), and the solid line is the ansatz given in Eq. (6). The value of  $h_0^*$  is defined in the figure. The different points are obtained by performing different simulations for different value of the initial surface  $S$ . The values of the parameters  $L, c_w$ , and  $\delta$  are the same as the ones used in Fig. 1. The minimal value of  $h_0^*$  is defined in Eq. (8)

discrepancies located in a small zone at the foot of the island [54].

We also plot in Fig. 2 the height of the island  $h_0$  at equilibrium as a function of the height of the wetting layer far away from the island  $h_w$ . The simulation values are obtained by varying the system surfaces  $S$ , while the ansatz result follows from Eq. (7). Again, the agreement is rather good. Of special interest is the fact that  $h_0$  has a minimal value  $h_0^*$ . The critical height  $h_0^*$  is defined by the relation  $\frac{\partial h_0}{\partial h_w} = 0$ ; this leads, using Eq. (7), to the result

$$h_0^* = \delta \left[ 1 + \ln \left( \frac{c_w 135\pi^2}{\delta^2 128} \right) \right], \quad (8)$$

while the associated wetting thickness is

$$h_w^* = \delta \ln \left( \frac{c_w 135\pi^2}{\delta^2 128} \right). \quad (9)$$

As we observed numerically, islands with  $h_0$  smaller than  $h_0^*$  are not stable. Hence, the presence of wetting interactions enforces the existence of a minimal value of the equilibrium island surface in addition to the existence of a minimal film thickness  $h_c$ . The critical island height can be observed experimentally, and it will be important in the description of the coarsening process.

In regard to the chemical potential, each island-like stationary solution of Eq. (5) is defined by

$$\mu_i = -\frac{c_w}{\delta} e^{-h_w/\delta}. \quad (10)$$

This result comes from the fact that far from the island the film is rather flat, so that  $h_x$  and  $h_{xx}$  vanish, and only the wetting potential term remains dominant in Eq. (5). Therefore, the simple knowledge of  $h_w$  can lead to the determination of the chemical potential and vice versa. Using Eqs. (9) and (10), we find that the critical chemical potential  $\mu^*$  associated with

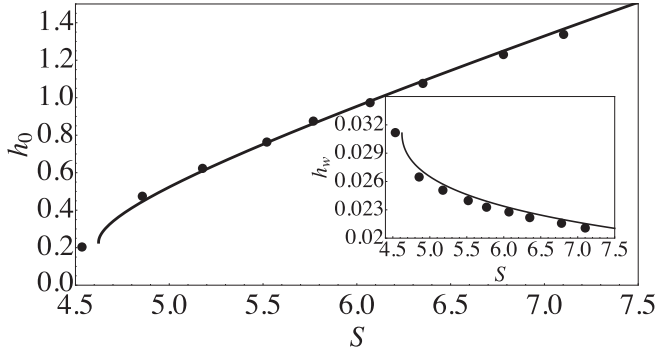


FIG. 3. The height  $h_0$  as a function of the surface  $S = \langle h \rangle L$ , with  $L$  being fixed. The horizontal and vertical axes are in units of  $l_0^2$  and  $l_0$ , respectively. The dots are obtained by numerical simulation of Eq. (3). The curve corresponds to Eqs. (12) and (7). The inset is the height  $h_w$  as a function of  $S$ . The system size is  $L = 128, c_w = 0.045$ , and  $\delta = 0.005$ .

the critical solution with  $h_0^*$  reads

$$\mu^* = -\delta \frac{128}{135\pi^2}. \quad (11)$$

We mentioned previously that islands are uniquely characterized by the surface  $S$ . Now that we have the profile of the island given in Eq. (6), we can calculate its surface  $S$ ,

$$S = h_w L + \frac{243\pi^3}{224} \frac{c_w}{\delta} e^{-h_w/\delta} \equiv \langle h \rangle L. \quad (12)$$

The total surface (mass)  $S$  can thus be varied by varying the mean height  $\langle h \rangle$  or the size  $L$  of the system.

We plot in Fig. 3 the island maximum height  $h_0$  and the height of the wetting layer  $h_w$  versus the surface  $S$  by varying  $\langle h \rangle$ . As expected, we observe in Fig. 3 that the maximum height of the island increases as the surface  $S$  increase. As  $h_0$  is a decreasing function of  $h_w$  (see Fig. 2), we also find that  $h_w$  is a decreasing function of the island surface  $S$ , as shown in the inset of Fig. 3. This may be associated with the larger relaxation of the larger islands that are in equilibrium with a more stable thin wetting layer.

We now study the chemical potential associated with the one island solution. For  $h_0 \geq h_0^*$ , there exists an equilibrium island solution. Its chemical potential is given by Eq. (10) in terms of the wetting layer thickness  $h_w$ . The equilibrium island chemical potential is plotted as a function of  $h_0$  in Fig. 4. As the island surface increases,  $h_0$  increases, and the island chemical potential naturally decreases, showing the larger elastic relaxation of large islands. This conclusion was also found in the three-dimensional island under study in [40]. When  $h_0 < h_0^*$ , only the flat film solution exists; its chemical potential is entirely given by Eq. (11). We also plot this chemical potential as a function of  $h_w$  in Fig. 4. It is an increasing function of  $h_w$  as enforced by the (attractive) wetting interactions. At equilibrium, for  $h > h_c$ , an island of thickness  $h_0$  coexists with a wetting layer of thickness  $h_w$ , which has the same chemical potential. In Fig. 4, we again find good agreement between the numerical simulation and our theoretical prediction. As expected, the chemical potential has a maximum value  $\mu^*$ , given by Eq. (11), associated with

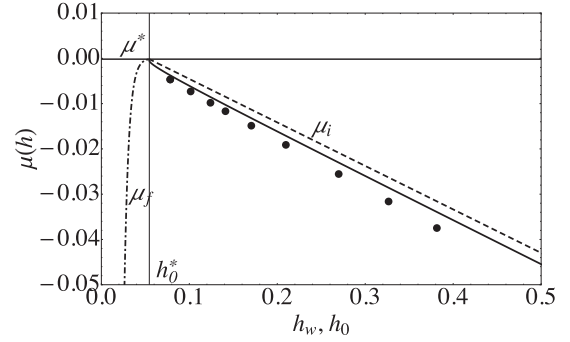


FIG. 4. For  $h < h_0^*$ , the dash-dotted line is the chemical potential  $\mu = -\frac{c_w}{\delta} e^{-h/\delta}$  as a function of height for the flat film. The units of the vertical axis are in  $\mathcal{E}_0 = E\eta^2/(1-\nu) = 6.7 \times 10^7 \text{ J/m}^3$ , and the units of the horizontal axis are in  $l_0$ . For  $h > h_0^*$ , the horizontal axis  $h = h_0$ . The dots represent the numerical simulation for the equilibrium state of an island given by Eq. (3). The solid curve is the prediction given using Eqs. (7) and (10) for the chemical potential of the island. The dashed curve is given by Eq. (13).

the minimal value of the surface height  $h_0^*$ . The dashed curve in Fig. 4 represents the linear approximation to  $\mu_i$ ,

$$\mu_i^l \simeq -c(h_0 - h_0^*) + \mu^*, \quad (13)$$

which has been obtained using Eqs. (7) and (10); here  $c = \frac{128}{135\pi^2}$ .

#### IV. COARSENING OF TWO ISLANDS

We now address the question of coarsening of two islands of slightly different amplitudes (heights) separated by a distance  $d$ . Let  $h_1$  and  $h_2$  be the heights of the small and large islands, respectively (left and right peaks in Fig. 5). These quantities will evolve with time. In Fig. 5, we represent the time evolution of the two islands as enforced by the dynamical evolution equation (3). The initial conditions for the simulations of the two island problem are created by duplicating a single island equilibrium solution numerically made in a system of size  $L/2$ . In addition, each island solution is multiplied by a constant factor very close to unity. The heights of the two islands are  $h_1 = h_i - \epsilon$  and  $h_2 = h_i + \epsilon$ . We find a first regime where the height of the small island decreases while the height of the large island increases. Then, the small island reaches the critical height  $h_0^*$  at time  $t_c$  [Fig. 5(d)]. In the second regime for  $t > t_c$  [Fig. 5(e)], the remaining mass in the wetting layer diffuses towards the larger island, which relaxes towards its equilibrium state [Fig. 5(f)]. The largest island height  $h_2$  constantly increases during the whole coarsening process.

In Fig. 6, we plot the temporal evolution of the local chemical potential associated with the evolution given by Eq. (3). The chemical potential on the small island increases when its height decreases as it becomes less and less stable, with the converse for the large island. Before  $t_c$ , the chemical potential  $\mu$  between the two islands is a linear decreasing function of space, as shown in Figs. 6(b) and Fig. 6(c). Furthermore, when  $t < t_c$ , outside the islands, the chemical potential has variations in the scale of the system  $L$ . This is due to finite size effects that can be neglected as long as  $d \ll L$ . When the critical height of the small island is reached

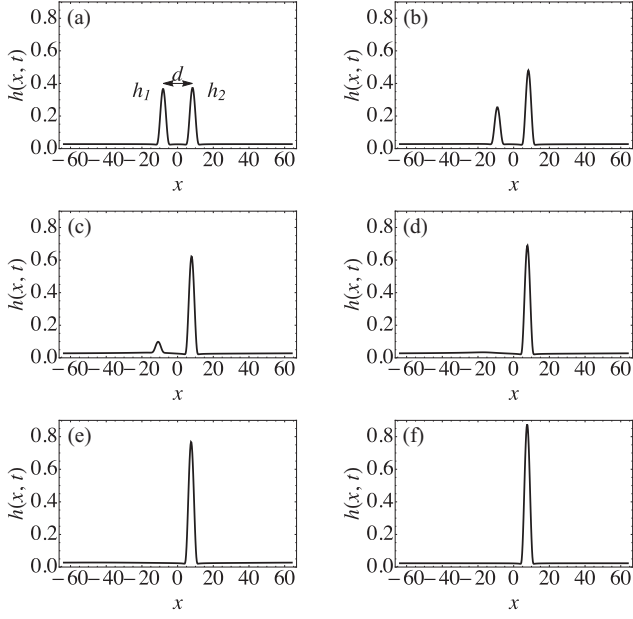


FIG. 5. Numerical resolution of Eq. (3) for the profile evolution of two interacting islands separated by a distance  $d$ . The horizontal and vertical axes are in units of  $l_0$ . The system size is  $L = 128$ . The initial condition consists of two islands separated by a distance  $d = 16$  and initial amplitudes  $h_1 = 0.36$  (left island) and  $h_2 = 0.37$  (right island) with time (a)  $t = 0$ , (b)  $t = 700$ , (c)  $t = 1080$  before  $t_c$ , (d) characteristic time  $t = t_c = 1350$ , (e)  $t = 1550$ , and (f)  $t = 2580$  when the equilibrium state is reached.

[Fig. 6(d)] at time  $t = t_c$ , the chemical potential of the small island is equal to  $\mu^*$ , and the height of the small island  $h_1$  is  $h_0^*$ . For  $t > t_c$ , while  $h_2$  is growing, the diffusion in the

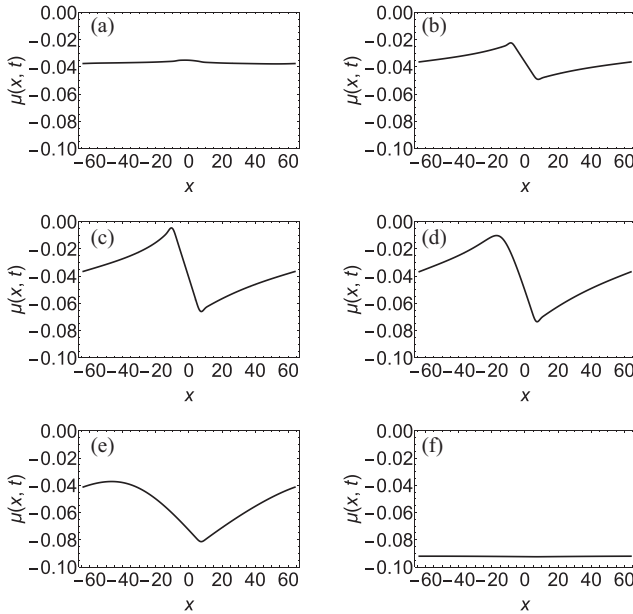


FIG. 6. Numerical evolution of Eq. (3) for the chemical potential of two interacting islands corresponding to Fig. 5. The units of the vertical axis are  $\mathcal{E}_0 = 6.7 \times 10^7 \text{ J/m}^3$ . The horizontal axis is in units of  $l_0$ .

wetting layer takes place on a scale of the order of  $L$ . This second regime relaxes towards equilibrium, where, finally, the chemical potential is constant [Fig. 6(f)].

## V. MODEL OF COARSENING

We now develop a simple mean-field model that describes the coarsening phenomena in two stages. In this model the islands are represented by a punctual object of varying surface. The advantage of this model is that it requires only a small number of input parameters such as the width of the island  $W$  and the chemical potential difference between the two islands. We make the assumption that the dynamics is close to equilibrium, so that the results for the stationary island can be exploited. The first coarsening stage is defined for  $t < t_c$  when the two islands coexist, while for  $t > t_c$ , the smaller island has disappeared and perturbation of the wetting layer diffuses towards the larger island.

For  $t < t_c$ , we model the dynamics of the height of each island based on the flux of matter induced by the chemical potential gradient between the two islands. This spatial gradient takes place on a length scale of order  $d$ . Mass conservation enforces in this approximation [55]

$$\begin{aligned} \alpha W \partial_t h_1 &= \frac{\mu_i(h_2) - \mu_i(h_1)}{d}, \\ \alpha W \partial_t h_2 &= \frac{\mu_i(h_1) - \mu_i(h_2)}{d}, \end{aligned} \quad (14)$$

where  $h_1$  is the height of the small island,  $h_2$  is the height of the large one,  $W$  is their width, and  $\alpha$  is a constant geometrical factor which is of order 1 [56].

Furthermore, we assume in the following that the island chemical potential might be given by the linear form given in Eq. (13). Hence, the system (14) simplifies to

$$\begin{aligned} \alpha W \partial_t h_1 &= -\frac{c(h_2 - h_1)}{d}, \\ \alpha W \partial_t h_2 &= -\frac{c(h_1 - h_2)}{d}, \end{aligned} \quad (15)$$

where  $c = \frac{128}{135\pi^2}$ , given by the slope of Eq. (13). Let us write the amplitude of the islands

$$\begin{aligned} h_1(t) &= h_i - \epsilon \tilde{h}(t), \\ h_2(t) &= h_i + \epsilon \tilde{h}(t), \end{aligned} \quad (16)$$

which implies that  $h_1(t) + h_2(t) = 2h_i$  and  $\tilde{h}$  is the perturbation of the stationary state. Solving (15), we deduce that the perturbation increases exponentially,

$$\tilde{h}(t) = e^{\frac{2c}{d\alpha W} t}, \quad (17)$$

in the first temporal regime. This regime extends up to  $t_c$ , such that  $h_1(t_c) = h_0^*$ , which leads to  $h_0^* = h_i - \epsilon e^{\frac{2c}{d\alpha W} t_c}$ . Hence, we find that the characteristic time  $t_c$  reads

$$t_c = t_e \ln \left[ \frac{h_i - h_0^*}{\epsilon} \right], \quad (18)$$

where  $t_e = \frac{d\alpha W}{2c}$ .

As shown in Fig. 7, there is good agreement between the numerical simulation and this estimate.

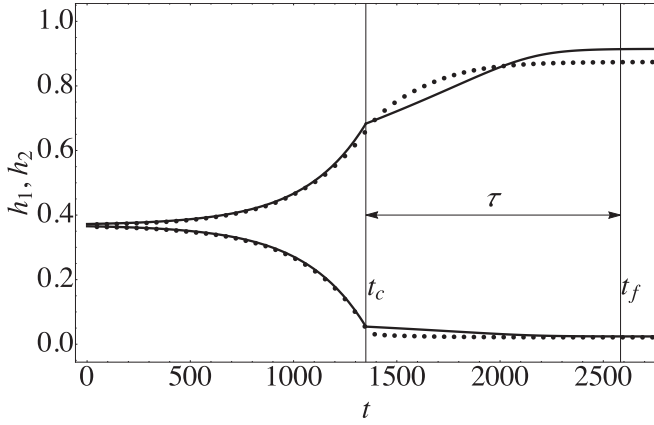


FIG. 7. Amplitudes  $h_1$  and  $h_2$  of the islands as a function of time. Solid curves are the theoretical prediction, and the dotted curve is the numerical simulation. The times  $t_c$  and  $t_f$  are represented on the figure.  $\tau$  is defined as the time since  $t_c$  for which the amplitude  $h_2$  of the large island has reached 99% of its equilibrium value. The horizontal and vertical axes are in units of  $t_0$  and  $l_0$ , respectively.

The second regime is reached when the amplitude of the small island becomes smaller than the critical height  $h_0^*, h_1 < h_0^*$  at  $t > t_c$ . Mass diffusion then occurs in the wetting layer. The characteristic time  $\tau$  of this second regime then depends essentially on the full size of the system  $L$  and only weakly on the distance  $d$ . To quantify, we write the mass conservation equation as

$$\beta(L - W)h_1 + \alpha W h_2 = S, \quad (19)$$

where  $\alpha$  and  $\beta$  are geometrical factors for the island and for the wetting layer, respectively, while  $S$  is fixed by the initial conditions. From this relation, we deduce that

$$\partial_t h_1 = -A \partial_t h_2, \quad A = \frac{\alpha W}{\beta(L - W)}. \quad (20)$$

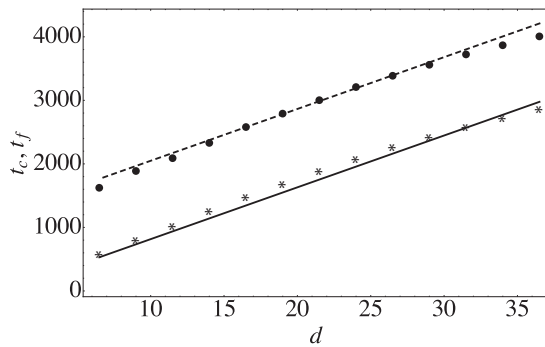


FIG. 8. Characteristic times  $t_c$  (asterisks) and  $t_f$  (dots) as a function of the distance  $d$  between the islands, obtained with numerical simulation. The solid line is  $t_c$  from Eq. (18), and the dashed line is  $t_f + \tau$ , where  $\tau$  is obtained with the numerical solution of Eq. (21). The system size is  $L = 128$ . The time  $t_f$  for the disappearance of the two islands increases with the system size; it is linear when  $d/L \ll 1$ . When  $d$  increases and becomes of the order of  $L$ , there is a deviation from the linear law due to the effect of the periodic boundary conditions. The horizontal and vertical axes are in units of  $l_0$  and  $t_0$ , respectively.

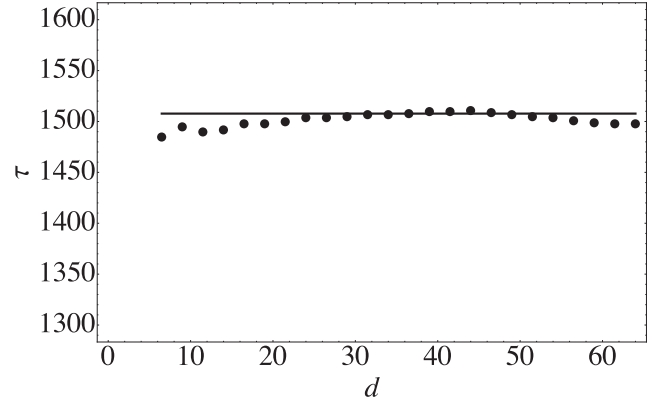


FIG. 9. Characteristic time  $\tau$  as a function of the distance  $d$  between the islands, obtained with numerical simulation of Eq. (3). The line is the time  $\tau$  obtained with the solution of Eq. (21). The horizontal and vertical axes are in units of  $l_0$  and  $t_0$ , respectively.

Again, we have assumed that the growth rate of the island is proportional to the gradient of the chemical potential. This gradient occurs on a scale of order  $L$ , so that

$$\alpha W \partial_t h_2 = \frac{2[\mu_f(h_1) - \mu'_i(h_2)]}{L}. \quad (21)$$

Here  $\mu_f(h_1) = -\frac{c_w}{\delta} e^{-h_1/\delta}$  is the approximate wetting chemical potential of the wetting layer. In order to obtain the time evolution of  $h_1(t)$  and  $h_2(t)$ , we have integrated numerically Eqs. (20) and (21). As shown in Fig. 7, the system of equations (20) and (21) captures well the numerical evolution of Eq. (3). The amplitude of the island increases with time before saturating at a value close to the predicted value, which depends on the value of  $S$ , as shown in Fig 3.

In order to quantify this coarsening process, we define the time  $t_f$  as the time at which the amplitude of the large island has reached 99% of its equilibrium value. In addition, we define  $\tau$  such that  $t_f = (\tau + t_c)$ .

In Fig. 8, we plot the different times  $t_c$  and  $t_f$  as a function of the distance  $d$  between the islands using the numerical and analytic results (18). We observe that as long as  $d/L$  is small,  $t_c$  increases linearly with the distance  $d$  as predicted by Eq. (18). When  $d$  increases and becomes of the order of  $L$ , there are deviations from the linear law in  $d$  due to the image interaction since our numerical simulation is performed in a periodic system. In Fig. 9, we show that the time  $\tau$  is almost independent of the distance  $d$  separating the islands.

As a conclusion, Figs. 8 and 9 show that  $\tau$  is independent of  $d$ , while  $t_f$  and  $t_c$  increase linearly with  $d$ .

## VI. CONCLUSION AND PERSPECTIVES

We have studied in this article the dynamics and the coarsening of strained islands. We first obtained an approximate analytical equation for a stationary island lying on a wetting layer. This approach allows us to predict the width  $W$  of the island and to relate the island amplitude to the height of the wetting layer. We have shown that the presence of the wetting potential leads to the existence of a critical island height

$h_0^*$  below which the island does not exist. The comparison between the approximate analytical solution and the stationary state resulting from the numerical integration of the mass diffusion equation is good. Second, we have investigated the dynamics of coarsening of two islands, and we have found that this coarsening is noninterrupted; the small island disappears in favor of the largest one. As observed numerically, in the first regime the height of the largest island increases exponentially until a time  $t_c$  at which the smallest island becomes unstable. The characteristic time  $t_c$  scales like the distance  $d$  between the islands. In the second regime, which lasts for a time  $\tau$ , the perturbation in the wetting layer diffuses, and the amplitude of the remaining island grows until it reaches its equilibrium value. This second regime is quite independent of the distance  $d$  between the initial islands. In order to model this dynamics, we propose a simple model based on a quasistatic hypothesis

with mass currents driven by the gradient of the chemical potential. These results pave the way for a description of coarsening in strained systems with long-range interactions. We will extend this analysis to the problem of coarsening of an array of  $N$  islands as generated by the Asaro-Tiller-Grinfeld instability by generalizing the set of equations (14) to  $N$  islands. An extension of this analytical work to three-dimensional islands with the inclusion of the surface energy anisotropy will be considered in the future.

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- [55] For simplicity, we neglect finite size effects, which lead to small terms in  $d/L$  due to the presence of periodic boundary conditions.
- [56]  $\alpha = \int_{-W/2}^{W/2} h(x)dx/h_0W = 0.4636$  and  $\beta = 0.22$ .