

## Fluid nonlinear frequency shift of nonlinear ion acoustic waves in multi-ion species plasmas in the small wave number region

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(Received 2 June 2016; revised manuscript received 30 July 2016; published 24 August 2016)

The properties of the nonlinear frequency shift (NFS), especially the fluid NFS from the harmonic generation of the ion-acoustic wave (IAW) in multi-ion species plasmas, have been researched by Vlasov simulation. Pictures of the nonlinear frequency shift from harmonic generation and particle trapping are shown to explain the mechanism of NFS qualitatively. The theoretical model of the fluid NFS from harmonic generation in multi-ion species plasmas is given, and the results of Vlasov simulation are consistent with the theoretical result of multi-ion species plasmas. When the wave number  $k\lambda_{De}$  is small, such as  $k\lambda_{De} = 0.1$ , the fluid NFS dominates in the total NFS and will reach as large as nearly 15% when the wave amplitude  $|e\phi/T_e| \sim 0.1$ , which indicates that in the condition of small  $k\lambda_{De}$ , the fluid NFS dominates in the saturation of stimulated Brillouin scattering, especially when the nonlinear IAW amplitude is large.

DOI: [10.1103/PhysRevE.94.023205](https://doi.org/10.1103/PhysRevE.94.023205)

### I. INTRODUCTION

Stimulated Brillouin scattering (SBS), or resonant decay of a light wave into a scattered light wave and an ion acoustic wave (IAW) [1], plays an important role in the successful ignition goal of inertial confinement fusion (ICF) [2,3]. Many methods have been used to reduce the SBS scattering level [4–7], including frequency detuning due to particle trapping [8–13], increasing linear Landau damping induced by kinetic ion heating [14,15], nonlinear damping due to wave breaking and trapping [16–19], or coupling with higher harmonics [20,21].

The nonlinear effect on the frequency and Landau damping rate of nonlinear ion acoustic waves have received renewed interest for their potential role in determining the saturation in stimulated Brillouin scattering of laser drivers in ICF [22–26]. Recently Albright *et al.* researched ion-trapping-induced and electron-trapping-induced IAW bowing and breakup; they found that SBS saturation was dominated by IAW bowing and breakup due to nonlinear frequency shift from particle trapping [27]. However, this paper will show if the wave number  $k\lambda_{De}$  is small, the fluid nonlinear frequency shift from harmonic generation will dominate and should be considered especially when the wave amplitude is large.

The nonlinear behavior of an ion acoustic wave is a subject of fundamental interest to plasma physics. The total nonlinear frequency shift (NFS) comes from the harmonic generation and particle trapping. The harmonic nonlinearities in IAW were researched by Cohen *et al.* through the single specie cold ion fluid equations [22]. The fluid NFS due to harmonic nonlinearities was reinvestigated by Winjum *et al.*, although the research was about the electron plasma wave (EPW) [28]. The fluid frequency shift is positive and proportional to the square of the wave amplitude. Berger *et al.* derived the fluid

NFS of IAW in single-ion specie plasmas from the isothermal cold ion fluid equations [29]. This paper will show the fluid NFS of IAW in multi-ion species plasmas.

The kinetic nonlinear frequency shift (KNFS) due to particle trapping was calculated four decades ago by Dewar [30] and Morales and O'Neil [31]. In their work, they assumed that the wave did not contain harmonics, and these derivations were for the case of an EPW and were applied to IAW in Ref. [29]. The KNFS is proportional to the square root of the wave amplitude. Recently Chapman *et al.* researched the kinetic nonlinear frequency shift in multi-ion species plasmas [32]. In their research, in the condition of the wave number  $k\lambda_{De} = 1/3$ , the KNFS dominated in the scope of wave amplitude researched. However, the fluid nonlinear frequency shift from harmonic generation made use of the result of single-ion specie plasmas that was derived in Ref. [29]. Therefore, it was clear that calculations of the KNFS matched Vlasov results well for low wave amplitude, but underestimated the total NFS at higher amplitudes where harmonic generation was expected to contribute a further positive frequency shift. For the system in Chapman's research, which is a multi-ion species system, the fluid NFS model should make use of the multi-ion species fluid NFS model. This paper will give a multi-ion species fluid NFS model to improve the single-ion specie fluid NFS model. And the multi-ion species fluid NFS model should have a further positive frequency shift than the single-ion specie fluid NFS model and will fit the Vlasov results better.

In this paper, when the wave number is small such as  $k\lambda_{De} = 0.1$ , the fluid NFS from harmonic generation is important, especially when the wave amplitude is large. And the multi-ion species fluid NFS model is given to calculate the fluid NFS. The Vlasov simulation results are verified to be consistent with the theoretical model of the total NFS including fluid NFS and kinetic NFS in multi-ion species plasmas. When  $k\lambda_{De} = 0.1$ , the total NFS can reach a value of nearly 15% when the nonlinear IAW amplitude  $|e\phi/T_e|$  reaches about 0.1, which is much larger than the total NFS in the condition of

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$k\lambda_{De} = 1/3$  [32]. This indicates that when  $k\lambda_{De}$  is small, the fluid NFS will reach a large level, especially when the wave amplitude is large, thus dominating in the total NFS so that the fluid NFS dominates in the saturation of SBS by IAW bowing and breakup [27].

## II. THEORETICAL MODEL

### A. Fluid theory: Nonlinear frequency shift from harmonic generation in multi-ion species plasmas

Taking the charge and the number fraction of the ion specie  $\alpha$  to be  $Z_\alpha$  and  $f_\alpha$ , the electron density,  $n_e$ , and the total ion density,  $n_i$ , are related through the average charge,  $\bar{Z}$ , i.e.,  $n_e = n_i \bar{Z}$ . The ion number densities are given by

$$\begin{aligned} n_\alpha &= f_\alpha n_i = f_\alpha n_e / \bar{Z}, \\ \bar{Z} &= \sum_\alpha f_\alpha Z_\alpha. \end{aligned} \quad (1)$$

Here multi-ion species and isothermal Boltzmann electrons are considered in this cold ion fluid model, and the nonlinear frequency shift from harmonic generation is derived from the multispecies isothermal cold ions fluid equations:

$$\begin{aligned} \frac{\partial n_\alpha}{\partial t} + \frac{\partial n_\alpha v_\alpha}{\partial x} &= 0, \\ \frac{\partial v_\alpha}{\partial t} + v_\alpha \frac{\partial v_\alpha}{\partial x} &= -C_\alpha^2 \frac{\partial \tilde{\phi}}{\partial x}, \quad -\frac{T_e}{e} \frac{\partial^2 \tilde{\phi}}{\partial x^2} + 4\pi en_{e0} \exp(\tilde{\phi}) \\ &= 4\pi \sum_\alpha q_\alpha n_\alpha, \end{aligned} \quad (2)$$

where  $C_\alpha = \sqrt{Z_\alpha T_e / m_\alpha}$  is the sound velocity of ion  $\alpha$ , and  $\tilde{\phi} = e\phi / T_e$  is the normalized electrostatic potential. The initial charge satisfies charge conservation, i.e.,  $\sum_\alpha q_\alpha n_{\alpha 0} = en_{e0}$ . Following the work in Ref. [29], one expands the variables in a Fourier series:

$$\begin{aligned} (\tilde{\phi}, n_\alpha, v_\alpha) &= (\tilde{\phi}_0, n_{\alpha 0}, v_{\alpha 0}) \\ &+ \frac{1}{2} \sum_{l \neq 0} (\tilde{\phi}_l, n_{\alpha l}, v_{\alpha l}) \exp[i l(kx - \omega t)], \end{aligned} \quad (3)$$

with the condition  $(\tilde{\phi}_{-l}, n_{\alpha, -l}, v_{\alpha, -l}) = (\tilde{\phi}_l, n_{\alpha l}, v_{\alpha l})^*$  and keeping terms for  $l = 0, \pm 1, \pm 2$  up to second order with  $\exp \tilde{\phi} \simeq 1 + \tilde{\phi} + \frac{1}{2} \tilde{\phi}^2$ . Retaining the same exponents in the Fourier series, for  $v_0 = 0, n_{\alpha 0} = f_\alpha n_{i0} = f_\alpha n_{e0} / \bar{Z} = \text{const}$ , one finds from Eq. (2) for  $l = 0$

$$en_{e0} \left( 1 + \tilde{\phi}_0 + \frac{1}{2} \tilde{\phi}_0^2 + \frac{1}{4} |\tilde{\phi}_1|^2 + \frac{1}{4} |\tilde{\phi}_2|^2 \right) = \sum_\alpha q_\alpha n_{\alpha 0}, \quad (4)$$

and by conservation of charge,  $en_{e0} = \sum_\alpha q_\alpha n_{\alpha 0}$ , it thus obtains that

$$\tilde{\phi}_0 + \frac{1}{2} \tilde{\phi}_0^2 = -\frac{1}{4} |\tilde{\phi}_1|^2 - \frac{1}{4} |\tilde{\phi}_2|^2. \quad (5)$$

For  $l = 1$ , the equations are

$$\begin{aligned} -i\omega n_{\alpha 1} + ikn_{\alpha 0} v_{\alpha 1} &= -i\frac{1}{2} kn_{\alpha, -1} v_{\alpha 2} - i\frac{1}{2} kn_{\alpha 2} v_{\alpha, -1}, \\ -i\omega v_{\alpha 1} + ikC_\alpha^2 \tilde{\phi}_1 &= -i\frac{1}{2} kv_{\alpha, -1} v_{\alpha 2}, \end{aligned}$$

$$\begin{aligned} \left[ \frac{T_e}{e} k^2 + 4\pi en_{e0} (1 + \tilde{\phi}_0) \right] \tilde{\phi}_1 - 4\pi \sum_\alpha q_\alpha n_{\alpha 1} \\ = -\frac{1}{2} 4\pi en_{e0} \tilde{\phi}_2 \tilde{\phi}_{-1}. \end{aligned} \quad (6)$$

For  $l = 2$ , the corresponding equations are

$$\begin{aligned} -2i\omega n_{\alpha 2} + i2kn_{\alpha 0} v_{\alpha 2} + ikn_{\alpha 1} v_{\alpha 1} &= 0, \\ -2i\omega v_{\alpha 2} &= -i2k \left[ C_\alpha^2 \tilde{\phi}_2 + \frac{1}{4} v_{\alpha 1}^2 \right], \\ \left[ 4\frac{T_e}{e} k^2 + 4\pi en_{e0} (1 + \tilde{\phi}_0) \right] \tilde{\phi}_2 + \frac{1}{4} 4\pi en_{e0} \tilde{\phi}_1^2 \\ &= 4\pi \sum_\alpha q_\alpha n_{\alpha 2}. \end{aligned} \quad (7)$$

Defining  $C_{2s}^2 = \sum_\alpha \frac{Z_\alpha n_{\alpha 0}}{n_{e0}} C_\alpha^2$ ,  $C_{4s}^4 = \sum_\alpha \frac{Z_\alpha n_{\alpha 0}}{n_{e0}} C_\alpha^4$ , and  $C_{s1}^2 = l^2 k^2 C_{2s}^2 / (1 + l^2 k^2 \lambda_{De}^2)$ , by keeping terms only to second order in  $\tilde{\phi}_1$ , then one obtains the relation between  $\tilde{\phi}_2$  and  $\tilde{\phi}_1$ :

$$\tilde{\phi}_2 = A_{2\phi} \tilde{\phi}_1^2, \quad (8)$$

where

$$A_{2\phi} = \frac{1}{4} \frac{C_{s1}^2}{4\omega^2 - C_{s1}^2} \left[ 3 \frac{k^2 C_{4s}^4}{\omega^2 C_{2s}^2} - \frac{\omega^2}{k^2 C_{2s}^2} \right]. \quad (9)$$

Using  $\tilde{\phi}_0 \simeq -|\tilde{\phi}_1|^2/4$  and defining  $C_{6s}^6 = \sum_\alpha Z_\alpha n_{\alpha 0} C_\alpha^6 / n_{e0}$  correspondingly, retaining harmonic corrections to the linear dispersion relation again to the second order in  $\tilde{\phi}_1$  and keeping the lowest nonlinear term, the nonlinear equation for the amplitude of  $\tilde{\phi}_1$  is obtained as follows:

$$(\omega^2 - C_{s1}^2) \tilde{\phi}_1 = (A_{2\phi} C_{A_{2\phi}} + C_2) |\tilde{\phi}_1|^2 \tilde{\phi}_1, \quad (10)$$

where

$$C_{A_{2\phi}} = \frac{C_{s1}^2}{2} \left[ -\frac{\omega^2}{k^2 C_{2s}^2} + 3 \frac{k^2 C_{4s}^4}{\omega^2 C_{2s}^2} \right], \quad (11)$$

$$C_2 = \frac{C_{s1}^2}{8} \left[ 2 \frac{\omega^2}{k^2 C_{2s}^2} + 5 \frac{k^4 C_{6s}^6}{\omega^4 C_{2s}^2} \right].$$

Taking the effective fundamental IAW frequency after accounting for harmonic effects  $\omega_{\text{harm}} = \omega_0 + \delta\omega_{\text{harm}}$  and the linear fundamental frequency of IAW  $\omega_0 \simeq C_{s1} = kC_{2s} / \sqrt{1 + k^2 \lambda_{De}^2} = kC_\alpha \sqrt{\sum_\alpha \frac{Z_\alpha n_{\alpha 0}}{n_{e0}}} / \sqrt{1 + k^2 \lambda_{De}^2}$ , then the frequency shift of the first harmonic due to the inclusion of the second harmonic terms in multispecies ion plasmas is given by

$$\frac{\delta\omega_{\text{harm}}}{\omega_0} = \frac{1}{2} \frac{\Delta}{\omega^2} |\tilde{\phi}_1|^2 = L |\tilde{\phi}_1|^2, \quad (12)$$

where  $L = \frac{1}{2} \frac{\Delta}{\omega^2}$  is the fluid NFS coefficient and  $\Delta$  is defined by

$$\Delta \equiv A_{2\phi} C_{A_{2\phi}} + C_2. \quad (13)$$

By combining Eqs. (11)–(13), then the final result of  $\delta\omega_{\text{harm}}/\omega$  is related to the wave number  $k\lambda_{De}$  and the second order in  $\tilde{\phi}_1$ :

$$\frac{\delta\omega_{\text{harm}}}{\omega} = L|\tilde{\phi}_1|^2 = \left[ \frac{-2A_{2\phi} + 1}{8(1 + k^2\lambda_{De}^2)} + \frac{(1 + k^2\lambda_{De}^2)^2}{(C_{2s}^2)^2} \right] |\tilde{\phi}_1|^2, \quad (14)$$

where the second harmonic coefficient  $A_{2\phi}$  is defined in Eq. (9).

As shown in Fig. 1(a), when  $k\lambda_{De}$  is small, the second harmonic coefficient  $A_{2\phi}$  is large and the contribution of  $\tilde{\phi}_2$  is strong for the reason that the second harmonic becomes more resonant as  $4\omega^2 - C_{s2}^2 = 4C_{s1}^2 - C_{s2}^2$  decreases strongly with  $k\lambda_{De}$  decreasing. Figure 1(c) shows that with  $k\lambda_{De}$  increasing, the fluid NFS coefficient first decreases quickly and then slowly increases, the turning point is  $k\lambda_{De} \simeq 0.5$ , and the SBS relevant wave number is usually  $k\lambda_{De} < 0.5$ . To research the fluid NFS in multi-ion species plasmas, CH (1:1) plasmas are taken as a typical multi-ion species plasmas. We can see that the second harmonic coefficient  $A_{2\phi}$  of CH plasmas is slightly larger than that of the single-ion specie plasmas, and as a result, the fluid NFS coefficient  $L$  from the harmonic generation in CH plasmas is slightly higher than that of the single-ion specie plasmas as shown in Figs. 1(a) and 1(c). In particular, when  $k\lambda_{De}$  is small, such as  $k\lambda_{De} = 0.1$ , the harmonic effect is obvious, and the deviation of the harmonic effect between the single-ion specie plasmas and the multi-ion species plasmas is also obvious, as shown in Figs. 1(b) and 1(d). When the number fraction  $f_H = 0$  or  $f_C = 0$ , the results from the multi-ion species plasmas including  $A_{2\phi}$  and  $L$  are the same with that of the single-ion specie plasmas. When the number fraction

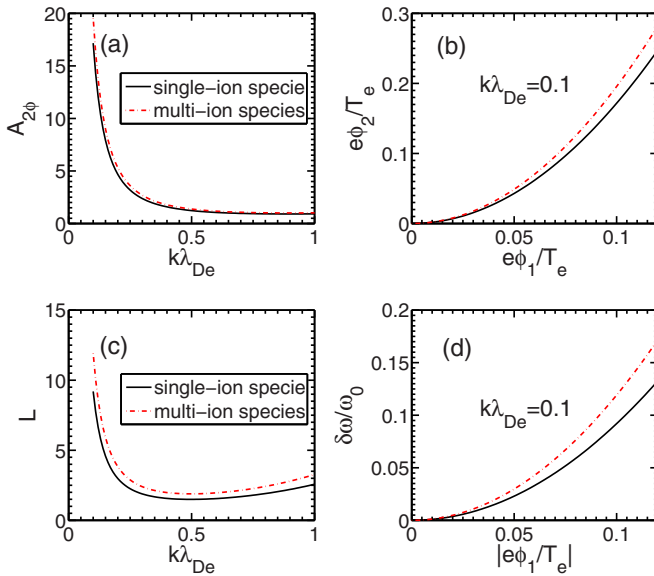


FIG. 1. (a) The coefficient of the second harmonic  $A_{2\phi}$  and (c) the fluid NFS coefficient  $L$  as a function of the wave number  $k\lambda_{De}$ . In the condition of  $k\lambda_{De} = 0.1$ , (b) the second harmonic amplitude  $e\phi_2/T_e$  and (d) the fluid NFS  $\delta\omega_{\text{harm}}/\omega_0$  as a function of  $|e\phi_1/T_e|$ . The black solid line presents for single-ion specie plasmas and the red dot-dash line for CH (1:1) plasmas.

varies, the results from the multi-ion species plasmas including  $A_{2\phi}$  and  $L$  varies correspondingly.

### B. Kinetic theory: Nonlinear frequency shift from particles trapping in multi-ion species plasmas

The linear kinetic theory of ion-acoustic waves (IAWs) in homogeneous, nonmagnetized plasmas consisting of multi-species ions is considered. Assume that the same temperature of all ions equals  $T_i$  and the electron temperature equals  $T_e$ . The linear dispersion relation of the IAW in multi-ion species plasmas is given by

$$\epsilon_L(\omega, k) = 1 + \sum_j \frac{1}{(k\lambda_{Dj})^2} [1 + \xi_j Z(\xi_j)] = 0, \quad (15)$$

where  $\xi_j = \omega/(\sqrt{2}kv_{ij})$ , and  $Z(\xi_j)$  is the dispersion function, and  $j$  represents for electron, H ion, or C ion;  $v_{ij} = \sqrt{T_j/m_j}$  is the thermal velocity of particle  $j$ ;  $\lambda_{Dj} = \sqrt{T_j/4\pi n_j Z_j^2 e^2}$  is the Debye length; and  $T_j, m_j, n_j, Z_j$  are the temperature, mass, density, and charge number of specie  $j$ , respectively.

The derivation of the kinetic nonlinear frequency shift (KNFS) of electrostatic waves resulting from particles trapping was originally presented in Refs. [30] and [31] and is applied to the case of IAWs in single ion specie plasmas (such as H or He ion) in Ref. [29]. The following KNFS in multi-ion species plasmas is given by Berger:

$$\delta\omega_{\text{NL}}^{\text{kin}} \simeq - \left[ \frac{\partial \epsilon_L(\omega_L)}{\partial \omega} \right]^{-1} \sum_j \alpha_j \frac{\omega_{pj}^2}{k^2} \Delta v_{tr,j} \frac{d^2(f_0/N)}{dv^2} \Big|_{v_\phi}, \quad (16)$$

where the initial distribution  $f_0$  is assumed to be Maxwellian distribution, then

$$\frac{d^2(f_0/N)}{dv^2} = \frac{1}{\sqrt{2\pi}} \frac{1}{v_{th}^3} [(v/v_{th})^2 - 1] e^{-\frac{1}{2}(v/v_{th})^2},$$

and  $\Delta v_{tr,j} = 2\sqrt{\frac{|q_j|\phi_0}{m_j}}$ , where  $\alpha$  is a constant which is dependent on how the wave was excited,  $\alpha_j = \alpha_{\text{sud}} = 0.823$  for sudden excitation,  $\alpha_j = \alpha_{\text{ad}} = 0.544$  for adiabatic excitation, and  $j$  represents an H ion or C ion; however, the excitation condition of electrons is adiabatic, i.e.,  $\alpha_e = \alpha_{\text{ad}} = 0.544$  [29]. In this paper, adiabatic excitation is used for it is more relevant to the conditions here and stimulated Brillouin scattering processes.

### III. VLASOV SIMULATION

One dimension in space and velocity (1D1V) Vlasov-Poisson code [33,34] is taken to excite the nonlinear IAW and research the properties of the nonlinear frequency shift of the nonlinear IAW in CH plasmas. The split method [35,36] is taken to solve Vlasov equation: we split the time-stepping operator into free streaming in  $x$  and motion in  $v_x$ , and then we can get the advection equations. A third order Van Leer scheme (VL3) [37,38] is taken to solve the advection equations. A neutral, fully ionized, nonmagnetized CH plasmas (1:1 mixed) with the same temperature of all ion species ( $T_H = T_C = T_i$ ) is considered. To solve the particles' behavior, the phase space

domain is  $[0, L_x] \times [-v_{\max}, v_{\max}]$ , where  $L_x = 2\pi/k$  is the spatial scale discretized with  $N_x = 128$  grid points in the spatial domain and  $v_{\max} = 8v_{ij}$  ( $j$  represents electrons, H ions, or C ions) is the velocity scale with  $N_v = 256$  grid points in the velocity domain. The periodic boundary condition is taken in the spatial domain, and the time step is  $dt = 0.1\omega_{pe}^{-1}$ . To generate a driven IAW, one considers the form of the external driving electric field (driver) as

$$\tilde{E}_d(x, t) = \tilde{E}_d(t) \sin(kx - \omega_d t), \quad (17)$$

where tilde presents for normalization  $\tilde{E}_d = eE_d\lambda_{De}/T_e$ . Here  $\omega_d$  and  $k$  are the frequency and the wave number of the driver, respectively.  $\tilde{E}_d(t)$  is the envelope of the driver only related with time

$$\tilde{E}_d(t) = \frac{\tilde{E}_d^{\max}}{1 + \left(\frac{t-t_0}{\frac{1}{2}t_0}\right)^{10}}, \quad (18)$$

where  $\tilde{E}_d^{\max} = eE_d^{\max}\lambda_{De}/T_e$  is the maximum amplitude of the driver, and  $t_0$  is the duration time of the peak driving electric field. The form of the envelope of the driver can ensure that the waves are driven slowly by the driver up to a finite amplitude; thus a so-called ‘‘adiabatic’’ distribution of resonance particles is formed [29,30]. Otherwise, if the wave is initialized suddenly with a large amplitude, a so-called ‘‘sudden’’ distribution of resonance particles will be formed [29–31].

In this paper, the fundamental frequency of the IAW calculated by the linear dispersion relation is chosen as the driver frequency, i.e.,  $\omega_d = \omega_L$ , which is close to the resonant frequency of the small-amplitude nonlinear IAW when  $k\lambda_{De}$  is small. The amplitude of the driver  $\tilde{E}_d^{\max}$  varies to excite different amplitude of nonlinear IAW. As shown in Fig. 2, the examples of the driver amplitude  $\tilde{E}_d^{\max} = 0.03$  for the fast mode and  $\tilde{E}_d^{\max} = 0.0533$  for the slow mode are taken to excite the nonlinear IAW with the amplitude  $\tilde{E} \approx 0.01$ . The external driving electric field is on from 0 to  $2 \times 10^5 \omega_{pe}^{-1}$  with duration time  $t_0 = 1 \times 10^5 \omega_{pe}^{-1}$ , which is much longer than the bounce time of the particles  $\tau_{bj} = 2\pi/\sqrt{q_j k E/m_j}$  so as to ensure the excitation of the nonlinear IAW. After the driver is off, the electric field oscillates at almost constant amplitude, with a value that depends on the the driver amplitude  $\tilde{E}_d^{\max}$ . We notice that impulsive spikes appear in the electric field and the electric signal appears to be composed of many frequencies. Fourier analysis (shown in Fig. 3) will reveal the development of the harmonics when the driver is on and off, and also the frequency shift due to the harmonic generation.

In Fig. 3 the frequency spectra analyses of the time period when the driver is on, i.e.,  $\omega_{pe}t \in [0, 2 \times 10^5]$ , are shown as the black dashed lines, which are labeled as  $\omega_0$ . When the driver is off and the electric field of IAW reaches constant amplitude, i.e.,  $\omega_{pe}t \in [3 \times 10^5, 4.9 \times 10^5]$ , the frequency spectra are shown as the red solid lines, which are labeled as  $\omega_0 + \delta\omega$ . When the driver is on, the amplitude of the fundamental frequency  $\omega_0$  is much larger than that of the harmonics. In this period the harmonic is weak and the frequency  $\omega_0$  is near the driver frequency  $\omega_d$ . However, when the driver is off and the electric field of the nonlinear IAW reaches constant finite amplitude, the harmonics, especially the second harmonics,

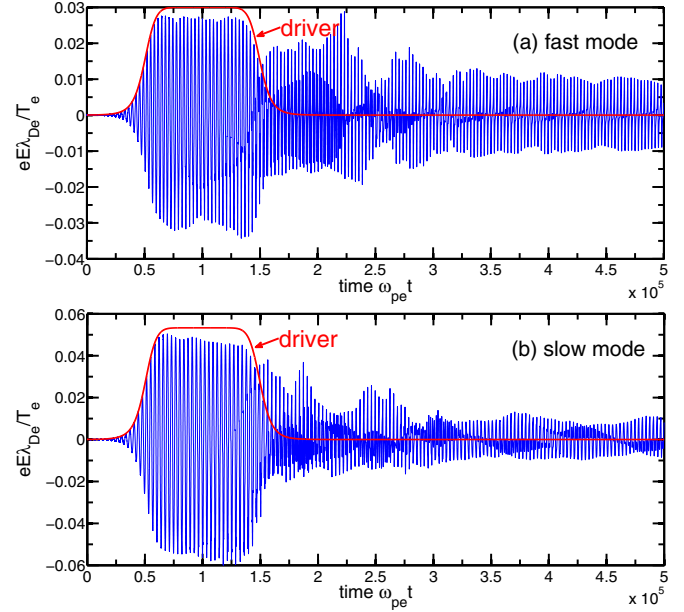


FIG. 2. Time evolution of the electric field, calculated at a fixed point  $x_0 = 5\lambda_{De}$ , for (a)  $T_i/T_e = 0.1$ , the fast IAW mode (the frequency of the driver  $\omega_d = 1.965 \times 10^{-3} \omega_{pe}$ , the maximum amplitude of the driver  $eE_d^{\max}\lambda_{De}/T_e = 0.03$ ), and (b)  $T_i/T_e = 0.5$ , the slow IAW mode ( $\omega_d = 1.75 \times 10^{-3} \omega_{pe}$ ,  $eE_d^{\max}\lambda_{De}/T_e = 0.0533$ ) in the condition of  $k\lambda_{De} = 0.1$ . The red line is the envelope of the driver.

grow and reach an amplitude level comparable to that of the fundamental mode driven by the driver. In this period, the frequency of the fundamental modes will shift with a quantity of  $\delta\omega$  relative to  $\omega_0$ . The same process appears for the fast mode and the slow mode. These cases are taken to qualitatively show

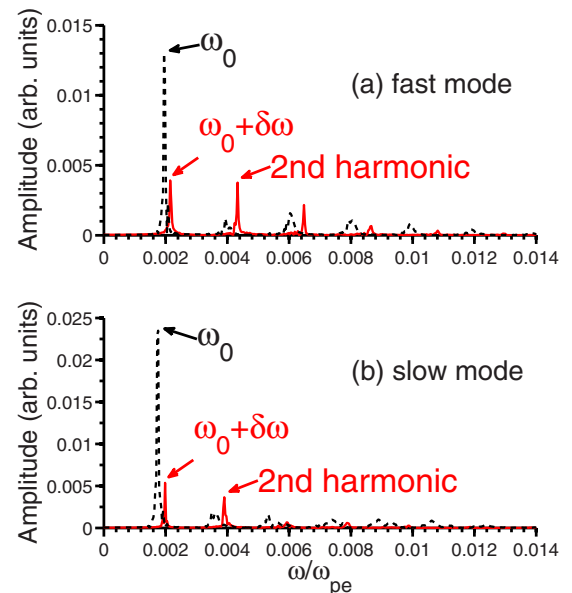


FIG. 3. The frequency spectra of the electric field at  $x_0 = 5\lambda_{De}$  and  $\omega_{pe}t \in [0, 2 \times 10^5]$  (black dashed line),  $\omega_{pe}t \in [3 \times 10^5, 4.9 \times 10^5]$  (red solid line) for (a) the fast mode and (b) the slow mode. This corresponds to Fig. 2.

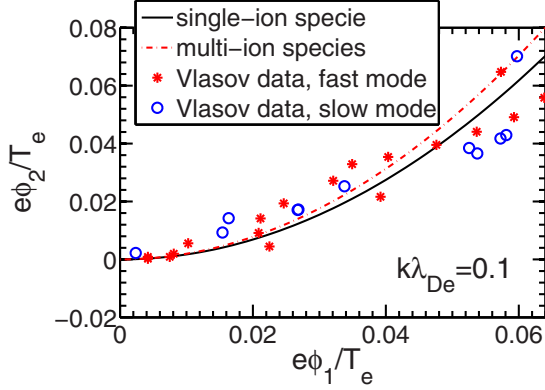


FIG. 4. The relation of the second harmonic amplitude and the first harmonic amplitude for the fast mode and the slow mode. The simulation results are compared to the fluid theory including the cold ion fluid model of single-ion species and multi-ion species.

the nonlinear frequency shift of the IAW at the IAW electric field amplitude  $\tilde{E} \approx 0.01$  corresponding to the condition of Fig. 2. The quantitative calculations will be shown in Fig. 6. The quantity of the frequency shift  $\delta\omega$  is related to not only the amplitude of the nonlinear IAW but also the wave number  $k\lambda_{De}$ , which will be discussed in Sec. IV.

Figure 4 shows that the second harmonic amplitude  $e\phi_2/T_e$  varies with the fundamental mode amplitude  $|\tilde{\phi}_1|$  (or the first harmonic amplitude,  $\tilde{\phi}_1 = e\phi_1/T_e$ , corresponding to the mode labeled  $\omega_0 + \delta\omega$  in Fig. 3). With the fundamental mode amplitude  $|\tilde{\phi}_1|$  increasing, the harmonics, especially the second harmonic, will increase correspondingly, which is consistent with the theoretical result of Fig. 1(b) from Eq. (8) in the appropriate range of  $\tilde{\phi}_1$ . However, if the fundamental mode amplitude  $\tilde{\phi}_1$  is too large ( $\tilde{\phi}_1 \gtrsim 0.06$ ), the theoretical

curve from Eq. (8) will fail to fit the Vlasov simulation data well due to the large-amplitude higher-order harmonics such as the third even the fourth harmonics generation. Thus the multi-ion species fluid NFS model should be applied in the appropriate range of IAW amplitude. In the condition of the small wave number, such as  $k\lambda_{De} = 0.1$ , the harmonic effect is obvious, especially when the nonlinear IAW amplitude is large. As a result, the fluid NFS from harmonic generation will dominate in the total NFS. In our paper and also in Ref. [32], the multi-ion species plasmas are considered. Therefore, the multi-ion species fluid NFS should be taken rather than the single-ion species fluid NFS that was taken in Chapman's research. Detailed discussion is given as shown in Sec. IV.

The total nonlinear frequency shift comes from the harmonic generation (fluid) and the particle trapping (kinetic). As shown in Fig. 5, the distributions and the phase pictures of particles for the fast mode and the slow mode are given to clarify the wave and particle interaction, and thus the nonlinear frequency shift from particles trapping. After a certain number of bounce times, a quasisteady, BGK-like state [39] is thus established. The phase velocities of both the fast mode and the slow mode are lower than the thermal velocity of electrons, thus the wave will gain energy from the electrons, and as a result, electrons will provide a positive frequency shift of the nonlinear IAW. The contribution of H ions and C ions to the NFS of the fast mode and the slow mode is different for the different phase velocity of the modes compared to the ions' thermal velocity. For the fast mode, the phase velocity is two to three times of the thermal velocity of H ions and much larger than that of C ions, thus the wave will give energy to H ions by trapping and nearly cannot trap C ions; as a result, H ions will provide a negative NFS, while C ions make no contribution to the NFS of the fast mode. However, for the slow mode, the phase velocity is close to the thermal velocity of H ions

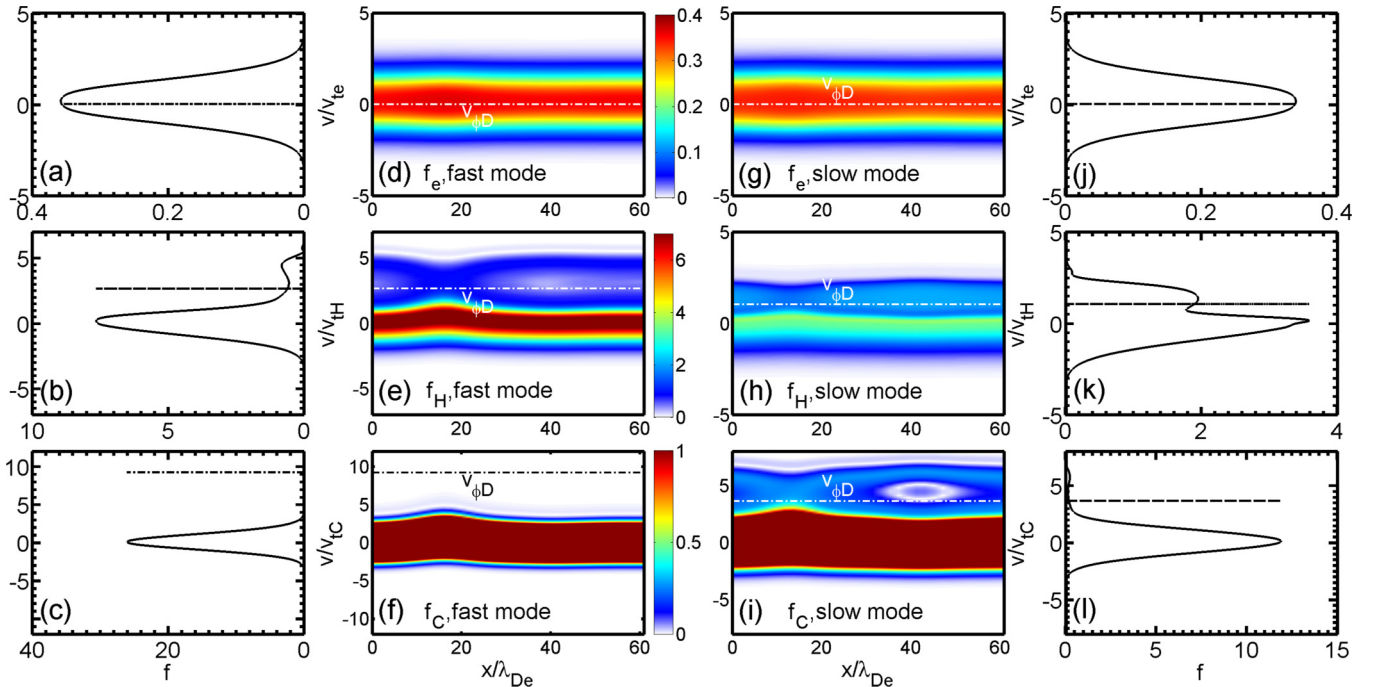


FIG. 5. The phase pictures and the corresponding distributions of electrons, H ions and C ions for the fast mode and the slow mode at the specific time  $\omega_{pe}t_0 = 4 \times 10^5$ ,  $\tilde{E} \approx 0.01$ .  $v_{\phi D}$  is the phase velocity of the driver.

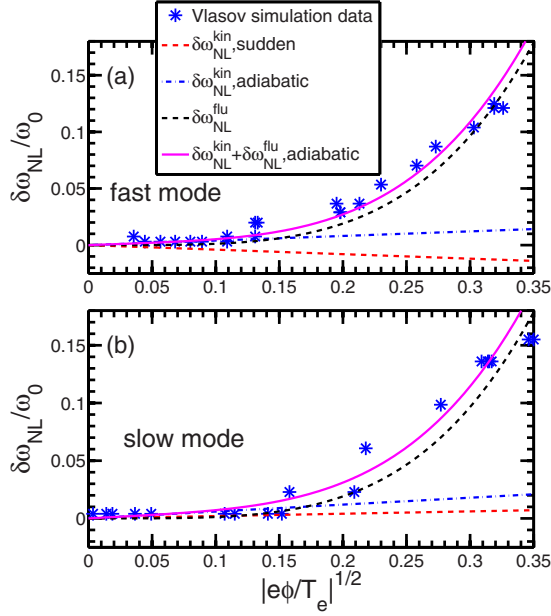


FIG. 6. The nonlinear frequency shift (NFS) of (a) the fast mode,  $T_i/T_e = 0.1$  and (b) the slow mode,  $T_i/T_e = 0.5$  compared to fluid theory in the multi-ion species plasmas (Sec. II A) and the kinetic theory (Sec. II B) in the condition of  $k\lambda_{De} = 0.1$ , where “sudden” and “adiabatic” represent for the excitation condition of the ions (C or H ions), and the excitation condition of the electrons is adiabatic, i.e.,  $\alpha_e = \alpha_{ad}$ .

and three to four times of that of C ions, and the wave and H ions will nearly not exchange energy while the wave will give energy to C ions by trapping; thus H ions nearly make no contribution to the NFS while C ions provide a negative NFS of the slow mode. This is the explanation of KNFS from each species for both the fast mode and the slow mode in the view of energy exchange of the wave and particles, which is consistent with the explanation of KNFS in Ref. [32] through theoretical analysis.

In Fig. 6 the Vlasov simulation data of the NFS of nonlinear IAWs in the condition of  $k\lambda_{De} = 0.1$  is given when the electric field amplitude of nonlinear IAW varies. The fundamental frequency of the nonlinear IAW  $\omega_0$  in the Vlasov data is chosen as the frequency calculated by the dispersion relation with no damping  $\text{Re}[\epsilon_L(\text{Re}(\omega), k)] = 0$  [40]. In the condition of  $k\lambda_{De} = 0.1$ , the fluid NFS from harmonic generation is larger than the kinetic NFS from particle trapping when  $|e\phi/T_e|^{1/2} \gtrsim 0.15$ . And the total NFS,  $\delta\omega_{NL}/\omega_0$ , can reach as large as nearly 15% when the nonlinear IAW amplitude  $|e\phi/T_e|$  reaches 0.1, in which the Vlasov simulation data match the theory analytical curve ( $\delta\omega_{NL}^{\text{kin}} + \delta\omega_{NL}^{\text{flu}}$ ) well. These results indicate the total NFS can reach a relative large value when the wave number  $k\lambda_{De}$  is small, for the reason that the NFS from harmonic generation dominates and increases quickly with the electric field amplitude, which is much larger than that researched [32] in the condition of  $k\lambda_{De} = 1/3$ . A comparison of the total NFS of the modes in the condition of  $k\lambda_{De} = 0.1$  and  $k\lambda_{De} = 1/3$  are shown in Fig. 7. The Vlasov simulation data are consistent with the curve of the total NFS including fluid NFS and kinetic NFS,  $\delta\omega_{NL}^{\text{flu}} + \delta\omega_{NL}^{\text{kin}}$ , where

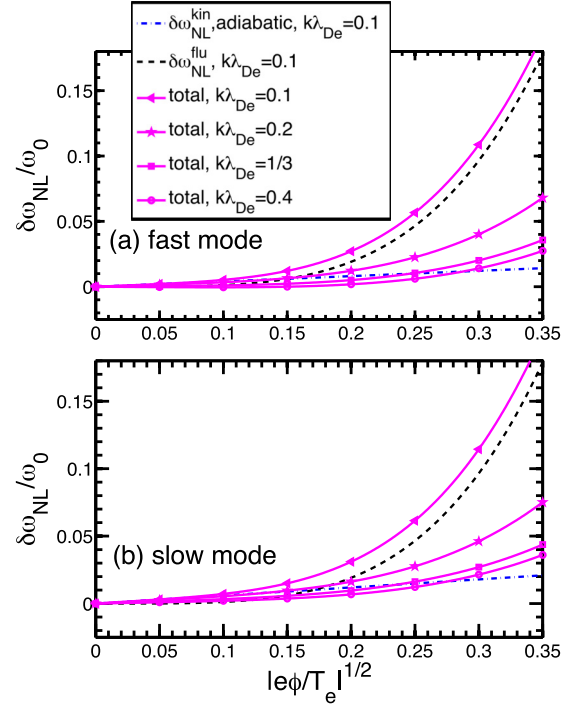


FIG. 7. The analytical results of the total nonlinear frequency shift of (a) the fast mode,  $T_i/T_e = 0.1$  and (b) the slow mode,  $T_i/T_e = 0.5$  for various values of  $k\lambda_{De}$ , where “adiabatic” is considered as the excitation condition of the ions (C or H ions). Here “total” represents the total NFS, i.e., the kinetic NFS plus the multi-ion species fluid NFS  $\delta\omega_{NL}^{\text{kin}} + \delta\omega_{NL}^{\text{flu}}$ , multi-ion species.

adiabatic is taken as the excitation condition of the ions and the fluid NFS analysis  $\delta\omega_{NL}^{\text{flu}}$  is given by the multispecies cold ions fluid model. This verifies that the theoretical model of the fluid NFS in multi-ion species plasmas is valid in appropriate scale of  $\tilde{\phi}$  (not too large). In the research of Chapman, the theory analysis of NFS from harmonic generation used the single-specie cold ion fluid model, which was derived in Ref. [29]. It was clear that calculations of  $\delta\omega_{NL}^{\text{kin}}$  from the kinetic NFS theory matched Vlasov data well for low  $\tilde{\phi}$ , in which the kinetic NFS dominated, but, underestimated the total NFS  $\delta\omega_{NL}$  at higher amplitudes of  $\tilde{\phi}$  because harmonic generation in multi-ion species plasmas will contribute a further positive frequency shift than single-ion specie plasmas, as shown in Fig. 8. The comparison of the fluid NFS (or the total NFS) in the multi-ion species plasmas and the single-ion specie plasmas in the condition of Ref. [32] are shown in Fig. 8.

However, when the nonlinear IAW amplitude is too large, the higher-order harmonics such as the third and even the fourth harmonic will be excited resonantly to a large amplitude, thus the theoretical model of the fluid NFS from harmonic generation in multi-ion species plasmas should retain the higher order of the variables in a Fourier series.

#### IV. DISCUSSION

Figure 7 shows the total NFS (fluid plus kinetic) of CH plasmas (C:H=1:1) as a function of wave amplitude for various values of the wave number  $k\lambda_{De}$ . We can see that the total NFS in the condition of  $k\lambda_{De} = 0.1$  is much larger than that in the

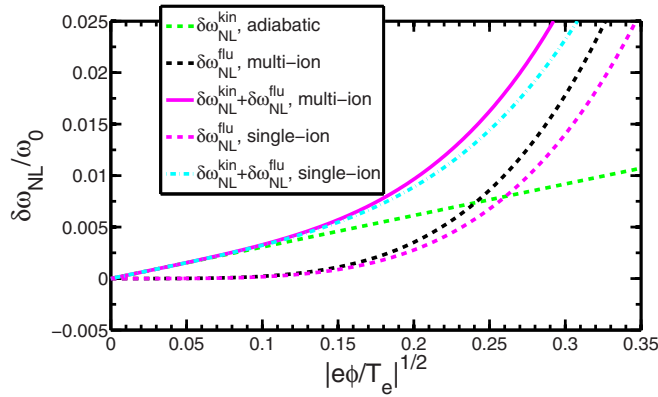


FIG. 8. The comparison of the multi-ion species fluid NFS (the total NFS:  $\delta\omega_{NL}^{kin} + \delta\omega_{NL}^{flu}$ , multi-ion) and the single-ion specie fluid NFS (the total NFS:  $\delta\omega_{NL}^{kin} + \delta\omega_{NL}^{flu}$ , single-ion) for the slow mode in the condition of Ref. [32],  $T_i/T_e = 0.5, k\lambda_{De} = 1/3$ , corresponding to Fig. 3(d) in Ref. [32].

condition of  $k\lambda_{De} = 1/3$ , which is the condition in Ref. [32]. The reason is that for small  $k\lambda_{De}$ , harmonic generation plays a prominent role in NFS. With  $k\lambda_{De}$  increasing, the fluid NFS decreases obviously as shown in Fig. 1(c), and thus the total NFS decreases correspondingly.

To compare the multi-ion species fluid NFS and Ref. [32], the condition of the slow mode  $T_i/T_e = 0.5, k\lambda_{De} = 1/3$ , which is the same as Ref. [32], is taken as an example. The kinetic theory is the same as the research there (as shown in Sec. II B), and the excitation condition of the ions is “adiabatic”. As shown in Fig. 8, especially when the IAW amplitude is large, the multi-ion species fluid NFS (Sec. II A) is larger than the single-ion specie fluid NFS, which was derived in Ref. [29] and made use of in Ref. [32]. Thus, the total NFS (kinetic NFS plus multi-ion species fluid NFS) is larger than the total NFS in Ref. [32] (kinetic NFS plus single-ion specie fluid NFS), especially when the IAW amplitude is large. This result of multi-ion species fluid NFS will make the total NFS fit the Vlasov data in Fig. 3(d) of Ref. [32] better, especially at higher IAW amplitude. This fluid NFS model of multi-ion species plasmas is a correction to Ref. [32], which just considered the single-ion specie fluid NFS model that was derived in Ref. [29].

When  $f_C = 0, f_H = 1$ , i.e., in the H plasmas, the fluid NFS from the multispecies cold ions fluid model is consistent with

that from the single specie cold ion fluid model [29]. This indicates that the multi-ion species NFS model is valid and can also cover the single-ion specie NFS model if we take  $f_C = 0$  or  $f_H = 0$ . We can find the single-ion specie fluid NFS model is independent of the ion specie. However, the multi-ion species fluid NFS model is related to the ion specie and the number fraction of the ion  $f_i$ . This paper takes  $f_C = f_H = 0.5$  as an example; the fluid NFS of multi-ion species plasmas for the effect of mass and charge in multi-ion species plasmas, and thus harmonic generation in multi-ion species plasmas contributes a further positive frequency shift. This multi-ion species fluid NFS model gives the explanation for why the calculations of total NFS in Fig. 3(d) of Ref. [32] underestimate the real NFS  $\delta\omega_{NL}$  at higher IAW amplitudes.

## V. CONCLUSIONS

In summary, the nonlinear frequency shift from harmonic generation derived from the multi-species cold ions fluid model has been given to calculate the fluid NFS and verified to be consistent with the Vlasov results for both the fast mode and the slow mode. And this multi-ion species fluid NFS model can be applied to many multi-ion species plasmas. Pictures of the NFS of nonlinear IAW from the harmonic generation and the particle trapping are shown to explain the NFS process. The fluid NFS from harmonic generation is related to not only the nonlinear IAW amplitude but also the wave number  $k\lambda_{De}$ . When the wave number  $k\lambda_{De} = 0.1$ , the fluid NFS from harmonic generation dominates in the scale of  $|e\phi/T_e|^{1/2} \gtrsim 0.15$  and will reach as large as  $\sim 15\%$  when  $|e\phi/T_e|^{1/2} \sim 0.35$  in which the theory analytical calculations are consistent to the Vlasov simulation results. This indicates that the fluid NFS dominates in the saturation of SBS in the condition of small  $k\lambda_{De}$ , especially when the wave amplitude is large.

## ACKNOWLEDGMENTS

We are pleased to acknowledge useful discussions with K. Q. Pan. This research was supported by the National Natural Science Foundation of China (Grant Nos. 11575035, 11475030, and 11435011) and National Basic Research Program of China (Grant No. 2013CB834101).

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