Freezing, accelerating, and slowing directed currents in real time with superimposed driven lattices

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We provide a generic scheme offering real-time control of directed particle transport using superimposed driven lattices. This scheme allows one to accelerate, slow, and freeze the transport on demand by switching one of the lattices subsequently on and off. The underlying physical mechanism hinges on a systematic opening and closing of channels between transporting and nontransporting phase space structures upon switching and exploits cantori structures which generate memory effects in the population of these structures. Our results should allow for real-time control of cold thermal atomic ensembles in optical lattices but might also be useful as a design principle for targeted delivery of molecules or colloids in optical devices.

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I. INTRODUCTION

Temporally driven lattice potentials have attracted considerable attention in recent years [1-8] as their experimental controllability allows for an insightful approach into the complex world of nonequilibrium physics. A phenomenon of particular interest in these systems is the ratchet effect. Here, the breaking of certain spatiotemporal symmetries of the system allows one to convert unbiased fluctuations into directed particle motion even in the absence of mean forces [9-12]. This can be seen as a working principle of a motor operating on smallest scales relevant to phenomena ranging from intracellular transport problems [13] and cancer cell metastasis [14] to the transport of colloidal particles [15,16] in optical lattices or vortices in Josephson junction arrays [17]. Novel ratchet experiments using atomic ensembles in ac-driven optical lattices [18,19] allow for an admirable controllability both in the ultracold quantum regime [1] and at microkelvin temperatures where a classical dynamics approach successfully describes experiments [3,20]. Naturally, in view of their widespread applications, the controllability of directed particle currents has been a focal point of research since the early days of ratchet physics. Here, owing to the absence of an obvious force bias, even the transport direction is sometimes difficult to predict and numerous cases of "current reversals" have been reported where the direction of the transport in the asymptotic time limit could be reversed by changing a control parameter even though the symmetries of the system remain unaffected [21-29]. A limitation of most of these schemes is that only the asymptotic transport direction can be controlled rather than allowing for real-time control of the current which would be certainly desirable in order to apply ratchets as nanomotors [6] and to problems like targeted drug delivery [30]. A recent exception is Ref. [22], which requires, however, dissipation and is restricted to "flipping" the directed current at fixed strength.

Here, we exemplify a generic route towards the real-time control of directed currents. This allows us not only to dynamically control both direction and strength of the transport, up to unusually high efficiencies, but also to freeze the transport velocity on demand. Using one nontransporting and symmetric oscillating lattice as a "substrate" for particles (Fig. 1, top), we subsequently switch a second oscillating lattice, called the "carrier" lattice, on and off. In particular, switching the carrier lattice on breaks the parity and time-reversal symmetries of our setup and induces a directed particle current (Fig. 1, middle) accelerating the transport in a direction which can be controlled by the phase difference between the carrier and the substrate lattice. Switching the carrier lattice off does not lead to a decay of the transport towards zero but "freezes" it at constant velocity (Fig. 1, bottom). This can be repeated many times and allows one to design transport in real time. As the underlying mechanism, we identify a systematic opening and closing of cantorus structures, acting as barriers between transporting and nontransporting phase space structures upon switching. Thereby, the time scale on which the current can be manipulated is set by the flux through the cantorus and we show that manipulations are, in fact, possible for up to $\sim 1 \times 10^5$ driving periods. Our scheme does not require noise, but is robust to it, and is designed for straightforward implementation with cold thermal atoms in superimposed driven optical lattices where state of the art technologies allow one to avoid interference terms between the two lattices. Here, our scheme can be applied to guide atomic ensembles through optical lattices on paths which can be designed in real time. The underlying working principle should be of more general relevance, for example, as a design principle for real-time controlled targeted delivery of molecules or colloids in optical lattices or, possibly, also on other vibrated substrates.

II. SETUP

We consider noninteracting classical particles of mass *m* located at position *x* and having momentum *p*, described by a single-particle Hamiltonian $H(x, p, t) = \frac{p^2}{2m} + V(x, t)$, in a periodic potential $V(x,t) = V_S(x,t) + V_C(x,t)$. Here, V_S

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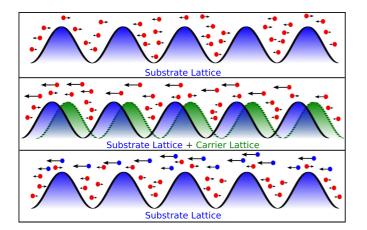


FIG. 1. Schematic diagram of the setup and real-time control of directed transport. Top: Nontransporting state in the oscillating substrate lattice. Middle: Directed transport after switching on the carrier lattice. Bottom: Persistent transport after switching off the carrier lattice. Red particles perform diffusive motion whereas the blue ones are ballistic. The length and direction of the arrow indicate the speed and direction of the particle, respectively.

represents the substrate lattice and V_C the carrier lattice with

$$V_S(x,t) = V_S \cos^2\{k[x+d\cos(\omega t)]\}$$
$$V_C(x,t) = V_C \cos^2\{k[x+2d\cos(\omega t+\phi)]+\delta\}.$$
 (1)

Both lattices have identical wave number k, but the oscillation amplitude d and frequency ω of the carrier lattice are twice as large as those for the substrate, which leads to spatial and temporal periodicities of $L = 2\pi/k$ and $T = 2\pi/\omega$ of H. Clearly, after averaging over time and space, this system is force free and hence unbiased. Our Hamiltonian may describe, for example, cold atoms in the classical regime of microkelvin temperatures [3,20] exposed to two counterpropagating laser beams of perpendicular polarization, preventing the occurrence of interference terms in Eq. (1). The lateral oscillation of both lattices can be achieved by phase modulating both laser beams using standard techniques like acousto-optical modulators and radio frequency generators (see, e.g., Refs. [18,31]).

To identify the relevant control parameters we introduce dimensionless variables x' = 2kx and $t' = \omega t$. Using $\mu = \frac{m\omega^2}{2V_ck^2}$, $\nu = 2kd$, and $\gamma_V = \frac{V_C}{V_s}$, we get the equation of motion

$$\mu \ddot{x} = \sin(x + \nu \cos t) + \gamma_V \sin[x + 2\nu \cos(2t + \phi) + \delta],$$
(2)

where we omitted the primes on t' and x'.

III. RATCHET TRANSPORT AND LATTICE SWITCHES

In order to explore the transport properties of our setup, we propagate $N = 2 \times 10^4$ particles up to $t_{\text{tot}} = 4 \times 10^5 T$ by numerical integration of Eq. (2) using a Runge-Kutta Dormand-Prince integrator [32]. The initial velocities of the particles are chosen randomly within the low velocity regime such that their initial kinetic energies are small compared to the potential height of both the lattices. In this section, we present the main results and we discuss the underlying physical mechanisms in the following sections.

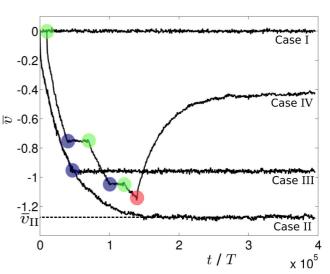


FIG. 2. Mean transport velocity \bar{v} of a particle ensemble as a function of time for four different cases. Case I: in presence of only substrate lattice ($\gamma_V = 0$). Case II: in presence of both substrate and superimposed carrier lattice $\gamma_V = 1$. Case III: in presence of both lattices but carrier lattice switched off at $t = 0.11t_{tot}$ (blue dot) ($\gamma_V = 1$). Case IV: subsequent switches of the carrier lattice; blue dots show times ($t = 0.10t_{tot}$ and $t = 0.25t_{tot}$) where the carrier lattice is switched off, and green dots when it is switched on ($t = 0.025t_{tot}, t = 0.175t_{tot}$, and $t = 0.30t_{tot}$). At the final switch (red dot, $t = 0.35t_{tot}$), we switched also the relative driving phase ϕ from $\phi = \pi/2$ to $\phi = -\pi/2$. Remaining parameters: $\mu = 1.2665, \nu = \pi$, $\delta = \pi/2$, and $\phi = \pi/2$.

In the case of only the substrate lattice being present, we do not observe directed transport (case I in Fig. 2). This is to be expected, because the corresponding equation of motion [Eq. (2) with $\gamma_V = 0$] is invariant under time reversal, $t \rightarrow -t$, thus preventing directed particle motion in unbiased systems [11]. Applying the carrier lattice additionally [$\gamma_V = 1$ in Eq. (2)] and choosing appropriate "phase shifts" to the substrate ($\phi \neq 0, \pi$ and $\delta \neq 0, \pi$) allows one to break both time reversal and parity symmetry which leads indeed to directed transport (case II in Fig. 2). This transport slowly accelerates and finally saturates at $\bar{v}_{\rm II} \simeq -1.25$ which is comparable to the spread of the velocity distribution of the particles. This constitutes an unusually high efficiency for a Hamiltonian ratchet, where the mean drift velocity is typically one or two orders of magnitude less than the standard deviation of the particle velocity distribution. We now consider the same situation, but switch off the carrier lattice, instantaneously, at $t = 0.11t_{tot}$ (blue dot; case III in Fig. 2). Interestingly, after switching off the carrier lattice the transport persists. Rather than decaying back towards zero, as one might expect for a symmetric setup, it does not decay but is frozen at its value at the time of the switch. That is, our atomic ensemble travels with constant average speed through the symmetrically oscillating lattice. Remarkably, this allows for an intriguingly simple real-time control of the transport velocity: Once the desired transport is achieved, one simply needs to switch off the carrier lattice.

We now consider a similar case, but switch the carrier lattice subsequently on and off (case IV in Fig. 2). Once the transport has been frozen for a while we can accelerate it by switching on the carrier lattice again (second green dot on case IV curve). Switching it off, for a second time (second blue dot), freezes also this enhanced transport at a constant strength. Clearly, to achieve a highly flexible real-time control of the transport it would be desirable to be able to slow it down. This can in fact be achieved by switching on the carrier lattice again, but this time with a phase difference of $\phi = -\pi/2$ to the substrate (red dot). We see in Fig. 2 that this indeed slows the transport systematically down. Overall, we demonstrated a remarkably simple protocol allowing one to enhance, freeze, or slow the transport of atomic ensembles in two optical lattices on demand.

IV. DISCUSSIONS

A. Phase space analysis

It turns out that the physical mechanism underlying the real-time control of directed currents we just demonstrated crucially hinges on the mixed phase space structure of our two lattice system. Hence, to understand it we perform a systematic analysis of its microscopic composition and analyze its dynamical occupation by the considered particle ensemble. First, to understand the structure of the phase space itself, we take "stroboscopic" snapshots of particles with different initial conditions leading to Poincaré surfaces of sections (PSOS) which provide a representative overview of the structure of the complete three-dimensional (3D) phase space [33]. We also exploit the spatial periodicity of our setup and project the particle position back to the first unit cell $x \in [0, L)$ of the lattice. The PSOS of the substrate lattice (henceforth referred to as P1) is symmetric about v = 0 [Fig. 3(a), black dots] and contains a large central "chaotic sea" $C_{\rm C}^1$ between $v \simeq \pm 1.6$. On top of the PSOS, we show the snapshot of the particle coordinates, at a given time, used to determine \bar{v} in case I of Fig. 2 [green dots in Fig. 3(a)], illustrating the uniform symmetric chaotic diffusion of particles through the lattice resulting in no transport. The chaotic sea is bounded by the first invariant spanning curves [FISCs; red lines in Fig. 3(a)], which prevents acceleration of our low velocity initial conditions beyond |v| >1.6. Contrarily, a particle with initial condition on one of the regular invariant curves at $|v| \gtrsim 1.6$ [black lines in Fig. 3(a)] shows ballistic unidirectional motion through the lattice.

Let us now explore how the phase space structure changes in the presence of the carrier lattice. Most prominently, the two-lattice PSOS [black dots in Fig. 3(b)], henceforth referred to as P2, is not mirror symmetric about the v = 0 axis. Besides the chaotic sea $C_{\rm C}^2$ at small velocities, it exhibits two additional chaotic layers at higher velocities: the upper layer C_U^2 at $v \gtrsim 2.2$ and the lower layer $C_{\rm L}^2$ at $v \lesssim -2.2$. The crucial point now is that the choice of an appropriate value for γ_V allows one to connect C_C^2 , asymmetrically, only with C_L^2 through a "cantorus" structure [red dashed line in Fig. 3(b)], which is a hierarchical chain of stable and unstable fixed points, while it remains separated from \mathcal{C}^2_U by a regular invariant curve [red solid line in Fig. 3(b)]. This allows particles to enter $C_{\rm L}^2$ but not $C_{\rm U}^2$. This can be easily seen from the fixed time snapshot of the particle distribution onto P2 corresponding to case III denoted by the green dots in Fig. 3(b). These particles in $C_{\rm L}^2$ still move irregularly but now only in one direction through the lattice, which is the origin of the transport we observed in Fig. 2. The fact that the transport velocity does not quickly

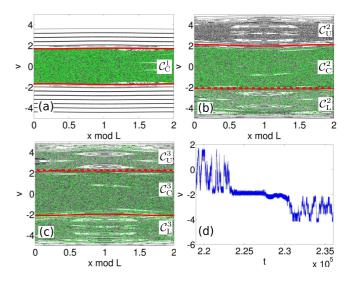


FIG. 3. The position (mod L) and velocity of all the *N* particles (green) at (a) $t = 0.04t_{tot}$ in case I superposed on the PSOS P1 (black dots and lines) of the substrate lattice, (b) $t = 0.42t_{tot}$ in case III superposed on the PSOS P2 (black dots) corresponding to both the substrate and the carrier lattices, and (c) $t = 0.95t_{tot}$ in case IV superposed on the PSOS P3 (black dots) corresponding to both lattices but with $\phi = -\frac{\pi}{2}$. Red solid lines denote the position of the FISCs whereas the red dashed lines indicate the location of the cantorus (see text). $C_{U,C,L}^i$, i = 1,2,3, denotes the upper, central, and lower chaotic layer of P1, P2, and P3, respectively. (d) A zoom into the typical trajectory of a particle initiated at low velocity in the central chaotic sea of the PSOS P2 in (b), showing the particle's stickiness to the cantorus.

converge to a constant velocity, but accelerates very slowly, on time scales of 1×10^5 driving periods towards its asymptotic value (case II in Fig. 2) is owed to the cantorus linking C_C^2 and C_L^2 , which effectively acts as a semipermeable barrier to the particles approaching it and slows down the uniform filling of the accessible parts of the phase space.

B. Conversion between diffusive and ballistic motion

To understand how switching the carrier lattice subsequently on and off allows one to freeze, accelerate, and revert the directed transport, we now analyze the impact of lattice switches on the population of phase space structures [green dots in Figs. 3(a)-3(c)].

In Fig. 2, case III, when we froze the directed transport by switching off the carrier lattice, the phase space changed suddenly from P2 to P1. The crucial observation is now that particles located in C_L^2 and some regions of C_C^2 of P2 at the instant of the lattice switch [green dots below $v \sim -1.6$ in Fig. 3(b)] are located in the regular domain of spanning curves in P1 [see Fig. 3(a)] after the switch. As usual, particles which are located on regular spanning curves after the switch [black lines in Fig. 3(a)] are confined to these structures and travel with almost constant velocity through the lattice. Hence, also the ensemble averaged velocity, i.e., the directed transport, remains approximately constant or "frozen," which explains our observation in Fig. 2, case III (the particles in the chaotic sea C_C^1 of P1 do not contribute to the transport as P1 is symmetric around v = 0). In conclusion, the instantaneous switch of the dynamical system has caused a conversion from diffusive to regular motion for some particles, which is reminiscent of the conversion processes between regular and ballistic dynamics observed in driven superlattices [34,35].

It is now straightforward to see how switching the carrier lattice for a second time (case IV in Fig. 2) accelerates the transport again. This switch suddenly changes the underlying phase space from P1 to P2 and connects, again, C_C^2 with C_L^2 . Hence, since the particle density in C_C^2 is (still) higher than the density in C_L^2 [Fig. 3(b)], particles continue penetrating through the cantorus into C_L^2 which stops only for a uniform particle distribution over the entire chaotic sea. Furthermore, there is now a natural way to slow down the transport. Choosing an inverse phase difference of $\phi = -\pi/2$ (instead of $+\pi/2$) mirrors P2 around v = 0 and the particles now slowly redistribute from the central chaotic layer C_C^3 into the upper chaotic layer C_U^3 as shown in the PSOS P3 [black dots in Fig. 3(c)]. This creates a "counterweight" to the particles in C_L^3 which slowly, but continuously, grows [for a snapshot see green dots in Fig. 3(c)], which explains the observed decrease of the directed transport.

How long can we proceed to accelerate, slow down, and revert the transport? The time scale is set by the uniform filling of the entire chaotic sea of P2. This limiting time scale depends crucially on the flux through the cantorus which in turn can be tuned by varying the relative strengths of both lattices, i.e., by changing γ_V . For $\gamma_V = 1$ (the value we used), at about $t \sim 4 \times 10^5 T$ the entire chaotic sea of the two-lattice setup (lower, central, and upper sea) is uniformly filled with particles. At this point, no further modulation of the transport is possible within our scheme.

V. EXPERIMENTAL REALIZATIONS

We believe that our dynamical control of directed currents can be realized in experimental setups using cold atoms in driven optical lattices where the periodic potential is generated by counterpropagating laser beams of perpendicular polarization [12,18,20,31]. The resulting lattice can be driven by phase modulation using acousto-optical modulators and radio frequency generators which also allow one to keep both lattices in phase and to implement a driving amplitude on length scales of the order of L [12,20]. Translating our parameters to experimentally relevant quantities for rubidium atoms, we obtain $V_S = V_C \sim 20E_r$, $\omega \sim 10\omega_r$, and the product $dk \sim \frac{\pi}{2}$, where E_r and ω_r are the recoil energy and recoil frequency of the atom, respectively. These experiments operate in the demonstrated classical regime of microkelvin temperature [20]. Even for colder temperatures, in the semiclassical regime, we expect tunneling through cantori [5], which should not alter our control scheme in general but only reduce the operational timescale. We note that our scheme can be refreshed by employing Sisyphus cooling, which can be used to localize our particle ensemble in the

central chaotic sea again. Notably in these experiments many particle effects are not important, but one can in principle tune parameters to probe the impact of weak interaction effects [36]. This can have important consequences for the transport [37], but it affects the particle distribution in phase space only on long time scales [37] and should therefore leave our scheme unaffected. In contrast to Brownian ratchets, our mechanism does not depend on noise and we explicitly checked that it is robust to noise of strengths in the regime typical for cold atom ratchet experiments [38]. Stronger noise would enhance the particle flux through the cantori and other regular structures, significantly decreasing both the maximally achievable transport velocity and the operational time scale of our scheme. Also, the thermal broadening of the atomic beam momentum distribution in this microkelvin temperature regime is small compared to the width of the central chaotic sea and thus would not contribute to the particle flux, hence keeping the efficiency of our scheme unaffected.

Another possible realization is provided by using a superconducting quantum interference device (SQUID) setup with Josephson junctions as in Ref. [39] operating in the underdamped classical regime of temperatures $\sim 1 \text{ K}$ (in which damping, noise, as well as quantum effects can be neglected safely) with a time dependent biharmonic external flux. Since this underdamped classical regime has already been realized experimentally, we believe that realizing our scheme using such setup is possible [40–43]. Finally, we note that our control scheme is not restricted to two-lattice systems but could be applied also to other Hamiltonian systems having mixed phase spaces and offering chaotic layers which can be systematically connected and disconnected.

VI. CONCLUSIONS

We provide a scheme offering the real-time control of directed currents in superimposed driven lattices. It can be straightforwardly implemented in ac-driven optical lattices and allows one to design directed currents of cold thermal atomic ensembles which can be consecutively accelerated, slowed, and reverted on demand. The mechanism underlying our scheme operates in phase space and depends only on large scale structures like the presence of different chaotic layers and cantori structures and should therefore be applicable more generally, e.g., as a design principle for targeted delivery of molecules or colloids in optical devices, or possibly on other vibrating substrates.

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