



# Facilitated diffusion of proteins through crumpled fractal DNA globules

Jan Smrek<sup>\*</sup> and Alexander Y. Grosberg

*Center for Soft Matter Research and Department of Physics, New York University, New York, New York 10003, USA*

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We explore how the specific fractal globule conformation, found for the chromatin fiber of higher eukaryotes and topologically constrained dense polymers, affects the facilitated diffusion of proteins in this environment. Using scaling arguments and supporting Monte Carlo simulations, we relate DNA looping probability distribution, fractal dimension, and protein nonspecific affinity for the DNA to the effective diffusion parameters of the proteins. We explicitly consider correlations between subsequent readsorption events of the proteins, and we find that facilitated diffusion is faster for the crumpled globule conformation with high intersegmental surface dimension than in the case of dense fractal conformations with smooth surfaces. As a byproduct, we obtain an expression for the macroscopic conductivity of a hypothetical material consisting of conducting fractal nanowires immersed in a weakly conducting medium.

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## I. INTRODUCTION

Facilitated diffusion of proteins such as transcription factors in the cell has been invoked as a possible mechanism that speeds up the passive diffusive finding of specific target sites on DNA [1–3]. The facilitated diffusion consists of repeated tours of three-dimensional (3D) diffusion in the nuclear space interrupted by nonspecific adsorption of the protein to the DNA, subsequent one-dimensional diffusional sliding along the DNA, followed by desorption and another diffusion in the free space, etc. While the sliding along the DNA reduces the dimensionality of the searched space, the three-dimensional tours break the correlation between visited sites and prevent repetitive visits of the same DNA sites. In this way, the facilitated diffusion mechanism can explain low values of measured search time for proteins that are for certain biological conditions and DNA conformations, significantly below search times predicted in the absence of the one-dimensional (1D) diffusion tours.

In principle, the effectiveness of facilitated diffusion depends on DNA conformation. Indeed, after a three-dimensional tour, whether the protein readsorbs on a previously “scanned” DNA segment or on an uncorrelated one depends on how the DNA segments are folded in space [4]. Up to now, most of the studies in this area have considered DNA as a straight rod or an assembly of several such rods, or they did not consider the conformation explicitly [2,5–7]. This simplification is somewhat justified by the fact that the 1D sliding length is usually quite small, on the order of or smaller than the DNA persistence length as found in prokaryotes and *in vitro* [8–10]. Nevertheless, it is of significant interest also to understand facilitated diffusion for other DNA arrangements. In this regard, two prototypical conformations, namely that of a Gaussian coil and of an equilibrium globule, were examined in [11,12]. Recently, it became clear that the third broad class of conformations is of interest, i.e., that of crumpled or fractal globules, which is found in long eukaryotic DNA and topologically constrained polymer systems [13,14]. The

goal of this work is to consider a model of facilitated diffusion through a crumpled globule. Let us note that the facilitated diffusion in a generic fractal characterized by fractal and walk dimensions was examined in [15], where the distribution of first passage time was calculated. However, that work did not consider correlations between subsequent adsorptions, which play a central role in the present work and, as we show, have an effect on the diffusion properties. Moreover, it is not clear how the walk dimension is related to the conformational properties of the chromatin fiber. Therefore, we relate the diffusion properties to the directly accessible conformational properties of a crumpled globule reflected in its fractal dimension and contact probability.

Let us briefly summarize the crumpled globule conformational properties that are available from experiments on DNA, such as the Hi-C method [16,17], and from simulations of long topologically constrained polymers [18,19]. Above the entanglement length  $L_e$ , estimated in [14] for the DNA and discussed here later, the probability of two DNA loci to be in close proximity in space decreases with their distance along the DNA contour as a power-law with the exponent  $-\gamma$  close to  $-1$  from below (e.g.,  $-1.08$  human,  $-1.05$  mouse). Moreover, it was found that the DNA conformation is self-similar and compact that is manifested by the scaling of the mean end-to-end distance or gyration radius of a segment with its length  $R_g(s) \sim s^\nu$  with exponent  $\nu = 1/d = 1/3$ . Interestingly, these conformational properties reflected in exponents  $\nu$  and  $\gamma$  seem to be universal across different higher organisms and cell types, and therefore it is very interesting to explore their functional consequences.

However, we have to emphasize that most currently studied transcription factors perform their search on a scale smaller than the onset of the fractal globule conformation, as discussed also in Sec. V. Nevertheless, in addition to the theoretical interest in the present problem, in principle one can design an artificial experiment to overcome this limitation and probe the fractal DNA conformation by means of measuring the facilitated diffusion.

To quantify the search process with facilitated diffusion in a fractal globule, we employ a number of rather strong simplifications. First, the polymer conformation is assumed to be immobile during the whole search process. It has

<sup>\*</sup>Present address: Max Planck Institute for Polymer Research, Ackermannweg 10, D-55128 Mainz, Germany; js5013@nyu.edu

been observed that the chromatin *in vivo* is subject not only to thermal fluctuations, but also to ATP-dependent directed movements. The resulting dynamics exhibits subdiffusive behavior on short time and length scales as well as correlated large-scale motions on the scale of tens of seconds that are comparable to the protein search times [20]. Hence the effect of dynamics is relevant, however a proper hydrodynamic description is only beginning to emerge [21], and it is reasonable to understand the conformational implications at first and account for dynamics in future work. Second, although the DNA occupies only about 1% of the volume of the nucleus [14], the presence of histone proteins and other nuclear bodies can raise this value significantly and act as crowding agents. This can have an effect on the DNA density distribution that has been studied in this context in [22] and that we assume to be homogeneous. Moreover, the motion of particles in concentrated polymer solutions and equilibrium globule conformation even without affinity to the polymer matrix can be subdiffusive on small scales or significantly hindered due to excluded volume effects [23–26]. However, in [27] it was shown that some types of nuclear proteins indeed overcome such “barriers” and can slide along the DNA fiber, although with smaller diffusion coefficient. Additionally, it was shown also *in vivo* that the environment is highly penetrable by the proteins despite the high density [28]. We take the possible crowding effects into account only implicitly by taking the diffusion coefficients along the DNA and in the “free” space as parameters of the problem, and we investigate their impact on the search rate. To quantify realistically the protein search process in the eukaryotic interphase nucleus, one should relax these simplifications. However, to judge their relative relevance, the natural first step is to understand their individual implications. The aim of our present work is to be such a step in the case of the large-scale DNA conformation.

Our model for the DNA conformation is based on the fractal space-filling curves, which have been shown to mimic the chromatin fiber conformation properties above the entanglement length (see also [29] for a different approach). By construction they are self-similar, and the space-filling property is reflected in the scaling of the gyration radius with length with the exponent  $\nu = 1/3$  as for the DNA. It was shown [14] that the exponent  $\gamma$  governing the scaling of the contact probability is related to the fractal dimension  $d_b$  of the surface of the volume occupied by the space-filling curve, by the relation  $2 - \gamma = d_b/d = \beta$ . While the classical space-filling curves such as the Hilbert curve [30] have smooth surfaces with  $\beta = 2/3$ , the DNA contact probability scaling is reproduced by space-filling curves with  $d_b$  close to 3, or in other words  $\beta$  close to unity (from below) [31]. It is precisely the effect of the exponent  $\beta$  on the search process and protein dynamics in the nucleus that we want to capture.

In the next section, we present scaling arguments for the specific binding rate of the proteins to their targets as a function of the protein affinity for the DNA, the diffusional properties, and the exponent  $\beta$ . Then we calculate the effective diffusion coefficient governing the transport of the protein through the fractal medium of the nucleus using an analogy with electric transport properties. In the subsequent section, we present various regimes for the binding rates resulting from relations

between various length scales of the problem, and we compare our findings with numerical simulations.

## II. THE MODEL

Our approach follows that of Hu *et al.* [11], who find the length scale of uncorrelated protein readsorption from the balance of 3D and 1D transport, however we employ important modifications regarding the DNA conformation. We consider the chromatin fiber of length  $L$  and diameter  $b$  to occupy a volume  $v$  representing the nucleus. For simplicity, all the microscopic length scales, such as the protein size, chromatin fiber diameter, and target size, are taken to be of the same order  $b$ . The volume fraction of the chromatin  $Lb^2/v$  is assumed low enough so the protein can diffuse freely in between the DNA with a diffusion coefficient  $D_3$ . The protein can nonspecifically adsorb to the DNA with energy  $\varepsilon$  and the corresponding Boltzmann parameter  $y = e^{\varepsilon/kT}$  that is assumed to be independent of the DNA sequence. Although some proteins can bind to more than one strand at a time, we do not assume such a complication here. When the protein is adsorbed, it can diffuse along the fiber with a diffusion coefficient  $D_1$ .

We assume there is just a single target on the whole DNA, and we are interested in the mean first passage time of a protein to the target, averaged over the initial protein position relative to the target location. As the DNA is immobile, one can use a standard technique to calculate the mean first passage time. We can imagine there is a sink of proteins at the target, and when a protein reaches the target it is introduced back to the system at a random location. Then there is an average constant flux  $J$  to the target, and the average target binding time is just given by the inverse of this flux,  $\tau = 1/J$ . We do not assume any effects due to internal degrees of freedom of the protein [32] or imperfect target recognition efficiency.

Naturally, the binding rate  $J$  is proportional to the total protein concentration  $c$ , as long as the proteins do not interact with each other, which we assume as well. If there was no nonspecific adsorption of the proteins to the DNA, the rate of hitting the target by a pure 3D diffusion is given by a Smoluchowski rate  $J_s = 4\pi D_3 cb$ , which is a steady-state solution to an absorbing sphere of size  $b$ . In what follows, we drop the factor of  $4\pi$  in  $J_s$  as we do also with all other numerical factors in our scaling arguments. We will present the results in the form of  $J/J_s$  to show the speedup or slowdown of the facilitated diffusion with respect to the pure 3D case.

The main reason for a possible speedup of the facilitated diffusion is that the sliding along the DNA effectively increases the target size. Due to 1D sliding, the protein reaches the target even if it adsorbs onto the DNA within some contour distance  $\lambda$  from the target. The length scale  $\lambda$ , called the antenna length, governs the extent of correlated readsorption, hence it is the crossover length between 1D and 3D diffusion. In other words, the protein moves on average a distance  $\lambda$  by 1D diffusion, while the transport to the antenna is governed by 3D diffusion (see Fig. 1). Naively, one could consider  $\lambda$  to be equal to the average sliding length, which can be estimated as  $l_{\text{slide}} \sim b(yD_1/D_3)^{1/2}$  [9,11] [the protein spends adsorbed time  $t \sim b^2 y/D_3$ , during which it covers distance

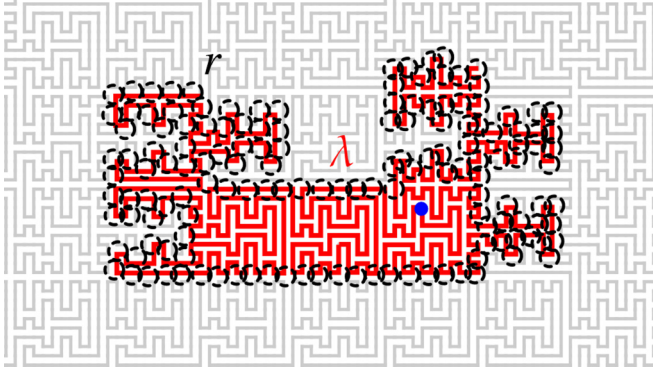


FIG. 1. (Color online) Simplistic view of the antenna following a space-filling curve in two dimensions. The antenna (thick red line) of length  $\lambda$  around the target (filled blue circle) follows a space-filling curve (thin gray line) representing the DNA conformation. The black dashed empty circle of radius  $r$  represents the scale of free diffusion in between the DNA strands. The collection of the  $r$  circles in the figure depicts the accessible surface of the antenna by free diffusion.

( $D_1 t$ )<sup>1/2</sup>]. However in general  $\lambda$  can be longer than  $l_{\text{slide}}$  because of the correlated readsorption: protein explores a segment of length  $l_{\text{slide}}$  and desorbs, then performs a short 3D diffusion tour and adsorbs again on a correlated place, e.g., place that was visited in the previous sliding. Then the protein slides again along the DNA distance  $l_{\text{slide}}$ , which, however, does not completely overlap with the previously visited segment. Repeating such correlated tours, the (the antenna) length explored before the protein readsorbs on a completely uncorrelated location can be greater than the pure sliding length (see also [11] for a more detailed explanation). Such a correlated readsorption does not change the sliding time significantly, and this can be estimated as  $\lambda^2/D_1$ . To see this, one has to realize that after desorption, the protein is very close to the DNA, and therefore, with probability close to unity, it adsorbs back on the DNA before diffusing far away. Such a short diffusion event lasts a microscopic time at most of the order of  $b^2/D_3$ . As one can easily check, this is smaller than the time of 1D diffusion between two protein desorptions  $l_{\text{slide}}^2/D_1$ , and therefore the sliding time including correlated readsorption is dominated by the 1D diffusion estimate above. Then the delivery rate of proteins to the target through the 1D diffusion is given by  $J = J_1 = c_{\text{ads}}\lambda/(\lambda^2/D_1)$ , where  $c_{\text{ads}}$  is the concentration of adsorbed proteins. The antenna length has yet to be determined from a balance between one-dimensional and three-dimensional transport. That is, in steady state the flux  $J_1$  of proteins to the target sink is to be compensated by a 3D flux  $J_3$  of proteins delivered to the antenna.

The main improvement of the present work over the earlier approach [11] is the rate  $J_3$  that is to be adjusted for the specific fractal conformation consistent with that of the eukaryotic DNA. As we neglect the density fluctuations of the DNA, the mean distance  $r$  traveled by a protein before encountering the DNA scales as  $r \sim (v/L)^{1/2}$ . This is in fact, in the scaling sense, the same as the penetration length of a random walker into a mesh of cylinders of radius  $b \ll r$  and mean density  $1/r^3$ . To see that, one can express the distance traveled by a random walker before hitting a cylinder as  $(D_3\tau_{\text{Sc}})^{1/2}$ , where

$\tau_{\text{Sc}}$  is the Smoluchowski time to hit a cylinder of radius  $b$  and length  $r$ . Solving for the corresponding steady state, one can see that  $\tau_{\text{Sc}} \sim (D_3c_w r)^{-1}$ , where we dropped all numerical and also logarithmic factors, and where  $c_w$  is the concentration of walkers, i.e.,  $1/r^3$ . That means that the average traveled distance of a random walker is, up to a numerical factor, the same as the mean interchain distance  $r$ . At first, for simplicity we will assume that  $r \sim p \sim L_e$ , where  $p$  is the persistence length, which means that the chromatin fiber is straight below the scale  $r$  and behaves like a space-filling curve with some  $\beta$  above  $r$ . We make this artificial assumption to concentrate on the effect of the large-scale structural properties of the DNA on the binding rate, however later in Sec. IV we will relax this assumption to illustrate the impact of conformation on smaller scales. As the 3D diffusion takes place only on or below length scale  $r$ , in order for the protein to be delivered to the antenna it has to hit one of the DNA cylinders of length  $r$  covering the accessible surface of the antenna (Fig. 1). As we assume  $\lambda > r$ , i.e., it is above the fractal threshold, the number of these cylinders scales as  $(\lambda/r)^\beta$  [31]. Then the rate  $J_3$  is  $(\lambda/g)^\beta$  times the delivery rate to one such cylinder, which is  $D_3c_{\text{free}}r$ .

The balance of the  $J_1 = J_3$ ,

$$D_1 \frac{c_{\text{ads}}}{\lambda} = D_3 c_{\text{free}} r \left( \frac{\lambda}{r} \right)^\beta, \quad (1)$$

gives us  $\lambda$  in terms of  $c_{\text{free}}$  and  $c_{\text{ads}}$ , which can be further simplified by the following consideration. On the way to the target, the proteins experience many adsorption and desorption events, hence we can consider the adsorbed and free proteins to be in equilibrium, and we write for the respective concentration

$$c_{\text{ads}}/c_{\text{free}}b^2 = y, \quad (2)$$

where we assumed the adsorbed proteins are confined within a distance  $b$  of the DNA. Then we can express the antenna length as

$$\lambda \sim (\delta y b^2 r^{\beta-1})^{1/(1+\beta)}, \quad (3)$$

where we defined  $\delta = D_1/D_3$ . To get the target binding rate, we have to plug this back into  $J_1$  (or equivalently to  $J_3$ ). The result will depend on the values of  $c_{\text{ads}}$  and  $c_{\text{free}}$  of course, which can, however, be evaluated exactly using the fact that the total concentration of proteins is fixed. The total number of proteins satisfies

$$cv = c_{\text{ads}}Lb^2 + c_{\text{free}}(v - Lb^2), \quad (4)$$

and we can express the concentrations as function of the parameters,

$$c_{\text{ads}} \simeq \frac{c v y b^2}{y L b^2 + v} \sim \begin{cases} c y b^2 & \text{if } y \ll v/Lb^2 = (r/b)^2, \\ c v/L & \text{if } y \gg (r/b)^2, \end{cases} \quad (5)$$

$$c_{\text{free}} \simeq \frac{c v}{y L b^2 + v} \sim \begin{cases} c & \text{if } y \ll (r/b)^2, \\ c v/Lb^2 y & \text{if } y \gg (r/b)^2. \end{cases} \quad (6)$$

We call the upper expressions of (5) and (6) a weak adsorption (WA) regime, and the lower expressions correspond to a strong adsorption (SA) regime. Using these relations, the binding rate



in the different regimes, using (1) and (3), is given by

$$\frac{J}{J_S} \sim \begin{cases} (\delta y)^{\beta/(1+\beta)} (r/b)^{(1-\beta)/(1+\beta)} & \text{if WA,} \\ \delta^{\beta/(1+\beta)} y^{-1/(1+\beta)} (r/b)^{(3+\beta)/(1+\beta)} & \text{if SA.} \end{cases} \quad (7)$$

As noted before, the expression for  $J_3$  in (1) holds only if  $\lambda \gg r$ , hence using (3) we have to check if this is satisfied. The condition gives  $y \gg (r/b)^2/\delta$ , which means the weak adsorption regime ( $y \ll (r/b)^2$ ) can only exist in the form of the upper line of (7) if  $\delta \gg 1$ . If that is the case, one can see that with increasing protein affinity the binding rate increases at first as  $y^{\beta/(1+\beta)}$  until  $y$  reaches about  $(r/b)^2$ , and then it starts to decrease more rapidly as  $y^{-1/(1+\beta)}$ . The initial increase is due to the higher  $D_1$ , which makes the transport of the proteins faster along the DNA on scales below  $\lambda$  than the three-dimensional diffusion, and as  $y$  grows, more proteins are adsorbed, which increases the binding rate. However, as  $y$  increases beyond  $(r/b)^2$ ,  $\lambda$  grows as well and the reciprocal character of the 1D diffusion starts to dominate over the speedup due to  $\delta > 1$ , and the overall binding rate decreases.

As mentioned above,  $\beta$  varies in the range  $[2/3, 1]$ , where the lower values correspond to smooth Hilbert-like curves ( $\mathcal{HC}$ ) while values close to 1 are for space-filling curves with very wiggly surfaces ( $\mathcal{SC}$ ) similar in statistical properties to the DNA. As  $\beta$  increases, the uncorrelated readsorption is more likely as the surface of the visited segment of the fiber has deep protrusions of other, uncorrelated, segments. That is why  $\lambda$  is a decreasing function of  $\beta$  and  $J/J_S$  grows more rapidly, and for large  $y$  decreases less rapidly for  $\mathcal{SC}$  than for  $\mathcal{HC}$ . However, the obtained dependence of  $\lambda$  and subsequently of  $J$  on  $\beta$  is rather weak. The increase of  $J$  with  $y$  in the WA regime has an exponent  $\beta/(1+\beta)$  that gives the range  $[2/5, 1/2]$ , and the decrease of  $J$  with  $y$  is governed by the exponent  $-1/(1+\beta)$  in the range  $[-3/5, -1/2]$ .

### III. EFFECTIVE DIFFUSION COEFFICIENT—NANOWIRES ANALOGY

The effective diffusion coefficient  $D_{\text{eff}}$ , which governs the transport of proteins on large scales, might be more accessible experimentally than the specific binding rate. For example, one can design an experiment with diffusing particles that exhibit only nonspecific adsorption, or choose a DNA that does not contain specific targets for the given protein. In that case, the fractal properties of the DNA conformation translate in the effective diffusion coefficient. Although we can calculate this quantity directly from the previous considerations, in what follows we use the analogy with electrical conductivity of a hypothetical composite material, as it is instructive to present another facet of the same problem and the area of applicability of the present theory.

The material consists of nanowires with conductivity  $\sigma_1$  in the particular fractal conformation of the DNA that are immersed in a medium with conductivity  $\sigma_3$ . Our aim is to calculate the macroscopic conductivity  $\sigma_{\text{eff}}$  of the material as this is related to the  $D_{\text{eff}}$  of our diffusion problem, as explained in detail in [33]. To make this work self-contained, let us briefly summarize the main idea of this correspondence. We assume there is no target in the system, but we look for an effective transport coefficient when a constant gradient of (chemical)

potential is applied. In the steady state, the current density  $j$  of both the electric current and the diffusion satisfy  $\vec{\nabla} \cdot \vec{j} = 0$ . The electric current density is subject to Ohm's law,  $\vec{j} = -\sigma(\vec{r})\vec{\nabla}\phi$ , and the diffusion current can be expressed using the Smoluchowski equation as  $\vec{j} = -D(\vec{r})c(\vec{r})\vec{\nabla}[\ln c(\vec{r}) - \varepsilon(\vec{r})/kT]$ . In general, the parameters of the two problems, namely the conductivity  $\sigma$ , the diffusion coefficients  $D$ , the protein concentration  $c$ , and the nonspecific adsorption energy  $\varepsilon$ , are spatially dependent. In a tube of radius  $b$  along the DNA, the diffusion coefficient is  $D_1$ , protein concentration is  $c_{\text{ads}}/b^2$ , and the adsorption energy is  $\varepsilon$ , while elsewhere the quantities have values  $D_3$ ,  $c_{\text{free}}$ , and zero, respectively. Similarly, the nanowires of thickness  $b$  have the conformation of the DNA, and their conductivity is equal to  $\sigma_1$ , while the conductivity of the surrounding medium is  $\sigma_3$ . Then the mapping between the two problems can be easily expressed by the following dictionaries:  $\sigma_1 \leftrightarrow D_1 c_{\text{ads}}/b^2$  and  $\sigma_3 \leftrightarrow D_3 c_{\text{free}}$ , which can be written using the equilibrium condition (2) as

$$\sigma_1/\sigma_3 \leftrightarrow \delta y, \quad (8)$$

where  $\delta = D_1/D_3$  as before. To relate the macroscopic transport coefficients, one writes Ohm's law in terms of  $\sigma_{\text{eff}}$  and the Smoluchowski equation with  $D_{\text{eff}}$  and  $c$ , which results in

$$\sigma_{\text{eff}}/\sigma_3 \leftrightarrow D_{\text{eff}}c/D_3c_{\text{free}}, \quad (9)$$

where the ratio  $c/c_{\text{free}}$  is 1 in the weak adsorption regime or  $y(b/r)^2$  in the strong adsorption by equation (6).

As in the diffusion problem, there is a length scale  $\lambda$  characterizing the length over which the current flows mostly in the wires before it crosses through the medium to another uncorrelated piece of wire. The main idea is to express the macroscopic conductivity in terms of this length scale and then find  $\lambda$  maximizing the conductivity. The reason behind this approach is the minimal dissipation principle, which has been shown to be equivalent to Kirchhoff's laws if the linear (Ohm's) law is considered for the material constituents [34].

We consider a piece of the material that is the size of  $\lambda$  in 3D space as the conductivity at this scale is about the same as the macroscopic one, because the correlation effects are on and below this scale. As mentioned above, we assume that  $\lambda$  follows the fractal space-filling scaling of the wire (DNA), that is, its spatial size, such as the gyration radius, scales as  $(\lambda/r)^{1/d}$ , where  $d = 3$  is the space dimension. That means the resistance of a cube of this size is about  $R \sim [\sigma_{\text{eff}}r(\lambda/r)^{1/d}]^{-1}$ . This overall resistance consists of resistance due to the wire and resistance due to the medium. The wire contributes the resistance  $\lambda/\sigma_1 b^2$  that is connected in series with a group of parallel connected bridges, each of which has resistance  $1/r\sigma_3$  as the flow through the medium is on a length scale  $r$ . The number of these bridges scales with the surface of the wire, which scales as  $(\lambda/r)^\beta$ , so the total resistance of the cube satisfies

$$\frac{1}{\sigma_{\text{eff}}r(\lambda/r)^{1/d}} \simeq \frac{\lambda}{\sigma_1 b^2} + \frac{1}{\sigma_3 r(\lambda/r)^\beta}. \quad (10)$$

We maximize the  $\sigma_{\text{eff}}$  as a function of  $\lambda$ , or equivalently, we minimize the resistance, and we find

$$\lambda \sim (\sigma_1 b^2/\sigma_3 r^{1-\beta})^{1/(1+\beta)}, \quad (11)$$

which is, using the dictionary (8), the same as  $\lambda$  in the search process given by Eq. (3). This is not surprising, as Kirchoff's laws, as a consequence of the minimum dissipation, require the same current to flow through the two serial components, which is the same as equating the two particle fluxes in the diffusion problem, as was done in (1). Then the effective conductivity is given by

$$\sigma_{\text{eff}} \sim \sigma_3 \left[ \frac{\sigma_1 b^2}{\sigma_3 r^2} \right]^{(\beta-1/d)/(1+\beta)}. \quad (12)$$

Using the dictionaries (8), (9), and the concentration relation (2), one finds for the effective diffusion coefficient in the two regimes

$$\frac{D_{\text{eff}}}{D_3} \sim [\delta(b/r)^2]^{1+\beta} \begin{cases} y^{(\beta-1/d)/(1+\beta)} & \text{if WA,} \\ y^{-(1+1/d)/(1+\beta)} & \text{if SA.} \end{cases} \quad (13)$$

In the weak adsorption regime, the effective diffusion coefficient increases very slowly with the adsorption strength  $y$ , with exponent  $1/5$  for  $\mathcal{HC}$ , and faster with exponent  $1/3$  for  $\mathcal{SC}$  with  $\beta$  close to 1. Interestingly, in the strong adsorption regime, the effective diffusion coefficient decreases more rapidly with  $y$  for  $\mathcal{HC}$  with exponent  $-4/5$ , while for the DNA-like conformations one gets exponent  $-2/3$ . These findings can be rationalized in the following sense. As discussed above, to satisfy  $\lambda > r$  we require  $y > (r/b)^2/\delta$ , and for the weak adsorption regime to exist,  $\delta$  must be greater than unity, in which case  $D_{\text{eff}} > D_3$  and the nonspecific binding improves the effective transport of proteins by diffusion of proteins through the system of "fast highways" (although very winding) of the DNA. This can be checked by calculating the time to travel  $\lambda$  along the DNA and compared with the time to diffuse  $r(\lambda/r)^{1/d}$  by 3D diffusion. The speedup, however, is more pronounced for the  $\mathcal{SC}$  than for  $\mathcal{HC}$  because the recursive character of 1D diffusion is broken more often in the case of  $\mathcal{SC}$  as manifested by shorter  $\lambda$ . In the strong adsorption regime, most of the proteins are adsorbed and follow the DNA conformation. The less often the uncorrelated readsorption happens, the more time-consuming this sliding is, hence the transport over the Hilbert curve is less efficient than for wiggly surface curves.

The target binding rate calculated in the previous section can be understood also using the effective diffusion coefficient. One expects that the target binding rate is  $J \sim D_{\text{eff}} c r (\lambda/r)^{1/d}$ , i.e., it is the Smoluchowski rate with the effective diffusion coefficient and target size equal to the 3D size of the antenna,  $r(\lambda/r)^{1/d}$ . This is indeed the case for the weak adsorption, as one can easily check using Eqs. (13) and (3), however one has to be more careful in the strong adsorption regime. In the SA regime, most of the proteins are adsorbed, hence the apparent concentration hitting the target is that of  $c_{\text{ads}}$ . In other words, there are  $c_{\text{ads}} r$  proteins in the volume of cylinder of radius  $b$  and length  $r$ , hence the apparent concentration is  $c_{\text{ads}} r / r b^2 = c(r/b)^2$ , where the last equality holds in SA by Eq. (5). Taking this into account, the binding rate is  $J \sim D_{\text{eff}} c (r^2/b^2) r (\lambda/r)^{1/d}$ , with the diffusion coefficient from the bottom line of (13), which agrees exactly with the binding rate of the strong regime [bottom line of Eq. (7)].

To test these ideas, we simulated facilitated diffusion on a cubic lattice in an environment with a space-filling curve, and we measured the effective diffusion coefficient  $D_{\text{eff}}$  as a

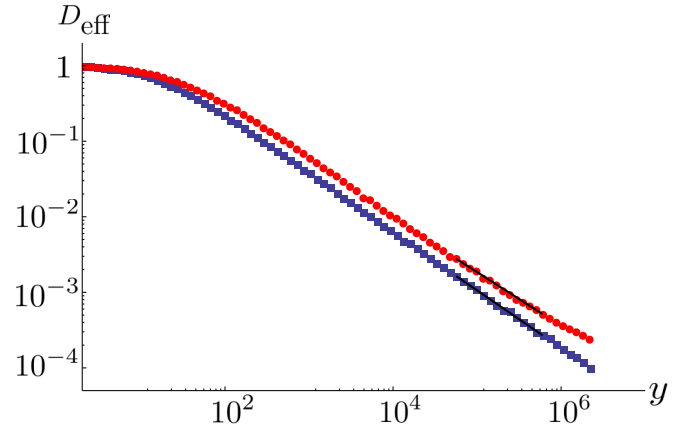


FIG. 2. (Color online) Effective diffusion coefficient  $D_{\text{eff}}$  as a function of adsorption strength  $y$  for the Hilbert curve (blue squares) and space-filling curve with  $\beta = 0.89$  (red circles) on a log-log scale (error bars are smaller than the symbols used) for  $\delta = 1$ . Black lines are best fits in the large- $y$  range (see text), with slope  $-0.76 \pm 0.01$  for the Hilbert curve and  $-0.71 \pm 0.01$  for the curve with a wiggly surface. Each data point represents a diffusion coefficient extracted from the mean-square displacement of very long (facilitated) random walks averaged over  $5 \times 10^6$  realizations.

function of the parameters. We used two types of space-filling curves: (i) a Hilbert curve ( $\mathcal{HC}$ ) of sixth iteration with  $\beta = 2/3$ , and (ii) a second iteration of the curve with fractal surface ( $\mathcal{SC}$ ) from [31] with  $\beta = 0.89$ . Both of these curves, in their original construction, have length  $64^3$  lattice sites, but to allow for free diffusion in the space between the curves, we used a three times finer lattice for diffusion. This means the curves are of length  $L = 3 \times 64^3$  and homogeneously occupy volume  $v = (3 \times 64)^3$ , i.e.,  $1/9$  of all the (finer) lattice sites, or in other words  $b = 1$  and  $r = 3$  in the lattice units. We checked numerically, also for other occupation fractions, that the mean traveled distance by free 3D diffusion is indeed about  $r$ , as calculated above. To measure  $D_{\text{eff}}$ , we set the particle that occupies one lattice site to diffuse in a periodic array of such volumes  $v$ , each with the curve of length  $L$ . If the particle is on the site occupied by the curve, it performs a random walk along the curve, and at each step there is a probability  $\pi$  to perform a jump off the curve to the free space, where it diffuses with diffusion coefficient  $D_3$  until it hits the curve again. The probability  $\pi$  is controlled by the adsorption strength  $y$  by  $\pi = (2 + y)^{-1}$ , where the constant 2 is due to twice as many ways to jump off the curve than to stay on it (in a cubic lattice).

In Fig. 2, we plot  $D_{\text{eff}}$  as a function of  $y$  for  $\delta = 1$ , which means there is only a strong adsorption regime where  $y \sim \pi^{-1}$ . For  $y > 10$ , the values of  $D_{\text{eff}}$  start to differ as  $\lambda > r$  and the correlation effects start to play a role, resulting in a stronger decrease of the  $D_{\text{eff}}$  for the Hilbert curve. The theoretical prediction of the slope of graphs for large  $y$  in Fig. 2 is  $-(1 + 1/d)/(1 + \beta)$  from Eq. (13), which is  $-0.8$  for  $\mathcal{HC}$  and  $-0.7$  for  $\mathcal{SC}$ . To capture correctly the slopes of the strong adsorption regime,  $\lambda$  has to be sufficiently large to be insensitive to the discrete nature of the fractal. The construction of the  $\mathcal{SC}$  is based on a segment that is 512 monomers long, therefore we want  $\lambda$  to be at least of the same order. Hence we fit values of  $D_{\text{eff}}$  for  $y > 4 \times 10^4$ , which

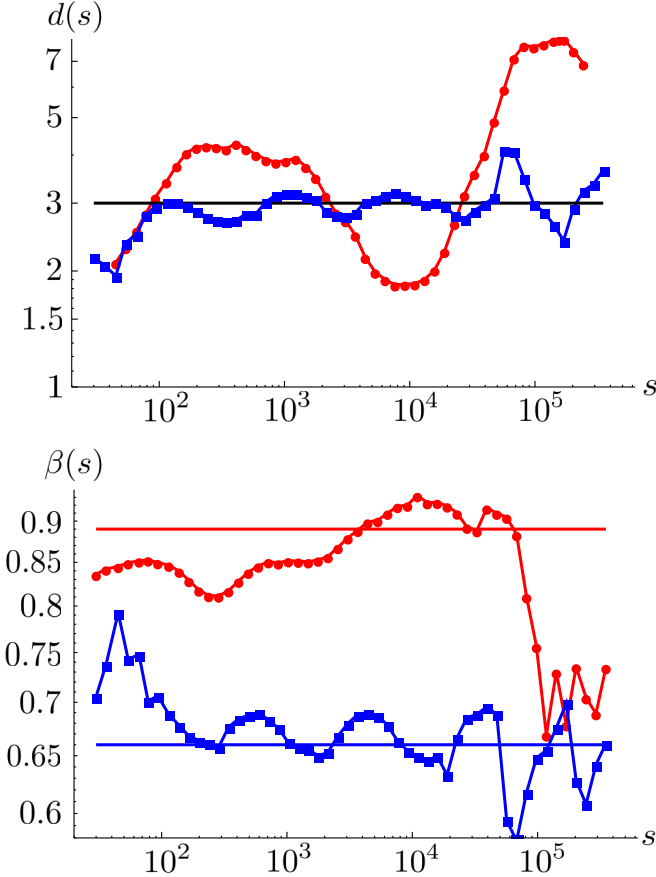


FIG. 3. (Color online) Top: Fluctuations of the fractal dimension  $d$  of the Hilbert curve (blue squares) and the wiggly curve (red circles); the average dimension is  $d = 3$  in both cases, marked with a black straight line. Bottom: Fluctuations of the exponent  $\beta$ ; average values are marked by the straight lines  $2/3$  for the Hilbert curve and  $0.89$  for the wiggly curve. Statistics of fluctuations of the exponents  $d$  and  $\beta$  have large errors for long segments  $s$ , due to a small sampling ensemble.

corresponds to  $\lambda > 100r$ . This is not an issue for the Hilbert curve that is based on a segment of length eight monomers. On the other hand, as both curves are of finite size, we have to keep  $y < L/b \simeq 5 \times 10^5$ , so that the particle does not feel the ends of the DNA during the 1D diffusion (as might be visible by the decrease of slope of the  $D_{\text{eff}}$  for large  $y$  in Fig. 2 in the case of  $\mathcal{SC}$ ). Therefore, to fit the slopes we take  $4 \times 10^4 < y < L/b$ , as shown in Fig. 2 by black lines. Although the predictions match with the fitted values (Fig. 2) reasonably ( $-0.8$  versus  $-0.76$  and  $-0.7$  versus  $0.71$ ), varying the range of  $y$  one gets values of the exponents for the Hilbert curve in the range  $[-0.78, -0.75]$  and for the wiggly curve in the range  $[-0.7, -0.74]$ . We believe this discrepancy may be due to the fluctuations of the exponent values  $\beta$  and  $d$  of the curves (Fig. 3), which are inherent to the iterative curve construction, as explained in [31]. The effective fractal dimension  $d(s)$  corresponding to length scale  $s$  has been calculated as the exponent of the gyration radius of a segment of length  $s$  averaged over positions in the curve. The segment lengths were chosen to be  $s_k = 3 \times 1.2^k$ ,  $k \in [10, 63]$ , and the exponent  $d(s)$  was obtained from the slope of the log-log plot from seven data points surrounding the given length scale  $s$ ,

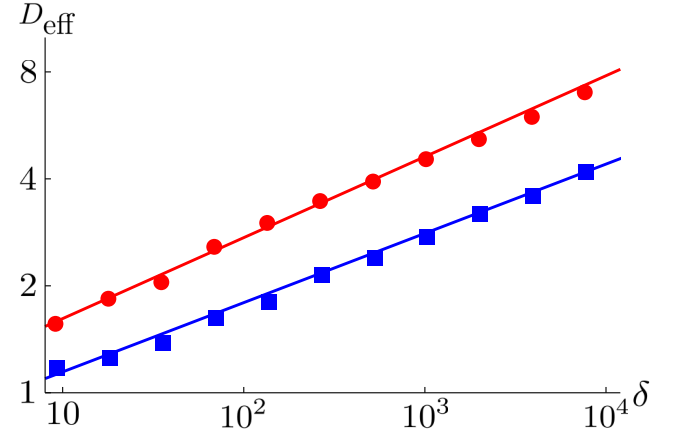


FIG. 4. (Color online) Effective diffusion coefficient  $D_{\text{eff}}$  as a function of ratio  $\delta = D_1/D_3$  for the Hilbert curve (blue squares) and the space-filling curve with  $\beta = 0.89$  (red circles) on a log-log scale for  $\pi = 0.16$ , i.e.,  $y \simeq 4$ . Lines are best fits, with slope  $0.19$  for the Hilbert curve and  $0.23 \pm 0.01$  for the curve with a wiggly surface. Each data point represents a diffusion coefficient extracted from the mean-square displacement of very long (facilitated) random walks averaged over  $2.5 \times 10^7$  realizations.

which corresponds to a length scale range roughly  $[s/2, 2s]$ . The variation of  $\beta(s)$  was obtained in the same way, measured as the scaling exponent of the number of surface monomers. Naturally, the greater the range of  $s$  we take, the smaller the fluctuations are. If the complete range  $[b, L]$  is taken for  $\mathcal{HC}$  and  $\mathcal{SC}$  at these finite iterations, the exponents agree with their theoretical values (marked in Fig. 3).

Similarly to  $D_{\text{eff}}(y)$ , in Fig. 4 we measured the dependence of the effective diffusion coefficient on  $\delta = D_1/D_3$ . Here the predicted exponent  $(\beta - 1/d)/(1 + \beta)$  is independent of the regime, and it is  $0.2$  for the  $\mathcal{HC}$  and  $0.29$  for the  $\mathcal{SC}$ .

Considering the fluctuations of  $\beta$  and  $d$ , we should not expect a perfect match of the numerics with the theory that considers only mean values of the exponents. A better match could be achieved only if the simulations were performed over even wider ranges of parameters provided longer (higher iteration) curves were available. However, we believe the numerics supports the theory as (i)  $D_{\text{eff}}$  for the Hilbert curve is always lower than the one for the wiggly curve, (ii) the exponents for the  $\mathcal{HC}$  are in any range of parameters lower than those for  $\mathcal{SC}$ , as predicted by the theory, and (iii) the values of the exponents are at least in the correct vicinity predicted by the theory.

#### IV. OTHER LENGTH SCALES

We have discussed the effect of the large-scale space-filling organization on the protein binding rate and effective transport coefficient. As mentioned above, these effects start to play a role if the 1D sliding diffusion covers lengths larger than the onset of the fractal conformation  $L_e$ . To complement the study, we briefly mention the possible binding rate and effective diffusion coefficient regimes at lower scales computed in [11,33]. To do so, we have to employ the relations between the monomer size  $b$ , the persistence length of the DNA fiber  $p$ , the entanglement length  $L_e$ , and the corresponding spatial

TABLE I. Summary of binding rates and antenna lengths in regimes defined by adsorption strength and relation between length scale. Boundaries of regimes A–D are depicted in Fig. 5.

Regime	Description	$\lambda$	$J/J_s$
A	weak adsorption, $\lambda < L_e$	$b(\delta y)^{1/2}$	$(\delta y)^{1/2}$
B	strong adsorption, $\lambda < L_e$		$(r/b)^2(\delta/y)^{1/2}$
C	weak adsorption, $\lambda > L_e$	$(\delta y b^2 L_e^{\beta-1})^{1/(1+\beta)}$	$(\delta y)^{\beta/(1+\beta)}(L_e/b)^{(1-\beta)/(1+\beta)}$
D	strong adsorption, $\lambda > L_e$		$(\delta^\beta/y)^{1/(1+\beta)}(L_e^{1-\beta} r^2/b^{3-\beta})^{1/(1+\beta)}$

size  $r_e \sim (pL_e)^{1/2}$  and length scale  $r$ . While the monomer size of the *fiber* is on the scale of a few nanometers, the persistence length of the DNA fiber is estimated to be around 150 nm [35]. The entanglement length  $L_e$  can be from 100 nm to around 1.1  $\mu\text{m}$ , but most likely close to 300 nm, while the spatial size  $r$  is on the scale of 100 nm (see Tables 1 and 2 in [14]). Based on these relations, we will consider  $p \sim r$ , which means the chromatin fiber is straight below  $r$ . Above  $r$ , the fiber either follows the space-filling fractal conformation if  $r \sim L_e$ , as considered in the previous section, or if  $L_e \gg r$  there is a window of an equilibrium globule conformation above  $r$  and below  $L_e$  that can be described as a network of mesh size  $r$ , where each individual chain follows random-walk statistics (Flory theorem).

Let us briefly describe the possible regimes of binding rate summarized in Table I and Fig. 5, based on the relation between the antenna length and other length scales. If the antenna is shorter than  $r$ , it is straight and equal to the sliding distance  $b(\delta y)^{1/2}$ . The overall binding rate depends on adsorption strength—it grows with  $y^{1/2}$  in WA and decreases in SA. Interestingly, these results hold even if  $\lambda$  is longer than  $r$  and still shorter than  $L_e$ . The reason for this is that the DNA fiber conformation follows a random walk that is not compact enough to shield itself and this way provide correlated readsorption due to the presence of other, uncorrelated, strands

in distance  $r$  from the fiber. Therefore, any part of the antenna in this regime is accessible by 3D diffusion from distance  $r$ , and the results for the binding rate of “straight mesh” from [11] apply (shown as regimes A and B in Table I and Fig. 5). These are effectively the same as our previous results with  $\beta = 1$  (any monomer is accessible from nearby chains), however the effective diffusion coefficient is different as the DNA is not a space-filling fractal on this scale. Based on protein adsorption there are again two regimes, where now the weak adsorption regime exists only if  $\delta > 1$ , to satisfy  $\lambda > r$ .

If  $\lambda$  gets longer than the entanglement length, one has to adjust the length scales in the preceding section by  $r \rightarrow L_e$  in Eqs. (1) and (3), which have an effect on prefactors involving  $r/b$ , however the general dependence on  $y$  and  $\delta$  remains the same. In fact, this substitution is not completely trivial as the 3D delivery to the antenna takes place on the scale  $r$ . To deliver the proteins to a piece of size  $L_e$ , one can deliver them to any of the  $L_e/r$  sites of length  $r$ . However, as the antenna is much longer than  $L_e$ , not all of the  $\lambda/L_e$  pieces are accessible, but only  $(\lambda/L_e)^\beta$  of them, i.e., those that lie on the surface of the fractal conformation. Therefore, the 3D delivery rate is  $J_3 \sim D_3 c_{\text{free}} r (L_e/r) (\lambda/L_e)^\beta$ , which effectively is the same as the suggested substitution  $r \rightarrow L_e$ . These are regimes C and D in Table I and Fig. 5.

Similarly, one can investigate the effective diffusion coefficient for length scales below  $L_e$ . This has been done in [33], so in Table II and Fig. 6 we just summarize the results in the present notation using the dictionaries (8) and (9). There are two important facts to be noted. First, the binding rate in these regimes, where  $\lambda > r$ , cannot be calculated simply as the Smoluchowski rate with an effective diffusion coefficient and target size proportional to the size of  $\lambda$ , as was demonstrated in the case of the space-filling antenna. This is because the antenna follows a random walk that is not compact, and, therefore, delivery of protein to the sphere of size  $r_e \sim (r\lambda)^{1/2}$  does not necessarily guarantee the hitting of the target, as there are still many uncorrelated chains in such a sphere. The second fact, related to the first one, is that the effective diffusion coefficient is different for the cases  $\lambda < r$  and  $\lambda > r$  in contrast to  $J$ , which depends only on the adsorption strength in these two cases.

Regimes A1 and A2 (Table II and Fig. 6) represent the cases in which  $\lambda < r$ , which means the effective diffusion coefficient is dominated by  $D_3$ , and in the strong adsorption regime it is even reduced due to the adsorbed proteins. In regimes B1 and B2,  $\lambda > r$ , hence it follows a random walk. Regimes C and D (in Table II and Fig. 6) represent the antenna in a space-filling curve conformation. To account for  $L_e > r$  in these regimes, one has to modify Eq. (12) for the overall resistance. The left-hand side must be replaced by  $[\sigma_{\text{eff}} r_e (\lambda/L_e)^{1/d}]^{-1}$  to properly

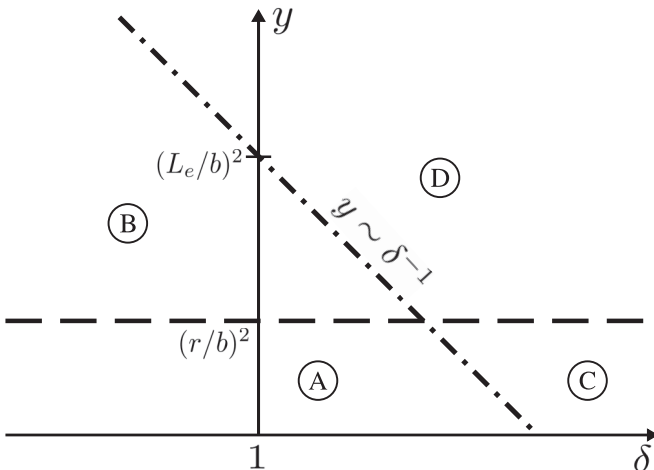


FIG. 5. Phase diagram of the binding rate on a log-log scale. The dashed line  $y = (r/b)^2$  delimits the adsorption strength: weak adsorption below and strong adsorption above. The dot-dashed line proportional to  $\delta^{-1}$  delimits regimes corresponding to space-filling conformation of the antenna (above) and that of the straight antenna or the antenna following a random walk in the mesh of other chains (below). The values of antenna lengths and corresponding binding rates are summarized in Table I.



TABLE II. Summary of effective diffusion coefficients and antenna lengths in regimes defined by adsorption strength and relation between length scales. Boundaries of regimes A–D are depicted in Fig. 6. In regimes A1, A2, B1, and B2, values of  $D_{\text{eff}}/D_3$  are adapted from [33].

Regime	Description	$\lambda$	$D_{\text{eff}}/D_3$
A1	weak adsorption, $\lambda < r < L_e$	$b(\delta y)^{1/2}$	1
A2	strong adsorption, $\lambda < r < L_e$		$(r/b)^2/y$
B1	weak adsorption, $r < \lambda < L_e$		$(b/r)(\delta y)^{1/2}$
B2	strong adsorption, $r < \lambda < L_e$		$(r/b)(\delta/y)^{1/2}$
C	weak adsorption, $\lambda > L_e$	$(\delta y b^2 L_e^{\beta-1})^{1/(1+\beta)}$	$(\delta y b^2 / L_e^2)^{(\beta-1/d)/(1+\beta)} L_e / r_e$
D	strong adsorption, $\lambda > L_e$		$(\delta b^2 / L_e^2)^{(\beta-1/d)/(1+\beta)} y^{-(1+1/d)/(1+\beta)} L_e / r_e$

account for the spatial size of the antenna, where  $r_e \sim (rL_e)^{1/2}$  is the size of the entanglement blob, and there are  $\lambda/L_e$  of these blobs per antenna. Additionally, on the right-hand side of (12),  $r$  should be replaced by  $L_e$  as explained above for the binding rate case. Note that these changes do not affect the expression for  $\lambda$  from Table I, and they result in the effective coefficient shown in Table II.

## V. DISCUSSION AND CONCLUSIONS

The fact that the space-filling fractal structure of the chromatin fiber is present on scales larger than 150 nm poses a severe restriction on the applicability of our results for the search process *in vivo*. For these structural effects to play a role,  $\delta$  or  $y$  has to be large enough so that the sliding length  $b(y\delta)^{1/2}$  is greater than  $L_e$ . While the value of  $D_3$  is known to be around  $0.1\text{--}1 \mu\text{m}^2 \text{s}^{-1}$  [1,28] for transcription factors, the value of  $D_1$  is more difficult to measure and can be more susceptible to the protein details and ionic strength. However, using single molecules techniques,  $D_1$  was measured for some prokaryotic proteins [36,37], but also for eukaryotes [27], and the values fall in the range  $10^{-4}\text{--}10^{-1} \mu\text{m}^2 \text{s}^{-1}$ . The resulting

values of  $\delta$  are in general smaller than unity and fall in the range  $[10^{-4}, 1]$ , most likely somewhat closer to the upper bound (around 0.1) under physiological conditions in eukaryotes, as shown in [27]. The latter work is particularly illuminating as it demonstrated that the 1D diffusion along DNA is present for some proteins with  $D_1 \simeq 0.03 \mu\text{m}^2 \text{s}^{-1}$  even if the DNA is decorated by nucleosomes. Considering the value of  $\delta \simeq 0.1$ , one can estimate that in order to observe effects related to the space-filling conformations, the nonspecific adsorption energy must be  $\varepsilon \simeq 8 - 12kT$ , which is relatively high but possible in principle. In this estimate, we assumed that the protein slides along the chromatin fiber and not following all the details of the DNA chain wrapped around the histones. This is a reasonable assumption also supported by the experimental evidence in [27], but it brings up an issue of the efficiency of the specific site recognition. Taking this into account would decrease the binding rate by a factor of order 10, calculated as the ratio of the linear density of bare DNA to that of the DNA fiber [14], however the different scaling regimes should remain the same.

The chromatin dynamics that we do not take into consideration can have an impact on the correlated readsorption. Some approaches, such as [12] for coiled DNA, assume the DNA conformation fluctuates sufficiently quickly to consider subsequent relocations as independent. Although these are likely to be good approximations on small length scales, on larger scales the chromatin dynamics *in vivo* exhibits large correlated motions [20], which means the local environment of a sufficiently long segment does not necessarily change rapidly. Therefore, we hypothesize that the correlated readsorption might play a role in the search process. As the nature of the dynamic territories is not yet understood, a natural first step is to consider the static picture that we presented herein and postpone the dynamic aspect for future work.

Let us also mention that the maximal binding rate is obtained on the crossover between weak and strong adsorption in any of the regimes. Interestingly, conformations with higher  $\beta$  (hence smaller  $\gamma$ ) provide the greatest acceleration and smallest deceleration due to the enhanced possibility of uncorrelated readsorption. Moreover, the binding rate dependence on  $y$  and  $\delta$  for conformations with  $\beta$  close to unity is very similar to that for equilibrium globule conformation, which is characteristic for lower organisms such as prokaryotes or yeast.

To conclude, we presented a scaling theory for the impact of correlated readsorption on the specific target binding rate and effective diffusion coefficient in the case of space-filling fractal conformation found in higher eukaryotes. We showed

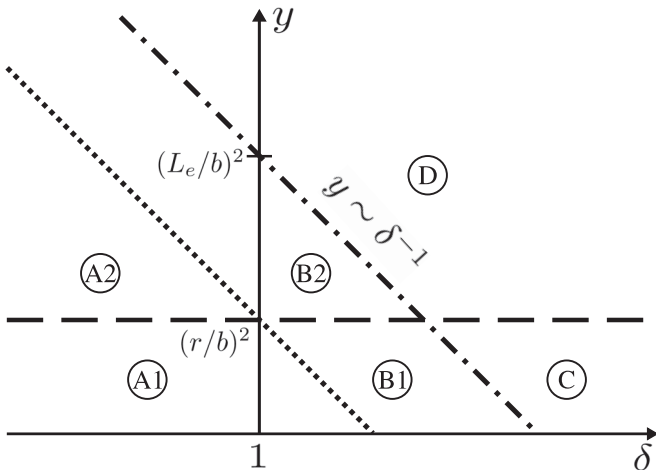


FIG. 6. Phase diagram of the effective diffusion coefficient on a log-log scale. The dashed line  $y = (r/b)^2$  delimits the adsorption strength: weak adsorption below and strong adsorption above. Dotted and dot-dashed lines are proportional to  $\delta^{-1}$ . The dotted line delimits regimes of  $\lambda > r$  (above) and  $\lambda < r$  (below), while the dot-dashed line delimits the space-filling conformation of the antenna (above) and that of the antenna following a random walk in the mesh of other chains (below). The values of the antenna lengths and corresponding  $D_{\text{eff}}$  are summarized in Table II.



how these quantities depend on protein diffusion properties, nonspecific affinity for the DNA, and most importantly exponent  $\gamma = 2 - \beta$  characterizing the DNA conformation obtained from HiC experiments. Such considerations should be used in principle to indirectly probe the DNA conformation by measurements of the effective diffusion coefficient as a function of the affinity tunable by salt concentration. As a byproduct, we obtained the effective conductivity of a material composed of conducting nanowires immersed in a medium with different conductivity. Such a hypothetical material could in principle be realized from a melt of polymer nanorings that on large scales have similar space-filling fractal properties with high  $\beta$  as the eukaryotic DNA.

To develop the protein search theory, we have adopted many simplifying assumptions, such as the static DNA conformation, no viscoelastic effects or crowding restrictions

for the protein diffusion, a single specific binding site, and no exponent fluctuations. Indeed, it would be very interesting to relax these simplifications, especially the dynamic aspect, and investigate their impact on the diffusion process. The present simplified picture aims at connecting structure to function by bridging the two as yet disconnected lines of research, namely DNA fractal globule conformation in a nucleus and facilitated diffusion of the protein search process.

#### ACKNOWLEDGMENTS

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