Suppression of transverse ablative Rayleigh-Taylor-like instability in the hole-boring radiation pressure acceleration by using elliptically polarized laser pulses

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It is shown that the transverse Rayleigh-Taylor-like (RT) instability in the hole-boring radiation pressure acceleration can be suppressed by using an elliptically polarized (EP) laser. A moderate $J \times B$ heating of the EP laser will thermalize the local electrons, which leads to the transverse diffusion of ions, suppressing the short wavelength perturbations of RT instability. A proper condition of polarization ratio is obtained analytically for the given laser intensity and plasma density. The idea is confirmed by two-dimensional particle-in-cell simulations, showing that the ion beam driven by the EP laser is more concentrated and intense compared with that of the circularly polarized laser.

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I. INTRODUCTION

Recently, ion acceleration from the interaction of an ultraintense laser pulse with plasmas has attracted wide attention because of its broad applications, including producing high energy density matter, ion-fast ignition in laser fusion, tumor therapy, and radio-graphing [\[1–4\]](#page-4-0). Almost all of these applications call for a high-quality ion beam with a large particle number, sharp energy spread, and low divergence angle. Radiation pressure acceleration (RPA) is a potential scheme for generating high-quality ion beams, including (light sail) LS-RPA for thin foil $[5-13]$ and (hole boring) HB-RPA for thick target [\[14–22\]](#page-4-0). In particular, the HB-RPA owns the intrinsic property for large particle number acceleration [\[23\]](#page-4-0). In HB-RPA, the ponderomotive force drives the local electrons inward, resulting in a shock-like double layer (DL) region with large electrostatic charge separation field. The latter could reflect the ions initially located ahead of the DL, accelerating them like a piston. For an efficient long laser pulse and thick target, the reflection will repeat and generate a large number of particles. For a usual circularly polarized (CP) laser driven HB-RPA, the DL oscillations would broad the energy spread of the accelerated ion beams [\[17\]](#page-4-0). The present authors [\[24\]](#page-4-0) proposed to use an elliptically polarized (EP) laser to suppress the DL oscillations, generating high-quality mono-energetic ion beams compared with that of the CP laser. However the crucial concern of the RPA acceleration stability is the transverse Rayleigh-Taylor-like (RT) instability [\[9,13,25\]](#page-4-0). The classical RT instability can occur when a light fluid pushes or accelerates a heavy fluid, and this situation is very similar to the RPA case, where the photons act as light fluid and plasmas as heavy fluid [\[13\]](#page-4-0). The RT instability will break the target surface and terminate the acceleration process.

In this paper, we propose to use the EP laser for stabilization of the transverse RT instability in the HB-RPA. The idea is similar to the stabilization mechanism for ablative RT instability in the inertial confinement fusion (ICF) research. Unlike the classical RT instability, the short wavelength ablative RT instability in ICF research can be suppressed due to thermal smoothing of the perturbations [\[26–30\]](#page-4-0). As is shown in Fig. [1\(a\),](#page-1-0) because of the moderate $J \times B$ effect, the EP laser will thermalize those electrons located within the DL region to high temperature. The high-electron temperature provides a fast transverse diffusion of ions with velocity equal to sound speed $(T_e Z/m_i)^{1/2}$, where T_e is the electron temperature, Z is the ion charge number, and m_i is the ion mass. The transverse diffusion of the ions could suppress the transverse ablative RT instability efficiently. During the time of the RT instability growth, the diffusion range of the ions could overshoot the instability wavelength if a fast diffusion velocity is generated. To have faster ion diffusion velocity, a higher electron temperature or smaller polarization ratio $\alpha = a_z/a_y$ of the EP laser is needed. On the other hand, as expected, if α is too small ($\alpha = 0$ for linearly polarized laser), the laser piston structure is totally destroyed. Thus, there should be a lower limit for the polarization ratio *α* to sustain the HB-RPA process. Based on these ideas, a proper condition of the polarization ratio is obtained analytically for the given laser intensity and plasma density. The theory is confirmed by two-dimensional (2D) particle-in-cell (PIC) simulations.

II. THEORETICAL MODEL

In the HB-RPA regime as shown in Fig. $2(a)$ [\[15,16\]](#page-4-0), the ponderomotive force drives the local electrons inward, resulting in a shock-like DL region with large electrostatic charge separation field. The latter traps and reflects the ions. We consider in the piston-rest-frame. For an EP laser pulse of amplitude $a_y (= eE_y/m_e\omega_0 c)$ and $a_z (= eE_z/m_e\omega_0 c)$, the propagating velocity of the piston $c\beta_f$ can be obtained from the momentum balance equation, $2I_0(1 - \beta_f)/(1 + \beta_f) =$ $2n_i(m_i + Zm_e)c^3\gamma_f^2\beta_f^2$, where $I_0 = (a_y^2 + a_z^2)n_c m_e c^3/2$ is the EP laser intensity, n_i is the ion density, Z is the ion charge number, *mi* and *me* are ion and electron mass. In the piston-rest-frame with the propagating velocity $\beta_f =$ $[I_0/n_i(m_i + Zm_e)c^3]^{1/2}/\left[1 + [I_0/n_i(m_i + Zm_e)c^3]^{1/2}\right]$, the

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FIG. 1. (Color online) (a) The suppression mechanism of the EP laser driven ablative RT instability. The EP lasers moderately heat electrons, providing the transverse diffusion of the ions. (b) The schematic demonstration of different instabilities in the HB-RPA process in the piston-rest-frame. RT instability takes place on the laser plasma interface, andWeibel-like or filamentation instability and two-stream are driven by the incident and reflected particle beams.

radiation pressure of the EP laser reads $p_{rad} = 2I_0(1 \beta_f$ / $(1 + \beta_f)/c$, where the term $(1 - \beta_f)/(1 + \beta_f)$ is the modification of the laser frequency due to the Doppler effect, and full laser reflection is assumed.

Because of the smaller mass of electrons, the accelerated ion beam is accompanied with an electron beam, keeping almost quasi-neutral. If we think of the motion of ion and electron as a whole, it is reasonable to neglect the electron inertia, and assume that the ponderomotive force acts on the ions directly. As the ion acceleration is limited within the DL region, which is about tenth of laser wavelength $[31–33]$, the mass density of the thin layer is $\sigma_m = \int_{-}^{0}$ $\sum_{i=0}^{N} m_i n'_i dx' \approx m_i n_i c / \omega_{pi}$, where D'_l is the DL width, n'_i is the ion density distribution within the DL region and $\omega_{pi} = (4\pi Ze^2n_e/m_i)^{1/2}$ is the characteristic frequency of ions. As the ions keep on entering into and

being reflected from the DL, the density and laser intensity distribution therein do not change with time, we can treat this DL as a static layer. The acceleration *g* of the laser radiation pressure acted on this DL can be expressed as $g = p_{rad}/\sigma_m$. Similar to the RT instability in the LS-RPA regime [\[32\]](#page-4-0), where a thin target is driven by high intensity laser, RT instability would also dominate the transverse behavior of this DL.

We consider two points (x_0, y_0) and $(x_0, y_0 + \delta y_0)$ on this DL layer. These two points will evolve at some time to the points (x, y) and $(x + \delta y_0 \partial x / \partial y_0, y + \delta y_0 \partial y / \partial y_0)$. The *x* and *y* components of the force equation of the element on this DL in the accelerating frame can be written as

$$
\partial p_x / \partial t = -g \sigma_m dy_0 + p_{rad} dy_0 \partial y / \partial y_0, \qquad (1)
$$

$$
\partial p_y / \partial t = -p_{rad} dy_0 \partial x / \partial y_0, \qquad (2)
$$

where $p_x = \gamma_f \sigma_m dy_0 dx/dt$, $p_y = \gamma_f \sigma_m dy_0 dy/dt$, and $-g$ is the inertial acceleration. After some arrangement, Eqs. (1) and (2) can be rewritten as

$$
\partial^2 x/\partial t^2 = -g/\gamma_f + (\partial y/\partial y_0)g/\gamma_f,\tag{3}
$$

$$
\partial^2 y / \partial t^2 = -(\partial x / \partial y_0) g / \gamma_f. \tag{4}
$$

The solution of Eqs. (3) and (4) turns out to be [\[31\]](#page-4-0)

$$
x = \delta_0 \exp[t(kg/\gamma_f)^{1/2}] \cos(ky_0), \tag{5}
$$

$$
y = y_0 + \delta_0 \exp[t(kg/\gamma_f)^{1/2}] \sin(ky_0),
$$
 (6)

where δ_0 is the initial disturbed amplitude, $k = 2\pi/\lambda_{rt}$, and λ_{rt} is the instability wavelength. If the disturbed amplitude in the *x* direction is over $1/k$ at sometime τ' , it means that the adjacent sections of this DL begin to collide with each other [\[31\]](#page-4-0). Here the transverse RT instability has already developed, and we define τ' as the characteristic time of the linear RT instability. From Eq. (5), we have $\tau' = \ln(1/\delta_0 k)(\gamma_f/kg)^{1/2}$. After transforming to the laboratory frame, $\tau = \gamma_f \tau'$, the characteristic time of the linear RT instability reads

$$
\tau = \ln(1/\delta_0 k) \frac{\lambda^{1/2} \gamma_f^{3/2} (m/Z)^{3/4} n^{1/4} (1 + \beta_f)^{1/2}}{2\pi (a_y^2 + a_z^2)^{1/2} (1 - \beta_f)^{1/2}},\tag{7}
$$

where $m = m_i/m_e$, $n = n_e/n_c$, n_c is the critical density, $\lambda = \lambda_{rt}/\lambda_0$ is the instability wavelength normalized to laser wavelength, and τ is normalized to laser period T_0 .

FIG. 2. (Color online) (a) Schematic structure of the hole-boring radiation acceleration process in the piston-rest-frame. (b) The proper range of polarization ratio α for the given hydrogen plasmas of density $n = 20$ vs. laser intensity.

It should be emphasized the characteristic time τ is not sensitive to δ_0 and k , due to the slow varying of ln function. The factor $\ln(1/\delta_0 k)$, to some degree, is constant, which can be determined from the PIC simulations. Once the value of $ln(1/\delta_0 k)$ is chosen, it will keep constant for all cases of different laser intensity and plasma density for our analysis. According to 2D PIC simulations, the constant factor is defined as $\ln(1/\delta_0 k) = 13.8$. This equation demonstrates that the shorter wavelength perturbations grow faster compared with longer wavelength perturbations, and that stronger laser intensity and lower plasma density correspond to a faster instability growth rate. This instability growth rate is qualitatively in agreement with that obtained by F. Pegoraro *et al.* considering the LS-RPA cases [\[32\]](#page-4-0).

When an EP laser irradiates the target, its moderate oscillating ponderomotive force will heat the local electrons. Considering the electron γ factor under the EP laser, γ_e = $[1 + [a_y \cos(\omega_0 t)]^2 + [a_z \sin(\omega_0 t)]^2]^{1/2}$, and assuming 1+ $a_y^2 + a_z^2 \gg a_y^2 - a_z^2$, the *γ* factor can be transferred to, using Taylor expanding formula, $\gamma_e = \gamma_0 + \gamma_2 \cos(2\omega_0 t)$, where *γ*₀ = $(1 + a_y^2/2 + a_z^2/2)^{1/2}$ is the constant *γ* term and *γ*₂ = $(a_y^2 - a_z^2)/4\gamma_0$ is the oscillating γ term. The thermalization of the local electrons is dominated by the time oscillating term, in which the temperature of the local electrons can be approximated to $m_e c^2(\gamma_2 - 1)$. Thus, the transverse diffusion velocity of ions, i.e., the sound speed, is $v_d = [(\gamma_2 - 1)Z/m]^{1/2}$, where v_d is normalized to the light speed c .

As the schematic Fig. $1(a)$ shows, in order to suppress the transverse ablative RT instability, during the characteristic time τ , the diffusion range of the ions should overshoot the perturbation wavelength [\[25\]](#page-4-0). To smooth the short wavelength perturbations with wavelength $\lambda \sim 1$, we should make sure that the condition $\tau v_d > 1$ is satisfied. After some arrangement (setting $a_y = a_0$ and $a_z = \alpha a_0$), this condition equally reads

$$
a_0^2 (1 - \alpha^2)/4 [1 + a_0^2/2(1 + \alpha^2)]^{1/2} - 1
$$

>
$$
\eta a_0^2 (1 + \alpha^2)(1 - \beta_f)/(m/Z)^{1/2} \gamma_f^3 n^{1/2} (1 + \beta_f),
$$
 (8)

where $\eta = [2\pi/\ln(1/\delta_0 k)]^2 = 0.21$. For the given laser intensity $I_0 = a_0^2 (1 + \alpha^2) n_c m_e c^3 / 2$ and plasma conditions *m*, *Z*, and *n*, coupled with Eq. (8) we can solve the polarization ratio α out. The obtained polarization ratio is the upper limit to ensure that the electron temperature is high enough to thermally smooth RT instability.

There should be a lower limit of polarization ratio to keep the HB-RPA process. For a usual laser piston structure shown in Fig. [2\(a\),](#page-1-0) the $J \times B$ effect of the EP laser will drag the local electrons forward to vacuum. The lower limit of the polarization ratio is derived based on the assumption that these forward-going electrons are stopped within the DL region to keep the acceleration structure intact. Balancing the (forward) kinetic and the electrostatic and ponderomotive potential energies of the electrons, we can obtain the lower limit of polarization ratio *α* [\[24,34\]](#page-4-0),

$$
m(\gamma_f - 1)/Z + [1 + a_0^4 (1 - \alpha^2)^2 / 16]^{1/2} - 1
$$

<
$$
< m^{1/2} a_0^2 (1 + \alpha^2) \beta_f (1 - \beta_f) / 3Z^{1/2} (1 + \beta_f) \gamma_f n.
$$
 (9)

Similar to Eq. (8), for the given laser intensity and plasma condition, α can also be solved out. The corresponding polarization ratio is the lower limit to ensure the HB-RPA acceleration process. Inequalities (8) and (9) determine two polarization ratio conditions. For hydrogen plasma with density $n_e = 20n_c$, a range of polarization ratio vs. laser intensity is shown in Fig. $2(b)$.

III. NUMERICAL RESULTS

2D PIC (KLAP code [\[35\]](#page-4-0)) simulations are carried out to confirm this theory. The size of the simulation box is $L_y \times L_x = 16\lambda_0(y) \times 15\lambda_0(x)$ with λ_0 representing the laser wavelength. The simulation box is divided into uniform grid of $1600(y) \times 1500(x)$. The laser pulse enters into the simulation box from the left boundary. The bulk target consists of two species: electrons and protons, which are initially located in the region $-8.0\lambda_0 < y < 8.0\lambda_0$ and $2.0\lambda_0 < x < 15.0\lambda_0$ with density $n_e = 20n_c$, where $n_c = \omega_0^2 e^2 m_e/4\pi = 1.1 \times 10^{-3}$ 10^{21} /cm³ is the critical density for 1.0 μ m laser pulse. We use 100 particles per cell to run the simulations. The initial plasma temperature is set to be 1*.*0 keV. The normalized amplitude of the CP laser is $a_y = 50.00$ and $a_z = 50.00$, corresponding to the laser intensity 6.85×10^{21} W/cm². The laser temporal profile is of Gaussian with the half-width-half-maximum pulse duration $35 T_0$. In contrast, EP laser pulses with the same space and temporal profile are run. Following Fig. $2(b)$, for the laser intensity of 6.85×10^{21} W/cm², the polarization ratio of $\alpha = 0.7$ ($\alpha = 0.3$) is chosen, which is within (out of) the proper range.

The results are shown in Fig. 3. When driven by a CP laser, the ponderomotive force has no second-harmonic oscillating component, and there is no suppression effect at all. As shown

FIG. 3. (Color online) The density distribution of electrons (column 1) and protons (column 2) at $t = 40 T_0$. (a), (b), and (c) correspond to the CP laser, EP laser of $\alpha = 0.7$, and EP laser of $\alpha = 0.3$. Here the initial hydrogen plasma density is of $n_e = 20n_c$, and laser intensity is of 6.85 \times 10²¹ W/cm² with wavelength 1.0 μ m.

in Fig. $3(a)$ the transverse RT instability has developed at $t = 40 T_0$, with the perturbation wavelength about 1.0 λ_0 . The characteristic time of RT instability from the PIC simulation is consistent with the prediction of the theoretical model, which is $\tau \sim 30 T_0$ obtained from Eq. [\(7\)](#page-1-0). The instability pattern is similar to that reported by Pegoraro *et al.* [\[32\]](#page-4-0). These short wavelength perturbations can severely disturb the hole-boring process. In contrast, when driven by an EP laser ($\alpha = 0.7$), due to the $J \times B$ effect, the EP laser will thermalize those electrons located within the DL region. The effective electron heating provides a fast transverse diffusion of the ions with the sound speed $(T_e Z/m_i)^{1/2}$. Following our model, the temperature of the heated electrons can be as high as 3 MeV, which is consistent with the momentum of electrons (p_x) as shown in Fig. 4(a) and 4(b). The fast p_y component as high as $50m_ec$ is due to the direct transverse laser electric field acceleration, where the laser electric field a_v is around 50. The diffusion velocity of ions can be accordingly calculated as fast as $v_d = 0.05$, which is also consistent with simulation results as shown in Fig. $4(c)$. It is this transverse diffusion of the ions that suppresses the transverse ablative RT instability, which is clearly shown in Fig. $3(a)$ and $3(b)$. During the characteristic time of the RT instability, the diffusion range of the ions can be as far as $v_d\tau \sim 1.5\lambda_0$, well overshooting the instability wavelength $1.0\lambda_0$. If the polarization ratio is out of the proper range, such as $\alpha = 0.3$ in Fig. [3\(c\),](#page-2-0) although the

FIG. 4. (Color online) (a) The (x, p_x) phase diagram of electrons driven by EP laser ($\alpha = 0.7$) at $t = 30$ T_0 . (b) The (p_x, p_y) phase diagram of electrons driven by EP laser ($\alpha = 0.7$) at $t = 30$ T_0 . (c) The (x, p_y) phase diagram of protons driven by EP laser ($\alpha = 0.7$) at $t = 40$ T_0 . (d) The (x, p_x) phase diagram of protons driven by EP laser ($\alpha = 0.7$) at $t = 40$ *T*₀. (e) and (f) The angular distributions and energy spectrum (over the contour plot) of the proton beams driven by CP and EP ($\alpha = 0.7$) lasers at $t = 40$ T_0 , respectively.

RT instability is also thermally suppressed, the overheating of the EP laser would destroy the HB-RPA process, and a large amount of electrons on the piston surface are dragged out into the backward vacuum. From Fig. $4(d)$, we can see the ions are reflected smoothly by the shock electric field. The energy spectrum is shown in Fig. $4(e)$ and $4(f)$, where both total particle number and energy spread of the proton beam driven by the EP laser ($\alpha = 0.7$) are much better than that of the CP laser, because of the suppression of RT instability.

Figure $4(e)$ and $4(f)$ also shows the angular distribution of the accelerated proton beams driven by CP and EP lasers respectively. Compared with Fig. $4(e)$ and $4(f)$, we see that the transverse diffusion of protons driven by EP laser does not have an obvious effect on the beam angular divergence. For the EP laser, the transverse diffusion velocity of protons is about $v_d = 0.05$, while the longitudinal velocity is double the piston forward velocity, which can be as high as $v_l = 0.35$. The divergence angle can be approximated to be tan⁻¹(v_d/v_l) ∼ 8*.*0 degrees. It is reasonable to say that the small divergence angle can still be held under the mechanism we proposed. It is demonstrated in Fig. $4(f)$ that the divergence angles of proton beams driven by the EP laser can be maintained within 10*.*0 degrees, which is also consistent with the predictions of theory.

IV. DISCUSSIONS

On the instabilities of HB-RPA, except for the RT instability, the filamentation and two-stream instabilities might also play important roles during the particle propagation process [\[20,36\]](#page-4-0). We have found that these different types of instabilities dominate in different regions of the target. As Fig. [1\(b\)](#page-1-0) shows, for RT instability, it is driven on the laser plasma interface, while filamentation and two-stream instabilities are induced in the inner part of the target during the propagation process of the accelerated particles. The RT instability can severely disturb the laser plasma interface and strongly affect the quality of the accelerated ions. When RT instability builds up at a high level, the laser plasma interface is totally destroyed and the HB-RPA is also terminated. Compared with filamentation and two-stream instabilities, RT instability is the key to stabilize and elongate the HB-RPA process. The $J \times B$ heating of the EP laser could enhance the background temperature of the electrons, and affect the Weibel-like instabilities, however our previous theoretical studies show that a high-temperature background can reduce the Weibel-like instabilities to some degree [\[37\]](#page-4-0). Compared with ideal CP laser, the EP laser is easier to realize in real experiment. For a thorough understanding of the effect of EP laser on RT, filamentation, and two-stream instabilities, it is beyond the contents of this work, and shall be studied in following works.

In our simulation, the plasma density is chosen to be 20*nc* only to confirm our theory. However, unlike LS-RPA, the underlying physics (the velocity of the piston and the thermal smoothing mechanism) only depends on normalized laser amplitude $a = eE/m_e\omega_0c$ for HB-RPA. By using a long laser wavelength, such as 10 μ m, the laser intensity and plasma density are correspondingly reduced by two orders of magnitude, that is to say the laser intensity is now $6.85 \times 10^{19} / W/cm^2$ and the plasma density is 2.2×10^{20} /cm³. Our theory can be verified in experiment by a $CO₂$ laser irradiating gas target [38].

V. CONCLUSIONS

In summary, we propose to use the EP laser to suppress the transverse RT instability in the hole-boring radiation pressure acceleration. The moderate $J \times B$ effect of the EP laser will thermalize the local electrons, inducing the transverse diffusion of ions and resulting in the stabilization of the short-wavelength perturbations of RT instability. The proper condition of the polarization ratio is obtained analytically for

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the given laser intensity and plasma density. The theory is confirmed by 2D PIC simulations. The obtained ion beam driven by the EP laser is more concentrated and intense compared with that driven by the CP laser. The beam divergence is not severely affected by the transverse smoothing mechanism. A relatively smaller divergence angle within 10*.*0 degrees can be maintained in the proposed scheme.

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