

Free-energy power expansion for orientationally ordered phases: Energy and entropy

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We propose an approach to the description of orientational phase transitions that utilizes the specific features of orientational energy and entropy. The approach is applied to building a model for nematic phases in materials with nonpolar parallelepiped-type molecules with symmetry D_{2h} . The model operates with complex order parameters, generalizes the Landau–de Gennes theory, and predicts the existence of a biaxial nematic phase for the fourth-order expansion of free energy.

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Introduction. An orientational order of anisometric molecules provides a rich variety of phases and phase transitions in liquid crystals, polymers, and other soft matter systems [1–3]. Recently, orientational transitions in materials with molecules of complex nonsymmetric shapes have attracted strong interest because of the possibility of biaxial nematic phase, see, e.g., review [4]. However, there is no commonly accepted view on the existence and observation of the biaxial nematic phase in thermotropic liquid crystals yet [5–11]. Theoretical studies of the liquid crystal phases are usually performed via three approaches: (1) microscopic models where the specific intermolecular interaction is averaged over orientations of neighboring molecules to produce the mean potential [12–14], (2) the Landau phenomenological power expansion of free energy in terms of the corresponding order parameters (OPs) [1,2,15–17], and (3) computer simulations [18]. Orientationally ordered phases are often characterized by the multicomponent OPs, thus even the lowest fourth-order Landau expansion contains many terms, e.g., 14 terms for nematic phases [19].

In this Rapid Communication, we propose an approach to the description of orientational phase transitions that utilizes the following specific features of the orientational energy E and entropy S : (a) S possesses an additional symmetry in comparison with E , being invariant under rotation of the molecular frame; and (b) E contributes only to the second-order terms because the pair molecular interaction is dominant. The approach is based on minimization of the scaled orientational free energy $\bar{F} = F/T = E/T - S$ instead of F because \bar{F} obeys the standard assumption of the Landau theory that only the second-order terms are temperature dependent. We apply the approach to building a model for nematic phases in materials with nonpolar parallelepiped-type molecules with symmetry D_{2h} . The presented model introduces complex OPs, generalizes the Landau–de Gennes (LdeG) theory and predicts the existence of a biaxial nematic phase for the fourth-order expansion of \bar{F} .

General approach. We derive \bar{F} per molecule considering \bar{F} of the isotropic state as the zero reference point and using the same units for energy and temperature with the Boltzmann constant $k_B = 1$. The orientational order is described either by symmetric traceless tensor OPs [1,15–17] or by the averaged Wigner D functions $\langle D_{mk}^L \rangle$ [13,20–22]. We chose the latter because $D_{mk}^L(\Omega)$ form a complete set of orthogonal functions of the Euler angles $\Omega = \{\omega_1, \omega_2, \omega_3\}$ [23]; Ω define the molecular

orientation through rotation $\mathbb{L} \xrightarrow{\Omega} \mathbb{M}$ from the laboratory frame \mathbb{L} to the molecular frame \mathbb{M} . A set of OPs $\langle D_{mk}^L \rangle$ obtained by averaging with the single-molecule orientational distribution function $f(\Omega)$ is complete and is equivalent to $f(\Omega)$

$$f(\Omega) = \sum_{L=0}^{\infty} \frac{2L+1}{8\pi^2} \sum_{m,k=-L}^L \langle D_{mk}^L \rangle D_{mk}^{L*}(\Omega), \quad (1)$$

where $D_{mk}^{L*}(\Omega) = (-1)^{m-k} D_{-m-k}^L(\Omega)$. OPs $\langle D_{mk}^L \rangle$ provide a unified description of orientationally ordered phases: polar, nematic, and tetrahedral phases correspond to $L = 1, 2, 3$, respectively.

Being scalars, E and S are invariant under the rotation $\mathbb{L} \xrightarrow{\Phi} \mathbb{L}'$ from one laboratory frame \mathbb{L} to another \mathbb{L}' with the Euler angles Φ . If we neglect the intermolecular correlations, E contains only the second-order terms with the same L [22]

$$E = -\frac{1}{2} \sum_{L=1}^{\infty} \sum_{m,k=-L}^L U_L(k_1 k_2) \langle D_{mk_1}^L \rangle \langle D_{-mk_2}^L \rangle, \quad (2)$$

where $U_L(k_1 k_2)$ are the parameters of intermolecular interactions.

We assume that S possesses an additional symmetry: the expression for S does not depend on the choice of the molecular frame and thus is invariant under the rotation $\mathbb{M} \xrightarrow{\Psi} \mathbb{M}'$ from one molecular frame \mathbb{M} to another \mathbb{M}' . The reason for this “molecular isotropy” is that S is expressed through the invariant integral of the orientational distribution function $f_\nu(\Omega^\nu)$ over the orientational space Ω^ν of the ν molecules’ system

$$S = -\nu^{-1} \int f_\nu(\Omega^\nu) \log[(8\pi^2)^\nu f_\nu(\Omega^\nu)] d\Omega^\nu. \quad (3)$$

We can directly prove this assumption if we neglect the orientational correlations and $f_\nu(\Omega^\nu) = \prod_{n=1}^{\nu} f(\Omega_n)$, where Ω_n is a set of the Euler angles of the n th molecule. Then

$$S = - \int f(\Omega) \log[8\pi^2 f(\Omega)] d\Omega, \quad (4)$$

and we construct S as an invariant power series in $\langle D_{mk}^L \rangle$ using the power expansion for $\log(1+x)$ in Eq. (4), where 1 stems from the term $L = 0$ in Eq. (1); expressions (4) and (1) remain the same in different \mathbb{M} and therefore the power series does not depend on \mathbb{M} either.

In the standard models, the energy [Eq. (2)] usually contains OPs with one or a few values of L , $L \in \{\bar{L}_i\}$. In this case, we can *a priori* maximize S with respect to all $\langle D_{mk}^L \rangle$ with $L \notin \{\bar{L}_i\}$. Then the maximized entropy \bar{S} remains invariant and contains only terms with $L \in \{\bar{L}_i\}$ which can be derived using their transformational properties.

Thus the proposed approach contains the following steps: (a) selection of the orientational OPs $\langle D_{mk}^L \rangle$ that describe the phases of interest and determine the expression for orientational energy E , (b) derivation of the invariant terms of \bar{S} that contain $\langle D_{mk}^L \rangle$, (c) determination of the phase diagram by minimization of scaled free energy $\bar{F} = E/T - S$.

Free energy for nematics with molecular symmetry D_{2h} . To illustrate our approach and demonstrate how the invariant form of \bar{S} is derived, we present a model for nematic phases in materials with molecular symmetry D_{2h} . The nematic phases are described by the OPs $R_{mk} = \langle D_{mk}^2 \rangle$ and by energy [Eq. (2)] with $L = 2$. D_{2h} molecules in nematic phases have four independent OPs, which have the simplest representation R_{00} , $R_{20} = R_{\pm 20}$, $R_{02} = R_{0\pm 2}$, and $R_{22} = R_{\pm 2\pm 2}$ in the molecular frame defined by the symmetry axes and planes and in the laboratory frame along the directors [20,21]. R_{00} and R_{20} describe, respectively, the uniaxial and biaxial orientational orderings of the long molecular axis $\hat{\mathbf{e}}_3$ and are related to the traceless tensor order parameter $\mathbf{Q} = \langle \hat{\mathbf{e}}_3 \otimes \hat{\mathbf{e}}_3 \rangle - I/3$ [1–3]. R_{02} and R_{22} describe, respectively, the uniaxial and biaxial orderings of the short axes $\hat{\mathbf{e}}_{1,2}$ and are equivalent to the tensor $\mathbf{B} = \langle \hat{\mathbf{e}}_1 \otimes \hat{\mathbf{e}}_1 - \hat{\mathbf{e}}_2 \otimes \hat{\mathbf{e}}_2 \rangle$ [14]. The scaled orientational energy $\bar{E} = E/T$ is defined as [22]

$$\bar{E} = -\frac{u}{2} [R_{00}^2 + 2R_{20}^2 + 4\gamma(R_{00}R_{02} + 2R_{20}R_{22}) + 4\lambda(R_{02}^2 + 2R_{22}^2)], \quad (5)$$

where $u = U_2(00)/T$ is proportional to the inverse temperature and $\gamma = U_2(\pm 20)/U_2(00)$ and $\lambda = U_2(\pm 2, \pm 2)/U_2(00)$ are dimensionless parameters that describe the anisotropy of intermolecular interaction [24].

The general expression of the power expansion of \bar{S} in R_{mk}

$$\bar{S} = - \sum_{N, m_n, k_n} H_N(m_1, k_1, \dots, m_N, k_N) \prod_{n=1}^N R_{m_n k_n} \quad (6)$$

is invariant with respect to the rotations of the laboratory $\mathbb{L} \xrightarrow{\Phi} \mathbb{L}'$ and molecular $\mathbb{M} \xrightarrow{\Psi} \mathbb{M}'$ frames. Because of the independence of the rotations with Φ and Ψ , we present H_N as

$$H_N(m_1, k_1, \dots, m_N, k_N) = \sum_{\alpha\beta} H_N^{\alpha\beta} h_N^\alpha(m_1, \dots, m_N) h_N^{\beta*}(k_1, \dots, k_N). \quad (7)$$

Here $H_N^{\alpha\beta}$ is an arbitrary numeric coefficient for an invariant term and $h_N^\alpha(m_1, \dots, m_n, \dots, m_N)$ is the α th solution of the equation

$$\sum_{m_n} h_N^\alpha(m_1, \dots, m_N) \prod_{n=1}^N D_{m_n l_n}^2(\Phi) = h_N^\alpha(l_1, \dots, l_N) \quad (8)$$

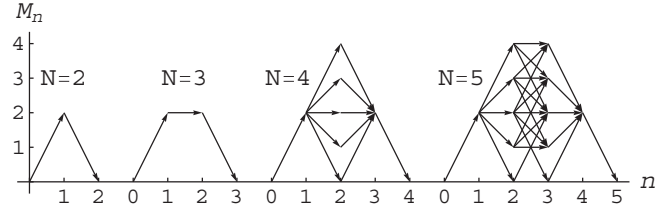


FIG. 1. Chains of arrows represent the solutions [Eq. (9)]; each arrow $\{M_{n-1}, M_n\}$ corresponds to $\langle M_{n-1} \bar{m}_{n-1} 2m_n | M_n \bar{m}_n \rangle$.

for an arbitrary Φ . Using the standard expressions for the products of D functions [23], we obtain the solutions of Eq. (8)

$$h_N^\alpha(m_1, \dots, m_N) = \prod_{n=1}^N \langle M_{n-1} \bar{m}_{n-1} 2m_n | M_n \bar{m}_n \rangle, \quad (9)$$

where $\bar{m}_n = \bar{m}_{n-1} + m_n$, $M_0 = \bar{m}_0 = M_N = \bar{m}_N = 0$, and α runs over α_N possible sets $\{M_1 \dots M_{N-1}\}$ that provide nonzero products of Clebsch-Gordan coefficients $\langle M_{n-1} \bar{m}_{n-1} 2m_n | M_n \bar{m}_n \rangle$ in Eq. (9), see Fig. 1. There is no solution for $N = 1$, and there are single solutions for $N = 2$ and for $N = 3$. For higher orders $N \geq 4$, the number of solutions of Eq. (9) α_N drastically increases: $\alpha_4 = 5$, $\alpha_5 = 16$, $\alpha_6 = 65$, etc. Thus expressions (6), (7), and (9) contain α_N^2 invariant N th-order terms; however, these α_N^2 terms may contain the same combinations of R_{mk} and the actual number of independent invariants is substantially smaller.

We used MATHEMATICA to analyze terms in \bar{S} , Eq. (6), and found that the fourth-order entropy \bar{S}_4 has four independent invariants:

$$\bar{S}_4 = -\left(\frac{a}{2} I_2 - \frac{b}{3} I_3 + \frac{c_1}{4} I_2^2 + \frac{c_2}{4} I_4\right), \quad (10)$$

where $I_2 = R_{00}^2 + 2(R_{20}^2 + R_{02}^2) + 4R_{22}^2$, $I_3 = R_{00}^3 + 24R_{20}R_{02}R_{22} + 6R_{00}(2R_{22}^2 - R_{20}^2 - R_{02}^2)$, and $I_4 = J_2^2$. $J_2 = 4(R_{00}R_{22} - R_{20}R_{02})$ is the second-order so-called pseudoinvariant because it changes its sign under $\pi/2$ rotation around the long molecular axis or the long director.

The sixth-order expansion has an additional seven terms:

$$\bar{S}_6 = \bar{S}_4 - \left(\frac{d_1}{5} I_2 I_3 + \frac{d_2}{5} I_5 + \frac{e_1}{6} I_2^3 + \frac{e_2}{6} I_3^2 + \frac{e_3}{6} I_2 I_4 + \frac{e_4}{6} I_{6s} + \frac{e_5}{6} I_{6a}\right), \quad (11)$$

where $I_5 = J_2 J_3$, $I_{6s} = J_3^2$, $J_3 = 6R_{00}R_{20}R_{02} + 3R_{22}(R_{00}^2 - 2R_{20}^2 - 2R_{02}^2) + 4R_{22}^3$ is the third-order pseudoinvariant, and $I_{6a} = 4(R_{02}^2 - R_{22}^2)^3 + 3[(R_{00}^2 + 2R_{20}^2)(R_{02}^2 + 2R_{22}^2) + 2(R_{00}R_{02} + 2R_{20}R_{22})^2(R_{00}^2 + 2R_{20}^2 - 2R_{02}^2 - 4R_{22}^2)]$. The obtained invariants are similar to the terms in Refs. [25,26].

Expressions (6), (7), and (9) provide a general explicit form for the power expansion of the maximized entropy \bar{S} for nematic OPs ($L = 2$). This form is further simplified by extracting independent combinations of OPs taking into account the molecular symmetry D_{2h} [Eqs. (10) and (11)]. The method is straightforward and can be easily applied to phases with other orientational OPs.

Complex order parameters. To simplify the analysis of nematic phases we introduce uniaxial $\tilde{s} = R_{00} + i\sqrt{2}R_{02} = se^{i\alpha}$ and biaxial $\tilde{p} = \sqrt{2}R_{20} + 2iR_{22} = pe^{i\beta}$ complex OPs, where their phases α and β determine the ratios between the short and long molecular axis orderings. \tilde{s} and \tilde{p} are equivalent to any set of four order parameters, systematized in [27], e.g., $\tilde{s} = S + iU$, $\tilde{p} = P + iF$. They also allow us to build the complex tensor OP $\mathbf{C} = \mathbf{Q} + \frac{i}{\sqrt{3}}\mathbf{B}$ with the diagonal form $\{-(\tilde{s} - \sqrt{3}\tilde{p})/3, -(\tilde{s} + \sqrt{3}\tilde{p})/3, 2\tilde{s}/3\}$ in the laboratory frame along the directors.

Complex OPs provide several advantages. First, \mathbf{C} determines any second-rank susceptibility tensor $\tilde{\chi}$ that is an orientational average of molecular tensor χ

$$\tilde{\chi} = \chi_{\text{iso}}\mathbf{I} + \text{Re}[\tilde{\chi}\mathbf{C}], \quad (12)$$

where \mathbf{I} is a unit tensor and $\tilde{\chi} = \chi_u - i\sqrt{3}\chi_b/2$. Here $\chi_{\text{iso}} = (\chi_1 + \chi_2 + \chi_3)/3$, $\chi_u = \chi_3 - (\chi_1 + \chi_2)/2$, and $\chi_b = \chi_1 - \chi_2$ are, respectively, isotropic, uniaxial, and biaxial combinations of the diagonal components χ_i of the molecular tensor that is diagonal in the molecular frame $\chi_{ij} = \chi_i\delta_{ij}$.

Second, the energy of Eq. (5) and invariants I_n in Eqs. (10) and (11) are even functions of the amplitude p ; e.g., \bar{E} contains the above-defined $I_2 = \frac{3}{2}\text{Tr}(\mathbf{C}\mathbf{C}^*) = s^2 + p^2$ and the complex invariant $\tilde{I}_2 = \frac{3}{2}\text{Tr}(\mathbf{C}^2) = \tilde{s}^2 + \tilde{p}^2$:

$$\begin{aligned} \bar{E} &= -\frac{u}{2}[(1 - \tilde{v} - \tilde{v}^*)I_2 + \tilde{v}\tilde{I}_2 + \tilde{v}^*\tilde{I}_2^*] \\ &= -\frac{u}{2}\{s^2 + p^2 - 2v[s^2 \sin\alpha \sin(\alpha - \theta) \\ &\quad + p^2 \sin\beta \sin(\beta - \theta)]\}, \end{aligned} \quad (13)$$

where $\tilde{v} = \frac{1}{2} - \lambda - i\sqrt{2}\gamma = ve^{-i\theta}$ is the complex parameter that describes the anisotropy of intermolecular interaction, $0 \leq \theta \leq \pi/2$.

Third, the complex OP representation demonstrates that the presented model is a generalization of the LdeG theory [1,15,16], because the sixth-order expansion $\bar{F}_6 = \bar{E} - \bar{S}_6$ reduces to the LdeG theory under the assumption $\alpha = \beta = 0$, which corresponds to the free molecular rotation around the long axis. However, this assumption is not valid (does not correspond to the absolute minimum) if $\gamma \neq 0$ or $\lambda \neq 0$, see below.

Phase diagram for uniaxial and biaxial nematics. The LdeG theory forbids N_b phase in the fourth-order expansion, allowing its existence only with the sixth-order terms [1,15,16]. However, in our model the N_b phase already appears in the fourth-order expansion $\bar{F}_4 = \bar{E} - \bar{S}_4$, which we analyze using $\tilde{s} = se^{i\alpha}$ and $\tilde{p} = pe^{i\beta}$ representation

$$\bar{F}_4 = \bar{F}_{\text{uni}} + \frac{1}{2}[g_0 - g_2 \cos(2\beta - \psi)]p^2 + \frac{c_1}{4}p^4, \quad (14)$$

where

$$\begin{aligned} \bar{F}_{\text{uni}} &= \frac{s^2}{12}\{6[a - u + uv \cos\theta - uv \cos(2\alpha - \theta)] \\ &\quad - 4bs \cos 3\alpha + 3s^2c_1\}, \end{aligned} \quad (15)$$

and $g_0 = a - u + uv \cos\theta + (c_1 + c_2)s^2$; g_2 and ψ are, respectively, the amplitude and phase of the complex term $g_2e^{i\psi} = uv \cos\theta + c_2s^2 - 2bs \cos\alpha + i[uv \sin\theta + 2s(b + c_1s \cos\alpha) \sin\alpha]$.

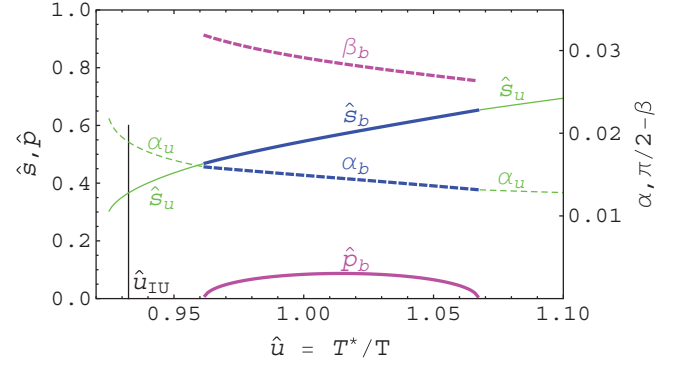


FIG. 2. (Color online) Temperature dependencies of normalized OP amplitudes \hat{s}, \hat{p} (solid) and OP phases α, β (dashed) in N_u (thin) and N_b (thick) phases for $\hat{b} = 0.5, \hat{c}_2 = 0.2, v = 0.09$, and $\theta = \pi/18$. The vertical line at $\hat{u}_{iu} = T^*/T_{iu}$ corresponds to the phase transition between isotropic and N_u phases at the temperature T_{iu} . Note that $\hat{s} = 0.37$ at \hat{u}_{iu} , thus the normalization factor for \hat{s} and \hat{p} is close to unity.

The uniaxial phase is described by s_u and α_u , obtained by minimization of \bar{F}_{uni} , Eq. (15). The two α -dependent terms in \bar{F}_{uni} create twofold and threefold radial valleys in polar coordinates (s, α) with minima at $\alpha = \pi n + \theta/2$ and $\alpha = 2\pi n/3$, respectively. Thus the absolute minimum corresponds either to the calamitic phase, $0 < \alpha < \theta/2$, if $0 \leq \theta \leq \pi/3$, or to the discotic phase, $\pi + \theta/2 < \alpha < 2\pi/3$ if $\pi/3 \leq \theta \leq \pi/2$. The phase transition between calamitic and discotic phases is impossible because the balance between them is not affected by the temperature factor u . Below we consider the case when $0 \leq \theta \leq \pi/3$ and the uniaxial phase is calamitic with $0 < \alpha < \theta/2 \leq \pi/6$.

To simplify the analysis of Eqs. (14) and (15), we set $a = c_1 = 1$ without losing generality, because Eqs. (14) and (15) transform into the normalized free energies $(\hat{F}_4, \hat{F}_{\text{uni}}) = (\bar{F}, \bar{F}_{\text{uni}})a^2/c_1$, with normalized OP amplitudes $(\hat{s}, \hat{p}) = (s, p)\sqrt{c_1/a}$ and parameters $\hat{b} = b/\sqrt{c_1a}$, $\hat{c}_2 = c_2/c_1$, and $\hat{u} = u/a = T^*/T$, where T^* is the low temperature limit of the metastable isotropic phase; parameter $\tilde{v} = ve^{-i\theta}$, and phases α, β, ψ remain unchanged. The normalization also allows us to analyze the nematic phases only when $\hat{u} < 1.6$, because the temperature range of nematic phases rarely exceeds 150° .

For small v , both N_u and N_b phases occur; typical temperature dependencies of OPs are shown in Fig. 2. The N_b phase is enclosed by two N_u phases with second-order phase transitions between them, $N_u \xleftrightarrow{2} N_b$, Fig. 2.

When $\theta < \pi/4$, the effect of θ on the phase diagram is rather small, because $\alpha \lesssim \theta/8$ and $\beta = \psi/2 \approx \pi/2$, Fig. 2. Figure 3 presents phase diagrams in $\{v, \hat{u}\}$ coordinates for several sets of $\{\hat{b}, \hat{c}_2\}$ parameters, when $\theta = 0$ and \hat{F}_4 reaches the minimum at $\alpha = 0$ and $\beta = \pi/2$. The N_b phase occurs if $v < v_b = (\hat{b}^2 - 4\hat{c}_2 + 2\sqrt{\hat{b}^2 - 2\hat{b}^2\hat{c}_2 + 4\hat{c}_2})/(8 - 2\hat{b}^2)$. The N_b phase appears under the cooling (\hat{u} increasing) through the $N_u \xleftrightarrow{2} N_b$ transition when $v_b > v > v_t = \hat{b}^2(3 - 2\hat{c}_2)/(9 - 2\hat{b}^2)$, or through the $I \xleftrightarrow{1} N_b$ transition when $v < v_t$; $\{v_t, \hat{u}_t = 1 - 2\hat{b}^2/9\}$ is the triple point. If $\hat{c}_2 > 0$, the further cooling can result in the second low-temperature N_u phase

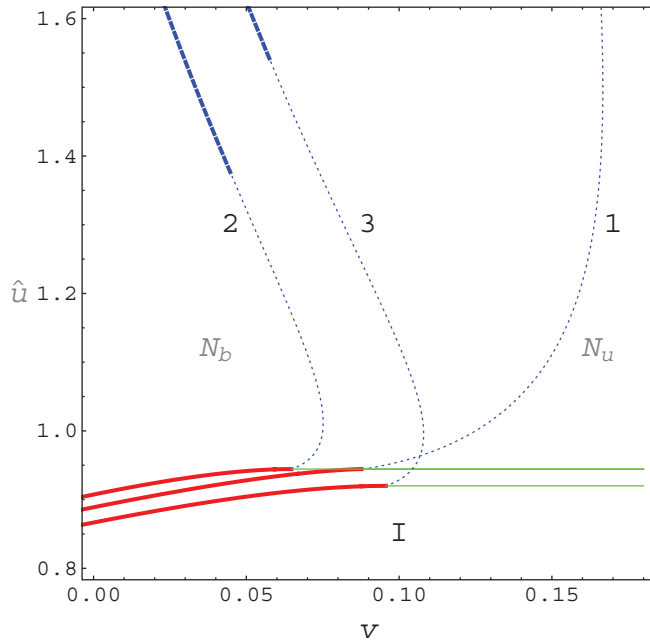


FIG. 3. (Color online) Phase diagrams in $\{\hat{u}, v\}$ plane for $\theta = 0$ and different sets of \hat{b} and \hat{c}_2 : (1) $\hat{b} = 0.5$, $\hat{c}_2 = 0.0$; (2) $\hat{b} = 0.5$, $\hat{c}_2 = 0.2$; (3) $\hat{b} = 0.6$, $\hat{c}_2 = 0.2$. Curves correspond to phase transitions: $I \xleftrightarrow{1} N_u$ (thin solid), $I \xleftrightarrow{1} N_b$ (thick solid), $N_u \xleftrightarrow{2} N_b$ (dotted), $N_u \xleftrightarrow{1} N_b$ (dashed).

either through the second-order transition $N_b \xleftrightarrow{2} N_u$ or through the first-order transition $N_b \xleftrightarrow{1} N_u$, Fig. 3. The temperature range of the N_b phase is enhanced by \hat{b} and is suppressed by \hat{c}_2 and v . The shape of the phase diagrams in Fig. 3 is similar to that observed in lyotropic materials [28]. However, this similarity should not be overstated because both calamitic and discotic uniaxial phases are present and may be caused by micelle shape transformation, which effectively changes the interaction between micelles [28].

The obtained results clearly demonstrate why the LdeG theory shows no N_b phase for the fourth-order expansion. The LdeG theory corresponds to the assumption $\beta = 0$, whereas the minimum of \bar{F}_4 for the N_b phase occurs when $\beta = \psi/2 \approx \pi/2$.

Conclusions. We demonstrated an approach for describing orientational phase transitions. The approach provides a straightforward method for minimization of scaled free energy $\bar{F} = E/T - S$ in the space of selected orientational OPs that describe the phases of interest. We applied this approach to nematic phases with molecular symmetry D_{2h} building a model that generalizes the LdeG theory and predicts the biaxial N_b phase for the fourth-order expansion of \bar{F} . \bar{F} has only the second-order temperature-dependent term in other theoretical models, e.g., Bragg-Williams theory, and may be useful for the description of various types of phase transitions.

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