Force dipoles and stable local defects on fluid vesicles

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> An exact description is provided of an almost spherical fluid vesicle with a fixed area and a fixed enclosed volume locally deformed by external normal forces bringing two nearby points on the surface together symmetrically. The conformal invariance of the two-dimensional bending energy is used to identify the distribution of energy as well as the stress established in the vesicle. While these states are local minima of the energy, this energy is degenerate; there is a zero mode in the energy fluctuation spectrum, associated with area- and volume-preserving conformal transformations, which breaks the symmetry between the two points. The volume constraint fixes the distance S, measured along the surface, between the two points; if it is relaxed, a second zero mode appears, reflecting the independence of the energy on S; in the absence of this constraint a pathway opens for the membrane to slip out of the defect. Logarithmic curvature singularities in the surface geometry at the points of contact signal the presence of external forces. The magnitude of these forces varies inversely with S and so diverges as the points merge; the corresponding torques vanish in these defects. The geometry behaves near each of the singularities as a biharmonic monopole, in the region between them as a surface of constant mean curvature, and in distant regions as a biharmonic quadrupole. Comparison of the distribution of stress with the quadratic approximation in the height functions points to shortcomings of the latter representation. Radial tension is accompanied by lateral compression, both near the singularities and far away, with a crossover from tension to compression occurring in the region between them.

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I. INTRODUCTION

A striking feature of cellular membranes in biology is that they behave as fluid membranes on mesoscopic length scales. Their mechanical properties are captured by the geometrical degrees of freedom of the membrane surface, which is described with extraordinary accuracy by the Canham-Helfrich energy, quadratic in the curvature [1,2],

$$H_{\rm CH} = \kappa H_1 + \bar{\kappa} H_{\rm GB} + \sigma (A - A_0) + P(V - V_0), \quad (1)$$

where

$$H_1[\mathbf{X}] = \frac{1}{2} \int dA \, K^2, \quad H_{\text{GB}}[\mathbf{X}] = \int dA \, K_G, \qquad (2)$$

where *K* is twice the mean curvature, K_G the Gaussian curvature of the surface, given by the trace and determinant of the curvature tensor, $K = C_1 + C_2$, and $K_G = C_1C_2$ with C_1 and C_2 the principal curvatures. H_{GB} is the Gauss-Bonnet topological invariant. The physical parameters κ and $\bar{\kappa}$ are the bending and saddle splay modulus, with units of energy. The remaining two parameters σ and *P* are Lagrange multipliers fixing the membrane area *A* at some value A_0 and its enclosed volume *V* at a value V_0 .

While it is simple to write down the energy, the nonlinear field theory associated with it is not simple. Thus, unless the membrane lends itself to a description in terms of a height function which varies slowly above some simple geometry, it will tend to be necessary to examine its behavior numerically.

On occasion, the symmetries of the theory allow one to circumvent this necessity. In this paper, a stratagem exploiting

the conformal symmetry of the bending energy will be used to provide an exact analytical description of a local deformation that is established in an almost spherical vesicle when two neighboring points are brought into contact.

Consider two closely separated points on an almost spherical vesicle. The obvious way to bring the two together is to apply tangential forces. In the geometric approximation there is no penalty associated with surface shear on a fluid membrane and, thus, the two points can be brought together this way without deforming the membrane. It is also possible, however, to apply forces normal to the surface, with appropriate torques, in such a way that the points remain separated on the surface but are brought together in space. An illustration of this process is shown in Fig. 1. These forces can be directed in or out of the vesicle.

Views of the corresponding deformed vesicles at the end point of this process are shown in Figs. 2(a) and 2(c). We show a close-up of the local behavior of the vesicle in Figs. 2(b) and 2(d).

The surprise is that, even though the membrane undergoes large deformations in a neighborhood of the two points under the influence of the force, an exact description of this final state exists. In this state, the external forces are horizontal, and the torques about the point of contact vanish. This description is a happy accident of the conformal invariance of the two-dimensional bending energy [2–4]. In contrast, neither the initial state nor any of the intermediate states in the sequence before contact is made, involving nontrivial external forces and torques, lend themselves to an analogous treatment. While this state may represent an idealization from a physical point of view, and developing an experimental protocol to construct it will provide its own challenges, it is nonetheless a genuine nonperturbative feature of the mesoscopic description of fluid membranes, and it does provide unexpected access

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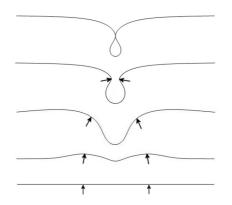


FIG. 1. Sequence of normal deformations bringing two points on an almost spherical membrane together.

to the nonlinear response of fluid membranes to external forces.

Constraints would typically be expected to break the full conformal invariance of the bending energy. However, the contact constraint associated with the end state is invariant under conformal transformations (in particular, it does not introduce an additional length scale). Fixing the area will restrict the invariance group to the subgroup of area conformal transformations. As we will see, conformal transformations preserving the area of the equilibrium surface are described by two parameters.¹ Likewise, their counterparts preserving volume will be characterized by two parameters. Simultaneously constraining the area and the volume restricts the invariance group to a smaller subgroup characterized by a single parameter. A variational argument showing that the multipliers vanish under these circumstances is provided in A.

Axially symmetric membrane states with two poles brought together were constructed a few years ago [5,6]. This was done by exploiting the fact that any state related by inversion in a sphere to any equilibrium state will also be an equilibrium state. In particular, states described by inversion of a catenoid-an axially symmetric infinite cylindrical surface growing exponentially at its two ends-are also equilibria. Under inversion, the topology of the catenoid will be changed as its two ends get compactified to a single point. The compact axially symmetric vesicle states described in Refs. [5,6] result when the center of inversion lies on the axis of symmetry. By positioning this point instead close to the surface of the catenoid in the neighborhood of its neck, nearby points on the surface get inflated into a large spherical region. A very different closed vesicle is obtained: spherical almost everywhere, with a localized defect-held together by a force dipole—illustrated in Fig. 2, sitting at one of its poles.

Any one of these equilibrium configurations will have a particular area, A_0 , and a particular volume, V_0 . Since it is a stationary state of the unconstrained energy, it will also be a stationary state of the constrained energy in which the area and volume are constrained to be A_0 and V_0 . By construction, it will satisfy the new constraints.

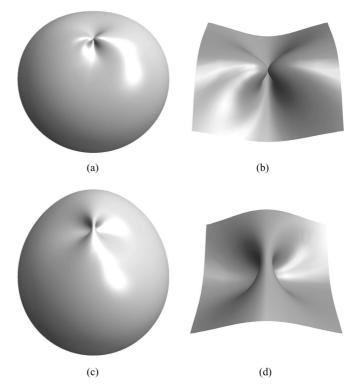


FIG. 2. Geometry of localized defects on an almost spherical vesicle. (a) Membrane with two touching fingers pushed out. (b) Close-up of the fingers. (c) Membrane pinched into self-contact. (d) Close-up of the pinch. These two surfaces are obtained with the center of inversion placed at $\mathbf{x}_0 = 1.07 \,\mathbf{i}$ and $\mathbf{x}_0 = 0.93 \,\mathbf{i}$, respectively, as explained in Sec. II.

If the center of inversion lies just outside the catenoid, the local geometry of the defect resembles a flat sheet with two fingers of membrane—touching at a point—pushed up from below, a valley forming beneath them, as illustrated in Figs. 2(b). If, on the other hand, the point of inversion lies just inside, the exterior resembles a flat sheet that has been pinched into self-contact; a ridge forms above the two points that have been brought into contact, illustrated in Fig. 2(d), not unlike the wrinkle that is formed in skin that has been pinched together between two fingers. Locally, these two geometries are identical; one is the other viewed from below: The interior of the defect represented in Fig. 2(a) is indistinguishable from that represented in Fig. 2(c).

A feature of this geometry is that a well-defined tangent plane exists at the two points of contact. This is consistent with a logarithmic singularity in the curvature at these two points. In this construction, these singularities originate in the compactification of the exponential ends [5,6]. The asymptotic behavior of the minimal surface thus completely determines the geometry at the center of the defect. The conformal symmetry of the bending energy provides a connection between the weak-field harmonic behavior of the catenoid at infinity, described accurately by perturbation theory, and the nonlinear behavior of the defect at its center. This correspondence is a general feature of field theories exhibiting an underlying conformal symmetry.

The focus here, for simplicity, will be on mirror symmetric states, generated by placing the point of inversion on the mirror

¹In general, a three-parameter family would be expected; this is reduced by the uniqueness, modulo scale, of the catenoid as an axially symmetric minimal surface.

plane containing the waist of the catenoid. The scale is set by fixing the area; fingerlike and pinchlike almost-spherical symmetric states are characterized uniquely by the geodesic distance *S* separating the two points along the surface which, in turn, we will see, is determined by the fixed volume. Thus, if both area and volume are fixed, there is a unique symmetric state of each kind.² In a future publication, the breaking of the mirror symmetry will be explored [7].

Even though the total energy will be independent of the distance separating them along the surface, the degree of localization of the energy density will depend on this distance. What is more, we will show that if the forces and torques are fixed, this state will be energetically stable, whether the perturbation breaks the symmetry or not. This means that there are no negative eigenvalues in the fluctuation spectrum. Unless the configuration is a sphere, it will have a different ratio of V_0^2/A_0^3 than a sphere does, so it cannot be transformed into a sphere by energy-, volume-, and area-preserving transformations. There will, however, be a zero mode of the energy associated with conformal transformations that preserve both the vesicle area and its volume. This zero mode breaks the mirror symmetry between the two poles. If the constraint on the volume is relaxed, a second zero mode appears. For symmetric states, this corresponds to changing the geodesic distance between the poles. Under these circumstances, there is a path connecting the defect state to a sphere with S = 0, the same energy and the same area; because the defect state has the same topology as a sphere, it is not protected topologically. Such a mode would allow the membrane to slip out of the defect.

The normal force tying the points together provides a source of stress in the membrane.³ If these forces were removed, the membrane sheet would collapse into one of the equilibria of a fluid membrane described, for axially symmetric vesicles, in Refs. [8] and [9]. In the absence of the volume constraint this would be a featureless spherical state, the only unconstrained equilibrium geometry with this topology. It is these forces that establish the defect geometry and, thus, the tangent planes along which the molecules forming the fluid membrane may flow. In the absence of an appropriate zero mode, the vesicle cannot slip out of the defect.⁴

The stress finds itself concentrated in the neighborhood of the two points; it is also completely captured by the defect geometry. The curvature singularities in this geometry are associated with these localized external forces and manifest themselves as divergences in the stress. The strength of these forces is registered by an appropriate integral of the stress along a contour encircling one of the points. As we will demonstrate, it is also possible to evaluate them exactly by deforming the contour to exploit the symmetry of the problem. Unlike the energy, they vary inversely with the distance *S* between the two points measured along the surface. The same argument can be tweaked to show that the external torques about these points vanish.

Conformal invariance also permits an exact analytic description of the inhomogeneous and anisotropic distribution of stress established in the membrane in the fully nonlinear theory. This is the first instance-to our knowledge-of such a description in any nontrivial fluid membrane geometry. Three qualitatively different regimes are identified: the neighborhood of the singularities within the fingers, the valley that extends under them, and the far region. While a global description of the surface in terms of a height function does not exist, in each of these three regions it is possible to describe the surface in terms of its height above an appropriate plane and to compare the exact stress tensor with its counterpart in the small gradient biharmonic approximation. In particular, it will be shown that, whereas near the singularities the geometry behaves as a biharmonic monopole, in the valley it behaves as a surface of constant mean curvature, and far away it behaves as a biharmonic quadrupole. Characteristic distributions of stress are found to be associated with each region; both near the poles as well as asymptotically, radial tension is accompanied by an equal lateral compression. A crossover from tension to compression is encountered in the region between the poles.

II. GEOMETRY OF THE DEFECT

Consider a catenoid, with unit neck radius, aligned along the Z axis.⁵ Its polar radius ρ at a given value of Z is given by [10, 11] $\rho(Z) = \cosh Z$, so the surface is described parametrically in the form

$$\mathbf{X}_0(Z,\varphi) = (\rho(Z)\cos\varphi, \rho(Z)\sin\varphi, Z). \tag{3}$$

The surface obtained by inversion of this catenoid in a sphere of radius *R*, centered at the point **i** on its neck, will be described by the new position vector $\widetilde{\mathbf{X}} = R^2 \mathbf{X}/|\mathbf{X}|^2$, where $\mathbf{X} = \mathbf{X}_0 - \mathbf{i}$, [10], given explicitly by⁶

$$\widetilde{\mathbf{X}} = \frac{R^2}{Z^2 + P^2(\rho, \varphi)} \left(\rho \cos \varphi - 1, \rho \sin \varphi, Z\right),$$

$$P^2(\rho, \varphi) = \rho^2 - 2\rho \cos \varphi + 1.$$
(4)

The two planar ends of the catenoid, represented by the neighborhood of the points at infinity $Z \rightarrow \pm \infty$ get compactified to a single point, the origin of the Euclidean plane, *O*. Because the center of inversion lies on the catenoid, the neighborhood of

²The two points will migrate along the surface in the process of bringing them together. Once equilibrium is established, however, the distance between them will be set by the constraints, independent of their initial positions on the surface.

³The catenoid generating this geometry is itself a stress-free equilibrium state of a fluid membrane, albeit one that is never realized physically. This is not a problem here for its only role will be to generate the state of physical interest.

⁴Other symmetric states with two points held together may exist. They will, however, involve more complicated applied forces and nonvanishing torques.

⁵Three-dimensional Euclidean space will be described by Cartesian coordinates *X*, *Y*, *Z*, with basis vectors $\mathbf{i} = (1,0,0)$, $\mathbf{j} = (0,1,0)$, and $\mathbf{k} = (0,0,1)$. Polar coordinates ρ and φ are adapted to the *X*-*Y* plane.

⁶This surface is also parametrized by Z and φ . **X** is dimensionless in our construction. This implies that R^2 must have dimensions of length in order for the physical geometry described by $\widetilde{\mathbf{X}}$ to possess dimensions of length.

this point is mapped to infinity. Inversion generates another infinite surface. This is the defect geometry illustrated in 3(b), a labeled reproduction of Fig. 2(b) [or viewed below as Fig. 2(d)]. This does not, of course, represent a defect on a vesicle of fixed finite surface area. But it is simple to tweak the construction to do so: by moving the center of inversion off the catenoid, its neighborhood on the catenoid get compactified into a sphere. If this point is located close to the neck, the vesicle geometry will approximate an almost round sphere with the defect located at its north pole. Geometries corresponding to a point of inversion lying both outside as well as inside the catenoid are illustrated in Figs. 2(a) and 2(c). Notice in particular that the distance between the two points, as measured along the surface, is small compared to the radius of the sphere. As we will quantify below, the local defect geometry in an almost-spherical vesicle is accurately described by a center of inversion lying on the catenoid itself.

To describe the defect geometry in greater detail, first note that its geometry possesses $\tilde{Y} = 0$ and $\tilde{Z} = 0$ as mirror planes: the former is what remains of the axial symmetry and the latter is the unbroken mirror symmetry of the catenoid. These two symmetries are captured, respectively, by $\varphi \leftrightarrow -\varphi$ and $Z \leftrightarrow -Z$. One can now trace the image under inversion of various surface curves on these two mirror planes:

(a) Two catenaries describe the intersection of the catenoid with the plane Y = 0 (given by $\varphi = 0, \pi$). The catenary with $\varphi = 0$, Γ_0 , passing through the center of inversion **i**, represented by the red (upper) curve in Fig. 3(a), is mapped to two semi-infinite curves $\widetilde{\Gamma}_0^-$ and $\widetilde{\Gamma}_0^+$ (with Z < 0 and Z > 0, respectively)

$$\widetilde{\Gamma}_{0}^{\pm} = \frac{R^{2}}{Z^{2} + (\rho - 1)^{2}}(\rho - 1, 0, \pm |Z|),$$
(5)

both of which terminate at *O* and represented in red in Fig. 3(b). They both increase to an asymptotic value $\tilde{X} = R^2/2$. The second catenary with $\varphi = \pi$, Γ_{π} , maps to the finite curve $\tilde{\Gamma}$ whose two ends also terminate at *O*. They are represented by the green (lower) curves in Figs. 3(a) and 3(b) respectively. The point (-1,0,0) on the catenary maps to the

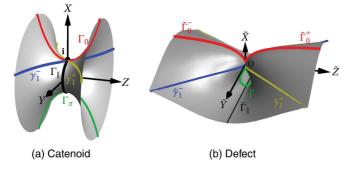


FIG. 3. (Color online) (a) Surface curves on the catenoid obtained from its intersection with relevant planes: catenaries on the plane Y = 0 with $\varphi = 0$ (Γ_0); π (Γ_π) are represented by red (upper) and green (lower) curves, respectively; the circle Γ_{\parallel} on the plane Z = 0with a black line; and curves on the plane X = 1 (γ_1^{\pm}) with blue (front left) and yellow (front right) lines. The center of inversion is indicated by the point **i**. (b) The image under inversion in a unit sphere centered at **i**. point (-1/2,0,0) on this curve. Together $\widetilde{\Gamma}_{\perp} = \widetilde{\Gamma}_0^- \cup \widetilde{\Gamma} \cup \widetilde{\Gamma}_0^+$ form a single curve with a continuous tangent vector, making contact with itself at O.

(b) The circular waist of the catenoid Γ_{\parallel} resulting from its intersection with the plane Z = 0 [illustrated with a black curve in Fig. 3(a)] passes through the center of inversion and, thus, under inversion opens into the straight line $\tilde{\Gamma}_{\parallel}$ [black line in Fig. 3(b)] parametrized by

$$\tilde{\Gamma}_{\parallel} = \frac{R^2}{2}(-1, \cot(\varphi/2), 0), \tag{6}$$

which intersects $\widetilde{\Gamma}$ orthogonally.

(c) The curves γ^{\pm} resulting from the intersection of the catenoid with the plane X = 1, given by $\tan \varphi = \pm \sinh Z$, get mapped to the curves

$$\tilde{\gamma}_1^{\pm} = \frac{R^2}{Z^2 + \sinh^2 Z} (0, \pm \sinh Z, Z), \tag{7}$$

which are the intersection of the defect surface with the plane $\tilde{X} = 0$. These two curves are represented by yellow (front right) and blue (front left) lines in Figs. 3(a) and 3(b). This plane represents the midplane of the asymptotic geometry, described in detail in Sec. II C3.

The curves $\widetilde{\Gamma}_{\perp}$ and $\widetilde{\Gamma}_{\parallel}$ are geodesics on the surface.

A. Characterizing symmetric defects on almost spherical vesicles

The symmetric defect geometry is characterized by a single length scale, *S*, the length of the geodesic $\tilde{\Gamma}$ connecting the two neighboring points in contact. There is a direct relationship between *S* and the radius of inversion *R*. To establish this relationship for small *S* ($\ll \sqrt{A_0}$), note that the line element on the catenoid is given by

$$ds^{2} = \rho^{2}(Z)(dZ^{2} + d\varphi^{2}); \qquad (8)$$

thus, it is conformally flat, with conformal factor given by the polar radius ρ . Its counterpart on the surface, $d\tilde{s}^2$, is given by

$$d\tilde{s}^{2} = \frac{R^{4}}{[Z^{2} + P^{2}(\rho, \varphi)]^{2}} ds^{2};$$
(9)

thus, the length of $\tilde{\Gamma}$ is given by

$$S = 2R^2 \int_0^\infty \frac{\rho}{Z^2 + (\rho + 1)^2} dZ \approx 1.206R^2.$$
(10)

A defect geometry with a given value of S is obtained from a unit catenoid by choosing R appropriately. For a given value of S there is a unique symmetric geometry with two points held together.

If the center of inversion lies strictly on the catenoid, the area of the inverted geometry will be infinite. The normalization of the area would then require a vanishing value of R so the separation between the two points vanish, the geometry spherical. It is worth considering a little more carefully this limit in order to interpret Eq. (10). Let us, therefore, consider the inversion of the catenoid in a sphere centered on a point $\mathbf{x}_0 = x_0 \mathbf{i}$ along the X axis. The total area is given in terms of

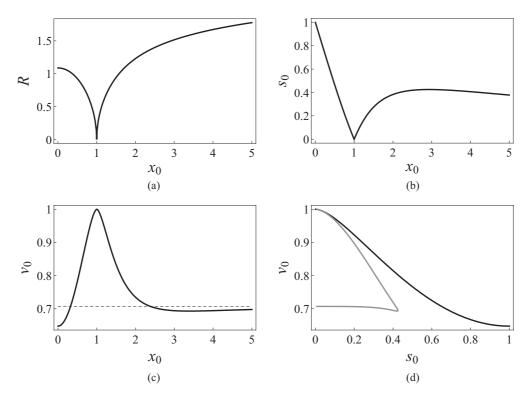


FIG. 4. (a) Radius of inversion R vs. center of inversion x_0 ; (b) normalized distance between the defects $s_0 = S/S(0)$ vs. x_0 (S(0) = 3.0669 is the distance between poles in symmetric discocyte); (c) Normalized enclosed volume $v_0 = V_0/V_S$ vs. x_0 ($V_S = 4\pi/3$ is the volume of the unit sphere). v_0 tends asymptotically to the value $1/\sqrt{2}$ as $x_0 \to \infty$; (d) v_0 vs. s_0 . The black (gray) line represents surfaces with $x_0 \leq 1$ ($x_0 > 1$).

4

 x_0 and R by the expression

$$A_{0} = 2R^{4}I_{A}(x_{0}),$$

$$I_{A}(x_{0}) = \int_{Z=0}^{\infty} \int_{\phi=0}^{2\pi} \frac{dZd\phi \ \rho^{2}}{\left(Z^{2} + \rho^{2} - 2x_{0}\cos\phi + x_{0}^{2}\right)^{2}}.$$
(11)

Normalizing the total area by the radius of the spherical vesicle, so $A_0 = 4\pi$ in Eq. (11), implies the functional relationship between *R* and x_0 illustrated in Fig. 4(a). *R*, of course, vanishes at $x_0 = 1$. The corresponding distance between the poles along the membrane is given by

$$S = 2R^2 I_S(x_0), \quad I_S(x_0) = \int_0^\infty \frac{dZ \,\rho}{Z^2 + (\rho + x_0)^2}. \tag{12}$$

To an excellent approximation, $I_S(x_0)$ is given by $I_S = 1.13073/(x_0 + 0.874017)$. Equation (10) is reproduced when $|x_0| \ll 1$, which justifies the approximation. For a fixed area, *R* is given as a function of x_0 by Fig. 4(a), which determines *S* as a function of x_0 as illustrated in Fig. 4(b).

We confine ourselves to $|x_0| \ll 1$. As $|x_0 - 1|$ is increased, the separation between the two points on the vesicle increases. This delocalization of the defect is reflected in a progressively less spherical geometry. This is illustrated in Fig. 5, where the defect geometry is represented for $x_0 = 0.5$ and $x_0 = 2$. In the former, the geometry approximates the axially symmetric discocyte discussed in Refs. [5,6]; in the latter it resembles a sausage with its two ends tied together. As x_0 becomes large, however, these mathematical curiosities are likely to become increasingly unreliable representations of physically realistic defects. The details will be described elsewhere [7].

The connection between the value of x_0 and the reduced volume is given by

$$V_{0} = 2R^{0}I_{V}(x_{0}),$$

$$I_{V}(x_{0})$$

$$= \frac{1}{3}\int_{Z=0}^{\infty}\int_{\phi=0}^{2\pi} \frac{dZd\phi \ \rho^{2}(1-x_{0}\cos\phi \operatorname{sech}Z-Z\tanh Z)}{\left(Z^{2}+\rho^{2}-2x_{0}\cos\phi+x_{0}^{2}\right)^{3}}.$$
(13)

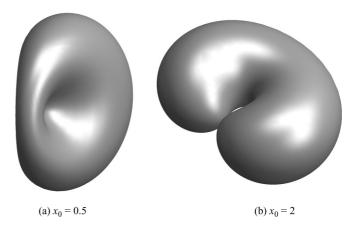


FIG. 5. Surfaces obtained by inversion centered (a) inside the catenoid and (b) outside.

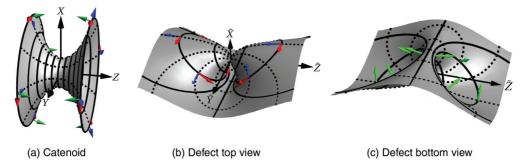


FIG. 6. (Color online) Principal curves and directions on the catenoid and on the defect. (a) On the catenoid, the meridians are catenaries (dashed lines) with the tangent vector V_{\perp} represented by blue (dark) arrows; the parallels (solid circles) with tangent vector V_{\parallel} are represented by red (medium gray) arrows (they are oriented anticlockwise with respect to the symmetry axis). The normal vectors are represented by green (light gray) arrows. (b) Top and (c) bottom views of the principal curves on the defect. The catenaries get mapped to closed curves which make self-contacts at the poles, represented by dashed lines, whereas the parallel circles transform to rotated circles surrounding the poles, indicated by solid lines. Red (medium gray), blue (dark), and green (light gray) arrows represent the mapping of the principal directions and the normal vector onto the defect.

In Fig. 4(c) we plot the normalized volume $v_0 = V_0/V_s$ as a function of x_0 , with V_s the volume of the unit sphere. This normalized volume is multivalued in an interval of the normalized distance between the defects s_0 , as shown in Fig. 4(d). In the regime we are interested in, with $|x_0 - 1| \ll 1$, the delocalization of the defect correlates directly with the deflation of the vesicle. There is a a unique symmetric two-finger defect, as well as a pinched counterpart for each value of V_0 . For larger values of x_0 this duality between fingers and pinches breaks down. In particular, the maximally deflate vesicle bearing two fingers is a sausagelike geometry, not the two touching spheres that occur in the limit $x_0 \to \infty$.⁷ The pinched counterpart terminates at $x_0 = 0$ in the symmetric discocyte.

B. Curvatures

The curvature of the defect will play a role not only in determining its energy but also in determining the stresses. Singularities in the curvature signal external forces. The curvature is characterized by two scalars, its extremal values, as well as the directions—mutually orthogonal—along which they occur. The intersections of the defect surface with the mirror planes are both directions of curvature as well as geodesic.

On the catenoid, the maximum curvature C_{\parallel} is achieved along the parallel directions [circles of constant Z in Fig. 6(a)], and the minimum curvature C_{\perp} along meridians [catenaries in Fig. 6(a)]. They are given by $C_{\parallel} = 1/\rho^2 = -C_{\perp}$, confirming that the catenoid is a minimal surface, i.e., $K = C_{\parallel} + C_{\perp} = 0$. Its Gaussian curvature $\mathcal{K}_G = C_{\parallel}C_{\perp}$ is given by

$$\mathcal{K}_G = -1/\rho^4. \tag{14}$$

The principal directions (along the parallel circles and along the meridians) align with the adapted basis vectors $\mathbf{e}_{\varphi} = \partial_{\varphi} \mathbf{X}$ and $\mathbf{e}_Z = \partial_Z \mathbf{X}$,

$$\mathbf{V}_{\parallel} = (-\sin\varphi, \cos\varphi, 0);$$

$$\mathbf{V}_{\parallel} = 1/\rho(\sinh Z \cos\varphi, \sinh Z \sin\varphi, 1).$$
(15a)

In Appendix **B** it is shown that the counterparts on the defect of these two vectors fields are given by an appropriate reflection:

$$\widetilde{\mathbf{V}}_i = \mathsf{R}\,\mathbf{V}_i, \quad i = \parallel, \perp, \tag{16}$$

where $\mathbf{R} = \mathbb{1} - 2\hat{\mathbf{X}} \otimes \hat{\mathbf{X}}$ represents a reflection in the plane passing through the origin and orthogonal to \mathbf{X} and $\hat{\mathbf{X}}$ denotes the corresponding unit vector.

The integral curves of these two vector fields on the defect are represented in Figs. 6(b) and 6(c). In particular, observe that the parallel circles on the catenoid get mapped to two nested families of circles, each of which encloses a single pole; these circles degenerate into the straight line $\tilde{\Gamma}_{\pi}$ on the mirror plane, $\tilde{Z} = 0$. The meridians get mapped to a single family of nested closed curves, each of which passes through the point *O* where self-contact is made.

Three regions displaying features of particular interest can be identified on the defect: the neighborhood of the poles, the ridge-and-valley geometry connecting the poles, and the asymptotic region where it approximates the large sphere. Although the global defect geometry does not lend itself to a Monge parametrization in terms of a unique height function above a plane, it is possible to describe each of these regions separately in terms of a height function above an appropriate reference plane.

C. Height function representations

1. Near region

The region $\widetilde{Z} \ll 1$ originates in the compactification of the exponential growing ends of the catenoid with $Z \gg 1$ under inversion in a sphere centered on the point **i**. This region on the catenoid can be approximated by the height function $h_1 = \ln 2\rho \ (\rho \gg 1)$ above the X-Y plane, $(X = \rho \cos \varphi \text{ and} Y = \rho \sin \varphi)$. Its counterpart on the defect under inversion in a

⁷Intriguingly, there is a narrow band of values of s_0 in which a volume threefold degeneracy exists, with two distinct two-finger geometries and a single pinch; see Fig. 4(d).

sphere of radius R then has the height function representation

$$\widetilde{h}_1 = -R^2 \,\widetilde{\rho}^2 \ln\left(\frac{\widetilde{\rho}}{2}\right), \quad \widetilde{\rho} = \frac{1}{\rho}, \quad \widetilde{\varphi} = \varphi,$$
 (17)

above the plane $\widetilde{Z} = 0(\widetilde{X} = R^2 \widetilde{\rho} \cos \widetilde{\phi} \text{ and } \widetilde{Y} = R^2 \widetilde{\rho} \sin \widetilde{\phi})$. The two curvatures diverge logarithmically at the origin as $\widetilde{\rho} \to 0$,

$$\widetilde{C}_{\parallel,\perp} \approx -\frac{2}{R^2} \left(\ln \frac{\widetilde{\rho}}{2} + 1 \pm \frac{1}{2} \right).$$
(18)

However, their difference remains finite,

$$\widetilde{C}_{\parallel} - \widetilde{C}_{\perp} \approx -\frac{2}{R^2}.$$
(19)

2. Ridge-and-valley region

The ridge-and-valley between the poles originates in the neighborhood of the point $-\mathbf{i}$ on the catenoid diametrically opposite the center of inversion. The latter is described by the saddle-shaped height function above the plane X = -1: $h_2 = -r^2/2 \cos 2\phi$, where $r = \sqrt{Y^2 + Z^2}$, and $\phi = \arctan Y/Z$. Its inversion in a sphere of radius *R* centered at \mathbf{i} is a parabolic cylinder described by a height function above the plane $\tilde{X} = -R^2/2$, ($\tilde{Y} = R^2/4\tilde{r}\sin\phi$, $\tilde{Z} = R^2/4\tilde{r}\cos\phi$),

$$\widetilde{h}_2 = \frac{R^2}{4} \widetilde{r}^2 \cos^2 \widetilde{\phi}, \quad \widetilde{r} = r, \quad \widetilde{\phi} = \phi, \quad (20)$$

or $\widetilde{h}_2 = 4\widetilde{Z}^2/R^2$.

3. Far region

The infinite region remote from the origin originates in the neighborhood of the center of inversion **i** on the catenoid. The latter is described by the height function $h_3 = r^2/2 \cos 2\phi$. Inversion of this region in a sphere of radius *R* centered at **i** can also be described by the height function above the the asymptotic plane $\tilde{X} = 0$ ($\tilde{Y} = R^2 \tilde{r} \sin \phi$ and $\tilde{Z} = R^2 \tilde{r} \cos \phi$),

$$\widetilde{h}_3 = \frac{R^2}{2}\cos 2\widetilde{\phi}, \quad \widetilde{r} = \frac{1}{r}, \quad \widetilde{\phi} = \phi,$$
 (21)

which is independent of the radial distance \tilde{r} on the base plane. Thus, in the asymptotic region, with $\tilde{r} \gg 1$, the defect interpolates between the two orthogonal lines, $\tilde{X} = R^2/2$, $\tilde{Y} = 0$ and $\tilde{X} = -R^2/2$, $\tilde{Z} = 0$; its height set by the scale *S* [see Eq. (10)]. This geometry is illustrated in Fig. 7. Unlike the exact defect, the geometry is symmetrical (modulo a rotation

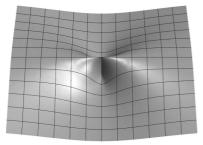


FIG. 7. The surface given by the biharmonic height function $\tilde{h} = 1/2 \cos 2\tilde{\phi}$ provides an accurate description of the defect in the far region.

by $\pi/2$) with respect to the plane $\tilde{X} = 0$. In the small gradient approximation, the bending energy is proportional to

$$H \approx \frac{1}{2} \int d\mathbf{x} \, (\nabla^2 h)^2, \qquad (22)$$

where $d\mathbf{x}$ is the element of area on the plane and ∇^2 is the corresponding Laplacian. This is minimized when *h* is biharmonic on the plane or $(\nabla^2)^2 h = 0$. Note that all three height functions are biharmonic on their respective planes, consistent with the fact that each of the three surface geometries locally minimizes bending energy. The catenoid from which they stem is a minimal surface minimizing locally the surface area.⁸

In cylindrical coordinates, $h = \cos 2\phi$, is the only biharmonic function independent of r. It belongs, with k = 2, to the family of biharmonic functions, $h = \cos k\phi/r^{k-2}$ with a k-fold dihedral symmetry, obtained by the inversion in a sphere centered at the origin of the harmonic height functions $h^{(k)} = r^k \cos k\phi$, $k \ge 2$, representing k-th order saddles (a monkey saddle is represented by k = 3).

III. DEFECT ENERGY

While the bending energy is not itself invariant under inversion, it *is* modulo a contribution proportional to the topological term, H_{GB} . This is because H_1 can be cast as a linear combination of H_{GB} and the manifestly conformally invariant energy $H_W = 1/2 \int dA (C_{\parallel} - C_{\perp})^2$. As a consequence, equilibrium states are mapped to equilibrium states under inversion. The defect geometry constructed in Sec. II is, thus, also an equilibrium state.

It is simple to show that the bending energy of the inverted surface is given by (see also Ref. [7])

$$\widetilde{H} = \kappa [H_1 + 2(\widetilde{H}_{GB} - H_{GB})] + \bar{\kappa} \widetilde{H}_{GB}.$$
 (24)

Whereas H_1 vanishes for a catenoid it does not on its inverted counterpart. Thus, the total bending energy of a surface obtained by inversion in a sphere of a minimal surface is proportional to the difference of two topological contributions.

In general, the topology will change under inversion if the surface is infinite or the point of inversion lies on the surface. The catenoid has the topology of a punctured plane with Gauss-Bonnet energy $H_{\rm GB} = -4\pi$; the vesicle with the defect has a spherical topology, with $\tilde{H}_{\rm GB} = 4\pi$ (see Appendix C). Thus, the bending energy of the defect is given by $\tilde{H} = 4\pi (4\kappa + \bar{\kappa})$, depending on both physical parameters.

In particular, H is independent of S. Although the total energy is constant, the distribution of energy in the membrane

$$\widetilde{\mathcal{H}} = \frac{1}{2}\kappa \ \widetilde{K}^2 + \bar{\kappa} \ \widetilde{K}_G \tag{25}$$

is concentrated in the vicinity of the defect center; it also depends on $\bar{\kappa}$. Note, first, that the mean curvature of the defect

$$A \approx \frac{1}{2} \int d\mathbf{x} \, (\nabla h)^2, \tag{23}$$

so minimal surfaces satisfy the Laplace equation locally.

⁸The surface area excess is given in the small gradient approximation by

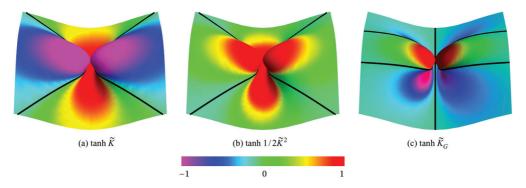


FIG. 8. (Color online) (a) \widetilde{K} , (b) $\widetilde{K}^2/2$, and (c) \widetilde{K}_G . These functions vanish along black lines.

is proportional to the support function on the catenoid (see Appendix $\ensuremath{B}\xspace)$

$$\widetilde{K} = \frac{4}{R^2} \mathbf{X} \cdot \mathbf{n}, \quad \mathbf{X} \cdot \mathbf{n} = 1 - \cos \varphi \operatorname{sech} Z - Z \tanh Z.$$
(26)

Its (scaled) value, as well as $\tilde{K}^2/2$, are illustrated in Figs. 8(a) and 8(b). It vanishes along the curves $\cos \varphi = \cosh Z - Z \tanh Z$, indicated in black in Figs. 8(a) and 8(b).

Likewise, the Gaussian curvature on the defect is given by

$$\widetilde{K}_G = \frac{1}{R^4} [4(\mathbf{X} \cdot \mathbf{n})^2 - (Z^2 + P(\rho, \phi)^2)^2 \operatorname{sech}^4 Z].$$
(27)

 \widetilde{K}_G is positive in a neighborhood of the two poles that extends on its dorsal side to infinity, a region one would expect to be under tension; it vanishes where $4\cos\varphi = \operatorname{sech} Z(Z^2 + 1) + 3\cosh Z - 2Z\sinh Z$, and along the axis of symmetry Z = 0, indicated in black in Fig. 8(c). In Sec. II C1 it was seen that the two principal curvatures diverge logarithmically near the poles [see Eq. (18)], so the bending energies do also: $\widetilde{K}^2, \widetilde{K}_G \approx \ln^2(\widetilde{\rho}/2)/R^4$. The corresponding densities $\sqrt{g}K^2$ and $\sqrt{g}K_G$, however, not only remain finite but vanish at the poles. Asymptotically, $\widetilde{\mathcal{H}}$ decays as $1/\widetilde{r}^4$, as is easily confirmed using Eq. (21).

A. Stability and the zero mode

A feature of the conformal symmetry of this problem is that defect states are energetically stable. Indeed, this is implied by the stability of a catenoid described by the energy $H_B[\mathbf{X}]$. The second variation of the energy is given by [12]

$$\delta^2 H_B = \kappa \int dA \, \Phi \mathcal{L} \Phi, \qquad (28)$$

where—for a minimal surface—the self-adjoint operator \mathcal{L} is given by $\mathcal{L} = (-\nabla^2 + 2K_G)^2$. Φ is the normal displacement. \mathcal{L} is manifestly positive, which implies the stability of the catenoid as a fluid membrane. Conformal invariance now implies equality, term by term, in perturbation theory between the energy of the minimal surface and that of its counterparts obtained by inversion in a sphere. They are, thus, also guaranteed to be energetically stable.

This is not the end of the story, however. For the energy is degenerate. Modulo Euclidean motions, there will be a oneparameter family of defect states of a given area and volume with the same energy. Distinct geometries with a fixed area are labeled by the position of the center of inversion, \mathbf{x}_0 on the quadrant, $x_0, y_0 \ge 0$; fixing the volume constrains x_0 in terms of y_0 . The construction of symmetric states, with $y_0 = 0$, was discussed in Sec. II A. When $y_0 \ne 0$ the mirror symmetry between the poles is broken.

This degeneracy implies the existence of a zero or Goldstone mode satisfying $\mathcal{L}\Phi = 0$. It can be constructed explicitly as a linear combination of a rescaling and a special conformal transformation, $\Phi = \mathbf{n} \cdot \delta \mathbf{X}$, where $\delta \mathbf{X}$ is given by Eq. (A2).⁹ It is clear that modulo Euclidean motions, the axial symmetry of the catenoid implies that **c** has two independent components corresponding to a displacement of the center of inversion on the quadrant. The first-order constraints on the area and the volume, $\int dA K \Phi = 0$ and $\int dA \Phi = 0$, impose two constraints, leaving a single degree of freedom. On a mirror symmetric state this mode generates displacement along **j** which breaks the mirror symmetry.

This degeneracy implies that the two points tied together still possess a freedom to migrate across the surface, at no energy cost, while preserving the area and volume. However, the volume constraint keeps them apart. If it is relaxed, there will be a second zero mode corresponding to displacements of \mathbf{x}_0 along the direction **i**. As we described in Sec. II A this corresponds to changing the geodesic distance *S* between the poles; in particular, there will now be a path between the defect state and a sphere, generated by displacing the center of inversion towards the surface of the catenoid. This same mode allows the membrane to slip out of the defect. As we will discuss in Sec. IV B1, however, this limit is not smooth, involving a divergence in the force between the two poles. Before it is reached, one would expect the defect to collapse.

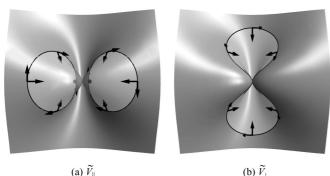
IV. STRESSES AND TRANSMITTED FORCES ON THE DEFECT

The stress tensor on the membrane is given by $\mathbf{f}^a = \mathbf{f}^{ab} \mathbf{e}_b + f^a \mathbf{n}$ [13,14] (see also Refs. [15–17]), where (in units of κ)

$$f^{ab} = K (K^{ab} - \frac{1}{2} K g^{ab}), \quad f^a = -\nabla^a K,$$
 (29)

where \mathbf{e}_a , a = 1,2 are the two tangent vectors adapted to the parametrization, **n** is the normal vector, and g_{ab} and K_{ab} are the the metric and extrinsic curvature tensors, defined in

⁹In this section, **X** refers to the defect geometry.



a)
$$V_{\parallel}$$

FIG. 9. Closed integral curves of $\widetilde{V}_{\parallel}$ and \widetilde{V}_{\perp} .

Appendix **B**. Under a local deformation of the equilibrium surface, $\mathbf{X} \rightarrow \mathbf{X} + \delta \mathbf{X}$, its energy changes by an amount

$$\delta H = -\int dA \,\mathbf{f}^a \cdot \nabla_a \delta \mathbf{X}.\tag{30}$$

This stress depends only on the local geometry. There is no local stress associated with the Gauss-Bonnet bending energy. The tangential stress is quadratic in K_{ab} and, thus, assumes its maximum and minimum values along the directions of curvature. In a source free region, f^a is conserved in equilibrium so [13]

$$\nabla_a \mathbf{f}^a = 0, \tag{31}$$

where ∇_a is the covariant derivative compatible with the metric tensor.

Consider a curve γ partitioning the surface in two regions, such as one of those illustrated in Fig. 9(a). The force per unit length on one side of this curve (darker region in Fig. 9), exerted by the other side (lighter region in Fig. 9) is given in terms of the stress tensor by $\mathbf{f}_{\perp} = -l_a \mathbf{f}^a$, where $l_a = g_{ab} l^b$ are the covariant components of the vector I, the outward normal to γ tangent to the surface, i.e., directed towards the region exerting the force.¹⁰ If γ is closed, the total force is given by the line integral [18]

$$\mathbf{F} = \oint_{\gamma} ds \, \mathbf{f}_{\perp}, \tag{32}$$

where s is the arc length along γ . If γ is contractible to a point or if it does not enclose sources of stress, this integral vanishes.

A. Stress distribution in the defect: Quadratic approximation

Before attempting to describe the fully nonlinear distribution of stress in the defect, it is useful to, first, provide an approximate description of this distribution in each of the three regions described in Sec. IIC in terms of a height function.¹¹ It is simple to check that gradients remain small

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in the regions of interest, i.e., $|\nabla h| \ll 1$, where ∇ represents the gradient on the base plane, so a quadratic approximation to the stress tensor in terms of gradients and Hessians of the height function is legitimate.

In the quadratic approximation, the tangent and normal vectors to the surface can be decomposed with respect to an orthonormal basis adopted to a Cartesian description of the appropriate base plane $\{\hat{\mathbf{E}}_x, \hat{\mathbf{E}}_y, \mathbf{k}\}$: $\mathbf{e}_i = \hat{\mathbf{E}}_i + \partial_i h \mathbf{k}$ and $\mathbf{n} = -\partial_i h \hat{\mathbf{E}}_i + \mathbf{k}^{12}$ The metric tensor is given by $g_{ij} =$ $\delta_{ii} + \nabla_i h \nabla_i h$. In this approximation, the extrinsic curvature tensors is given by minus the Hessian of the height function $K_{ij} = -\nabla_i \nabla_j h.$

The quadratic approximation to the stress tensor (29) is now given by $\mathbf{f}^{i} = T^{ij} \hat{\mathbf{E}}_{i} + N^{i} \mathbf{k}$ (compare with Ref. [19]), where

$$T^{ij} = \nabla^2 h \left[\nabla^i \nabla^j h - \frac{1}{2} (\nabla^2 h) \delta^{ij} \right] - \nabla^i (\nabla^2 h) \nabla^j h,$$

$$N^i = \nabla^i \nabla^2 h.$$
(33)

Both T^{ij} and N^i are conserved, or $\nabla_i T^{ij} = 0$ and $\nabla_i N^i = 0$, when the height function is biharmonic.¹³ These equations are equivalent to the conservation law (31) in the quadratic approximation.

Let T and L represent the unit vectors along the projections of the vectors \mathbf{t} and \mathbf{l} [introduced below Eq. (32)] onto the base plane, so they form an orthonormal basis adapted to the curve Γ obtained by projection of the surface curve γ onto this plane. Equation (32) then implies that the force per unit length transmitted across the curve γ on the surface is given, in this approximation, by $\mathbf{f}_{\perp} = -L_i \mathbf{f}^i = -L_i T^{ij} \mathbf{E}_i - L_i N^i \mathbf{k}$.

1. Poles: $h_1 = -r^2 \ln(r/2), r \ll 1$

Note that $\nabla^2 h_1 = -4[\ln(r/2) + 1]$ and $(\nabla^2)^2 h_1 =$ $-8\pi\delta(r)$. Thus, near the poles, the stress tensor on the base plane has components

$$T^{rr} = -4\ln\frac{r}{2}, \quad T^{r\phi} = T^{\phi r} = 0, \quad T^{\phi\phi} = -\frac{4}{r^2}\ln\frac{r}{2},$$
(34)

with counterparts normal to the plane

$$N^r = -\frac{4}{r}, \quad N^\phi = 0.$$
 (35)

Construct a circle of constant r_c on the base plane, oriented such that $\mathbf{T} = \hat{\mathbf{E}}_{\phi} = (-\sin\phi, \cos\phi, 0)$ and $\hat{\mathbf{L}} = -\hat{\mathbf{E}}_r = (-\cos\phi, -\sin\phi, 0)$.¹⁴ By projecting the stress tensor onto \mathbf{L} , one identifies the force transmitted per unit length across this circle to be given by

$$\mathbf{f}_{\perp r} = -4 \left(\ln \frac{r_c}{2} \hat{\mathbf{E}}_r + \frac{1}{r_c} \, \mathbf{k} \right). \tag{36}$$

Thus, the external radial force, viewed from the base plane, can be decomposed into a sum of radial compression and a vertical

¹⁰If **t** is the unit tangent vector and **n** the surface normal along the loop, then $\mathbf{l} = \mathbf{t} \times \mathbf{n}$ and $\{\mathbf{t}, \mathbf{n}, \mathbf{l}\}$ form a right-handed trihedron (the Darboux frame). Since t and I are tangent vectors to Σ they can be expanded with respect to the adapted basis vectors, $\{\mathbf{e}_a\}$ as $\mathbf{t} = t^a \mathbf{e}_a$ and $\mathbf{l} = l^a \mathbf{e}_a$.

¹¹To unburden the notation, set R = 1 and rotate the geometry so the base plane coincides with the plane Z = 0 parametrized by the radial and azimuthal coordinates $r = \sqrt{X^2 + Y^2}$ and $\phi = \arctan(Y/X)$.

¹²The normal points toward positive Z.

¹³Note that T_{ij} , unlike the genuine tangential stress f^{ab} , is not symmetric. Consequently, $\nabla_i T_{ji} \neq 0$. In addition, its trace T_i^i is different from zero, whereas f^a_a vanishes.

¹⁴This gives the force exerted by the interior region with $r < r_c$, containing the pole, on the exterior region with $r > r_c$.

force associated with a source pulling (down) on the pole at its center. This is a striking illustration of the shortcomings of the Monge representation: One would expect radial tension rather than compression. It should be remembered, however, that $\hat{\mathbf{E}}_r$ is not the tangent to the surface nor is **k** its normal. To resolve this discrepancy, it is necessary to expand the radial force with respect to a basis of tangent vectors adapted to the surface: $\mathbf{e}_r = \hat{\mathbf{E}}_r - 2r \ln(r/2)\mathbf{k}$ and $\mathbf{n} = 2r \ln(r/2)\hat{\mathbf{E}}_r + \mathbf{k}$. One then recasts Eq. (36) as

$$\mathbf{f}_{\perp r} = 4 \left(\ln \frac{r_c}{2} \mathbf{e}_r - \frac{1}{r_c} \mathbf{n} \right), \tag{37}$$

which indicates that the outer region is subjected to radial tension plus a normal force pulling on the pole. This coincides with the result obtained below in the nonlinear analysis, Sec. IV B.

If $\mathbf{f}_{\perp r}$ is integrated along the circle one identifies the total external force acting on the pole to be $-8\pi \hat{\mathbf{k}}$. In fact, this quadratic analysis provides the correct exact nonlinear result (46) derived below. This is because the quadratic approximation becomes exact at the pole.

Now consider a radial line on the base plane along direction $\hat{\mathbf{E}}_r$ and with outward normal $\mathbf{L} = \hat{\mathbf{E}}_{\phi}$.¹⁵ The force per unit length transmitted across this line is given by

$$\mathbf{f}_{\parallel\phi} = 4\ln\frac{r}{2}\,\hat{\mathbf{E}}_{\phi},\tag{38}$$

which implies compression along the azimuthal direction.

Near r = 0, the azimuthal compression is equal in magnitude to the radial tension, as measured along the surface. This result hints at a more general result: Tension in one direction is always accompanied by compression in an orthogonal direction. As discussed in greater detail in Sec. IV B, this is a direct consequence of the vanishing trace of the tangential stress, i.e., $f_a^a = 0$. Surprisingly, this is not evident if tangents are replaced by their projections on the plane, where one registers compression in both directions, radial and azimuthal. As was seen, this apparent discrepancy is an artifact of the Monge parametrization; specifically the third term in the definition of T^{ij} , Eq. (33), originating in the projection of the normal stress onto the base plane, contributes a trace to T^{ij} : T_{ii} = $-\nabla_i (\nabla^2 h) \nabla_i h$. Furthermore, $\nabla_i (\nabla^2 h) \nabla_i h$ diverges near the pole as 1/r. Thus, whereas the distinction between **n** and **k** disappears near the pole, where the gradients of h are small $(\mathbf{n} - \mathbf{k} \approx 2r \ln r/2 \mathbf{e}_r)), T^{ij}(\mathbf{n} - \mathbf{k})$ diverges logarithmically. This example illustrates how the Monge representation can vield counterintuitive results whenever curvature singularities are involved. One needs to be careful to distinguish between tangent vectors and their projections onto the plane. This kind of gauge artifact is sidestepped in the covariant nonlinear analysis presented in Sec. IV B.

2. Valley: $h_2 = 1/4 X^2$

The tangential stress is diagonal with constant components

$$T_{XX} = 1/8, \quad T_{XY} = T_{YX} = 0, \quad T_{YY} = -1/8.$$
 (39)

Furthermore, because the Laplacian is constant, $\nabla^2 h_2 = 1/2$, both normal components vanish, $N_X = N_Y = 0$. The force transmitted across the valley (constant X, along direction $\hat{\mathbf{L}}_x$ with $\mathbf{L} = \hat{\mathbf{E}}_x$) is given by $\mathbf{f}_{\perp X} = -1/8\hat{\mathbf{E}}_x$, so it is under compression. Likewise, the force along the valley (constant Y along direction $\hat{\mathbf{E}}_y$, with $\mathbf{L} = \hat{\mathbf{E}}_y$) is $\mathbf{f}_{\parallel Y} = 1/8\hat{\mathbf{E}}_y$ so it is under tension along its length.

3. Asymptotic region: $h_3 = 1/2 \cos 2\phi$

In the asymptotic region, the components of the stress tensor on the plane are $(\nabla^2 h_3 = -2/r^2 \cos 2\phi)$

$$T^{rr} = -\frac{2}{r^4} \cos^2 2\phi, \quad T^{r\phi} = -T^{\phi r} = \frac{1}{r^5} \sin 4\phi,$$

$$T^{\phi \phi} = \frac{2}{r^6} (1 + \sin^2 2\phi).$$
(40)

 T^{ij} is not diagonal. This reflects the fact that the principal directions do not coincide asymptotically with the radial and azimuthal directions. The corresponding components normal to the plane are

$$N^r = \frac{4}{r^3} \cos 2\phi, \quad N^\phi = \frac{4}{r^4} \sin 2\phi.$$
 (41)

Projecting onto the azimuthal and radial directions, one finds the forces per unit length transmitted across curves with constant r ($\mathbf{L} = \hat{\mathbf{E}}_r$) and ϕ ($\mathbf{L} = \hat{\mathbf{E}}_{\phi}$) are given, respectively, by ¹⁶

$$\mathbf{f}_{\perp r} = -\frac{1}{r^4} \sin 4\phi \,\hat{\mathbf{E}}_{\phi} + \frac{2}{r^4} \cos^2 2\phi \,\hat{\mathbf{E}}_r - \frac{4}{r^3} \cos 2\phi \,\mathbf{k},$$
(42a)
$$\mathbf{f}_{\nu\nu} = -\frac{2}{r^2} \left(1 + \sin^2 2\phi\right) \hat{\mathbf{F}}_{\nu} + \frac{1}{r^3} \sin 4\phi \,\hat{\mathbf{F}}_{\nu} - \frac{4}{r^3} \sin 2\phi \,\mathbf{k}.$$

$$\mathbf{f}_{\parallel\phi} = -\frac{2}{r^4} (1 + \sin^2 2\phi) \hat{\mathbf{E}}_{\phi} + \frac{1}{r^4} \sin 4\phi \, \hat{\mathbf{E}}_r - \frac{1}{r^3} \sin 2\phi \, \mathbf{k}.$$
(42b)

The tangential forces decay as r^{-4} , their normal counterparts as r^{-3} , confirming the localization of the stress in the neighborhood of the poles.

B. Distribution of stress: Exact results

The distribution of stress in the defect is completely encoded in the catenoid geometry. It is straightforward to show, using results presented in Appendix B, that (see also Ref. [7])

$$\widetilde{\mathbf{f}}^{a} = \left(\frac{|\mathbf{X}|}{R}\right)^{6} \left[\mathsf{R}\,\mathbf{f}^{a} + \frac{4}{|\mathbf{X}|^{2}} \left(K^{ab} - \frac{1}{2}Kg^{ab}\right)\mathbf{w}_{b}\right],\qquad(43)$$

where [20,21]

$$\mathbf{w}_a = \mathbf{X} \times (\mathbf{n} \times \mathbf{e}_a). \tag{44}$$

Because \mathbf{w}_a is orthogonal to \mathbf{X} , $\mathbf{R} \mathbf{w}_a = \mathbf{w}_a$. Whereas the stress tensor \mathbf{f}^a vanishes in a minimal surface with K = 0, it will not

¹⁵The force is that exerted from a region with a value of ϕ below a given value on that with a higher value.

¹⁶The presence of a component along $\hat{\mathbf{E}}_{\phi}$ in $\mathbf{f}_{\perp r}$, as well as component along $\hat{\mathbf{E}}_r$ in $\mathbf{f}_{\parallel \phi}$ reflects the fact that the vectors $\hat{\mathbf{E}}_r$, $\hat{\mathbf{E}}_{\phi}$, and \mathbf{k} do not coincide asymptotically with the physically significant vectors, $\widetilde{\mathbf{V}}_{\perp}$, $\widetilde{\mathbf{V}}_{\parallel}$, and $\widetilde{\mathbf{n}}$, described in Sec. II B.

vanish in its inverted counterpart:

$$\widetilde{\mathbf{f}}^a = 4 \frac{|\mathbf{X}|^4}{R^6} \, K^{ab} \, \mathbf{w}_b \,. \tag{45}$$

It can also be shown (see Ref. [7]) that if \mathbf{f}^a is conserved, then $\tilde{\mathbf{f}}^a$ is also, except at the points in contact at $\tilde{\mathbf{X}} = 0$, represented by $Z \to \pm \infty$. It is evident that the stress is concentrated in the neighborhood of these points. Its divergence indicates the presence of sources on the right-hand side of Eq. (31). These sources are identified with the external forces enforcing the contact constraint. In the absence of these forces the equilibrium would collapse to a sphere with a vanishing stress.

1. External forces and torques on the poles

The external force pulling the poles together is determined by evaluating the integral of the stress Eq. (32) along a loop enclosing each point [18].¹⁷ An appropriate contour is one of the closed circular integral curves of \tilde{V}_{\parallel} [indicated using red red (medium gray) arrows in Fig. 6(b)], illustrated in Fig 9(a).¹⁸ The mirror symmetry in the plane Z = 0 can now be exploited to deform this circular contour into the straight line $\tilde{\Gamma}_{\parallel}$ running along Z = 0, illustrated in Figs. 3(b) and 6(b). The contribution to the force from the contour at infinity vanishes due to the fast asymptotic decay of the stress tensor [see Eq. (42a)]. The external force on the right-hand pole (Z > 0) is now given by¹⁹

$$\widetilde{\mathbf{F}} = -\int d\widetilde{s} \ \widetilde{l}_Z \ \widetilde{\mathbf{f}}^Z = -\frac{4}{R^2} \, \mathbf{k} \int_0^{2\pi} d\varphi (1 - \cos \varphi) = -\frac{8\pi}{R^2} \, \mathbf{k}.$$
(46)

The detailed distribution of stress need not be known to determine this force. This is just as well, for the detailed distribution of stress is not simple. This result also validates the analysis of the linearized theory in Sec. IV A1 where a circular contour, collapsing to the point of application of the external force, was used to determine this force.

Note that there is no net vertical displacement of the vesicle. This is consistent with the fact that the forces acting on the membrane are horizontal. Raising the vesicle with respect to the "asymptotic plane" $\tilde{X} = 0$ would require the introduction of vertical external forces which would need to be balance by counter forces.

Using Eq. (10), which relates the inversion radius *R* to the length *S* between the poles, one obtains $\tilde{F}S = 9.6539\pi$. This force diverges as the points approach each other along the surface in an inflated sphere. Below some critical separation,

the maximum normal tension supported by the membrane or by the external agent holding the two points together will be exceeded and the defect will disintegrate, decaying into a spherical membrane as its additional bending energy is dissipated. The volume constraint keeps the points apart on the surface ensuring the stability of the defect. If this constraint is relaxed, however, one would also expect a defect to possess a finite lifetime. This lifetime will, however, depend on physical properties of fluid membranes that fall outside the scope of the geometric description provided in this paper.

The torque tensor on a surface is given by $\mathbf{m}^a = \mathbf{X} \times \mathbf{f}^a + K\mathbf{e}^a \times \mathbf{n}$ [13]. Using the same conventions as before the torque per unit length exerted by one region onto another across γ is $\mathbf{m}_{\perp} = -l_a \mathbf{m}^a$ and the total torque $M = \int ds \mathbf{m}_{\perp}$. For the surface resulting from the inversion of a minimal surface the torque tensor reads

$$\widetilde{\mathbf{m}}^{a} = 4 \frac{|\mathbf{X}|^{2}}{R^{4}} [K^{ab} \mathbf{X} \times \mathbf{w}_{b} + \mathbf{X} \cdot \mathbf{n} \,\mathsf{R}(\mathbf{e}^{a} \times \mathbf{n})]. \tag{47}$$

Evaluating the total torque along the contour used to determine the force we find that

$$\widetilde{\mathbf{M}} = -4 \int_0^{2\pi} \frac{d\varphi}{|\mathbf{X}|^2} [C_{\perp} \, \mathbf{X} \times (\mathbf{X} \times \mathbf{t}) - \mathbf{X} \cdot \mathbf{n} \, \mathbf{R} \mathbf{t}] = \mathbf{0}.$$
 (48)

There is no net torque acting at the poles. Although the intermediate states interpolating between the initial state and the pinched membrane will be subject to torques, the final state is free of them.

2. Stress distribution revisited

Using Eq. (43), one finds the force per unit length transmitted across a circular loop with tangent $\widetilde{V}_{\parallel}$ is given in terms of quantities measured along the parent catenoid by

$$\tilde{\mathbf{f}}_{\perp} = -\tilde{V}_{a\,\perp}\tilde{\mathbf{f}}^{a} = \frac{4|\mathbf{X}|^{2}}{R^{4}}C_{\perp}\mathbf{X}\times\mathbf{V}_{\parallel}.$$
(49)

A similar identity is obtained for \tilde{f}_{\parallel} with \perp replaced by \parallel . The stress is clearly localized near the poles, represented by $|\mathbf{X}| \rightarrow \infty$.

Although the exact expression for the stress tensor is simple to write down, its physical interpretation is not transparent. This is facilitated by examining its projections onto the orthonormal basis adapted to its curvature, $\{\widetilde{V}_{\perp}, \widetilde{V}_{\parallel}, \widetilde{n}\}$, discussed in Sec. II B. One has

$$\widetilde{\mathbf{f}}_{\perp} := \widetilde{\mathbf{f}}_{\perp \perp} \, \widetilde{\mathbf{V}}_{\perp} + \widetilde{f}_{\perp} \, \widetilde{\mathbf{n}},\tag{50}$$

where $\tilde{f}_{\perp\perp} \equiv -\tilde{V}_{\perp a}\tilde{V}_{\perp b}\tilde{f}^{ab}$ and $\tilde{f}_{\perp} \equiv -\tilde{V}_{\perp a}\tilde{f}^{a}$ are given by

$$\widetilde{\mathbf{f}}_{\perp\perp} = \frac{4}{R^4} C_\perp |\mathbf{X}|^2 \, \mathbf{X} \cdot \mathbf{n},\tag{51a}$$

$$\widetilde{f}_{\perp} = \frac{4}{R^4} C_{\perp} |\mathbf{X}|^2 \, \mathbf{X} \cdot \mathbf{V}_{\perp}. \tag{51b}$$

The counterpart of $\tilde{f}_{\perp\perp}$, $\tilde{f}_{\parallel\parallel}$ satisfies $\tilde{f}_{\parallel\parallel} = -\tilde{f}_{\perp\perp}$, a direct consequence of the traceless character of the tangential stress tensor, which is itself a manifestation of the scale invariance of the energy. The off-diagonal projection $\tilde{f}_{\parallel\perp} \equiv \tilde{V}_{\parallel a} \tilde{V}_{\perp b} \tilde{f}^{ab}$

¹⁷The forces supporting the defect cannot be resolved by examining the asymptotic physics. A contour completely encircling the defect will register a vanishing total force.

¹⁸The appropriately oriented boundary has the Darboux basis $\tilde{\mathbf{t}} = \operatorname{sgn} \widetilde{Z} \, \widetilde{\mathbf{V}}_{\parallel}$ and $\tilde{\mathbf{l}} = \operatorname{sgn} \widetilde{Z} \, \widetilde{\mathbf{V}}_{\perp}$. ¹⁹The outward normal to $\widetilde{\Gamma}_{\parallel}$ traversed from $\infty \, (\varphi = 0)$ to $-\infty \, (\varphi = 0)$

¹⁹The outward normal to $\widetilde{\Gamma}_{\parallel}$ traversed from $\infty (\varphi = 0)$ to $-\infty (\varphi = 2\pi)$ is $\widetilde{\mathbf{l}} = \mathbf{k}$. In particular, the components of the conormal vector expressed in the tangent basis are $\widetilde{l}^{Z} = |\mathbf{X}|^{2}/R^{2}$, $|\mathbf{X}|^{2} = 2(1 - \cos \varphi)$, and $\widetilde{l}^{\varphi} = 0$, so the only component of its associated covector $\widetilde{\mathbf{l}}$ is $\widetilde{l}_{Z} = 1/\widetilde{l}^{Z}$. Also the line element along $\widetilde{\Gamma}_{\parallel}$ is $\widetilde{ds} = R^{2}/|\mathbf{X}|^{2} d\varphi$ and the only relevant component of the stress tensor is given by $\widetilde{\mathbf{f}}^{Z} = 2|\mathbf{X}|^{6}/R^{6} \mathbf{k}$.

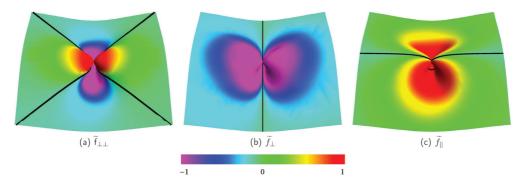


FIG. 10. (Color online) tanh of stress distributions in defect. Black lines represent points where they vanish.

vanishes in this frame.²⁰ If the membrane is under tension in the direction $\tilde{\mathbf{V}}_{\perp}$, it will be under an equal and opposite compression in the orthogonal direction $\tilde{\mathbf{V}}_{\parallel}$ and vice versa. The tension and compression will also assume their maximum and minimum values along these directions. $\tilde{\mathbf{f}}_{\perp\perp}$ is represented in Fig. 10(a) for the principal curves $\tilde{\mathbf{V}}_{\parallel}$ represented in Fig. 9(a). It is proportional to the mean curvature of the defect geometry: $\tilde{\mathbf{f}}_{\perp\perp} = -(|\mathbf{X}|/\rho)^2 \tilde{K}$; thus, tangential stresses vanish wherever $\tilde{K} = 0$ [cf. Fig. 8(a)]. Tension and compression anticorrelate with the sign of the mean curvature.

Near the poles the defect is under radial tension in response to the external force. Moving away from the poles, the dorsal side of the pole remains under radial tension; however, a transition from radial tension to compression ($\tilde{f}_{\perp\perp} < 0$) occurs on descent into the valley that stretches between the poles.²¹ The radial tension is accompanied by lateral compression, and the lateral compression along the valley is accompanied by tension along its length.²² The membrane is under rapidly decaying radial tension everywhere far from the defect center. These results are consistent with those obtained in the quadratic approximation in Sec. IV A3.

The projection of the normal stress f_{\perp} is represented in Fig. 10(b). It is negative everywhere, except along $\widetilde{\Gamma}_{\parallel}$, where it vanishes, so the normal external force transmitted across these closed curves points in a direction opposite that of the surface normal [see Fig. 6(c)].

For completeness, the counterpart \tilde{f}_{\parallel} normal to the principal curves $\tilde{\mathbf{V}}_{\perp}$ is represented in Fig. 10(c).²³ It is concentrated within the valley region and positive everywhere (directed along the normal) to the surface except along $\tilde{\Gamma}_{\perp}$, where it vanishes.

²²The distribution of stress along the valley is also consistent with one's expectations for a surface of approximately constant positive mean curvature.

V. DISCUSSION

In general, the nonlinearity of the underlying geometrical theory makes it difficult to gather insight into the physical behavior of fluid membranes without resorting to brute force. The conformal invariance of the bending energy, however, offers access to their nonlinear response—albeit idealized—to external forces.

This symmetry has been used to construct a model of a fluid vesicle with a local defect held together by localized external forces. The deformations of the spherical geometry are large at the center of the defect and they do not lend themselves to a global perturbative description. Indeed, serious shortcomings of the linear Monge representation of the surface have been exposed where results, at best, are misleading: Small gradients may have large curvatures lurking at their center.

More abstractly, the conformal symmetry implies a duality between the weak far-field description in one geometry—the catenoid—and the strong near-field behavior in another—the defect. Whereas the catenoid is a stress-free state, its dual exhibits a localized distribution of stress, supported by external sources, with curvature singularities at its center. At a technical level, this duality establishes a link between harmonic functions which describe the local behavior of minimal surfaces and biharmonic counterparts which describe an unconstrained fluid membrane. More complex defects could, in principle, be constructed using other minimal surfaces.

For the purpose of containing the narrative, we have focused almost completely on symmetric defect states. Theses are not the only states of interest. Indeed free passage into states which do not exhibit this symmetry is possible due to the existence of the zero mode. One way to curtail this freedom is to introduce additional constraints. One possibility, in the spirit of the bilayer couple model, is to impose a constraint on the area difference. This would involve adding to the Hamiltonian a term, $\beta(\int dA K - M_0)$ [8]. Deformations will now be constrained to also satisfy $\int dA K_G \Phi = 0$, which will lift this degeneracy. Interestingly, there will generally be no mirror symmetric state consistent with a given value of M_0 . Indeed, M_0 may also be negative, a possibility that was never even contemplated in the original axisymmetric analysis of the bilayer couple model where the parameter space was truncated at $M_0 = 0$. It would also be interesting to understand how defects on vesicles behave when conformal symmetry is broken: Accommodating an area reservoir, a

²⁰There is, of course, no shear stress supported by a fluid membrane. A nondiagonal tensor will occur when the tensor is decomposed with respect to an inappropriate frame.

²¹This asymmetry in the distribution of stress under external forces has its counterpart in a familiar daily routine: pulling a T-shirt by the back of the collar over one's head; whereas tension is established in the shirt along one's back, it will be compressed along one's chest.

²³The appropriate Darboux basis, indicated in Fig. 9(b), is given by $\tilde{\mathbf{t}} = -\operatorname{sgn} \widetilde{Y} \, \tilde{\mathbf{V}}_{\perp}$ and $\tilde{\mathbf{l}} = \operatorname{sgn} \widetilde{Y} \, \tilde{\mathbf{V}}_{\parallel}$.

pressure difference, or a spontaneous curvature would do this; an external force dipole holding the two points a finite, nonvanishing, Euclidean distance apart would also. While it is not going to be pretty, it should be straightforward to develop perturbation theory around one of the equilibrium states that we have described.

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APPENDIX A: CONFORMAL INVARIANCE AND CONSTRAINTS

Suppose that the surface is described by its embedding into Euclidean space $(u^1, u^2) \rightarrow \mathbf{X}(u^1, u^2)$. Consider the problem of minimizing the energy of a closed vesicle with two of its points brought into contact (say, \mathbf{X}_1 and \mathbf{X}_2) subject to the constraints that both the area and the volume are fixed. The contact constraint is incorporated formally into the variational principle by introducing a new Lagrange multiplier, \mathbf{F} , to tie the points,

$$H[\mathbf{X}] = H_B[\mathbf{X}] + \sigma \left(A[\mathbf{X}] - A_0\right) + P \left(V[\mathbf{X}] - V_0\right) + \mathbf{F} \cdot (\mathbf{X}_1 - \mathbf{X}_2),$$
(A1)

where H_B is the bending energy of the membrane given by the sum of the first two terms in Eq. (1), $H_B[\mathbf{X}] = \kappa H_1[\mathbf{X}] + \bar{\kappa} H_{GB}[\mathbf{X}]$. Consider the change in H under the conformal transformation [4],

$$\delta \mathbf{X} = \lambda \mathbf{X} + \mathbf{X}^2 \,\mathbf{c} - 2(\mathbf{X} \cdot \mathbf{c}) \,\mathbf{X},\tag{A2}$$

where λ is a constant and **c** is a constant vector. One has

$$\delta H[\mathbf{X}] = \sigma \,\delta A + P \,\delta V. \tag{A3}$$

We have used the conformal invariance of the bending energy as well as the contact constraint. In an equilibrium state, δH must vanish, whether or not $\delta \mathbf{X}$ preserves the area or the volume. In particular, one can tune the four parameters λ and **c** in either of two independent ways: (i) the area is preserved ($\delta A = 0$) but the volume is not ($\delta V \neq 0$) or (ii) the volume is preserved ($\delta V = 0$) but the area is not ($\delta A \neq 0$). Such variations exist; together, they imply that the two multipliers vanish in the equilibrium end state.^{24,25} Had the two points been held a fixed nonvanishing distance apart, as in any of the intermediate states in the sequence, this distance would set a new scale that competes with the one established by the size of the membrane. One then faces a more difficult problem without conformal invariance to direct us towards a solution and about which we have nothing to say. It should be emphasized that vanishing σ does not imply that the tension in the vesicle vanishes. The forces bringing the points together establish stress in the vesicle.

APPENDIX B: TRANSFORMATION OF THE GEOMETRY UNDER INVERSION

Let \mathbf{e}_a be the two tangent vectors to the surface adapted to the parametrization by Z and φ , The corresponding tangent vectors on the defect geometry are given by

$$\widetilde{\mathbf{e}}_a = \left(\frac{R}{|\mathbf{X}|}\right)^2 \mathsf{F} \, \mathbf{e}_a,\tag{B1}$$

where the linear mapping $\mathbf{R} = \mathbb{1} - 2\hat{\mathbf{X}} \otimes \hat{\mathbf{X}}$, represents a reflection of surface points in the plane passing through the origin and orthogonal to \mathbf{X} . $\hat{\mathbf{X}}$ denotes the corresponding unit vector.

The metric tensor induced on the surface are given by $g_{ab} = \mathbf{e}_a \cdot \mathbf{e}_b$. The metric under inversion is related to g_{ab} by

$$g_{ab} \to \widetilde{g}_{ab} = \frac{R^4}{|\mathbf{X}|^4} g_{ab}.$$
 (B2)

Let **n** be the unit normal to the catenoid and $K_{ab} = \mathbf{e}_a \cdot \partial_b \mathbf{n}$ the extrinsic curvature tensor on this surface. The counterparts on the defect are given by $\tilde{\mathbf{n}} = -\mathbf{R} \mathbf{n}^{26}$ and

$$\widetilde{K}_{ab} = -\frac{R^2}{|\mathbf{X}|^2} \left(K_{ab} - \frac{2}{|\mathbf{X}|^2} \,\mathbf{X} \cdot \mathbf{n} \, g_{ab} \right). \tag{B3}$$

It involves only the two tensors, g_{ab} and K_{ab} . A derivation is provided in Ref. [7]. Let C_{\parallel} and C_{\perp} denote the principal curvatures and $\mathbf{V}_{\parallel} = V_{\parallel}^{a} \mathbf{e}_{a}$, $\mathbf{V}_{\perp} = V_{\perp}^{a} \mathbf{e}_{a}$ the corresponding directions on the catenoid, so $K_{b}^{a} V_{i}^{b} = C_{i} V_{i}^{a}$, $i = \parallel$, \perp . Then $\widetilde{K}_{b}^{a} V_{i}^{b} = \widetilde{C}_{i} V_{i}^{a}$, where

$$\widetilde{C}_{i} = -\frac{|\mathbf{X}|^{2}}{R^{2}} \left(C_{i} - \frac{2}{|\mathbf{X}|^{2}} \mathbf{X} \cdot \mathbf{n} \right).$$
(B4)

The corresponding principal directions are the normalized images of V_{\parallel} and V_{\perp} on the transformed surface:

$$\widetilde{\mathbf{V}}_i = \widetilde{V}_i^a \widetilde{\mathbf{e}}_a = \mathbf{R} \, \mathbf{V}_i. \tag{B5}$$

These directions are, thus, appropriate rotations of their counterparts on the original surface and their components transform as $\tilde{V}_i^a = |\mathbf{X}|^2 / R^2 V_i^a$.

As a consequence of the relation (B4), the difference of the two principal curvatures under inversion is preserved, modulo a scaling by the distance function and a change of sign,

$$\widetilde{C}_{\parallel} - \widetilde{C}_{\perp} = -\frac{|\mathbf{X}|^2}{R^2} (C_{\parallel} - C_{\perp}).$$
(B6)

In particular, on an inverted minimal surface (with a vanishing mean curvature, K = 0), one has $\widetilde{K} = 4/R^2 \mathbf{X} \cdot \mathbf{n}$.

²⁴Under a rescaling of the surface, $\delta \mathbf{X} = \lambda \mathbf{X}$, one finds the weaker condition, $2\sigma A + 3PV = 0$ in equilibrium states.

²⁵In the absence of the contact constraint, the only equilibria are axially symmetric with mirror symmetry with respect to a plane orthogonal to the axis of symmetry. This is a consequence of the fact that, when the vesicle is closed, $\delta A = 2\lambda A - 4\mathbf{c} \cdot \int dA \mathbf{X}$ and $\delta V = 3\lambda V - 2\mathbf{c} \cdot \int dA (\mathbf{n} \cdot \mathbf{X})(\mathbf{X} \cdot \mathbf{c})$, where **n** is the surface normal [4]. One of the two integrals involving **c** can always be set to zero by locating the appropriate center of mass at the origin. In general, however, the second will not vanish.

²⁶The minus sign preserves the orientation of the adapted basis.

APPENDIX C: GAUSS-BONNET ENERGY

Here the transformation of the Gauss-Bonnet energy under inversion is examined. Specifically, it will be shown that the change under inversion is a sum of boundary terms. To reduce the burden of notation, consider the inversion in the unit sphere. One has, using Eq. (B4), with $\tilde{\mathcal{K}}_G = \tilde{C}_1 \tilde{C}_2$,

$$\int d\tilde{A} \tilde{\mathcal{K}}_{G} = \int dA \,\mathcal{K}_{G} - 2 \int dA \left(\frac{\mathbf{X} \cdot \mathbf{n}}{|\mathbf{X}|^{2}}\right) K + 4 \int dA \left(\frac{\mathbf{X} \cdot \mathbf{n}}{|\mathbf{X}|^{2}}\right)^{2}.$$
 (C1)

However,

$$\int dA \left(\frac{\mathbf{X} \cdot \mathbf{n}}{|\mathbf{X}|^2}\right) K = -\int dA \left(\frac{\mathbf{X} \cdot \nabla^2 \mathbf{X}}{|\mathbf{X}|^2}\right)$$
$$= 2 \int dA \left(\frac{\mathbf{X} \cdot \mathbf{n}}{|\mathbf{X}|^2}\right)^2$$
$$-\frac{1}{2} \int dA \nabla^2 \ln |\mathbf{X}|^2. \quad (C2)$$

Thus,

$$\int d\widetilde{A} \,\widetilde{\mathcal{K}}_G = \int dA \,\mathcal{K}_G - \int d\widetilde{A} \,\widetilde{\nabla}^2 \ln |\widetilde{\mathbf{X}}|^2.$$
(C3)

In any axially symmetric geometry, the Gauss-Bonnet energy itself can be cast explicitly as a boundary term. To see this, note that $C_1 = \dot{\Theta}$ and $C_2 = \sin \Theta/R$, where *R* is the polar

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radius, Θ is the turning angle that the tangent vector to the generating curve makes with the radial direction, and the dot represents differentiation with respect to ℓ , the arc length along the meridian [10]. Replacing ℓ by Θ as variable of integration, one obtains H_{GB} as a difference of cosines,

$$H_{\rm GB} = 2\pi \int_0^\infty d\ell R \dot{\Theta}(\ell) \frac{\sin \Theta(\ell)}{R} = 2\pi \left(\cos \Theta_1 - \cos \Theta_2\right).$$
(C4)

The Gaussian energy of a surface of revolution depends only on the boundary values Θ_1 and Θ_2 of Θ . For a catenoid $(\Theta_{1,2} = \pi, 0), H_{GB} = -4\pi$; whereas $H_{GB} = 4\pi$ for its axially symmetric inverted counterpart of spherical topology ($\Theta_{1,2} = 0, \pi$) with a well-defined tangent plane at its poles.²⁷

This discrepancy is consistent with Eq. (C3). To see this, note that the boundary term on the right in this equation is a sum of two terms of the form

$$2\int d\tilde{s} \,\frac{\tilde{\mathbf{l}} \cdot \tilde{\mathbf{X}}}{|\tilde{\mathbf{X}}|^2} = -4\pi,\tag{C5}$$

one for each pole.

²⁷The sphere of inversion is not centered on the surface, otherwise the resulting surface has a planar topology and, in consequence, $H_{\text{GB}} = 0$.

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