

**Mean scalar concentration profile in a sheared and thermally stratified atmospheric surface layer**Gabriel G. Katul,<sup>1,2</sup> Dan Li,<sup>3</sup> Marcelo Chamecki,<sup>4</sup> and Elie Bou-Zeid<sup>3</sup><sup>1</sup>*Nicholas School of the Environment, Duke University, Durham, North Carolina 27708-0328, USA*<sup>2</sup>*Department of Civil and Environmental Engineering, Duke University, Durham, North Carolina 27708*<sup>3</sup>*Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey 08544, USA*<sup>4</sup>*Department of Meteorology, The Pennsylvania State University, University Park, Pennsylvania 16802, USA*

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Using only dimensional considerations, Monin and Obukhov proposed a “universal” stability correction function  $\phi_c(\zeta)$  that accounts for distortions caused by thermal stratification to the mean scalar concentration profile in the atmospheric surface layer when the flow is stationary, planar homogeneous, fully turbulent, and lacking any subsidence. For nearly 6 decades, their analysis provided the basic framework for almost all operational models and data interpretation in the lower atmosphere. However, the canonical shape of  $\phi_c(\zeta)$  and the departure from the Reynold’s analogy continue to defy theoretical explanation. Here, the basic processes governing the scalar-velocity cospectrum, including buoyancy and the scaling laws describing the velocity and temperature spectra, are considered via a simplified cospectral budget. The solution to this cospectral budget is then used to derive  $\phi_c(\zeta)$ , thereby establishing a link between the energetics of turbulent velocity and scalar concentration fluctuations and the bulk flow describing the mean scalar concentration profile. The resulting theory explains all the canonical features of  $\phi_c(\zeta)$ , including the onset of power laws for various stability regimes and their concomitant exponents, as well as the causes of departure from Reynold’s analogy.

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**I. INTRODUCTION**

The exchange of heat, water vapor, ozone, carbon dioxide, and other greenhouse gases between the land or ocean and the lower atmosphere is complicated by the coexistence of shear- and buoyancy-generated (or dissipated) turbulence. Monin and Obukhov similarity theory [1,2], hereafter referred to as MOST, describes how thermal stratification distorts the mean scalar concentration ( $C$ ) profile in the so-called atmospheric surface layer using only dimensional analysis. The atmospheric surface layer is a region whose lower bound is much larger than the height of the roughness elements at the ground surface and whose upper bound is not too high up in the atmosphere to be impacted by Coriolis effects. Thus, the atmospheric surface layer region encompasses much of the human and biological processes. Despite some 6 decades after its inception, MOST remains the basic “workhorse” employed when coupling land or oceanic fluxes to the atmospheric state in virtually all climate, oceanic, regional atmospheric, hydrological, and ecological models [3]. MOST introduces a dimensionless stability parameter  $\zeta$  that measures the height at which mechanical production of turbulent kinetic energy balances the buoyancy production (or destruction). Distortions produced by thermal stratification to the otherwise logarithmic mean scalar concentration profile can then be encoded in a “universal” stability correction function  $\phi_c(\zeta)$ , which so far has been empirically determined from experiments [4]. The shape and universal character of  $\phi_c(\zeta)$  have been documented across several field experiments for heat, and other scalars (e.g., water vapor), and embody a large corpus of data on scalar exchange in the atmospheric surface layer as evidenced by Fig. 1. Yet, despite their widespread usage, the canonical form of these scalar stability correction functions continue to defy theory, even for the most idealized flow conditions. The aim of this work is to present a cospectral theory that predicts the canonical shape of  $\phi_c(\zeta)$  and, by extension, the

scalar eddy-diffusivity for such idealized flow conditions. The theory provides an analytical link between  $\phi_c(\zeta)$  and the basic turbulent processes governing scalar transport that result in the universal character of  $\phi_c(\zeta)$ . It also establishes the organizing framework for diagnosing why several field experiments report anomalous  $\phi_c(\zeta)$  over oceans and land [10,11].

**II. THEORY**

As earlier noted, MOST is restricted to idealized incompressible atmospheric surface layer flows associated with a number of simplifications to the Reynolds-averaged longitudinal momentum balance and scalar continuity equations given by

$$\frac{\partial U}{\partial t} + U_j \frac{\partial U}{\partial x_j} = \nu \frac{\partial^2 U}{\partial x_j \partial x_j} - \frac{\partial \overline{u' u'_j}}{\partial x_j} - \frac{1}{\rho} \frac{\partial P}{\partial x}, \quad (1)$$

$$\frac{\partial C}{\partial t} + U_j \frac{\partial C}{\partial x_j} = D_m \frac{\partial^2 C}{\partial x_j \partial x_j} - \frac{\partial \overline{u'_j c'}}{\partial x_j}, \quad (2)$$

where  $t$  is time and  $x_j = (x, y, z)$  represents the longitudinal ( $=x$ ), lateral ( $=y$ ), and vertical ( $=z$ ) directions, respectively. The longitudinal direction is aligned along the mean wind direction so the mean lateral velocity is zero. The  $U$ ,  $C$ , and  $P$  represent the Reynolds-averaged longitudinal velocity, scalar concentration, and pressure, respectively,  $\rho$  is the mean air density,  $\nu$  is the air kinematic viscosity,  $D_m$  is the molecular diffusivity of scalar  $C$  in air,  $u'_i = (u', v', w')$  are the component-wise turbulent velocity excursions in direction  $x_i$ ,  $c'$  is the turbulent scalar concentration fluctuation, and, unless otherwise stated, primed quantities represent turbulent excursions from the Reynolds-averaged mean state represented by an overbar or capital letter symbols. Hence, the instantaneous velocity and concentration can be expressed as  $u_i = U_i + u'_i$  and  $c = C + c'$ . As discussed elsewhere [2], the proper averaging operator that must be employed on these

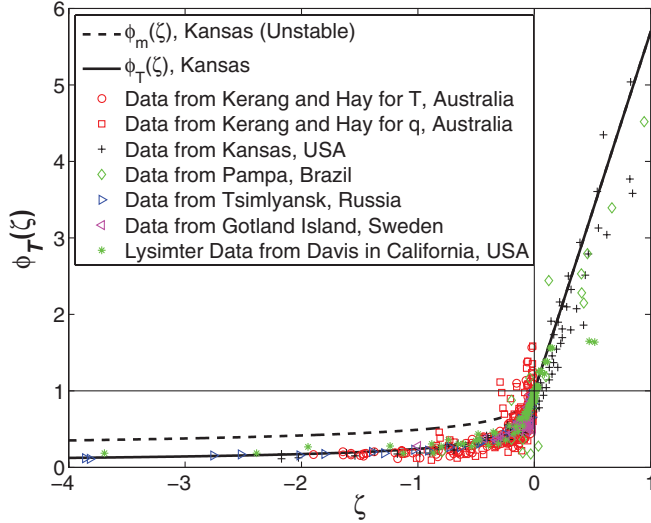


FIG. 1. (Color online) The universal shape of  $\phi_c(\zeta)$  for air temperature determined from the Kansas experiment in the atmospheric surface layer (lines). Note the linear increase of  $\phi_c(\zeta)$  with increasing  $\zeta$  for stable atmospheric conditions ( $\zeta > 0$ ) and the power-law decline in  $\phi_c(\zeta)$  with increasing  $-\zeta$  for unstable atmospheric conditions ( $\zeta < 0$ ). The so-called Businger-Dyer (BD) stability correction functions for  $\phi_m(\zeta)$  and  $\phi_c(\zeta)$ , inferred from the Kansas experiment, are shown as lines. For stable conditions,  $\phi_c(\zeta) = \phi_m(\zeta)$ , where such equality between the momentum ( $\phi_m(\zeta)$ ) and scalar ( $\phi_c(\zeta)$ ) stability correction functions is often referred to as Reynolds analogy. For unstable conditions,  $\phi_c(\zeta) = \phi_m(\zeta)^2$ , a signature of a breakdown of Reynolds's analogy. Other experiments on air temperature ( $C = T$ ) and water vapor ( $C = q$ ) exchange from different geographic regions around the world are also presented for illustration (as symbols). These experiments include the influential Kansas data [5], the data collected in the towns of Kerang and Hay [4] on heat and water vapor exchange in Australia, the data on heat exchange over the Pampas in Brazil [6], the long-term (daytime only) heat exchange data from the Steppe region in Russia [7], the long-term data on heat exchange from the island of Gotland in the Baltic Sea [8], and data for water vapor exchange using a drag plate (to estimate  $u_*$ ) and weighing lysimeter (to estimate water vapor fluxes) from University of California Davis, California, USA [9].

equations is ensemble averaging; however, measurements in the atmospheric surface layer are routinely presented as time averages. Given the near impossibility of repeating experiments for identical meteorological states in natural conditions to compute ensemble averages, it is customary to assume atmospheric surface layer flows are ergodic so ensemble averaging and time averaging converge. It is for this reason that time averaging periods used in atmospheric surface layer studies are on the order of 1 h so as to ensure that a single period includes an ensemble of eddies (usually characterized by time scales of tens of seconds) collected under similar meteorological conditions. Hereafter, the term Reynolds averaging is used to indicate both time averaging when interpreting field measurements or ensemble averaging when interpreting equations as is conventional in atmospheric turbulence studies.

MOST assumes that the flow is (i) characterized by high Reynolds and Peclet numbers (i.e., neglect molecular viscosity

and diffusivity relative to their turbulent counterparts), (ii) stationary [i.e.,  $\partial(\cdot)/\partial t = 0$ ] and planar-homogeneous [i.e.,  $\partial(\cdot)/\partial x = \partial(\cdot)/\partial y = 0$ ], and (iii) lacking any subsidence (i.e.,  $U_3 = W = 0$ ) and mean horizontal pressure gradient (i.e.,  $\partial P/\partial x = 0$ ). For these idealized states, the mean longitudinal momentum balance and the mean scalar budget reduce to  $\partial \overline{w'u'}/\partial z = 0$  and  $\partial \overline{w'c'}/\partial z = 0$ . Hence, the turbulent stresses (i.e.,  $\overline{w'u'}$ ) and scalar fluxes (i.e.,  $\overline{w'c'}$ ) do not vary with  $z$ . It is for this reason that the atmospheric surface layer subjected to MOST assumptions is often labeled as the constant-stress or constant-flux layer [4].

### A. Background and definitions

The stability correction functions for momentum  $\phi_m(\zeta)$  and for an arbitrary scalar  $\phi_c(\zeta)$  in the atmospheric surface layer are defined as

$$\phi_m(\zeta) = \frac{-k_v u_* z}{\overline{w'u'}} \frac{\partial U(z)}{\partial z} = \frac{k_v u_* z}{S(z) \int_0^\infty F_{wu}(z, K) dK}, \quad (3)$$

$$\phi_c(\zeta) = \frac{-k_v u_* z}{\overline{w'c'}} \frac{\partial C(z)}{\partial z} = \frac{k_v u_* z}{\Gamma(z) \int_0^\infty F_{wc}(z, K) dK}, \quad (4)$$

where  $\overline{w'u'} = -u_*^2$ ,  $u_*$  is the friction velocity and does not vary with  $z$ ,  $S(z) = \partial U(z)/\partial z$  is the mean velocity gradient,  $\Gamma(z) = \partial C(z)/\partial z$  is the mean scalar concentration gradient,  $k_v \approx 0.4$  is the von Karman constant,  $\zeta = z/L$  where  $L = -u_*^3/(k_v \beta \overline{w'T'})$  is the Obukhov length [1,12,13],  $\beta = g/T_a$ ,  $g$  is the gravitational acceleration,  $T_a$  is the absolute mean air temperature,  $\overline{w'T'}$  is the sensible heat flux, and  $F_{wu}(z, K)$  and  $F_{wc}(z, K)$  are the scalar flux and momentum flux cospectra for wave number  $K$  at a given height  $z$ . In principle,  $F_{wu}$  and  $F_{wc}$  should be integrated over the surface of a sphere of radius  $K$ , where  $K$  is the scalar wave number. However, because cospectra reported in conventional atmospheric surface layer studies are calculated from single point measurements [14] and then converted to streamwise one-dimensional cuts using Taylor's frozen turbulence hypothesis [15,16], one-dimensional cospectra (and spectra) are used here and  $K$  can be interpreted as the wave number in the streamwise direction. The cospectra are height dependent; however, on integrating them across the entire wave-number range, they become independent of  $z$  and given by  $\overline{w'u'}$  and  $\overline{w'c'}$  (both fluxes are height independent as previously shown). A specific illustration of this height independence of the integrated cospectra is discussed later. The atmospheric surface layer flow is referred to as unstable when  $\zeta < 0$  (e.g., when the surface heats up during the day so  $\overline{w'T'} > 0$ ) and stable when  $\zeta > 0$  (e.g., when the surface cools during nighttime so  $\overline{w'T'} < 0$ ). These definitions for  $\phi_m(\zeta)$  and  $\phi_c(\zeta)$  imply that the turbulent diffusivities for momentum and scalars are  $K_{tm} = k_v u_* z / \phi_m(\zeta)$  and  $K_{tc} = k_v u_* z / \phi_c(\zeta)$ .

In the inertial subrange, a range delineated by eddy sizes much smaller than the integral length scale of the flow but much larger than the Kolmogorov viscous dissipation length scale [17,18], the cospectra  $F_{wu}(z, K)$  and  $F_{wc}(z, K)$  are classically given by [14,19]

$$F_{wu}(z, K) = C_{wu} S(z) \varepsilon(z)^{1/3} K^{-7/3}, \quad (5)$$

$$F_{wc}(z, K) = C_{wc} \Gamma(z) \varepsilon(z)^{1/3} K^{-7/3}, \quad (6)$$

where  $\varepsilon(z)$  is the mean turbulent kinetic energy dissipation rate and  $C_{wu}$  and  $C_{wc}$  are similarity constants (discussed later). Because the flow in the inertial subrange is locally homogeneous and isotropic, the scalar wave number  $K$  can be reasonably approximated by its one-dimensional longitudinal cut as earlier noted. A number of studies have also shown that inertial subrange scaling laws are not sensitive to the local isotropy assumption [20]. Stated differently, inertial subrange scaling laws extend over a much broader range of  $K$  values within the inertial subrange when compared to the range of  $K$  values inferred from locally isotropic predictions of velocity components' spectral ratios. If the turbulent flow field is energetically near its equilibrium state with the production and destruction of turbulent kinetic energy in balance, the turbulent kinetic energy budget equation results in

$$\varepsilon(z) = \frac{u_*^3}{k_v z} [\phi_m(\zeta) - \zeta]. \quad (7)$$

When the integrated effects of all eddies much larger than  $z$  do not significantly contribute to the cospectra  $F_{wu}(z, K)$  and  $F_{wc}(z, K)$  (this issue will also be revisited later), then it follows that  $-\overline{w'u'} = \int_{1/z}^{\infty} F_{wu}(z, K) dK$  and  $-\overline{w'c'} = \int_{1/z}^{\infty} F_{wc}(z, K) dK$ . Note that in the atmospheric sciences literature, the co-spectra are often defined without the minus signs we use here. As earlier noted, the cospectral expressions explicitly contain  $z$ ; however, on integration with respect to  $K$ , the  $z$  cancels and the constant stress and constant flux assumptions are preserved provided  $z$  is treated independent of  $K$  in the integration with respect to  $K$ . To illustrate, consider the near-neutral condition with  $\varepsilon(z) = u_*^3/(k_v z)$  and  $S(z) = u_*/(k_v z)$ , where on integrating  $F_{wu}(z, K)$  given by Eq. (5) within the prescribed limits, the expected  $-\overline{w'u'} = u_*^2$  is recovered when  $C_{wu} = (4/3)k_v^{(4/3)}$ . Similar arguments can be made for  $\overline{w'c'}$ , the integrated  $F_{wc}(z, K)$  and their  $z$  independence.

For any stability condition, direct links between the cospectra and the stability correction functions can now be established via

$$\phi_m(\zeta) \approx \frac{k_v u_* z}{S(z) \int_{1/z}^{\infty} F_{wu}(z, K) dK} \approx \frac{1}{[\phi_m(\zeta) - \zeta]^{1/3}}, \quad (8)$$

$$\phi_c(\zeta) \approx \frac{k_v u_* z}{\Gamma(z) \int_{1/z}^{\infty} F_{wc}(z, K) dK} \approx \frac{1}{[\phi_m(\zeta) - \zeta]^{1/3}}. \quad (9)$$

The constants  $C_{wu}$  and  $C_{wc}$  should be selected to ensure that  $\phi_m(0) = 1$  and  $\phi_c(0) = 1$ . This constraint on  $\phi_c$  is repeatedly used here so many combinations of similarity or integration constants equate to unity making the final outcome insensitive to the precise values of these constants. The Eqs. (8) and (9) can be rewritten as two Obukhov-Kazansky-Ellison-Yamamoto-Panofsky-Sellers (OKEYPS) equations [21–23],

$$(\phi_m(\zeta))^3 [\phi_m(\zeta) - \zeta] = 1, \quad (10)$$

$$(\phi_c(\zeta))^3 [\phi_m(\zeta) - \zeta] = 1. \quad (11)$$

An obvious solution to the scalar OKEYPS equation is the Reynolds analogy, with  $\phi_c(\zeta) = \phi_m(\zeta)$ . According to large eddy simulations [24] and many field experiments [3,25–27],  $\phi_m(\zeta) = (1 - 16\zeta)^{-1/4}$  when  $\zeta < 0$  and  $\phi_m(\zeta) = (1 + 4.7\zeta)$  when  $\zeta > 0$ . A derivation of these  $\phi_m(\zeta)$  functions and their links to the energy spectrum is presented elsewhere [28] and

is not repeated here. The  $\phi_c(\zeta) = \phi_m(\zeta)$  solution is supported by several experiments for stable atmospheric conditions as evidenced from Fig. 1 but not for unstable conditions [15].

A refinement to the previous argument is that eddies that vertically transport scalars may be larger or smaller than  $z$  depending on  $\zeta$ . In general, with increased surface heating, eddies that contribute to vertical scalar fluxes are larger than  $z$ , and conversely, for stable atmospheric conditions. Let  $\Lambda_u(\zeta)/\Lambda_u(0) = f_{wu}(\zeta)$  and  $\Lambda_c(\zeta)/\Lambda_c(0) = f_{wc}(\zeta)$  be the ratios of largest eddy sizes that appreciably contribute to  $F_{wu}(K)$  and  $F_{wc}(K)$  under some stability  $\zeta$  normalized by the value under neutral stability. Let  $\Lambda_u(0) = s_u z$  and  $\Lambda_c(0) = s_c z$  be the neutral reference scales of the dominant eddies, which are allowed to be proportional rather than strictly equal to  $z$ , where  $s_u$  and  $s_c$  are now proportionality constants that do not vary with atmospheric stability. Hence,  $f_{wc}(\zeta)$  and  $f_{wu}(\zeta)$  represent relative departures in eddy sizes from their neutral state due to thermal stratification [i.e.,  $f_{wc}(0) = f_{wu}(0) = 1$ ]. Replacing the  $1/z$  integration limit in Eqs. (8) and (9) by  $1/(s_u f_{wu}(\zeta) z)$  and  $1/(s_c f_{wc}(\zeta) z)$ , it follows that

$$\phi_m(\zeta)^3 [\phi_m(\zeta) - \zeta] \approx \frac{1}{(s_u f_{wu}(\zeta))^4}, \quad (12)$$

$$\phi_c(\zeta)^3 [\phi_m(\zeta) - \zeta] \approx \frac{1}{(s_c f_{wc}(\zeta))^4}. \quad (13)$$

Hereafter, the lower integral limit in Eqs. (8) and (9) is replaced by  $1/(s_c f_{wc}(\zeta) z)$  unless otherwise stated. With the two equations above, the turbulent Prandtl number (Pr) is reduced to

$$\text{Pr} = \frac{K_{tm}}{K_{tc}} = \frac{\phi_c(\zeta)}{\phi_m(\zeta)} = \left[ \frac{s_u f_{wu}(\zeta)}{s_c f_{wc}(\zeta)} \right]^{4/3}. \quad (14)$$

Field experiments have shown that  $s_u = s_c \approx 1$  and that  $f_{wc}(\zeta) \approx f_{wu}(\zeta)$  for both stable and unstable conditions [6,15].  $f_{wc}(\zeta)^{-1}$  varies linearly with  $\zeta$  for stable conditions [ $f_{wc}(\zeta)^{-1} = (1 + \alpha\zeta)$ , with the constant  $\alpha \approx 1.7$  here] and remains unity [ $f_{wc}(\zeta) = 1$ ] for neutral and unstable conditions [6,14,15]. The fact that  $s_u = s_c \approx 1$  implies that the eddies most important for momentum and scalar transfer under near-neutral conditions are eddies touching the ground or attached eddies [29]. However, this derivation still cannot explain why Pr differs from unity (i.e., why the Reynolds analogy fails) and varies with atmospheric stability for unstable conditions ( $\zeta < 0$ ) as evidenced by the data in Fig. 1 that shows  $\phi_m(\zeta) > \phi_c(\zeta)$ . Alternative mechanisms are needed to unlock the causal difference between  $\phi_m(\zeta)$  and  $\phi_c(\zeta)$  for unstable conditions. The previous approach assumed that  $F_{wu}(z, K)$  and  $F_{wc}(z, K)$  follow their inertial subrange scaling from  $K \in [1/\Lambda, \infty]$ , a result that need not hold for scalars. Several processes regulating the magnitude and shape of  $F_{wc}(z, K)$  beyond eddy size adjustment to changes in  $\zeta$ , not considered in the discussion above, are discussed next. The sequential inclusion of each of these processes in a proposed budget equation describing  $F_{wc}(z, K)$ , and the concomitant modification to  $\phi_c(\zeta)$ , is then presented.

## B. A simplified cospectral budget

Because the terms in the cospectral budget resemble those in the turbulent scalar flux budget, a brief summary of the

scalar flux budget in the idealized atmospheric surface layer, given as

$$\frac{\partial \overline{w'c'}}{\partial t} = 0 = -\overline{w'w'}\Gamma(z) - \frac{\partial \overline{w'w'c'}}{\partial z} - \frac{1}{\rho} \overline{c'} \frac{\partial p'}{\partial z} + \frac{g}{T_a} \overline{c'T'} - M_d, \quad (15)$$

is first discussed. On the right-hand side, the first term represents the scalar flux production due to a finite  $\Gamma(z)$ ; the second represents the vertical flux transport term by turbulence; the third represents the pressure-scalar interaction term whose role is to decorrelate  $w'$  and  $c'$  and which is, thus, a net sink in the equation; the fourth is the buoyancy term that can serve as a production or dissipation term depending on the scalar being analyzed; and  $M_d$  represents all the molecular destruction terms, often much smaller than their pressure-scalar interaction counterparts for very high Peclet number flows. When the scalar being analyzed is air temperature, the buoyancy term becomes positive and dependent on the temperature variance ( $=\overline{T'T'}$ ), which is the main focus here. However, the derivation is maintained for an arbitrary scalar for completeness. The budget equation for the cospectrum  $F_{wc}(z, K)$ , derived elsewhere [30,31] but expanded here to include the thermal stratification term [i.e., the contributions arising from  $(g/T_a)\overline{c'T'}$  in the scalar flux budget], is given by

$$\frac{\partial F_{wc}(z, K)}{\partial t} + (\nu + D_m)K^2 F_{wc}(z, K) = G(z, K), \quad (16)$$

where  $G(z, K) = P(z, K) + T_{wc}(z, K) + \pi(z, K) + \beta F_{Tc}(z, K)$ ,  $P(z, K) = \frac{2}{3}\Gamma(z)E(z, K)$  is the production term (analogous but opposite in sign to  $\overline{w'w'}\Gamma$ ),  $E(z, K)$  is the vertical velocity energy spectrum at  $z$ ,  $T_{wc}(z, K)$  is a nonlinear turbulent flux transport term arising from Fourier-transforming the triple correlation function  $[\overline{u_i(x)u_3(x+r)c(x) - u_i(x+r)u_3(x+r)c(x)}]$  with  $r$  being the separation distance between two points,  $\pi(z, K)$  is the pressure-scalar interaction term, and  $F_{Tc}(z, K)$  is the scalar-temperature cospectrum. The term  $\beta F_{Tc}(z, K)$  arises from the presence of  $(g/T_a)\overline{c'T'}$  noted earlier in the Reynolds-averaged scalar flux budget. As discussed elsewhere [30], direct numerical simulations at moderate Reynolds number suggest that the sum of the two molecular terms  $|(\nu + D_m)K^2 F_{wc}(K)|$  is less than 10% of  $|\pi(K)|$ , and their contribution further diminishes with increasing Reynolds number. Hence, for the high Reynolds number flow characterizing the idealized atmospheric surface layer, these two molecular terms are ignored relative to  $\pi(K)$  throughout. If a Rotta-like model modified to include buoyancy effects is invoked for the pressure-scalar interaction term [32–34], then

$$\pi(z, K) = -A_\pi \frac{F_{wc}(z, K)}{\tau(z, K)} + \frac{1}{3}\beta F_{Tc}(z, K), \quad (17)$$

where  $\tau(z, K) = \varepsilon(z)^{-1/3} K^{-2/3}$  is a wave-number-dependent time scale at height  $z$ . The Rotta model has been the subject of numerous studies [35], and despite its limitations, remains widely employed in modeling pressure-scalar interactions in high-Reynolds-number turbulent flows. The cospectral nonlinear turbulent flux transport term was shown elsewhere to act mainly to transport covariance away from the peak in the cospectra [30] (i.e., transports covariance from scales with

higher to scales with lower covariance). As such, it may be modeled as

$$T(z, K) = -A_T \frac{\partial}{\partial K} (\varepsilon(z)^{1/3} K^{5/3} F_{wc}(z, K)). \quad (18)$$

Even with all these simplifications, solving for  $F_{wc}(z, K)$  requires the energy spectrum  $E(z, K)$ , the temperature-scalar cospectrum  $F_{Tc}(z, K)$ ,  $\Gamma(z)$ ,  $\varepsilon(z)$ , as well as the two closure constants  $A_\pi$  and  $A_T$ , discussed next.

### C. The neutral equilibrium state

In the absence of buoyancy effects ( $\beta \approx 0$ ) and turbulent flux cospectral transport contributions, the cospectral budget reduces to a balance between production and pressure-scalar induced decorrelation between  $w'$  and  $c'$  (equivalent to a dissipation of  $\overline{w'c'}$ ) given as

$$0 = \frac{2}{3}\Gamma(z)E(z, K) - A_\pi \varepsilon(z)^{1/3} K^{2/3} F_{wc}(z, K), \quad (19)$$

and results in

$$F_{wc}(z, K) = \frac{2}{3} \frac{1}{A_\pi} \Gamma(z) \varepsilon(z)^{-1/3} K^{-2/3} E(z, K). \quad (20)$$

On assuming the classical Kolmogorov (hereafter referred to as K41) scaling within the inertial subrange for  $E(z, K)$ , given as [17,36]

$$E(z, K) = C_o \varepsilon(z)^{2/3} K^{-5/3}, \quad (21)$$

one obtains  $F_{wc}(z, K) = C_{wc} \Gamma(z) \varepsilon(z)^{1/3} K^{-7/3}$ , where  $C_{wc} = C_o(2/3/A_\pi)$  and  $C_o = 0.55$  is the Kolmogorov constant [36,37]. Hereafter, this  $F_{wc}(z, K)$  is referred to as  $F_{\text{neq}}(z, K)$ . On further assuming the inertial subrange scaling extends all the way up to large scales comparable to  $\Lambda_c(\zeta)$  without any modification, the conventional scalar-velocity cospectrum in Eq. (5) is recovered. Inserting this modeled cospectrum in Eq. (9) with  $1/(s_c f_{wc} z)$  replacing  $1/z$  in the lower integral limit results in

$$\phi_{c\text{neq}}(\zeta) = \frac{1}{(s_c f_{wc}(\zeta))^{4/3} [\phi_m(\zeta) - \zeta]^{1/3}}. \quad (22)$$

Note that the condition  $\phi_c(0) = 1$  eliminates the dependence of  $\phi_c(\zeta)$  on constants such as  $A_\pi$ . Hereafter,  $\phi_{c\text{neq}}$  is referred to as the scalar stability correction function for the equilibrium state in the absence of buoyancy forces.

### D. The equilibrium state modified by thermal stratification

If buoyancy effects are allowed to modify the velocity field (i.e.,  $\beta \neq 0$ ), the cospectral budget reduces to

$$0 = \frac{2}{3}\Gamma(z)E(z, K) - A_\pi \frac{F_{wc}(z, K)}{\tau(z, K)} + \frac{4}{3}\beta F_{Tc}(z, K). \quad (23)$$

When the scalar of interest is air temperature, the preceding equation with the definition of  $\tau(z, K) = \varepsilon(z)^{-1/3} K^{-2/3}$  yields

$$F_{wT}(z, K) = \left[ \frac{2\Gamma(z)E(z, K)}{3A_\pi} + \frac{4}{3} \frac{\beta F_{TT}(z, K)}{A_\pi} \right] \frac{K^{-2/3}}{\varepsilon(z)^{1/3}}, \quad (24)$$

where  $\Gamma(z)$  is now the mean air temperature gradient. An inertial subrange approximation for  $F_{TT}(z, K)$  is employed [38],

$$F_{TT}(z, K) = C_T \varepsilon(z)^{-1/3} N_T(z) K^{-5/3}, \quad (25)$$

where  $C_T = 0.8$  is the Kolmogorov-Corrsin constant [38] and  $N_T(z)$  is the thermal variance dissipation rate and is estimated as  $N_T(z) = -\overline{w'T'\Gamma}(z)$  from an equilibrium temperature variance budget equation [18,39]. On employing the equilibrium estimate of  $\varepsilon(z)$  in Eq. (7), Eq. (24) reduces to

$$F_{wT}(z, K) = \left\{ 1 - \frac{3}{2} \frac{(4/3)C_T}{C_o} \frac{\zeta}{[\phi_m(\zeta) - \zeta]} \right\} F_{\text{neq}}(z, K). \quad (26)$$

Here also, only the relatively well-known constants  $C_T$  and  $C_o$  appear, and the other constants cancel after imposing  $\phi_c(0) = 1$ . The factor in squared brackets varies between 0.8 (most stable) and 2.3 (most unstable) and is always positive. It acts to reduce the magnitude of the cospectrum under stable conditions and to increase it under unstable conditions (as expected). The sign of the cospectrum is therefore set by the sign of the mean temperature gradient  $\Gamma(z)$ . Combining this estimate of  $F_{wT}(z, K)$  with Eq. (9), which uses  $1/(s_c f_{wc} z)$  to replace  $1/z$  as the lower integration limit, we obtain

$$\phi_{T\text{eq}}(\zeta) = \frac{\phi_{c\text{neq}}(\zeta)}{\left\{ 1 - \frac{3}{2} \frac{(4/3)C_T}{C_o} \frac{\zeta}{[\phi_m(\zeta) - \zeta]} \right\}}. \quad (27)$$

The inclusion of a finite  $\beta F_{wT}(z, K)$  thus can significantly modify the temperature stability correction function from its no-buoyancy equilibrium state. Moreover, the outcome is dependent on  $C_T/C_o$  not the absolute values of the constants. That is, the outcome here is robust to the precise interpretation of  $K$  as being a one-dimensional or three-dimensional wave number. As can be seen from Fig. 2,  $\phi_{T\text{eq}}$  follows the measurements closely, at least when compared to  $\phi_{T\text{neq}}$ .

### E. The nonequilibrium state

On retaining a finite flux-transport contribution for  $K > 1/\Lambda_c$  and invoking inertial subrange approximations for

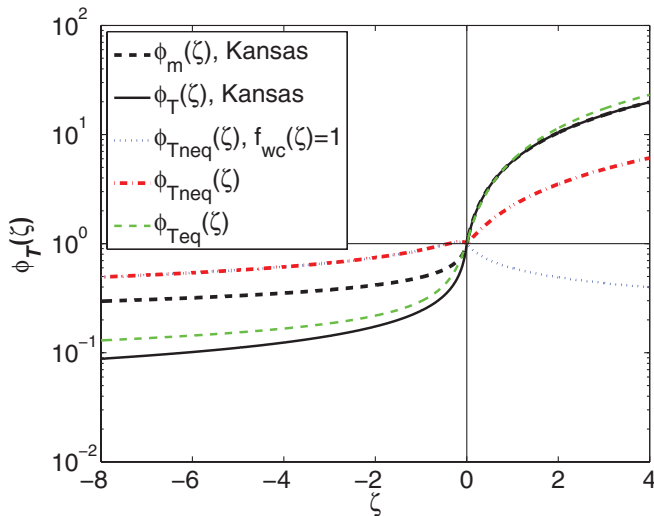


FIG. 2. (Color online) The universal shape of  $\phi_T(\zeta)$  as determined from the Kansas experiment for heat along with the resulting  $\phi_T(\zeta)$  from various approximation to the cospectral budget  $F_{wT}$ , including  $\phi_{T\text{neq}}(\zeta)$  and  $\phi_{T\text{eq}}(\zeta)$ . The  $\phi_{T\text{neq}}(\zeta)$  is calculated from Eq. (22) with  $f_{wc}(\zeta) = 1$  so it collapses with  $\phi_{T\text{neq}}(\zeta)$  when  $\zeta < 0$ . In the model derivation, inertial subrange scaling is assumed for  $E(z, K)$  and  $F_{wT}(z, K)$  with no modifications in the low-wave-number range.

$F_{TT}(z, K)$  as before, the cospectral budget reduces to

$$\frac{A_4}{K^{2/3}} \frac{\partial}{\partial K} (K^{5/3} F_{wT}(z, K)) + F_{wT}(z, K) = A_3 K^{-7/3}, \quad (28)$$

where  $A_3 = \left\{ 1 - \frac{3}{2} \frac{(4/3)C_T}{C_o} \frac{\zeta}{[\phi_m(\zeta) - \zeta]} \right\} C_{wT} \Gamma(z) \varepsilon(z)^{1/3}$  and  $A_4 = A_T/A_\pi$ ; the general solution of this equation is given by

$$F_{wT}(z, K) = \frac{3A_3}{3 - 2A_4} K^{-7/3} + B_1 K^{-5/3 - 1/A_4}, \quad (29)$$

where  $A_4 \geq 3/2$  [30] and  $B_1$  is an integration constant. When  $A_4 = 3/2$ , Eq. (29) recovers the classical “ $-7/3$ ” inertial subrange scaling law for  $F_{wT}(K)$ . When  $A_4 = 3$ , the leading power law is an approximate  $K^{-2}$  as discussed elsewhere [30,40]. To evaluate  $B_1$ , it is assumed that  $\partial F_{wT}/\partial K = 0$  at  $K = 1/\Lambda_c$  to ensure a maximum at that peak wave number, which results in

$$B_1 = \frac{-(21A_3A_4\Lambda_c^{2/3 - 1/A_4})}{[9(1 + A_4) - 10A_4^2]}. \quad (30)$$

Combining this estimate of  $B_1$  with Eqs. (29) and (9) leads to

$$\phi_T(\zeta) = \phi_T(\zeta)_{\text{eq}} Y_c(A_4). \quad (31)$$

Hence, contributions originating from the cospectral flux transport term to eddies whose wave number  $K > 1/\Lambda_c$  manifest themselves as a multiplier  $Y_c$  to  $\phi_T(\zeta)$ , where  $Y_c(A_4) = 4(3 + 2A_4)(3 + 5A_4)/(27 + 81A_4)$  is a constant that varies with  $A_4$ . The final Eq. (31) depends on the value of  $A_4$ , again due to its modification of the inertial subrange scaling law of  $F_{wT}(K)$ . When  $A_4 = 3/2$  (corresponding to the “ $-7/3$ ” power law),  $Y_c(A_4) = 1.8$ ; when  $A_4 = 3$  (corresponding to the “ $-2$ ” power law),  $Y_c(A_4) = 2.5$ . Nonetheless, these variations in  $Y_c$  are not produced by atmospheric stability variations. That is, the addition of a flux transport term affects  $\phi_T(\zeta)$  by a multiplier ( $=Y_c$ ) uniformly applied across all atmospheric stability values and as such does not contribute to the variation of  $\phi_T(\zeta)$  and Pr with stability, which as discussed in the previous section are rather well explained by the contribution of the buoyancy term.

### III. DISCUSSION

Based on the proposed derivation, the various turbulent processes responsible for the shape of  $\phi_T(\zeta)$  in the Kansas experiment can now be unfolded. A logical starting point is the most idealized state—a cospectral budget reduced to the interplay between mechanical production and dissipation via scalar-pressure interaction known to be far more significant than the molecular terms. The mechanical production requires knowledge of the energy spectrum, which is assumed here to follow inertial subrange scaling, and the dissipation term is modeled via a Rotta type pressure-scalar interaction modified to include buoyancy effects. In this budget, all low-wave-number contributions to  $F_{wc}(z, K)$  (i.e.,  $K < 1/z$ ) are either suppressed or assumed to cancel out so  $|\int_0^\infty F_{wc}(z, K) dK| \ll |\int_{1/z}^\infty F_{wc}(z, K) dK|$ . These approximations to the cospectral budget result in an  $F_{wc}(z, K)$  that follows its conventional inertial subrange shape [22]. Integrating this  $F_{wc}(z, K)$  from  $K = 1/z$  to  $\infty$  leads to  $\phi_{c\text{neq}}(\zeta) = \phi_{T\text{neq}}(\zeta)$  for any scalar. The resulting behavior from this neutral equilibrium approximation

is shown in Fig. 2. Comparing this modeled  $\phi_{T_{\text{neq}}}(\zeta)$  with  $f_{wc}(\zeta) = 1$  to the Kansas measured  $\phi_T(\zeta)$ , it is clear that this model cannot reproduce many features of the Kansas (and many other) experiments such as the increases in measured  $\phi_T(\zeta)$  for  $\zeta > 0$ . For  $\zeta < 0$ , the modeled  $\phi_{T_{\text{neq}}}(\zeta)$  decays with increasing  $-\zeta$  but its value remains much larger than the measured  $\phi_T(\zeta)$  or, for that matter,  $\phi_m(\zeta)$ . Revising the lower integration limit of the modeled cospectrum to  $K = 1/\Lambda_c$  instead of  $1/z$  to obtain  $\phi_{T_{\text{neq}}}(\zeta)$  leads to an increase with increasing  $\zeta$  for stable conditions, though not at the same rate as those reported for the Kansas experiment. Hence, the dependence of  $\Lambda_c$  on  $\zeta$  has some effect on  $\phi_T(\zeta)$  for stable conditions, but this effect is not sufficient to reproduce the rapid increase in  $\phi_T(\zeta)$  when  $\zeta > 0$ . In short, a balance between production and dissipation with  $E(z, K)$  following its inertial subrange laws alone and without any buoyancy contribution (i.e., without a finite  $\beta$ ) cannot reproduce the Kansas reported  $\phi_T(\zeta)$ .

Adding the buoyancy term and assuming that the temperature spectrum also follows its inertial subrange shape from  $K = 1/\Lambda_c$  to  $\infty$ , the agreement between measured and modeled  $\phi_{T_{\text{eq}}}(\zeta)$  is now significantly improved for unstable conditions. Likewise, for stable conditions, the agreement is greatly improved when the constant  $\alpha$  is set to 1.7 in the  $f_{wc}(\zeta)$  formulation. It should be emphasized here that the linear dependence of  $f_{wc}(\zeta)$  on  $\zeta$  for stable conditions was reported in the Kansas experiment and was derived independently from the stability correction functions. Thus, it is justifiable to include  $f_{wc}(\zeta)$  dependence on  $\zeta$  for stable conditions independent from the inclusion of the buoyancy term in the conservation equation. However, this value of  $\alpha$  is lower than the reported value from the Kansas experiment by a factor commensurate with the “excess” flux attributed to the difference between modeled and measured  $|\int_{1/\Lambda_c}^{\infty} F_{wc}(K)dK|$ . Specifically, the excess flux in the model primarily originates from a flattening in the Kansas measured  $F_{wc}(K)$  as  $K = 1/\Lambda_c$  is approached while modeled  $F_{wc}(K)$  maintains its inertial subrange scaling up to  $K = 1/\Lambda_c$  as illustrated in Fig. 4. Notwithstanding this modification to  $\alpha$ , the temperature spectrum remains necessary for recovering  $\phi_T(\zeta)$  from the Kansas experiment and for explaining why  $\text{Pr} < 1$  for unstable conditions as evidenced by Fig. 1. This confirms the hypothesized links in previous studies between the active role of temperature and the decrease in  $\text{Pr}$  under unstable conditions [41,42]. Li *et al.* [42] related the dissimilarity between momentum and heat transfer under unstable conditions to a decrease in the ratio of the integral length scale of temperature fluctuations and vertical velocity from roughly 10 to unity with increasing  $-\zeta$ . At that point, it was argued that the “resonance” between these two scales becomes important. On replacing the temperature with the longitudinal velocity time series, the integral length scale ratio did not approach unity with increasing  $-\zeta$ . Their conclusion is in broad agreement with the role of buoyancy uncovered here.

When the cospectral flux transport term is also introduced via a first-order closure model in the spectral domain, the agreement is not dramatically altered except via a constant multiplier that also leads to  $\phi_T(0)$  no longer unity. To what degree the experiments can discern a  $\phi_T(0) \neq 1$  can be debated. For near-neutral conditions (i.e.,  $\zeta \rightarrow 0$ ), the scatter

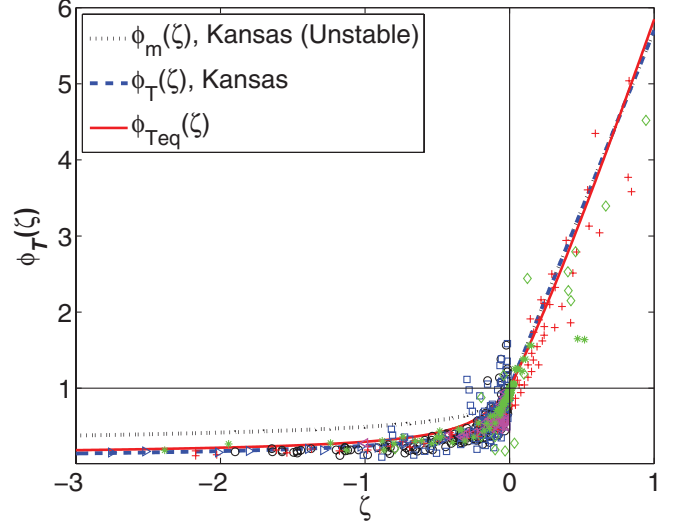


FIG. 3. (Color online) Comparison between measured  $\phi_T(\zeta)$  for the data sources in Fig. 1 and modeled  $\phi_{T_{\text{eq}}}(\zeta)$  from the full cospectral budget. Note the large scatter in the data for  $-\zeta \rightarrow 0$ .

in the  $\phi_T(\zeta)$  measurements is not small, as shown in Fig. 3, presumably due to the small sensible heat flux ( $=\overline{w'T'}$ ) and a small mean air temperature gradient (i.e.,  $\Gamma$ ). A small heat flux accompanied by a small mean air temperature gradient produces large uncertainties in measured  $\phi_T(0)$  as evidenced by the definition in Eq. (4).

Departures in  $\phi_T(\zeta)$  from the Kansas experiment have been reported and reviewed elsewhere [11]. A common explanation in all these experiments is the role of large eddies. In fact, using the Kansas reported  $F_{wT}(K)$  for unstable conditions leads to  $|\int_0^{1/\Lambda_c} F_{wT}(K)dK| \approx |\int_{1/\Lambda_c}^{\infty} F_{wT}(K)dK|$ . That is, eddies larger than  $\Lambda_c$  contribute some 50% of the total scalar flux (for unstable conditions) based on Kansas measured  $F_{wc}(K)$  and, thus, can have significant impact on  $\phi_T(\zeta)$ . Neglecting their contribution in the derivation leading to  $\phi_{T_{\text{eq}}}(\zeta)$  here was partially compensated for by the inertial subrange extrapolation of modeled  $F_{wT}(K)$  up to  $K = 1/\Lambda_c$  as shown in Fig. 4. To what degree this compensation is complete and to what degree the dynamics of the fluxes contributed by these larger scale eddies are universal remains debatable and explain why several experiments report large fluctuations, or even anomalous scaling, in  $\phi_T(\zeta)$ . The generation and the impinging mechanisms of large eddies onto the atmospheric surface layer are diverse and vary with atmospheric stability. For experiments in which the terrain was flat and the surface cover was uniform, these mechanisms may include detached eddies generated by shearing motions in the neutral boundary layer, convective motion in the outer layer of the convective boundary layer, and attached eddies initiated by instabilities within the atmospheric surface layer [8,43–45]. Even for neutral conditions, laboratory studies have also documented the impingement of large (and very large) structures onto the “logarithmic” region at high Reynolds number [46–48]. Clearly, these large-scale processes cause nonuniversal departure from inertial subrange scaling in both  $E(K)$  and  $F_{wT}(K)$  and introduce low-frequency modulations in  $F_{wT}(K)$  that must be included. If known, these inertial subrange departures

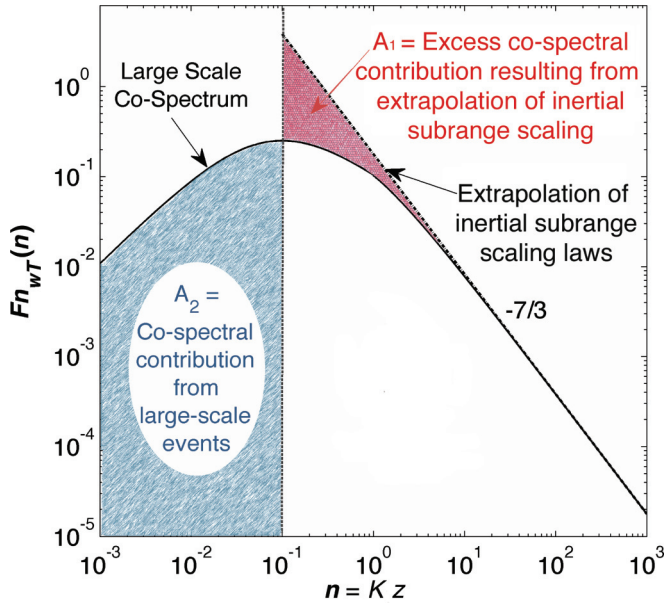


FIG. 4. (Color online) The canonical shape of normalized  $F_{wT}(z, K)$  as reported in the Kansas experiment against the normalized wave number ( $n$ ) showing a peak at  $K = 1/\Lambda_c$  and a  $-7/3$  scaling for  $K \gg 1/\Lambda_c$  in the inertial subrange. The cumulative contribution of eddies whose  $K < 1/\Lambda_c$  is some 50% of the total heat flux (i.e.,  $A_2$  is some 50% of the total area under the cospectrum; note that the areas under the curves are not visually equal due to the double-logarithmic representation). In the derivation leading to  $\phi_{Teq}(\zeta)$ , neglecting their integrated contribution was partially compensated for by inertial subrange extrapolations of modeled  $F_{wT}(K)$  up to  $K = 1/\Lambda_c$ , indicated by  $A_1$ .

and low-frequency modulations can be accommodated in this cospectral framework on a case-by-case basis.

On the topic of large scales modulations, we would be remiss if we did not recall a statement made by Lumley and Yaglom [49], who noted that “Julian Hunt now claims (private communication) that these (i.e., the Kansas) data are seriously filtered at low wave numbers. There are evidently data of Höögström at Uppsala that were suppressed for decades because they did not agree with the Kansas data at low wave number, which suggests the presence of elongated coherent structures.” The Höögström data were collected under conditions of much worse terrain inhomogeneity than in Kansas, and it is conceivable that the idealized atmospheric surface layer assumptions (e.g., stationary, planar-homogeneous assumption lacking subsidence or mean longitudinal pressure gradients) necessary for the application of MOST were not fully satisfied. Hence,

the final clarification of how low-wave-number contributions modify  $\phi_T(\zeta)$  in the Kansas (and Uppsala) experiments must be left for future research, where further sensitivity to different nonstationary trend removal techniques can be fully explored. Nonetheless, when all these results are taken together, it appears that the “universal” features in  $\phi_T(\zeta)$  can be attributed to processes tightly linked to those leading to the derivation of  $\phi_{Teq}(\zeta)$ , which inherit their “universal” character from well-established inertial subrange scaling laws. This is also in agreement with Gioia *et al.* [50], who concluded that the log-layer in their neutral cases results from inertial subrange eddies and scaling.

#### IV. CONCLUSION

The extensive measurements made in Kansas by Kaimal and Wyngaard has served as benchmarks in atmospheric surface layer flows for decades. It was shown here that the universal shape of  $\phi_T(\zeta)$  reported from these experiments is remarkably consistent with inertial subrange theories describing the velocity and temperature spectra using a simplified cospectral budget across a wide range of  $\zeta$ . Moreover, it was shown that the contributing role to  $\phi_T(\zeta)$  by buoyancy (via the temperature spectrum) is the leading-order explanation for the anomalous departure from Reynolds analogy. Hence, the long-surmised link between the energetics of the microstate of turbulence (encoded in the temperature and energy spectra) and the macrostate property of the bulk flow [encoded here in  $\phi_T(\zeta)$ ] was explicitly revealed. As was recently accomplished in linking the mean velocity profile and the spectrum of turbulence by Gioia *et al.* [50], the cospectral link derived here establishes a blueprint for a framework to assess how large-scale structures impinging on the atmospheric surface layer may modify  $\phi_T(\zeta)$  and can perhaps lead to improved representation of the mass exchange rates in future large-scale models.

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