Ballistic, diffusive, and localized transport in surface-disordered systems: Two-mode waveguide

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This paper presents an analytical study of the coexistence of different transport regimes in quasi-onedimensional surface-disordered waveguides (or electron conductors). To elucidate main features of surface scattering, the case of two open modes (channels) is considered in great detail. Main attention is paid to the transmission in dependence on various parameters of the model with two types of rough-surface profiles (symmetric and antisymmetric). It is shown that depending on the symmetry, basic mechanisms of scattering can be either enhanced or suppressed. As a consequence, different transport regimes can be realized. Specifically, in the two-mode waveguide with symmetric rough boundaries, there are ballistic, localized and coexistence transport regimes. In the waveguide with antisymmetric roughness of lateral walls, another regime of the diffusive transport can arise. Our study allows to reveal the interplay between all relevant scattering mechanisms, in particular, the role of the so-called square-gradient scattering which is typically neglected in literature, however, can give a strong impact to the transmission.

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I. INTRODUCTION

The transport of quantum quasiparticles through thin metal films and semiconductor nano-structures, such as nanowires and strips, supperlattices and quantum-well systems, has been a hot topic since more than four decades $[1-9]$. Nowadays, it is known that transport properties of conductors with extremely small cross sections, are substantially controlled by the scattering of electrons at random inhomogeneities of the conductor boundaries [\[10–](#page-13-0)[38\]](#page-14-0). Such mesoscopic guiding systems can be typically modeled as surface-disordered waveguides.

Recent numerical studies of quasi-one-dimensional (quasi-1D) *surface-disordered* systems [\[21,23,24\]](#page-13-0) have revealed a key difference from the standard models with impurity *bulk disorder*. Specifically, it was found that transport through waveguides with surface scattering essentially depend on multiple characteristic lengths, in contrast with the conductors with bulk scattering for which the single-parameter scaling occurs [\[39\]](#page-14-0). According to the single-parameter scaling, all transport properties of finite conductors with impurity random potentials are determined by a single parameter: the ratio of the conductor size to the localization length found for the corresponding systems of infinite size. However, the transport properties of surface-disordered waveguides are determined by a nonisotropic character of scattering in the "channel space."

As was established in pioneering studies of surfacecorrugated waveguides with a large number of conducting channels, $N_d \gg 1$, (see, e.g., Ref. [\[40\]](#page-14-0) and references therein),

the mode-attenuation length L_n of the *n*th propagating mode (or mean free path of the *n*th conducting channel) displays a rather strong dependence on the mode index *n*. Specifically, the larger the number n the smaller the corresponding modeattenuation length and, as a consequence, the stronger is the scattering of this mode into the others. As a result, there emerges the *hierarchy* of mode-attenuation lengths [\[8\]](#page-13-0):

$$
L_{N_d} < L_{N_d-1} < \cdots < L_2 < L_1. \tag{1.1}
$$

The smallest length L_{N_d} belongs to the highest (last) channel with the mode index $n = N_d \gg 1$, while the largest length L_1 corresponds to the lowest (first) channel with $n = 1$. Because of this hierarchy of lengths, a very important phenomenon can arise, that is the *coexistence* of ballistic, diffusive, and localized transport [\[23,24\]](#page-13-0). For example, for a conductor of length L which is inside the set of lengths L_n ,

$$
L_{N_d} < \cdots < L < \cdots < L_1,\tag{1.2}
$$

the lowest modes can be in ballistic regime, however, the intermediate and highest modes can exhibit the diffusive and localized behavior, respectively.

Accordingly, for surface-disordered waveguides one can state the following: (1) The average mode-transmittances $\langle T_M \rangle$ can be very different for different conducting channels. (2) All propagating modes are mixed because of intermode transitions, therefore, the transmittance $\langle T_M \rangle$ of any given *n*th mode depends on the scattering into all modes. (3) The total average transmittance $\langle T \rangle$ of the waveguide contains the imprint of all the average mode-transmittances and, therefore, can exhibit an *coexistence* transport in which the phenomenon of *coexistence* of different mode-transport regimes takes place.

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FIG. 1. (Color online) One realization of symmetric (top) and antisymmetric (bottom) surface-disordered waveguides.

In this paper the above statements are quantitatively validated by means of two special quasi-one-dimensional (quasi-1D) models of *two-mode* waveguides with lateral symmetric rough boundaries (SRB) and antisymmetric rough boundaries (ASRB); see Fig. 1. The two-mode geometry is chosen in order to discriminate their transport properties in the most transparent way. Indeed, in contrast to the multi-mode situation, the two-mode SRB waveguide represents a system in which the conducting channels are independent. Here, the attenuation of propagating modes is associated with the scattering within the same mode only (intramode scattering). Comparatively, the attenuation in the ASRB waveguide arises due to the intramode scattering *and* due to the scattering of waves from one mode into the other (intermode scattering).

The above two types of rough-surface profiles are very useful to elucidate the role of each scattering mechanism. In particular, these models allow us to reveal the relevance of the so-called square-gradient scattering, which is typically neglected in literature. It should be mentioned that the interwall interference effects in SRB and ASRB multimode waveguides were discussed in Refs. [\[25,31\]](#page-13-0) and in much more detail in Ref. [\[35\]](#page-13-0). Specifically, it was shown explicitly how the transport properties change when the wall configuration evolves continuously from symmetric to antisymmetric setup. However, if to ignore the square-gradient scattering one may come to conclusion that the interwall interference completely suppresses the scattering by random walls due to specific symmetry of the correlations. Our point is that in this case one has to consider higher order terms of the perturbation theory directly related to the square-gradient scattering.

Although main attention is paid to the conduction of electrons, the results are also applicable to the propagation of classical waves. The latter problem has even a longer history, it naturally arises in the analysis of spectral and transport properties of optic fibers, acoustic and radio waveguides, remote sensing, shallow water waves, multilayered systems, photonic lattices and so forth [\[4](#page-13-0)[,40–43\]](#page-14-0).

This paper is structured as follows. In Sec. II the geometry and general statistical properties of the surface-disordered waveguides are described. Here the Green's function of the waveguides and standard linear response formula are outlined, which are used to obtain the transmittance (or dimensionless conductance). Also, the formulas for mode-attenuation lengths of waveguides are discussed in detail. In Secs. [III](#page-5-0) and [IV](#page-8-0) the transport properties of the SRB and ASRB waveguides with

two propagating modes are analyzed. Here the expressions for the mode and total average transmittances are derived. The transport regimes of the total average transmittance are then analyzed; one being the coexistence regime, in which the coexistence of different mode-transports emerges. Finally, the conclusions are presented in Sec. [V.](#page-12-0)

II. GENERAL STATEMENT OF THE PROBLEM

A. Surface-disordered waveguides

This study considers open surface-disordered waveguides (or conducting wires) of length *L* and average width *d*, stretched along the *x* and *z* axes, respectively; for quasi-1D geometry, naturally, $L \gg d$. Two different configurations of the opposite boundaries result in the symmetric and antisymmetric rough boundaries waveguides; see Fig. 1. The SRB waveguide has inhomogeneities that are symmetric with respect to its straight central guiding axis (dot-dashed line in Fig. 1). Thus, the inhomogeneities give rise to the varying width of the SRB waveguide. In contrast, the width of the ASRB waveguide stays constant along the waveguide despite the rough boundaries. Here the inhomogeneities are physically equivalent to a weak bending of waveguide.

The lower and upper surfaces of the waveguides are described, respectively, by the rough boundaries $z = \sigma \xi(x)$, and $z = d \mp \sigma \xi(x)$. The upper surface of the SRB (ASRB) waveguide is indicated by the minus (plus) sign of the symbol "∓*.*" Here *σ* is the root-mean-square *roughness height*, which is assumed to be identical for both boundaries. Hence, the waveguides occupy the region,

$$
-L/2 \leqslant x \leqslant L/2,\tag{2.1a}
$$

$$
\sigma\xi(x) \leqslant z \leqslant d \mp \sigma\xi(x),\tag{2.1b}
$$

of the (x, z) plane. The random function $\xi(x)$ describes the roughness of the boundaries and is assumed to be statistically homogeneous and isotropic, with the statistical properties of zero mean and unit variance,

$$
\langle \xi(x) \rangle = 0, \tag{2.2a}
$$

$$
\langle \xi^2(x) \rangle = 1,\tag{2.2b}
$$

$$
\langle \xi(x)\,\xi(x')\rangle = \mathcal{W}(|x - x'|). \tag{2.2c}
$$

Here the angular brackets represent the statistical averaging over different realizations of the surface profile $\xi(x)$. The binary correlator $W(x)$ decreases on the scale *R* with the normalization $W(0) = 1$, where *R* is the roughness correlation length. Since lower and upper boundaries have the same rough profile, the binary correlator $(2.2c)$ describes the correlations within each boundary, as well as the cross-correlations between them.

B. Transmittance and Green's function

The transport properties of the disordered waveguide will be characterized by its *transmittance* or, equally, by its dimensionless [in units of $e^2/\pi\hbar$]*conductance* $T(L)$. Within the standard linear response theory, as indicated by R. Kubo [\[44\]](#page-14-0), this quantity is expressed as

$$
T(L) = -\frac{4}{L^2} \int_{-L/2}^{L/2} dx \, dx' \int_0^d dz \, dz' \times \frac{\partial \mathcal{G}(x, x'; z, z')}{\partial x} \frac{\partial \mathcal{G}^*(x, x'; z, z')}{\partial x'},
$$
 (2.3)

where the asterisk (∗) denotes complex conjugation. The retarded Green's function $G(x, x'; z, z')$ obeys the following boundary-value problem [\[36\]](#page-13-0):

$$
\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} + k^2\right) \mathcal{G}(x, x'; z, z')
$$

$$
- \hat{U}(x, z) \mathcal{G}(x, x'; z, z') = \delta(x - x')\delta(z - z'), \quad (2.4a)
$$

$$
\mathcal{G}(x, x'; z = 0, z') = 0,\t(2.4b)
$$

$$
\mathcal{G}(x, x'; z = d, z') = 0.
$$
 (2.4c)

Here $\delta(x)$ and $\delta(z)$ are the Dirac delta-functions. The wave number *k* is equal to the Fermi wave number for the electrons within the isotropic Fermi-liquid model. For electromagnetic waves with both frequency *ω* and TE polarization, propagating through a waveguide with perfectly conducting walls, $k =$ *ω/c*.

The equations (2.4) specify a Dirichlet boundary-value problem, however, with two remarkable features: the *"bulk" scattering potential* $\hat{U}(x, z)$, and the *flat* boundaries of the waveguide at $z = 0$ and $z = d$. These features emerge after the coordinate transformation of the initial Dirichlet boundaryvalue problem, in which there is no "bulk" potential while the scattering is only caused by the roughness of the boundaries. The idea of this method was first discussed by Migdal [\[45\]](#page-14-0), and has since been frequently used in theories of wave or electron surface- scattering (see, e.g., Refs. [\[10,12,13,16,17,19,22,26,](#page-13-0) [27,30,33,34,](#page-13-0)[37,38,46–48\]](#page-14-0)). Various methods of mapping of systems with disordered boundaries onto an equivalent system with flat walls and distorted bulk have been discussed in Ref. [\[25\]](#page-13-0) in historical context with some references to relevant earlier publications. The details of the application of this method for "flattening" the rough boundaries of the present waveguides, can be found in Ref. [\[36\]](#page-13-0). The form of the potentials $\hat{U}(x, z)$ for the specific waveguides used in this study can be extracted from the aforementioned reference. It should be stressed that this potential can not be treated as a completely random potential and modeled, for example, by random matrices. A close inspection of this potential shows that random function $\xi(x)$ enters the potential in a rather complicated way, however, by no means, strong correlations between matrix elements can not be neglected.

For a further discussion we have to indicate that an approximate expression of the potential for the SRB waveguide contains several terms that can be joined together in three groups. These groups depend on $\sigma \xi(x)/d$, $\sigma \xi'(x)/d$, and $σ²ξ²(x)/d²$,

$$
\hat{U}(x,z) \approx \hat{U}\left[\frac{\sigma\xi(x)}{d}, \frac{\sigma\xi'(x)}{d}, \frac{\sigma^2\xi'^2(x)}{d^2}, z\right].
$$
 (2.5)

An exact expression for the ASRB waveguide contains other terms, which, however, can be arranged in the groups that depend on $\sigma \xi'(x)/d$ and $\sigma^2 \xi'^2(x)/d^2$,

$$
\hat{U}(x,z) = \hat{U}\left[\frac{\sigma\xi'(x)}{d}, \frac{\sigma^2\xi'^2(x)}{d^2}, z\right].
$$
 (2.6)

Here the prime to the function $\xi(x)$ denotes a derivative with respect to *x*.

As is typical, after the application of the Green's theorem, the problem can be reformulated in the form of the Dyson equation. In order to perform the averaging of the Green's function, one can apply one of the well-known perturbative methods. For instance, the diagrammatic approach developed for surface-disordered systems [\[40\]](#page-14-0), or the technique developed in Ref. [\[11\]](#page-13-0) may be equally utilized. Both methods take adequately into account the multiple scattering from rough boundaries and allow one to formulate a consistent perturbative approach with respect to the scattering potential. After straightforward but cumbersome calculations (see Ref. [\[34\]](#page-13-0) for details), the following average Green's function is obtained:

$$
\langle \mathcal{G}(|x - x'|; z, z') \rangle = \sum_{n=1}^{N_d} \sin\left(\frac{\pi nz}{d}\right) \sin\left(\frac{\pi nz'}{d}\right)
$$

$$
\times \frac{\exp[(ik_n - 1/2L_n)|x - x']}{ik_n d}.
$$
 (2.7)

Here $N_d = [k d / \pi]$ is the total number of the propagating normal modes in the flat waveguide, which is determined by the integer part [[*...*]] of the *mode parameter kd/π*. Due to the quantization of the transverse wavenumber $k_{zn} = \pi n/d$, the quantum value of the longitudinal wavenumber is given by

$$
k_{xn} = k_n \equiv \sqrt{k^2 - (\pi n/d)^2}, \quad n = 1, 2, \dots, N_d. \tag{2.8}
$$

All other waveguide modes with $n > N_d$ are evanescent with imaginary values of k_n , and do not contribute to the transport properties. The average Green's function (2.7) differs from the unperturbed one, in the appearance of the *mode-attenuation length Ln*.

C. Mode-attenuation length

The mode-attenuation length (or electron *total mean free path*) *Ln* describes the scattering from the *n*th propagating mode into the others. From general theory of quasi-1D scattering systems it follows that the mode-attenuation length is determined by the *backward* $L_n^{(b)}$, and *forward* $L_n^{(f)}$ scattering lengths [\[40\]](#page-14-0),

$$
\frac{1}{L_n} = \frac{1}{L_n^{(b)}} + \frac{1}{L_n^{(f)}}.
$$
\n(2.9)

In accordance with Ref. [\[36\]](#page-13-0), both backward and forward scattering lengths consist of two fundamentally different *partial* lengths. One of these partial lengths is related to the *amplitude* and *gradient* mechanisms of surface scattering, whereas the other partial length is associated with the *squaregradient* mechanism [the connection between these partial lengths and the scattering potential $\hat{U}(x, z)$ is discussed below]. Therefore, backward and forward scattering lengths are given by

$$
\frac{1}{L_n^{(b)}} = \frac{1}{L_n^{(A,G)(b)}} + \frac{1}{L_n^{(SG)(b)}},
$$
\n(2.10a)

$$
\frac{1}{L_n^{(f)}} = \frac{1}{L_n^{(A,G)(f)}} + \frac{1}{L_n^{(SG)(f)}}.
$$
 (2.10b)

The partial lengths associated with the backscattering read

$$
\frac{1}{L_n^{(A,G)(b)}} = \frac{\sigma^2}{d^6} \sum_{n'=1}^{N_d} \frac{A_{nn'}}{k_n k_{n'}} W(k_n + k_{n'}), \quad (2.11a)
$$

$$
\frac{1}{L_n^{(SG)(b)}} = \frac{\sigma^4}{d^4} \sum_{n'=1}^{N_d} \frac{B_{nn'}}{k_n k_{n'}} S(k_n + k_{n'}).
$$
 (2.11b)

The partial lengths associated with the forward scattering are similar to the backward ones but with the functions *W* and *S* depending on the argument $k_n - k_{n'}$, i.e.,

$$
\frac{1}{L_n^{(A,G)(f)}} = \frac{\sigma^2}{d^6} \sum_{n'=1}^{N_d} \frac{A_{nn'}}{k_n k_{n'}} W(k_n - k_{n'}), \quad (2.12a)
$$

$$
\frac{1}{L_n^{(SG)(f)}} = \frac{\sigma^4}{d^4} \sum_{n'=1}^{N_d} \frac{B_{nn'}}{k_n k_{n'}} S(k_n - k_{n'}).
$$
 (2.12b)

Factors $A_{nn'}$ and $B_{nn'}$ depend upon the type of symmetry exhibited by the opposite rough boundaries of the waveguide. Below, they are discussed and, in Table I, their values are explicitly shown for the SRB and ASRB two-mode waveguide.

The equations (2.11) and (2.12) contain two different spectral functions. First, the *roughness-height* power spectrum is included in Eqs. $(2.11a)$ and $(2.12a)$. This function is defined as the Fourier transform of the *roughness-height* binary correlator $W(x)$ [see Eq. [\(2.2c\)](#page-1-0)],

$$
W(k_x) = \int_{-\infty}^{\infty} dx \exp(-ik_x x) \mathcal{W}(x).
$$
 (2.13)

Second, the formulas in Eqs. $(2.11b)$ and $(2.12b)$ contain the so-called *roughness-square-gradient* power spectrum,

$$
S(k_x) = \int_{-\infty}^{\infty} dx \exp(-ik_x x) \mathcal{W}^{\prime\prime 2}(x), \quad (2.14)
$$

where the double prime to the function $W(x)$ denotes a second derivative with respect to *x*. Since $W(x)$ and $W''^2(x)$ are real and even functions of *x*, their Fourier transforms are even and real functions of the longitudinal wavenumber k_x . It should be also stressed that according to rigorous mathematical theorem,

TABLE I. Matrices of factors $A_{nn'}$ and $B_{nn'}$ for the symmetric and antisymmetric two-mode surface-disordered waveguides.

| | | SRB | ASRB |
|--|----------|--|--|
| $\binom{A_{11} A_{12}}{A_{21} A_{22}}$ | $=$ $\,$ | $\left(\begin{smallmatrix} 4\pi^4 & 0\ 0 & 64\pi^4 \end{smallmatrix}\right)$ | $\begin{pmatrix} 0 & 16\pi^4 \\ 16\pi^4 & 0 \end{pmatrix}$ |
| $\begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$ | | $\begin{pmatrix} \frac{(3+\pi^2)^2}{18} & 0\\ 0 & \frac{(3+4\pi^2)^2}{12} \end{pmatrix}$ | $\begin{pmatrix} \pi^4/2 & 0 \\ 0 & 8\pi^4 \end{pmatrix}$ |

the power spectra are nonnegative functions of k_x for any real random process *ξ* (*x*).

The spectra (2.13) and (2.14) arise when deriving the correlator of the scattering potential $\hat{U}(x, z)$ in the k_x representation. The correlator of $\hat{U}(x, z)$ emerges when averaging the Green's function within the perturvative approach [\[34\]](#page-13-0). Specifically, the terms in $\hat{U}(x, z)$ that depend upon the roughness *amplitude* $\xi(x)$ and the roughness *gradient* $\xi'(x)$ give rise to the terms in the correlator associated with $W(k_x)$; see the structure of $\hat{U}(x, z)$ in Eqs. [\(2.5\)](#page-2-0) and [\(2.6\)](#page-2-0). The terms that depend upon the roughness *square gradient* $\xi^2(x)$ lead to the terms in the correlator associated with the Fourier transform of $\langle \xi'(x)\xi'(x')\rangle^2 = \mathcal{W}''^2(x)$, which in fact is *S*(*k_x*). It should be stressed that through the integration by parts the power spectrum of the roughness gradient $\xi'(x)$ can be reduced to $W(k_x)$. However, it is not possible to do the same for the power spectrum $S(k_x)$. This fact reflects a nontrivial role of the terms in the scattering potential containing $\xi^2(x)$. Therefore these terms are associated with a specific and independent square-gradient surface-scattering mechanism.

Accordingly, the inverse scattering lengths given in (2.10) are expressed as a sum of two terms that describe scattering governed by different surface scattering mechanisms. Thus, the *amplitude-*scattering and *gradient-*scattering mechanisms are associated with the terms $1/L_n^{(A,G)(b)}$ and $1/L_n^{(A,G)(f)}$ given in Eqs. (2.11a) and (2.12a), while the *square-gradient*scattering mechanism is associated with the terms $1/L_n^{(SG)(b)}$ and $1/L_n^{(SG)(f)}$ given by Eqs. (2.11b) and (2.12b).

It should be also stressed that, as functions of the correlation length *R*, the mode-attenuation lengths $L_n^{(A,G)(b)}$ and $L_n^{(A,G)(f)}$ can behave very different from $L_n^{(SG)(b)}$ and $L_n^{(SG)(f)}$ because of their dependence upon the different power spectra $W(k_x)$ and $S(k_x)$. Owing to those peculiar behaviors, the competition between surface-scattering mechanisms emerges and, for some range of values of *R*, the product $\sigma^4 S(k_x)$ can greatly increase its value in comparison with the quantity $\sigma^2 W(k_x)$, even for small σ . Thus, the lengths $L_n^{(SG)(b)}$ and $L_n^{(SG)(f)}$ can be compared, and even can be smaller than the lengths $L_n^{(A, G)(b)}$ and $L_n^{(A, G)(f)}$. A detailed discussion about this competition between surface-scattering mechanisms is presented in Sec. [III,](#page-5-0) for the SRB waveguide, and in Sec. [IV,](#page-8-0) for ASRB waveguide, with the specific power spectra (2.12) , which are associated with the Gaussian correlator.

The approximation by which one arrives to Eqs. (2.7) and (2.9) – (2.12) and the resulting domain of applicability of those equations were discussed in Ref. [\[34\]](#page-13-0). Specifically, it was shown that the validity of the results is restricted by two independent criteria of weak surface scattering,

$$
\Lambda_n \ll 2L_n,\tag{2.15a}
$$

$$
R \ll 2L_n. \tag{2.15b}
$$

Here the cycle length, Λ_n , is the distance between two successive reflections of the *n*th mode from the rough boundaries,

$$
\Lambda_n = k_n d / (\pi n/d). \tag{2.16}
$$

The criteria expressed in Eq. (2.15) imply that the waves are weakly attenuated over both the correlation length *R* and cycle length Λ_n . Additionally, they restrict the corrugations to be small in height, $\sigma \ll d$. It should be also noted that statistical averaging is reasonable if the correlation length is much less than the waveguide length, $R \ll L$. Therefore, the length L must obey requirements similar to Eqs. (2.15) formulated with respect to L_n . All these limitations are common in surface scattering theories that are based on an appropriate perturbative approach; see, for example, Ref. [\[40\]](#page-14-0).

D. Reduction to two-mode waveguides

In what follows, this study is restricted to waveguides with two propagating modes,

$$
N_d = [k d/\pi] = 2.
$$
 (2.17)

In this case the mode parameter is confined within the interval,

$$
2 < kd/\pi < 3,\tag{2.18}
$$

and the longitudinal wavenumbers are given by

$$
k_1 = \sqrt{k^2 - (\pi/d)^2}
$$
, $k_2 = \sqrt{k^2 - (2\pi/d)^2}$. (2.19)

Then, the factors $A_{nn'}$ and $B_{nn'}$, in Eqs. (2.11) and (2.12), which are drawn from Ref. [\[36\]](#page-13-0), are displayed in Table [I.](#page-3-0) The diagonal and off-diagonal elements are associated, respectively, with intramode scattering (scattering within the same mode) and intermode scattering (scattering from one mode into the other). Also, one can realize that the diagonal and off-diagonal elements of the matrices $A_{nn'}$ are related to the amplitudescattering and gradient-scattering mechanisms, respectively.

Bearing in mind this physical meaning of the entries *Ann* and $B_{nn'}$, the structure of matrices in Table [I](#page-3-0) reveals remarkable differences between the SRB and ASRB waveguides:

(i) The attenuation in the SRB waveguide is determined by the competition between the amplitude-scattering and squaregradient-scattering mechanisms, which are both associated with the intramode scattering (in two-mode waveguide). Therefore, the propagating modes in the SRB model should be regarded as independent, and, consequently, the SRB two-mode waveguide represents two independent 1D-surfacedisordered channels. As is known, the transport properties of 1D disordered systems are described by the *theory of Anderson localization* (see, e.g., Ref. [\[8\]](#page-13-0) and references therein). This theory has been already employed for the analysis of SRB single-mode waveguide [\[30\]](#page-13-0). Now, the theory of 1D Anderson localization should be adapted for describing the transmittance of two-mode waveguide. According to this theory, the transport in each channel is completely specified by the scaling parameter that is the ratio between the sample length and corresponding backscattering length. Remarkably, the transmittance of any 1D disordered structure does not depend on the forward scattering. This approach is presented in Sec. [III,](#page-5-0) where it is showed that $L/L_n^{(b)}$ becomes the scaling parameter of the transport through the *n*th channel.

(ii) Otherwise, the attenuation in the ASRB waveguide is determined by the interplay between the gradient-scattering and square-gradient-scattering mechanisms. Since the former is related to the intermode scattering, the channels can become mixed and the theory that accounts for the transport properties of the ASRB waveguide should differ from that used in SRB waveguide. A general theory for the transmittance of

waveguides that considers the interplay between both mechanisms is not presented here. However, the theoretical approach developed in Refs. [\[34,36\]](#page-13-0) allows one to predict many of important characteristics of transport in different regimes. Here this approach is applied to the ASRB waveguide with two channels. As a result, it becomes possible to predict the interval of parameters in which only one of these mechanisms prevails. On the one hand, when the intramode scattering governs the attenuation, one deals with the same situation that was found in the SRB waveguide and, therefore, the transport properties may be specified by the scaling parameter that is the ratio between the sample length and corresponding backscattering length. On the other hand, when the intermode scattering determines the attenuation the transmittance of the *n*th propagating mode is specified by the scaling parameter L/L_n , which involves both backward $L_n^{(b)}$ and forward $L_n^{(f)}$ scattering lengths. The approach that considers intramode and intermode scattering in the ASRB waveguide is developed in Sec. [IV.](#page-8-0)

The explicit expressions for the mode backward and forward scattering lengths, of our two-mode waveguides, are useful for continuous reference. From Eqs. (2.10) – (2.11) , with the factors $A_{nn'}$ and $B_{nn'}$ from the column SRB in Table [I,](#page-3-0) the necessary backscattering lengths are given by

$$
\frac{1}{L_1^{(b)}} \approx 4\pi^4 \frac{\sigma^2}{d^6} \frac{W(2k_1)}{k_1^2} + 9.2 \frac{\sigma^4}{d^4} \frac{S(2k_1)}{k_1^2},\tag{2.20a}
$$

$$
\frac{1}{L_2^{(b)}} \approx 64\pi^4 \frac{\sigma^2}{d^6} \frac{W(2k_2)}{k_2^2} + 100.2 \frac{\sigma^4}{d^4} \frac{S(2k_2)}{k_2^2}.
$$
 (2.20b)

Also from Eqs. (2.10) – (2.12) , but with the factors $A_{nn'}$ and $B_{nn'}$ corresponding to the ASRB waveguide, the explicit expressions of the lengths read

$$
\frac{1}{L_1^{(b)}} = 16\pi^4 \frac{\sigma^2}{d^6} \frac{W(k_1 + k_2)}{k_1 k_2} + \frac{\pi^4}{2} \frac{\sigma^4}{d^4} \frac{S(2k_1)}{k_1^2}, \qquad (2.21a)
$$

$$
\frac{1}{L_1^{(f)}} = 16\pi^4 \frac{\sigma^2}{d^6} \frac{W(k_1 - k_2)}{k_1 k_2} + \frac{\pi^4}{2} \frac{\sigma^4}{d^4} \frac{S(0)}{k_1^2},\qquad(2.21b)
$$

$$
\frac{1}{L_2^{(b)}} = 16\pi^4 \frac{\sigma^2}{d^6} \frac{W(k_1 + k_2)}{k_1 k_2} + 8\pi^4 \frac{\sigma^4}{d^4} \frac{S(2k_2)}{k_2^2}, \quad (2.21c)
$$

$$
\frac{1}{L_2^{(f)}} = 16\pi^4 \frac{\sigma^2}{d^6} \frac{W(k_1 - k_2)}{k_1 k_2} + 8\pi^4 \frac{\sigma^4}{d^4} \frac{S(0)}{k_2^2}.
$$
 (2.21d)

In this paper we consider the situation for which the correlator $W(x)$ can be approximated by the Gaussian form, $W(x) = \exp(-x^2/2R^2)$. After the substitution of this correlator into formulas (2.13) and (2.14) , for any degree of roughness, the power spectra appearing in Eqs. (2.20) and (2.21) are given by

$$
W(k_x) = \sqrt{2\pi} R \exp[-(k_x R)^2 / 2], \qquad (2.22a)
$$

$$
S(k_x) = \frac{\sqrt{\pi}}{16R^3} [(k_x R)^4 - 4(k_x R)^2 + 12] \exp[-(k_x R)^2 / 4].
$$

(2.22b)

These spectra have their maximum at $k_x = 0$, but with *W*(0) ∼ *R* and *S*(0) ∼ R^{-3} .

III. TWO-MODE SRB WAVEGUIDE

A. SRB: Competition between surface-scattering mechanisms

In order to discuss the competition between various mechanisms of surface scattering, we should distinguish between two regions for the correlation length *R*. These regions are denoted as the region of *small-scale roughness* ($kR \ll 1$) and the region of *large-scale roughness* ($kR \gg 1$). Both regions correspond to weak correlations between successive reflections of the wave from rough boundaries ($R \ll \Lambda_n$). Note that due to the last requirement the second of the weak-scattering conditions (2.15) is satisfied automatically when the first one is met, $R \ll \Lambda_n \ll 2L_n,L$.

1. Small-scale roughness

In this region the surface roughness can be regarded as a delta-correlated random process of the white-noise type. Taking into account the evident relationship $1 \leq k \Lambda_n$ and the weak-scattering conditions (2.15) , one can get the following inequalities to specify this region together with requirements of applicability of the theory:

$$
kR \ll 1 \lesssim k\Lambda_n \ll 2kL_n. \tag{3.1}
$$

Under the condition (3.1) , the argument of the power spectra (2.22) is much less than the scale of their decrease, $k_x \ll R^{-1}$. Therefore, one can write

$$
W(k_x) \approx W(0) = \sqrt{2\pi} R, \qquad (3.2a)
$$

$$
S(k_x) \approx S(0) = 3\sqrt{\pi}/4R^3. \tag{3.2b}
$$

In this case, when *R* decreases, the spectrum $S(k_x)$ increases as fast as R^{-3} , whereas $W(k_x)$ decreases as *R*. As one can see from Eqs. (2.20) , in spite of the fact that the first term is proportional to σ^2 while the second is proportional to σ^4 , for any value of the roughness height σ , there is a region of small values of *R*, where the square-gradient-scattering mechanism predominates [provided the condition (3.1) is fulfilled]. Specifically, if the correlation length R is smaller than some *crossing point Rcn*, the first term in Eqs. [\(2.20a\)](#page-4-0) and [\(2.20b\)](#page-4-0) can be neglected, and the mode-backscattering lengths are approximated to

$$
\frac{1}{L_1^{(b)}} \approx 12.2 \ k_1 \frac{(\sigma/d)^4}{(k_1 R)^3} \quad \text{for} \quad kR \ll kR_{c1} \ll 1,\quad (3.3a)
$$

$$
\frac{1}{L_2^{(b)}} \approx 133.3 \ k_2 \frac{(\sigma/d)^4}{(k_2 R)^3} \quad \text{for} \quad kR \ll kR_{c2} \ll 1. \quad (3.3b)
$$

Otherwise, when R is larger than R_{cn} , the square-gradient (second) term in Eqs. $(2.20a)$ and $(2.20b)$ can now be neglected, and the mode-backscattering lengths are approximated to

$$
\frac{1}{L_1^{(b)}} \approx 10.0 \ k_1 \frac{(\sigma/d)^2 (k_1 R)}{(k_1 d/\pi)^4} \quad \text{for} \quad kR_{c1} \ll kR \ll 1,
$$
\n
$$
\frac{1}{L_2^{(b)}} \approx 160.4 \ k_2 \frac{(\sigma/d)^2 (k_2 R)}{(k_2 d/\pi)^4} \quad \text{for} \quad kR_{c2} \ll kR \ll 1.
$$
\n(3.4a)

The dimensionless crossing point *kRcn* of the *n*th backscattering length can be located either on the border between the regions of small- and large-scale-roughness, or inside the first region. In the former case, $kR_{cn} \sim 1$, and within whole region (3.1), the mode-backscattering lengths are contributed mainly by square-gradient-scattering, i.e., by Eq. (3.1). In the latter case, kR_{c1} and kR_{c2} are found by searching for the intersection of the asymptote $(3.3a)$ with $(3.4a)$, and $(3.3b)$ with $(3.4b)$. Thus, the crossing points read

$$
kR_{c1} \approx kR_{c2} \approx 0.3 \; kd \; \sqrt{\sigma/d} \; . \tag{3.5}
$$

2. Large-scale roughness

This region arises when the correlation length *R* becomes much larger than the wave length $2\pi/k$, but still remains much less that the cycle length Λ_n ,

$$
1 \ll kR \ll k\Lambda_n \ll 2kL_n. \tag{3.6}
$$

Here the square-gradient term in Eqs. [\(2.20a\)](#page-4-0) and [\(2.20b\)](#page-4-0) can be neglected, and the mode-backscattering lengths are approximated to

$$
\frac{1}{L_1^{(b)}} \approx 10.0 \ k_1 \frac{(\sigma/d)^2 (k_1 R)}{(k_1 d/\pi)^4} \ \exp[-2(k_1 R)^2]
$$
\n
$$
\text{for} \quad 1 \ll kR \ll k\Lambda_1,\tag{3.7a}
$$

$$
\frac{1}{L_2^{(b)}} \approx 160.4 \ k_2 \frac{(\sigma/d)^2 (k_2 R)}{(k_2 d/\pi)^4} \ \exp[-2(k_2 R)^2]
$$
\n
$$
\text{for} \quad 1 \ll kR \ll k\Lambda_2. \tag{3.7b}
$$

B. SRB: Total and mode transmittances

Based on the diagonal form of matrices $A_{nn'}$ and $B_{nn'}$ of the SRB waveguide, this study argues that the attenuation of waves arises due to the intramode scattering only (see Table [I\)](#page-3-0). Consequently, the two-mode waveguide can be treated as two independent 1D-surface-disordered wires with their corresponding backscattering lengths $L_1^{(b)}$ and $L_2^{(b)}$. Thus, the known theory of 1D localization becomes pertinent here. In adapting this theory to the present situation, it should be realized that the scaling parameters $L/L_1^{(b)}$ and $L/L_2^{(b)}$ determine, respectively, the average transmittance of the first and second mode. Specifically, the transmittance of the *n*th mode $(n = 1,2)$ can be taken, for example, from Ref. [\[8\]](#page-13-0). It reads

$$
\langle T_M(L/L_n^{(b)}) \rangle = \frac{1}{2\sqrt{\pi}} \left(\frac{L}{4L_n^{(b)}} \right)^{-3/2} \exp\left(-\frac{L}{4L_n^{(b)}} \right)
$$

$$
\times \int_0^\infty \frac{z^2 \, dz}{\cosh z} \exp\left(-z^2 \frac{L_n^{(b)}}{L} \right). \tag{3.8}
$$

The total transmittance is given by,

$$
\langle T(L) \rangle = \sum_{n=1}^{2} \langle T_M(L/L_n^{(b)}) \rangle.
$$
 (3.9)

Equations (3.8) and (3.9) can be directly found from the general expression (2.3) with the use of well developed methods, such as, e.g., the perturbative diagrammatic technique

FIG. 2. (Color online) SRB waveguide: the mode transmittance (3.8) (solid line) and its asymptotes (3.10) and (3.11) for ballistic and localized regimes, respectively (dashed lines).

of Berezinski [\[2,](#page-13-0)[49\]](#page-14-0), the invariant imbedding method [\[50,51\]](#page-14-0) or the two-scale approach [\[7,22,30\]](#page-13-0). Here it should be stressed that the ratio $L/L_n^{(b)}$ includes the contribution of both the amplitude-scattering mechanism and the square-gradientscattering mechanism through the first and second terms in Eqs. $(2.20a)$ and $(2.20b)$.

The mode transmittance (3.8) exhibits the ballistic behavior for large backscattering length,

$$
\langle T_M(L/L_n^{(b)}) \rangle \approx 1 - L/L_n^{(b)}
$$
 for $L \ll L_n^{(b)}$. (3.10)

In this case the *n*th conducting channel is practically transparent. On the contrary, the mode transmittance displays exponential decrease as the waveguide length *L* exceeds $4L_n^{(b)}$,

$$
\langle T_M(L/L_n^{(b)}) \rangle \approx \frac{\pi^{5/2}}{16} \left(\frac{L}{4L_n^{(b)}} \right)^{-3/2} \exp\left(-\frac{L}{4L_n^{(b)}}\right)
$$

for $L_n^{(b)} \ll L$. (3.11)

The dependence of the function (3.8) and its asymptotes (3.10) and (3.11) on the scaling parameter $L/L_n^{(b)}$ are shown in Fig. 2.

In accordance with two mode-transport regimes (3.10) , (3.11) and due to the hierarchy of the mode-backscattering lengths, $L_2^{(b)} < L_1^{(b)}$ (this hierarchy can be seen when com-paring Eq. [\(2.20a\)](#page-4-0) with [\(2.20b\)](#page-4-0), or more directly through the couples of Eqs. (3.3), (3.4), and (3.7), the total transmittance [\(3.9\)](#page-5-0) has the following three transport regimes:

(1) In the *regime of localization* when the largest modebackscattering length $L_1^{(b)}$ is smaller than the waveguide length *L*,

$$
1 < L/L_1^{(b)} < L/L_2^{(b)}, \tag{3.12}
$$

both propagating modes are strongly localized, and their transmittances are exponentially small. The total transmittance [\(3.9\)](#page-5-0) is approximately equal to the transmittance of the first mode obeying the asymptote (3.11),

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle \approx \frac{\pi^{5/2}}{16} \left(\frac{L}{4L_1^{(b)}} \right)^{-3/2} \exp \left(-\frac{L}{4L_1^{(b)}} \right). \tag{3.13}
$$

The waveguide is nontransparent in this regime.

(2) The *coexistence regime* arises when the smallest backscattering length $L_2^{(b)}$ is smaller, while the largest backscattering length $L_1^{(b)}$ is larger than the waveguide length *L*,

$$
L/L_1^{(b)} < 1 < L/L_2^{(b)}.\tag{3.14}
$$

In this case, the first mode manifests the ballistic behavior (3.10), while the second mode is still localized in line with Eq. (3.11) . Therefore, as before, the total transmittance (3.9) is determined by the transmittance of the first mode, however governed by the ballistic asymptote (3.10) ,

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle \approx 1. \tag{3.15}
$$

(3) The *ballistic regime* emerges under the conditions

$$
L/L_1^{(b)} < L/L_2^{(b)} < 1,\tag{3.16}
$$

when the smallest mode-backscattering length $L_2^{(b)}$ is larger than *L*. As a consequence, both conducting channels are open having almost unit transmittances (3.10). The waveguide is almost perfectly transparent. Its total transmittance (3.9) approximately equals to the total number of the propagating modes,

$$
\langle T(L) \rangle \approx 2. \tag{3.17}
$$

C. SRB: Transmittance versus correlation length

Here we discuss the dependence of the mode-backscattering lengths and transmittances on the dimensionless correlation parameter *kR*. For this, we plot the ratio $L_1^{(b)}/L_2^{(b)}$, as well as the scaling parameters $L/L_1^{(b)}$ and $L/L_2^{(b)}$; see Figs. 3(a) and 3(b). These plots are computed from the expressions for $L_n^{(b)}$

FIG. 3. (Color online) SRB waveguide: Mode-backscattering lengths, $L_n^{(b)}$, and transmittances, $\langle T_{Mn} \rangle = \langle T_M(L/L_n^{(b)}) \rangle$, $\langle T(L) \rangle$, vs. dimensionless correlation length, kR , for $\sigma/d = 0.0025$, $k d/\pi =$ 2.54, ($k\sigma \approx 0.02$), and $L/d = 1600$. The crossing points $kR_{c1} \approx$ 0.13 and $kR_{c2} \approx 0.12$ are indicated on the top of the upper plot. The boundary between the localization and the coexistence regime is at $kR \approx 0.02$ and with further increment of kR the propagation regime becomes ballistic after the point $kR \approx 0.06$.

given in Eqs. $(2.20a)$ and $(2.20b)$ with power spectra (2.22) . If *R* decreases, the lengths L_n decreases as R^3 whereas Λ_n remains fixed, thus, the smallest value of *R* is restricted by the criterion [\(2.15a\)](#page-3-0); this criterion is fulfilled since $\Lambda_1/2L_1 \approx$ $0.01 \ll 1$ and $\Lambda_2/2L_2 \approx 0.04 \ll 1$ at the left boundary of the plots, $kR = 0.01$. Because the plots illustrate the case of weak correlations ($R \ll \Lambda_n$), the condition [\(2.15b\)](#page-3-0) is satisfied automatically if $(2.15a)$ is met.

Figure $3(a)$ exhibits the crossover from the square-gradient to amplitude scattering. Specifically, with an increase of *kR* the ratio $L_1^{(b)}/L_2^{(b)}$ starts with the value

$$
\frac{L_1^{(b)}}{L_2^{(b)}} \approx 10.9 \left(\frac{k_1}{k_2}\right)^2 \quad \text{for} \quad kR \ll kR_{c2} \tag{3.18}
$$

and grows in accordance with the relation

$$
\frac{L_1^{(b)}}{L_2^{(b)}} \approx 16 \left(\frac{k_1}{k_2}\right)^2 \exp[6\pi^2 (R/d)^2]
$$

for $kR_{c1} \ll kR \ll k\Lambda_2$. (3.19)

The asymptotic behaviors (3.18) and (3.19) are obtained, respectively, from Eqs. (3.3) and (3.7). Note that the hierarchy $L_1^{(b)} > L_2^{(b)}$ remains for any value of the parameters. With the curves in Fig. $3(b)$, the different transport regimes defined in Eqs. (3.12) – (3.17) can be identified.

The mode transmittances (3.8) and total transmittance (3.9) are plotted in Fig. $3(c)$. Because of the parameters used in the plots, the boundary between the localization and the coexistence regime is at $kR \approx 0.02$. With further increment of kR the propagation regime becomes ballistic after the point $kR \approx 0.06$. Figure [3\(c\)](#page-6-0) clearly demonstrates that, due to the hierarchy of backscattering lengths, $L_2^{(b)} < L_1^{(b)}$, the transmittance of the second mode is always smaller than the transmittance of the first one,

$$
\left\langle T_M(L/L_2^{(b)})\right\rangle < \left\langle T_M(L/L_1^{(b)})\right\rangle. \tag{3.20}
$$

Therefore, within the localization (3.12) and coexistence (3.14) regions the total transmittance (3.9) is mainly contributed by the first term, i.e., by the first-mode transmittance,

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle. \tag{3.21}
$$

Within the localization regime, $\langle T(L) \rangle$ behaves as indicated in Eq. [\(3.13\)](#page-6-0). Within the coexistence regime the total transmittance is described by Eq. (3.15) . For the ballistic regime [\(3.16\)](#page-6-0), the approximation given by Eq. [\(3.10\)](#page-6-0) describes well the behavior of the mode transmittances. Correspondingly, the value of the total transmittance tends to the total number $N_d = 2$ of the propagating modes; see Eq. [\(3.17\)](#page-6-0).

Thus, with the variation of roughness correlations one can realize all three regimes, which is inherent for the transport through the two-mode SRB waveguide. Note one more that coexistence regime (3.14) , (3.15) arises only due to the fact that in the SRB waveguide the hierarchy of the mode-backscattering lengths is always present.

D. SRB: Transmittance versus mode parameter

From the experimental viewpoint, it could be more feasible to study the transport properties of a waveguide by fixing its

geometrical parameters such as length, width, etc., whereas the wavenumber k is varied within some interval. In Fig. 4 we present three pairs of frames formed by plots of modebackscattering lengths and transmittances as functions of the mode parameter $k \frac{d}{\pi}$ (dimensionless wavenumber) within the range of first two modes. The pairs (a) – (b) , (c) – (d) , and (e) – (f) mainly illustrate, respectively, the localized (3.12) , coexistence (3.14) , and ballistic (3.16) transport regimes. Here the different regimes are illustrated by selecting three specific values of the correlation length *R* whereas the length of the waveguide *L* stays constant; when $kd/\pi = 2.54$, the mode-backscattering lengths and transmittances corresponds to those presented in Fig. [3](#page-6-0) at the points $kR = 0.014$, $kR = 0.04$, and $kR = 0.3$. In this way, inside each regime, the relative influence of the scattering mechanisms is different. Another possibility to arrive to different transport regimes, which is not shown here, would consist in selecting only one value for *kR* and three different values of the length *L*.

Figure [4\(a\),](#page-8-0) [4\(c\),](#page-8-0) and [4\(e\)](#page-8-0) shows the plots of $L_n^{(b)}/L_{\infty}$ com-puted from Eqs. [\(2.20a\)](#page-4-0) and [\(2.20b\)](#page-4-0), as well as $\frac{\Gamma(A, G)(b)}{L}$, which also comes from these equations but when the squaregradient term is neglected. If *kd/π* increases, the *n*th attenuation length also increases from its value equal to zero at $kd/\pi = n$, where the *n*th normal mode opens ($n = 1,2$). Note that our results, which are obtained within the second-order approximation in the perturbation potential, can indicate only the position of these zeros and cannot correctly describe their line shape in the narrow vicinity of integer values of $k d/\pi$. The reason is that at these resonance points the longitudinal wavenumber k_n vanishes, and, as a consequence, the corresponding inverse attenuation length diverges. Conventionally, this problem is solved within the self-consistent approach providing finite resonance values for $1/L_n^{(b)}$ (see, e.g., Ref. [\[40\]](#page-14-0)). It should be mentioned that the curves associated with the first propagating mode do not manifest any resonance at $kd/\pi = 2$, even with the opening of the second propagating mode. This occurs since both mechanisms that govern the scattering in two-mode SRB waveguide are associated with intramode transitions only. Remarkably, in (a) and (c) the dimensionless lengths $L_1^{(A, G)(b)}/L_1$ and $L_2^{(A, G)(b)}/L_1$ are much larger than the total lengths, $L_1^{(b)}/L$ and $L_2^{(b)}/L$. This is because the main contribution is due to the square-gradientscattering lengths, $L_1^{(SG)(b)}/L$ and $L_2^{(SG)(b)}/L$. Therefore, for values of the mode parameter far from the resonances, the approximate behavior of $L_n^{(b)}/L$ is given by Eqs. (3.3) (not plotted in figure). Otherwise, in (e), in which the main contribution is due to the amplitude-gradient-scattering lengths, $L_1^{(A, G)(b)}/L$ and $L_2^{(A, G)(b)}/L$, the approximate behavior of $L_n^{(b)}/L$ is given by Eqs. (3.4).

The mode transmittances (3.8) , and total transmittance (3.9) are plotted in Fig. $4(b)$, $4(d)$, and $4(f)$. In $4(b)$ the first and second propagating modes are in localized transport regime; this fact is indicated by the small mode transmittances given by Eq. [\(3.11\)](#page-6-0). The total transmittance is approximately equal to the transmittance of the first mode; thus, it is given by Eq. [\(3.13\)](#page-6-0). As one can see in Fig. [3,](#page-6-0) with the increment of *kR* the mode-attenuation lengths also increase, resulting in the condition (3.14) that is illustrated in (d) . Here the first and second propagating modes are, respectively, in ballistic and

FIG. 4. (Color online) SRB waveguide: Mode-backscattering lengths and transmittances versus mode parameter for $\sigma/d = 0.0025$, $L/d = 1600$, with $R/d \approx 0.0018$ for (a)–(b), $R/d \approx 0.005$ for (c)–(d), and $R/d \approx 0.038$ for (e)–(f). Note that for fixed values of the roughness height σ and the mode parameter within the interval $2 < k d/\pi < 3$, $k\sigma$ ranges the interval $0.016 \leq k\sigma \leq 0.024$; similarly, for fixed values of the correlation length *R* and the mode parameter within the interval $2 < k d/\pi < 3$, the dimensionless correlation length *kR* ranges the interval 0.011 $\leq kR \leq 0.017$ in (a)–(b), $0.031 \le kR \le 0.047$ in (c)-(d) and $0.23 \le kR \le 0.35$ in (e)-(f).

localized transport regimes. The coexistence situation for the total transmittance is graphically indicated by a very small

deviation of $\langle T(L) \rangle$ from $\langle T_M(L/L_1^{(b)}) \rangle$, when kd/π approaches 3. This behavior is marked by the ballistic asymptote (3.10) for $\langle T_M(L/L_1^{(b)}) \rangle$ and by increasing rate of the curve $\langle T_M(L/L_2^{(b)}) \rangle$ with the localization asymptote [\(3.11\)](#page-6-0). The predominating contribution of the square-gradient-scattering mechanism can be noted visually in (b) and (d) by comparing the curve of $\langle T(L) \rangle$ with the curve $\langle T^{(A,G)}(L) \rangle$,

$$
\langle T^{(A,G)}(L)\rangle = \sum_{n=1}^{2} \langle T_M(L/L_n^{(A,G)(b)})\rangle, \tag{3.22}
$$

that ignores this contribution. In Fig. $4(f)$ the first and second propagating modes are in ballistic transport regime. When increasing $k d / \pi$, this is portrayed by the sharp increase of the transmittance and, then, by the ballistic behavior given by the asymptote (3.10) . This step-like behavior indicates the openness of the channels with almost unit transmittance and, consequently, the total transmittance given by Eq. [\(3.17\)](#page-6-0), as the total number of propagating modes. Since in frame (f) the main contribution is due to the amplitude-scattering mechanism, the behavior of the total transmittance follows the approximation (3.22) [see the overlapping of curves related to Eqs. (3.9) and (3.22)].

IV. TWO-MODE ASRB WAVEGUIDE

In contrast with the SRB waveguide, the theory for the ASRB waveguide can not describe the whole transition from ballistic to localized transport, which is expected for large enough *L* (numerical evidence of this transition can be found, for instance, in Refs. [\[20,23\]](#page-13-0)). However, the analysis of the mode-attenuation lengths that is performed here for two mode waveguides, predicts many of important characteristics of transport. Thus, as is remarked in Sec. [I,](#page-0-0) the attenuation in the ASRB waveguide is determined by the interplay between the gradient-scattering and square-gradient-scattering mechanisms, i.e., it is determined by the interplay between intermode and intramode scattering. Below, first, a more detailed analysis of mode-attenuation lengths allow us to compute the interval of parameters in which only one of these mechanisms prevails, as well as their behavior inside that interval. Secondly, the theory already developed for the SRB waveguide, in which the transport properties of the *n*th channel are specified by the scaling parameter $L/L_n^{(b)}$, is applied when only the intramode scattering governs the attenuation and the channels can be considered as independent. Thirdly, the theory that accounts for the transport properties of the ASRB waveguide, when the intermode scattering determines the attenuation (when the channels become mixed), is developed. Finally, a small region of transition, where no analytical results exist, is only indicated.

A. ASRB: Competition between surface-scattering mechanisms

The competition between surface-scattering mechanisms is again discussed within the two intervals of correlation length *R*, which are denoted as the region of *small-scale roughness* $(kR \ll 1)$ and the region of *large-scale roughness* $(kR \gg 1)$ 1). Both regions correspond to weak correlations between successive reflections of the wave from rough boundaries $(R \ll \Lambda_n)$. See details about the definition of the regions of correlation length in Sec. [III A.](#page-5-0)

1. Small-scale-roughness region

In this region [see Eq. (3.1)], if the correlation length *R* is smaller than the *crossing point* R_{cn} , the first term in Eqs. (2.21) can be neglected. Then, after the substitution of Eq. [\(3.2b\)](#page-5-0) into the second term of Eqs. (2.21) one arrives to $1/L_n^{(b)} \approx 1/L_n^{(f)}$, and the following asymptotic formulas for the backscattering lengths are obtained:

$$
\frac{1}{L_1^{(b)}} \approx 64.7 \ k_1 \frac{(\sigma/d)^4}{(k_1 R)^3} \quad \text{for} \quad kR \ll kR_{c1} \ll 1,\quad (4.1a)
$$

$$
\frac{1}{L_2^{(b)}} \approx 1035.9 \ k_2 \frac{(\sigma/d)^4}{(k_2 R)^3} \quad \text{for} \quad kR \ll kR_{c2} \ll 1. \tag{4.1b}
$$

It is necessary to be aware that the present analysis of the competition is valid for the values of mode parameter *kd/π* not very close to the resonances $kd/\pi = 1, 2$. Note particularly that the approximations (4.1) do not work at $kd/\pi = 2$, since this resonance is included in the neglected terms $1/L_n^{(A, G)(b)}$ and $1/L_n^{(A,G)(f)}$.

If R is larger than R_{cn} , the square-gradient term in Eqs. (2.21) can be neglected. Then, after the substitution of $(3.2a)$ into the first term, the mode-attenuation lengths (2.9) are approximated to

$$
\frac{1}{L_1} \approx 80.2 \ k_2 \ \frac{(\sigma/d)^2 (k_1 R)}{(k_1 d/\pi)^2 (k_2 d/\pi)^2} \quad \text{for} \quad kR_{c1} \ll kR \ll 1,
$$

$$
(4.2a)
$$

$$
\frac{1}{L_2} \approx \frac{1}{L_1} \quad \text{for} \quad kR_{c2} \ll kR \ll 1. \tag{4.2b}
$$

The dimensionless crossing point kR_{cn} of the *n*th length can be located either on the border between the regions of small- and large-scale roughness, or inside the first region. In the former case, $kR_{cn} \sim 1$, and within the whole smallscale roughness region the mode-attenuation lengths are described only by square-gradient-scattering contribution, i.e., by Eq. (4.1). In the latter case, kR_{c1} and kR_{c2} are computed by searching for the intersection of the asymptotes (4.1) with (4.2). Thus, the crossing points read

$$
kR_{c1} \approx 0.36 \sqrt[4]{\frac{k_2}{k_1}} \sqrt{\frac{\sigma}{d}} \ k d,\tag{4.3a}
$$

$$
kR_{c2} \approx 0.72 \sqrt[4]{\frac{k_1}{k_2}} \sqrt{\frac{\sigma}{d}} kd.
$$
 (4.3b)

2. Large-scale-roughness region

In this region [see Eq. (3.6)] the square-gradient terms in Eqs. (2.21) can be neglected and the mode-attenuation lengths [\(2.9\)](#page-2-0) are approximated to

$$
\frac{1}{L_1} \approx 40.1 \ k_2 \frac{(\sigma/d)^2 (k_1 R)}{(k_1 d/\pi)^2 (k_2 d/\pi)^2} \left\{ \exp \left[-\frac{(k_1 + k_2)^2 R^2}{2} \right] + \exp \left[-\frac{(k_1 - k_2)^2 R^2}{2} \right] \right\}, \quad \text{for} \quad 1 \ll kR \ll k\Lambda_1,
$$
\n(4.4a)

$$
\frac{1}{L_2} \approx \frac{1}{L_1}, \quad \text{for} \quad 1 \ll kR \ll k\Lambda_2. \tag{4.4b}
$$

B. ASRB: Total and mode transmittances

The preceding analysis of mode-attenuation lengths states that values of correlation length smaller than the first crossing point, $R < R_{c1}$, result in *both* mode-attenuation lengths governed by intramode scattering only; see Eqs. (4.1). Therefore, mode and total transmittances are given by Eqs. [\(3.8\)](#page-5-0) and [\(3.9\)](#page-5-0), however, with mode-backscattering lengths $L_1^{(b)}$ and $L_2^{(b)}$ given by Eqs. $(2.21a)$ and $(2.21c)$ that are well described by their asymptotes $(4.1a)$ and $(4.1b)$.

Also the preceding analysis says that values of correlation length larger than the second crossing point, $R_{c2} < R$, result in mode-attenuation lengths associated with intermode scattering only; see Eqs. (4.2) and (4.4). In this case the theory that accounts for the transport properties should differ from that used in SRB waveguide. Within the approach presented here, the average two-particle Green's function entering in Eq. (2.3) , for the transmittance, is assumed to be equal to the product of two average one-particle ones. Therefore, to obtain the total transmittance $\langle T(L) \rangle$, we can substitute Eq. [\(2.7\)](#page-2-0) into Eq. [\(2.3\)](#page-2-0). After evaluation of the integrals, the following result becomes apparent:

$$
\langle T(L) \rangle = \sum_{n=1}^{N_d} \langle T_M(L/L_n) \rangle, \quad \text{for} \quad R_{c2} < R. \tag{4.5}
$$

Here the total transmittance $\langle T(L) \rangle$ reads as a sum of average mode transmittances $\langle T_M(L/L_n) \rangle$, describing the transparency of every *n*th propagating mode. Within the assumption necessary for the averaging procedure to be reasonable, they are determined by the following expression:

$$
\langle T_M(L/L_n) \rangle = 2 \frac{L_n}{L} \left\{ 1 - \frac{L_n}{L} \left[1 - \exp\left(-\frac{L}{L_n} \right) \right] \right\}.
$$
 (4.6)

One can see that the mode transmittance is described by a function that depends on the parameter L/L_n only. Note that in accordance with Eq. [\(2.9\)](#page-2-0), the above parameter depends on *both* backward and forward scattering lengths explicitly given in Eqs. (2.21) and obeying the asymptotic expressions (4.4).

The mode transmittance (4.6) exhibits the ballistic behavior for large mode attenuation length,

$$
\langle T_M(L/L_n) \rangle \approx 1 - L/3L_n \quad \text{for} \quad L \ll L_n. \tag{4.7}
$$

In this case the *n*th conducting channel is practically transparent. On the contrary, the mode transmittance is small when L_n turns out to be much less than the length of the waveguide,

$$
\langle T_M(L/L_n) \rangle \approx 2L_n/L \quad \text{for} \quad L_n \ll L. \tag{4.8}
$$

The inverse proportional dependence of Eq. (4.8) on the waveguide length *L* implies the diffusive regime of wave transport at a given *n*th mode. Figure [5](#page-10-0) shows the behavior of Eq. (4.6) and its asymptotes (4.7) and (4.8) versus the parameter L/L_n .

It is necessary now to consolidate both aforementioned complementary approaches. First, when $R < R_{c1}$, the intramode scattering gives rise to the hierarchy of modebackscattering lengths, $L_2^{(b)} < L_1^{(b)}$; see Eqs. (4.1a) and (4.1b). Due to this hierarchy and in accordance with two modetransport regimes (3.10) and (3.11) , the total transmittance [\(3.9\)](#page-5-0) can exhibit the ballistic, coexistence and localized

FIG. 5. (Color online) ASRB waveguide: Mode transmittance described by Eq. (4.6) (solid line) and its asymptotes, given by (4.7) and [\(4.8\)](#page-9-0) for ballistic and diffusive regimes (dashed lines). The region where the diffusion terminates due to the influence of localization, is shown schematically.

transport regimes. Second, when $R_{c2} < R$, in accordance with two mode-transport regimes (4.7) and (4.8) , the total transmittance [\(4.5\)](#page-9-0) can exhibit the ballistic and diffusive regimes. Here the coexistence transport regime can not emerge because the intermode scattering results in mode-attenuation lengths that approximate each other, $L_1 \approx L_2$; see Eqs. [\(4.2b\)](#page-9-0) and [\(4.4b\)](#page-9-0). Specifically, the transport regimes of the *total* transmittance are defined as follows:

(1) In the *regime of localization*, when the largest modebackscattering length $L_1^{(b)}$ is smaller than the waveguide length *L*, and the correlation length *R* is smaller than the first crossing point R_{c1} ,

$$
1 < L/L_1^{(b)} < L/L_2^{(b)}, \quad R < R_{c1}, \tag{4.9}
$$

both propagating modes are strongly localized and their transmittances are exponentially small. The total transmittance is approximately equal to the transmittance of the first mode obeying the asymptote (3.11) ,

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle \approx \frac{\pi^{5/2}}{16} \left(\frac{L}{4L_1^{(b)}} \right)^{-3/2}
$$

$$
\times \exp\left(-\frac{L}{4L_1^{(b)}}\right), \tag{4.10}
$$

with $L_1^{(b)}$ given by Eq. [\(4.1a\)](#page-9-0). The waveguide is nontransparent in this regime.

(2) The *coexistence regime* arises when the smallest backscattering length $L_2^{(b)}$ is smaller, while the largest backscattering length $L_1^{(b)}$ is larger than the waveguide length *L*, with the correlation length *R* smaller than the first crossing point R_{c1} ,

$$
L/L_1^{(b)} < 1 < L/L_2^{(b)}, \quad R < R_{c1}.\tag{4.11}
$$

In this case, the first mode manifests the ballistic behavior [\(3.10\)](#page-6-0), while the second mode is still localized in line with Eq. (3.11) . Therefore, as before, the total transmittance (3.9) is determined by the transmittance of the first mode, however, governed by the ballistic asymptote [\(3.10\)](#page-6-0),

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle \approx 1. \tag{4.12}
$$

The coexistence of the ballistic and diffusive regimes is possible when the hierarchy of the mode-attenuation lengths, $L_2^{(b)} < L_1^{(b)}$, occurs.

(3) The *diffusive regime* emerges when both mode transmittances have the diffusive behavior and when the correlation length *R* is larger than the second crossing point R_{c2} ,

$$
1 < L/L_1 \approx L/L_2, \quad R_{c2} < R. \tag{4.13}
$$

This regime arises if the attenuation is caused by the gradient-scattering mechanism. The mode transmittances have approximately the same behavior that is described by Eq. [\(4.8\)](#page-9-0), and, as a consequence, the total transmittance can be expressed as a double value of one of them,

$$
\langle T_M(L/L_1) \rangle \approx \langle T_M(L/L_2) \rangle, \tag{4.14a}
$$

$$
\langle T(L) \rangle \approx 2 \langle T_M(L/L_1) \rangle \approx 4L_1/L. \tag{4.14b}
$$

Here the approximate expression for L_1 is given either by Eq. (4.2) if $kR_{c2} \ll kR < 1$, or by Eq. (4.4) if $1 \ll kR \ll k\Lambda_n$. (4) The *ballistic regime* emerges under either the conditions

$$
L/L_1^{(b)} < L/L_2^{(b)} < 1 \quad \text{for} \quad R < R_{c1}, \quad \text{or} \quad (4.15a)
$$
\n
$$
L/L_1 \approx L/L_2 < 1 \quad \text{for} \quad R_{c2} < R, \quad (4.15b)
$$

when the smallest mode-attenuation length, $L_2^{(b)}$ or L_2 , is larger than *L*. Both conducting channels are open having approximately unit transmittances, (3.10) or (4.7) . The total transmittance approaches the total number of propagating modes,

$$
\langle T(L) \rangle \approx 2. \tag{4.16}
$$

Here the waveguide has almost perfect transparency.

Note that our model is no able to describe the transmittances for a *transition* region that emerges within the interval *Rc*¹ *<* $R < R_{c2}$, when both intramode and intermode scattering have a comparable weight. However, this region is actually small in comparison with the large range of values of *R* for which the analytical approach has been developed.

C. ASRB: Transmittance versus correlation length

In order to discuss the dependence of the transmittances and their scaling parameters upon the dimensionless correlation length *kR*, Fig. [6](#page-11-0) is presented. In the region *kR <* kR_{c1} , where intramode scattering prevails, the transmittances $\langle T_M(L/L_1^{(b)}) \rangle$, $\langle T_M(L/L_2^{(b)}) \rangle$, $\langle T(L) \rangle$, the scaling parameters $L/L_1^{(b)}$, $L/L_2^{(b)}$, and the ratio of these parameters $L_1^{(b)}/L_2^{(b)}$, are depicted. Otherwise, in the region $kR_{c2} < kR$, where intermode scattering prevails, the transmittances $\langle T_M(L/L_1) \rangle$, $\langle T_M(L/L_2) \rangle$, $\langle T(L) \rangle$, the scaling parameters L/L_1 , L/L_2 , and the ratio L_1/L_2 , are depicted. In the first region the transmittances are computed from Eqs. (3.9) and (3.8) , whereas in the second region, from Eqs. (4.5) , (4.6) . In both ranges, however, the scattering lengths are computed from Eqs. (2.21).

If *R* decreases, the lengths L_n and $L_n^{(b)}$ decreases as R^3 whereas the cycle length Λ_n has a fixed value; thus, the smallest value of R is restricted by the criterion $(2.15a)$; here this criterion is fulfilled since $\Lambda_1/2L_1^{(b)} \approx 0.03 \ll 1$ and $\Lambda_2/2L_2^{(b)} \approx 0.33 \ll 1$ at the left boundary of the plots,

FIG. 6. (Color online) ASRB waveguide: Mode-backscattering lengths, mode-attenuation lengths, $L_n^{(\cdot)}$, and transmittances, $\langle T_{M_n} \rangle =$ $\langle T_M(L/L_n^{(\cdot)}) \rangle$, $\langle T(L) \rangle$, versus dimensionless correlation length *kR* (here $L_n^{(\cdot)} = L_n^{(b)}$ for $kR < kR_{c1}$ and $L_n^{(\cdot)} = L_n$ for $kR_{c2} < kR$). The crossing points $kR_{c1} \approx 0.13$ and $kR_{c2} \approx 0.32$ are indicated on the top of the upper plot. Note that there is no analytical results within the transition region $kR_{c1} < kR < kR_{c2}$, in which the curves shown are obtained by simple interpolation. The global parameters are $\sigma/d = 0.0025$ and $kd/\pi = 2.54$, ($k\sigma = 0.02$). In (a) the ratio L_1/L_2 is depicted; the asymptotes, $L_1/L_2 \approx 35.78$ and $L_1/L_2 \approx 1$ can be noted. In (b)-(c) the normalized waveguide length is $L/d = 160$; the boundary between the localized and coexistence regimes is at $k \approx 0.016$, then, the boundary between the coexistence and ballistic regimes is at $kR \approx 0.05$. In (d)-(e) $L/d = 16000$: the boundary between the localized and coexistence regimes is at $kR \approx 0.075$, then, the boundary between the diffusive and ballistic regimes is at $kR \approx 7.0$.

 $kR = 0.01$. Because the plots illustrate the case of weak correlations $(R \ll \Lambda_n)$, the condition $(2.15b)$ is satisfied automatically if [\(2.15a\)](#page-3-0) is met.

The ratio of the lengths $L_1^{(b)}/L_2^{(b)}$, which is equal to the ratio L_1/L_2 for $kR < kR_{c1}$, is in Fig. 6(a). It exhibits the crossover from square-gradient scattering to gradient scattering. Therefore, with the increase of *kR*, the ratio decreases from the large value

$$
\frac{L_1^{(b)}}{L_2^{(b)}} \approx \frac{L_1}{L_2} \approx 16 \left(\frac{k_1}{k_2}\right)^2 \quad \text{for} \quad kR \ll kR_{c1}, \quad (4.17)
$$

to the unity,

$$
\frac{L_1}{L_2} \approx 1 \quad \text{for} \quad kR_{c2} \ll kR \ll k\Lambda_2. \tag{4.18}
$$

The asymptotes (4.17) and (4.18) are obtained, respectively, through Eqs. (4.1) and (4.4). In contrast with the SRB waveguide, here the equality of mode-attenuation lengths, $L_1 \approx L_2$, emerges as a result of a strong intermode mixing, which is governed by the gradient-scattering mechanism. This nontrivial fact is in a strong contrast with a nonisotropic character of surface scattering in the channel space [\[23,28\]](#page-13-0).

In Fig. $6(b)$ – $6(c)$ and $6(d)$ – $6(e)$ two cases are illustrated in which, depending upon the roughness correlation length *kR*, different transport regimes can arise. The first case corresponds to a moderately large waveguide that can present the localized, coexistence or ballistic transport regime; see frames (b) and (c). In comparison, the second case corresponds to a larger waveguide that can present the diffusive transport regime in addition to the aforementioned ones; see frames (d) and (e). Note that different transport regimes, defined in Eqs. [\(4.9\)](#page-10-0), (4.11) , (4.13) , and (4.15) , can be graphically identified with the use of frames (b) and (d) for the scaling parameters.

The total and mode transmittances are plotted in Figs. $6(c)$ and $6(e)$. These plots demonstrate that, due to the hierarchy of mode-backscattering lengths, $L_2^{(b)} < L_1^{(b)}$, the transmittance of the second mode is always smaller than the transmittance of the first one,

$$
\left\langle T_M\left(L/L_2^{(b)}\right)\right\rangle < \left\langle T_M\left(L/L_1^{(b)}\right)\right\rangle. \tag{4.19}
$$

Therefore, within the localization (4.9) and coexistence (4.11) regions, the total transmittance [\(3.9\)](#page-5-0) is mainly contributed by the first term, i.e., by the first-mode transmittance,

$$
\langle T(L) \rangle \approx \langle T_M(L/L_1^{(b)}) \rangle. \tag{4.20}
$$

Within the localization regime, $\langle T(L) \rangle$ behaves as indicated in Eq. [\(4.10\)](#page-10-0). Within the coexistence regime the total transmittance is described by Eq. (4.12) . In the diffusive regime the mode transmittances are equal, and behave as indicated in Eq. [\(4.8\)](#page-9-0). The total transmittance has twice their value; see Fig. 6(e) and Eqs. (4.14). For the ballistic regime [\(4.15b\)](#page-10-0), both conducting channels are open:

$$
\left\langle T_M(L/L_1^{(b)})\right\rangle \approx \left\langle T_M(L/L_2^{(b)})\right\rangle \approx 1. \tag{4.21}
$$

Here the approximation given by Eq. [\(4.7\)](#page-9-0) describes well the behavior of the mode transmittances. Correspondingly, the value of the total transmittance tends to the total number $N_d = 2$ of the propagating modes; see Eq. [\(4.16\)](#page-10-0).

Note that the coexistence transport regime arises if the length *L* is such that $L_2^{(b)} < L < L_1^{(b)}$, meanwhile the implied hierarchy $L_2^{(b)} < L_1^{(b)}$ emerges if the correlation length is smaller than the crossing point, $kR \ll kR_{c1}$. Therefore, in the ASRB waveguide the scattering governed by the squaregradient-scattering mechanism is a necessary condition for the coexistence transport regime to occur. In comparison, if the gradient-scattering mechanism prevails, the mode-attenuation lengths are almost equal, $L_1 \approx L_2$. Then, with a continuous increment of *L*, the system passes directly from the ballistic to the diffusive regime.

D. ASRB: Transmittance versus mode parameter

The mode-attenuation lengths and transmittances as functions of the mode parameter kd/π are presented in Fig. 7 within the range of first two modes. The value of the parameters are selected in order to illustrate, within the range $2 < kd/\pi < 3$, the coexistence transport regime of the ASRB waveguide, which is defined by Eq. (4.11) .

Figure 7(a) shows the plots of $L_n^{(b)}/L$ and $L_n^{(A, G)(b)}/L$. Here $L_n^{(b)}$ is computed from Eqs. [\(2.21a\)](#page-4-0) and [\(2.21c\)](#page-4-0), whereas $L_n^{(A, G)(b)}$ is given by the first term of the above equations, i.e.,

$$
\frac{1}{L_1^{(A,G)(b)}} = \frac{1}{L_2^{(A,G)(b)}} = 40.1 \frac{(\sigma/d)^2 (k_1 R) k_2}{(k_1 d/\pi)^2 (k_2 d/\pi)^2}
$$

$$
\times \exp\left[\frac{-(k_1 + k_2)^2 R^2}{2}\right].
$$

If kd/π increases, all the attenuation lengths also increase but exhibit characteristic dips at $k d / \pi = 1,2$ where a new normal mode opens. As we already noted, we can only indicate the position of these dips and do not describe their line shape in the narrow vicinity of integer values $k d / \pi$ (see short discussion of these resonances in connection with Fig. [4\)](#page-8-0). In contrast with the SRB waveguide, the curves depicting the first propagating mode of the ASRB waveguide show a dip at $k d/\pi = 2$. This dip is caused by the intermode scattering from the first propagating mode into the second, and this scattering is governed by the gradient-scattering mechanism. Remarkably, far from the resonances at $k d/\pi = 1,2$, the lengths $L_1^{(A,G)(b)}/L$ and $L_2^{(A,G)(b)}/L$ are larger than the mode-

FIG. 7. (Color online) ASRB waveguide: Mode-backscattering lengths and transmittances versus the mode parameter for $\sigma/d =$ 0.0025, $R/d \approx 0.005$, and $L/d = 160$. Note that for fixed value of the correlation length *R*, and mode parameter within the interval $2 < k d/\pi < 3$, the dimensionless correlation length kR ranges $0.031 \leq kR \leq 0.047$; therefore, the data correspond to the smallscale roughness (3.1) and the coexistence regime (4.11) .

backscattering lengths, $L_1^{(b)}/L$ and $L_2^{(b)}/L$. This is because of the main contribution of the square-gradient-scattering lengths, $L_1^{(SG)(b)}/L$ and $L_2^{(SG)(b)}/L$. Therefore, the approximate behavior of $L_n^{(b)}/L$ is given by Eqs. [\(4.1a\)](#page-9-0) and [\(4.1b\)](#page-9-0) (not plotted in the figure).

The total transmittance (3.9) and mode transmittances (3.8) are plotted in Fig. $7(b)$. Note that the dips pertaining to the curves of L_1 and L_2 become evident in the curves for mode transmittances $\langle T_M(L/L_1^{(b)}) \rangle$ and $\langle T_M(L/L_2^{(b)}) \rangle$. Certainly, the curve for the total transmittance $\langle T(L) \rangle$ incorporates all the dips of the mode transmittances. Remarkably, without the weight of the square-gradient scattering mechanism, both mode transmittances would have the same value and the total transmittance would have ballistic behavior. This fact can be graphically observed with the help of the curve related to the total transmittance given in Eq. [\(3.22\)](#page-8-0), in which the square-gradient-scattering mechanism has been ignored.

V. CONCLUSIONS

In this paper we report new results for transport properties of quasi-1D surface-disordered waveguides (or electronic conductors). Two models with symmetric and antisymmetric rough boundaries were studied in great detail. By examining these models, one passes from a system in which the attenuation of the propagating modes is associated with solely intramode scattering (the SRB waveguide), to a system in which both intra- and intermode scattering determine its attenuation (the ASRB waveguide). The comparison between the two models allows us to elucidate the role of all surfacescattering mechanisms.

As was shown in our previous analytical studies [\[33,34,36–](#page-13-0) [38\]](#page-14-0), one of mechanisms of scattering (the so-called squaregradient mechanism) is typically neglected in the literature since it emerges in the second order of perturbation theory. Specifically, the corresponding term in the expression for the inverse attenuation length is proportional to the squared variance of disorder σ^4 , and for this reason the square-gradient terms seem to be much smaller than the others describing conventional mechanisms of scattering (giving rise to the σ^2 dependence). However, apart from the dependence on the disorder strength, one has to take into account the correlation properties of scattering profiles. It was found that the squaregradient mechanism essentially depends on the correlations of different nature as compared with the σ^2 terms in the total expression for the inverse attenuation length.

In particular, it was argued that in some regions of parameters, the square-gradient terms can prevail the σ^2 terms and, therefore, have to be considered as well, in spite of their apparent smallness. These predictions have been carefully analyzed in this paper, within two models with symmetric and antisymmetric scattering profiles. Due to a principal difference between the two models, we analyze them separately.

For the SRB waveguide the transmission occurs along two modes independently, due to the underlying symmetry of the model. Therefore, the transport through each of two modes can be described in terms of standard theories of localization in one-dimensional models with random potentials. Thus, the total transmission is the sum of partial transmissions with the corresponding quantized transverse momentum. Our results demonstrate that in this case a typical situation occurs for which the transmission along two channels can be very different due to different values of corresponding backscattering lengths.

For the ASRB waveguide there exist a competition of two surface-scattering mechanisms, which in contrast with the SRB waveguide, induce the interplay between intramode and intermode scattering. This is a challenging situation that persists with open questions. Nevertheless, the theoretical approach developed in Refs. [34,36[,38\]](#page-14-0) allows one to determine intervals of parameters in which only one of the above mechanisms plays the main role, i.e., it is possible to know when the channels can be considered as two independent one-dimensional conductors and when they exhibit a strong interaction. Moreover, that theoretical approach indicates a rather small region of transition between the above possibilities, where analytical results does not exist. The whole problem thus split off can be addressed by two complementary approaches. When the intramode scattering prevails the transport through the waveguide can be described by the theory proposed for the SRB waveguide but with the proper scaling parameters. When the intermode scattering prevails, it is necessary to apply the special approach developed here. Our results show different transport regimes from localization to the ballistic, with the possibility, depending upon the waveguide parameters, of finding the coexistence and diffusive regimes. The coexistence regime can be present because of the hierarchy of mode-attenuation lengths associated with the square-gradient-scattering mechanism. Also, it is shown that the role of gradient mechanism of scattering is decisive, giving rise to a quite unexpected result. Specifically, it is demonstrated that in this case the partial transmissions along two channels are practically equal, and this effect is entirely due to the influence of the gradient terms in the expression for partial attenuation lengths. Due to the gradient-scattering mechanism that leads to the strong interaction between channels, the diffusive regime can take place.

Our results can help to understand specific properties of surface scattering in waveguides and electronic devices, in dependence on correlation properties of scattering profiles. In particular, one can understand the conditions under which the square-gradient mechanism of scattering has to be taken into account.

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