

Dynamic modes of red blood cells in oscillatory shear flow

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(Received 2 June 2009; revised manuscript received 15 May 2010; published 22 June 2010)

The dynamics of red blood cells (RBCs) in oscillatory shear flow was studied using differential equations of three variables: a shape parameter, the inclination angle θ , and phase angle ϕ of the membrane rotation. In steady shear flow, three types of dynamics occur depending on the shear rate and viscosity ratio. (i) tank-treading (TT): ϕ rotates while the shape and θ oscillate. (ii) tumbling (TB): θ rotates while the shape and ϕ oscillate. (iii) intermediate motion: both ϕ and θ rotate synchronously or intermittently. In oscillatory shear flow, RBCs show various dynamics based on these three motions. For a low shear frequency with zero mean shear rate, a limit-cycle oscillation occurs, based on the TT or TB rotation at a high or low shear amplitude, respectively. This TT-based oscillation well explains recent experiments. In the middle shear amplitude, RBCs show an intermittent or synchronized oscillation. As shear frequency increases, the vesicle oscillation becomes delayed with respect to the shear oscillation. At a high frequency, multiple limit-cycle oscillations coexist. The thermal fluctuations can induce transitions between two orbits at very low shear amplitudes. For a high mean shear rate with small shear oscillation, the shape and θ oscillate in the TT motion but only one attractor exists even at high shear frequencies. The measurement of these oscillatory modes is a promising tool for quantifying the viscoelasticity of RBCs, synthetic capsules, and lipid vesicles.

DOI: [10.1103/PhysRevE.81.061920](https://doi.org/10.1103/PhysRevE.81.061920)

PACS number(s): 87.16.D-, 83.50.Ha, 82.70.Uv

I. INTRODUCTION

Soft deformable objects, such as liquid droplets, vesicles, cells, and synthetic capsules exhibit various behaviors under flows. Among these objects, red blood cells (RBCs) have received a great deal of attention, since they are important for both fundamental research and medical applications. The rheological property of RBCs is one of the main factors for the flow resistance of blood, since the volume fraction of RBCs in normal human blood is around 45% [1,2]. In patients with diseases such as diabetes mellitus and sickle cell anemia, the deformability of RBCs is reduced, and RBCs often block the microvascular flow [1–5].

In a steady shear flow with flow velocity $\mathbf{v} = \dot{\gamma}y\mathbf{e}_x$, fluid vesicles exhibit (i) a tank-treading (TT) mode with a constant inclination angle θ at low viscosity of the internal fluid η_{in} or low membrane viscosity η_{mb} , (ii) a tumbling (TB) mode appears at high η_{in} or η_{mb} with low shear rate $\dot{\gamma}$, and (iii) a swinging (SW) motion (also called trembling or vacillating-breathing) at middle η_{in} or η_{mb} with high $\dot{\gamma}$ [6–22]. In all of the above three phases, a membrane (TT) rotation occurs except in the limit $\eta_{in} \rightarrow \infty$ or $\eta_{mb} \rightarrow \infty$. The TT-TB transition at low $\dot{\gamma}$ is described well by the theory of Keller and Skalak (KS) [6], which assumes a fixed ellipsoidal vesicle shape. At high $\dot{\gamma}$, the shape deformation is not negligible and induces shape transitions [10–12] and the SW phase [14–22].

RBCs [23,24] and synthetic capsules [25–32] also transit from TB to TT with increasing $\dot{\gamma}$, and the TT mode is accompanied by (swinging) oscillation of their lengths and θ . Recently, this behavior was explained by the extended KS theory, where the membrane shear elasticity is taken into account as an energy barrier for the membrane rotation of a phase angle ϕ [33]. The angles θ and ϕ are depicted in Fig.

1(a). More recently, we extended this theory [33] to include the shape deformation of RBCs [34]. In TT, the RBC shape and θ oscillate with the TT rotation frequency. Most of the phase behaviors are not qualitatively different between fixed-shape and deformable RBCs. Synchronized phases of the θ and ϕ rotations with integer ratios of the rotation frequencies as well as intermittent rotations in the middle ranges of shear rate $\dot{\gamma}$ for both fixed-shape and deformable RBCs were found to exist [34]. For microcapsules with low bending rigidity, which have no saddle point in the free-energy potential, these coexistence regions of θ and ϕ rotations vanish [35]. Our results show good agreement with recent experiments [24] and simulations [29–32].

It is very important to understand the dynamic response of RBCs in time-dependent flows, since blood flows *in vivo* are not steady. However, the dynamics of RBCs and vesicles in time-dependent flows have been explored far less than in steady flows. Recently for fluid vesicles, membrane wrinkling was found after inversion of an elongational flow [36,37], and shape or orientational oscillation was observed in structured channels [38]. For RBCs, a shape oscillation in an oscillatory shear flow with $\dot{\gamma}(t) = \dot{\gamma}_0 \sin(2\pi f_s t)$ was observed experimentally [39]. However, the mechanism and fundamental properties of this oscillation are not understood.

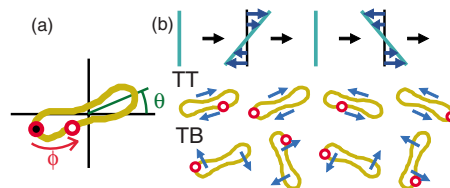


FIG. 1. (Color online) Schematic of a red blood cell (RBC) in oscillatory shear flow. (a) Inclination angle θ and phase angle ϕ . (b) Tank-treading (TT) based oscillation (ϕ rotates back and forth), and tumbling (TB) based oscillation (θ rotates back and forth).

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Watanabe *et al.* investigated the oscillation only in a narrow range of the shear amplitude $\dot{\gamma}_0$ and frequency f_γ . We want to address the following questions: does the angle θ or ϕ rotate in the experimental condition? How does the oscillation depend on $\dot{\gamma}_0$ and f_γ ? Can intermittency and synchronization of θ and ϕ rotations exist in oscillatory flow? Do RBCs approach a single orbit independent of initial states? In this paper, we applied our phenomenological theoretical model to oscillatory shear flow and found that the oscillation in Ref. [39] is a TT-based oscillation, and several other dynamic modes appear depending on the shear amplitude and frequency. Understanding this frequency dependence is a basic step to reveal the RBC dynamics in more complicated time-dependent flows such as blood flows *in vivo*. The amplitude of shape oscillations is a useful quantity for evaluating RBC deformability [39,40]. Very recently, we studied the dynamics of fluid vesicles in oscillatory flows using a similar theoretical model with two variables [41]. The effects of RBC shear elasticity can be understood by the comparison with the results of fluid vesicles.

Under physiological conditions, an RBC has a constant volume $V=94 \mu\text{m}^3$, surface area $S=135 \mu\text{m}^2$, $\eta_{\text{in}}=0.01 \text{ Pa s}$, $\eta_{\text{mb}}\sim 10^{-7}-10^{-6} \text{ Ns/m}$, membrane shear elasticity $\mu=6\times 10^{-6} \text{ N/m}$, and bending rigidity $\kappa=2\times 10^{-19} \text{ J}$ [1,12,42–44]. Hereafter, the model and results are presented with dimensionless quantities (denoted by a superscript *). The lengths and energies are normalized by $R_0=\sqrt{S/4\pi}=3.3 \mu\text{m}$ and $\varepsilon_0=\mu R_0^2=6.5\times 10^{-17} \text{ J}$, respectively. The relative viscosities are $\eta_{\text{in}}^*=\eta_{\text{in}}/\eta_0$ and $\eta_{\text{mb}}^*=\eta_{\text{mb}}/\eta_0 R_0$, where η_0 is the viscosity of the outside fluid. The reduced volume of RBCs is $V^*=V/(4\pi R_0^3/3)=0.64$. In this paper, a typical viscosity of the surrounding fluid in the experiments, $\eta_0=0.02 \text{ Pa s}$ is chosen: $\eta_{\text{in}}^*=0.5$ and $\eta_{\text{mb}}^*=1.55$. There are three or four intrinsic time units for zero and finite mean shear rate, respectively: the shape relaxation time $\tau=\eta_0 R_0/\mu$ by the shear elasticity μ ; and the times of shear flows $1/\dot{\gamma}_0$, $1/\dot{\gamma}_m$, and $1/f_\gamma$. The reduced shear amplitude $\dot{\gamma}_0^*=\dot{\gamma}_0\tau$, mean shear rate $\dot{\gamma}_m^*=\dot{\gamma}_m\tau$, and shear frequency $f_\gamma^*=f_\gamma/\dot{\gamma}_0$ are used. In typical experimental conditions, the Reynolds number is low, $\text{Re}<1$; hence, the effects of the inertia are negligible.

The generalized KS model for RBCs and the dynamics in steady shear flow are briefly described in Sec. II and in Sec. III, respectively. The dynamics for zero and finite mean shear rate are presented in Sec. IV and in Sec. V, respectively. The effects of thermal fluctuations at very low shear rates are described in Sec. IV D. The summary and discussion are given in Sec. VI.

II. THEORETICAL MODEL

In our phenomenological theoretical model [34], the shape parameter $\alpha_{13}=(L_1-L_3)/(L_1+L_3)$ is employed to describe the shape deformation of RBCs, where $L_1>L_2$ and L_3 are the principal lengths of the RBC on the vorticity (xy) plane and in the vorticity (z) direction, respectively. Here, it is assumed that one of the principal axes is in the z direction and the symmetric axis of RBCs with the thermal-equilibrium discoidal shape is on the xy plane. In the absence

of flow, RBCs have a biconcave discoidal shape with $\alpha_{13}=0$. The dynamics of a model RBC is described by three differential equations for α_{13} , the inclination angle θ , and phase angle ϕ ,

$$\frac{d\alpha_{13}}{\dot{\gamma}dt} = \left\{ 1 - \left(\frac{\alpha_{13}}{\alpha_{13}^{\text{max}}} \right)^2 \right\} \left\{ -\frac{A_0}{\dot{\gamma}^*} \frac{\partial F^*}{\partial \alpha_{13}} + A_1 \sin(2\theta) \right\}, \quad (1)$$

$$\frac{d\theta}{\dot{\gamma}dt} = \frac{1}{2} \{-1 + f_0 f_1 \cos(2\theta)\} - \frac{f_0 d\phi}{\dot{\gamma}dt}, \quad (2)$$

$$\frac{d\phi}{\dot{\gamma}dt} = -\frac{(c_0/\dot{\gamma}^* V^*) \partial F^*/\partial \phi + \cos(2\theta)}{2f_1\{1 + f_2(\eta_{\text{in}}^* - 1) + f_2 f_3 \eta_{\text{mb}}^*\}}, \quad (3)$$

where $A_0=45/2\pi(32+23\eta_{\text{in}}^*+16\eta_{\text{mb}}^*)V^*$ and $A_1=60/(32+23\eta_{\text{in}}^*+16\eta_{\text{mb}}^*)$. Factors f_0, f_1, f_2, f_3 , and c_0 are the functions of the length ratios ($L_2/L_1, L_3/L_1$). A detailed description of this model is given in Ref. [34]. Equation (1) is derived on the basis of the perturbation theory [7,14,18,19] of quasispherical vesicles [15,34]. The first and second terms in the last parentheses in Eqs. (1) or (3) represent the RBC elastic forces to recover the thermal-equilibrium state and the external shear stress, respectively. Equations (2) and (3) are given by the extended KS theory in Ref. [33]. The first and second terms in Eq. (2) are given by Jeffery's theory [45] for the dynamics of solid objects. The third term represents the effects of the membrane ϕ rotation to the dynamics of the angle θ .

The free energy $F(\alpha_{13}, \phi)$ of RBCs is estimated by the simulation of the RBC elongation by mechanical forces: $F^*(\alpha_{13}, \phi)=F_1^*(\alpha_{13})+F_2^*(\alpha_{13})\sin^2(\phi)$ with $F_1^*(\alpha_{13})=5\alpha_{13}^2+(40/3)\alpha_{13}^3+(230/4)\alpha_{13}^4$ and $F_2^*(\alpha_{13})=0.2+0.8\alpha_{13}$. The RBC membrane is modeled as a triangular network with a bond potential $U_{\text{bond}}=(k_1/2)(r-r_0)^2\{1+(k_2/2)(r/r_0-1)^2\}$ and bending potential $U_{\text{bend}}=(\kappa/2)\int(C_1+C_2)^2 dS$, where C_1 and C_2 are the principal curvatures at each point of the membrane. Our simulation with $\mu=(\sqrt{3}/4)k_1=6\times 10^{-6} \text{ N/m}$, $\kappa=2\times 10^{-19} \text{ J}$, and $k_2=1$ reproduces the force-length curves of the optical-tweezers experiment and previous simulations [43,44] very well [12].

When the free energy F is independent of ϕ , the equations describe the dynamics of fluid vesicles. Here, we only investigate the dynamics of RBCs but the model itself can be applied to other elastic capsules by the modification of F . Note that the equation of θ in the perturbation theory should not be applied to the dynamics of vesicles at $V^*\leq 0.8$, including RBCs ($V^*\approx 0.6$) [41]. It gives too low critical viscosity η_{in}^* of TT-TB transition (TB motion occurs for fluid vesicles even at $\eta_{\text{in}}^*=1$ and $\eta_{\text{mb}}^*=0$).

To investigate the effects of thermal fluctuations in Sec. IV D, Gaussian white noises $g_\alpha(t)$, $g_\theta(t)$, and $g_\phi(t)$ are added to Eqs. (1)–(3), respectively, where $\langle g_i(t) \rangle=0$ and $\langle g_i(t)g_j(t') \rangle=2D_i\delta_{i,j}\delta(t-t')$ with $i, j \in \{\alpha, \theta, \phi\}$. The fluctuation-dissipation theorem gives the diffusion coefficients $D_i=k_B T/\zeta_i$, where ζ_i are friction coefficients and $k_B T$ is the thermal energy: $\zeta_\alpha=\varepsilon_0\tau/A_0\{1-(\alpha_{13}/\alpha_{13}^{\text{max}})^2\}$, $\zeta_\theta=\zeta_\phi/f_0$, and $\zeta_\phi=2f_1\{1+f_2(\eta_{\text{in}}^*-1)+f_2f_3\eta_{\text{mb}}^*\}V^*\varepsilon_0\tau/c_0$. Equations without thermal noises are numerically integrated using the fourth-order Runge-Kutta method with a time step

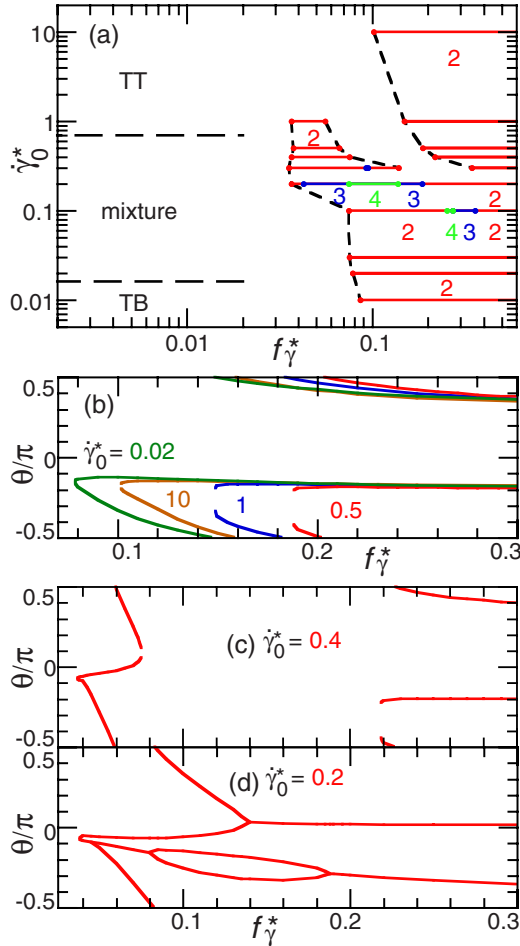


FIG. 2. (Color online) RBC dynamics in oscillatory shear flow with zero mean shear rate. (a) Dynamic phase diagram. (b)–(d) Domain boundary of limit-cycle oscillations at various $\dot{\gamma}_0^*$. Each domain consists of the initial positions $(\alpha_{13}, \theta, \phi) = (0, \theta_i, 0)$ at $t = 0$ approaching the same attractor. For low shear frequency f_γ^* , TT- or TB-based oscillation occurs at low or high shear amplitude $\dot{\gamma}_0^*$, respectively. In the middle regions, intermittent or synchronized oscillations appear. For high f_γ^* , multiple attractors exist. Solid lines in (a) represent two (red), three (blue), and four (green) attractors obtained from the domains in (b)–(d). Dashed lines are visual guides.

$\Delta t \leq 0.0005 / \dot{\gamma}_0$ or $\Delta t \leq 0.0005 / \dot{\gamma}_m$ for oscillatory flow with zero (Sec. IV) or finite (Sec. V) mean shear rate, respectively. Equations with thermal noises are numerically integrated using the second-order Runge-Kutta method with a time step $\Delta t = 0.0002 / \dot{\gamma}_0$ (Sec. IV D).

III. STEADY FLOW

First, we briefly describe RBC dynamics in steady shear flow. Detailed dynamics is described in Ref. [34]. At a low shear rate $\dot{\gamma}^* < \dot{\gamma}_{tb}^*$, RBCs show TB motion, where θ rotates while ϕ oscillates, since the energy barrier locks the phase angle at $\phi = 0$. At a high shear rate $\dot{\gamma}^* > \dot{\gamma}_{tt}^*$, the TT motion occurs, where ϕ rotates while θ oscillates. As $\dot{\gamma}^*$ increases from $\dot{\gamma}^* = \dot{\gamma}_{tb}^*$ to $\dot{\gamma}_{tt}^*$, the rotation frequency ratio increases

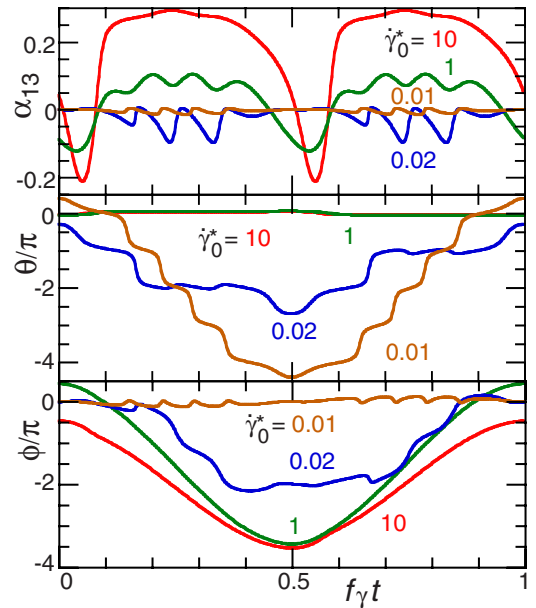


FIG. 3. (Color online) Limit-cycle oscillations for various shear amplitude $\dot{\gamma}_0^*$ at low shear frequency $f_\gamma^* = 0.005$. Only one limit cycle exists for each $\dot{\gamma}_0^*$.

from $f_{rot}^\phi / f_{rot}^\theta = 0$ to 1. The coupling of θ and ϕ rotations induces synchronization with integer ratios of f_{rot}^ϕ and f_{rot}^θ . Here, an angle change of π is counted as one rotation. Unsynchronized (intermittent) rotations are obtained between the regions of synchronizations. This type of synchronization is called the Devil's staircase [46]. In this model, the RBC approaches one attractor from any initial configuration.

As η_{in}^* increases, both $\dot{\gamma}_{tb}^*$ and $\dot{\gamma}_{tt}^*$ increases. At $(\eta_{in}^*, \eta_{mb}^*) = (0.5, 1.55)$, the critical shear rates are $(\dot{\gamma}_{tb}^*, \dot{\gamma}_{tt}^*) = (0.016\ 15, 0.018\ 31)$. The TT phase disappears at $\eta_{in}^* \geq 0.9$ and $\eta_{mb}^* / \eta_{in}^* = 3.1$.

The RBC free-energy potential F has a saddle point at $\phi = \pi/2$: energy minimum for constant $\phi = \pi/2$ and energy maximum in the ϕ rotation for constant α_{13} . This saddle point is observed for RBCs by experiments [47] and simulations [34]. It plays a significant role to the phase behavior of RBCs and microcapsules. When the saddle point vanishes, the coexistence phases of θ and ϕ rotations disappear [35]. Kessler *et al.* contested that intermittent rotation is an artifact of the theoretical model [29], since they did not observe it in their simulations. However, it would be caused by the low bending rigidity of their quasispherical capsule model.

IV. OSCILLATORY FLOW WITH ZERO MEAN SHEAR RATE

In the oscillatory shear flow with $\dot{\gamma}(t) = \dot{\gamma}_0 \sin(2\pi f_\gamma t)$, much more complicated dynamics occurs depending on $\dot{\gamma}_0^*$ and f_γ^* than in the steady flow. The phase diagram is shown in Fig. 2. The RBC approaches either one or multiple attractors in the limit $t \rightarrow \infty$ depending on the initial positions in the phase space $(\alpha_{13}, \theta, \phi)$.

A. Low shear frequency

For a low shear frequency ($f_\gamma^* \leq 0.1$), the RBC can achieve the dynamics in the steady shear flow with $\dot{\gamma} \sim \dot{\gamma}_0$ for

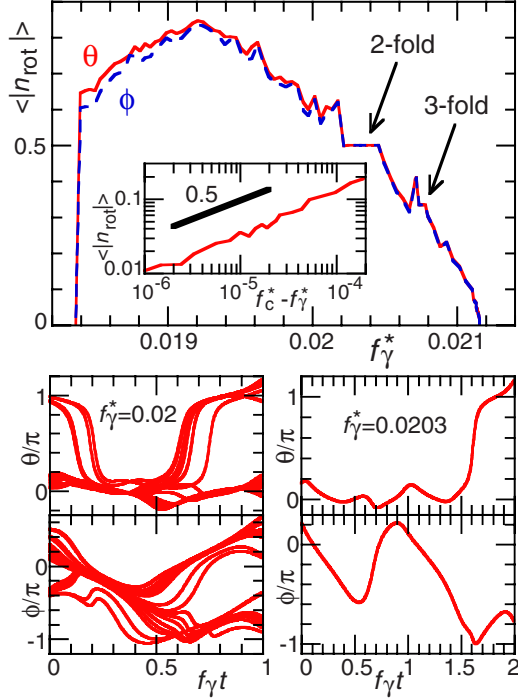


FIG. 4. (Color online) RBC dynamics for the low shear frequencies f_{γ}^* and middle shear amplitude $\dot{\gamma}_0^*=0.02$. Top panel: average number $\langle n_{\text{rot}} \rangle$ of rotations per shear-oscillation period $1/f_{\gamma}$. Bottom panels: time evolution of θ and ϕ in intermittent or twofold limit-cycle oscillation at $f_{\gamma}^*=0.02$ or 0.0203 , respectively. The inset of the top panel shows the log-log plot with the critical frequency $f_c^*=0.02111584$. The error bars are smaller than the line thickness.

a half period $1/2f_{\gamma}$. Therefore, at most of the parameter ranges, it approaches one limit-cycle oscillation from any initial position. At the shear amplitude $\dot{\gamma}_0^* \geq \dot{\gamma}_{\text{tt}}^*$ or $\dot{\gamma}_0^* < \dot{\gamma}_{\text{tb}}^*$, ϕ or θ rotates in the negative direction at $n < f_{\gamma}t < n + 1/2$, respectively, and rotates back to the original position at $n + 1/2 < f_{\gamma}t < n + 1$ (see Figs. 1 and 3). The shape parameter α_{13} and θ oscillate (swing) with the ϕ rotation frequency at $\dot{\gamma}_0^* \geq \dot{\gamma}_{\text{tt}}^*$. This swinging amplitude decreases with increasing $\dot{\gamma}_0^*$.

At $\dot{\gamma}_0^* \sim \dot{\gamma}_{\text{tt}}^*$, both ϕ and θ can rotate, so the RBC shows complicated behaviors, which are sensitive to the parameters $\dot{\gamma}_0^*$ and f_{γ}^* . It is found that intermittent and synchronized oscillations occur in the oscillatory flow (see Fig. 4). A typical intermittent oscillation is shown in the bottom-left panel of Fig. 4. The angles θ and ϕ occasionally rotate $\pm\pi$ with the shear frequency. Synchronization of rotation with an n -fold shear-oscillation period is observed for a finite range of f_{γ}^* . Thus, the Devil's staircase also appears in oscillatory shear flow. The average number $\langle n_{\text{rot}} \rangle$ of rotations increases as $\langle n_{\text{rot}} \rangle \propto \sqrt{f_c^* - f_{\gamma}^*}$ near the critical frequency f_c^* . This dependence indicates the type I intermittency [33,46]. These intermittency and synchronization are very similar to those in the steady flow [33,34]. However, multiple attractors can coexist in the oscillator flow, unlike in steady flow. When a trajectory is asymmetric, as shown in the bottom-right panel of Fig. 4, one more trajectory exists. The coexistence of four limit-cycle oscillations is also found at $\dot{\gamma}_0^*=0.002$ and $f_{\gamma}^*=0.014$ (data not shown).

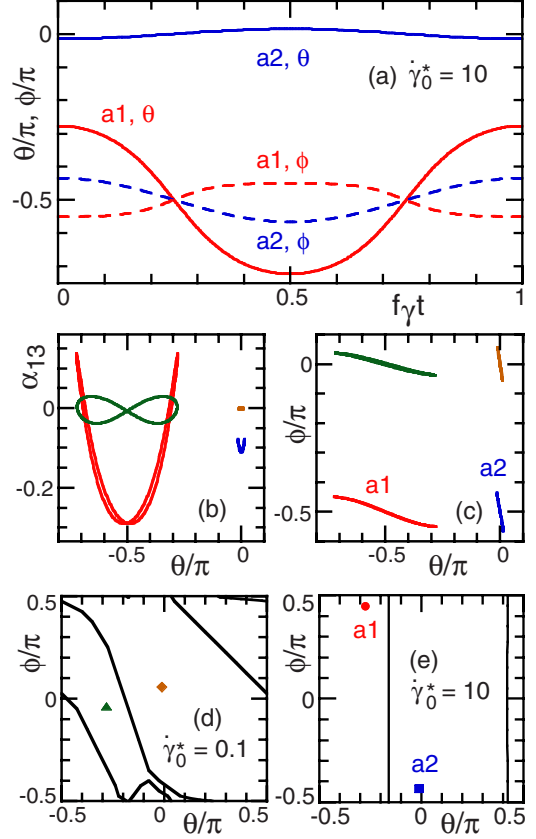


FIG. 5. (Color online) RBC dynamics at high frequency f_{γ}^* at $f_{\gamma}^*=0.2$. (a) Time evolution of two limit-cycle oscillations at $\dot{\gamma}_0^*=10$. (denoted as a1 and a2). (b), (c) Trajectories of the limit cycles at $\dot{\gamma}_0^*=0.1$ and 10 . (d), (e) Domains of the attractors (initial positions $(\alpha_{13}, \theta, \phi) = (0, \theta_i, \phi_i)$ at $t=0$) at (d) $\dot{\gamma}_0^*=0.1$ and (e) 10 . Symbols represent the positions (θ, ϕ) at $t=n/f_{\gamma}$ in the limit $n \rightarrow \infty$.

B. High shear frequency

For a high shear frequency ($f_{\gamma}^* \geq 0.1$), it is found that multiple (2–4) limit cycles coexist, as shown in Figs. 2, 5, and 6. Since the shear frequency is higher than TT and TB frequencies, ϕ or θ cannot fully rotate for $1/2f_{\gamma}$; thus, multiple orbits are stabilized. An approached limit cycle is chosen by initial angles (θ_i, ϕ_i) but is almost independent of initial α_{13} . The shape parameter α_{13} relaxes much faster than θ and ϕ . As $\dot{\gamma}_0^*$ increases, it is less dependent on initial angle ϕ_i , and becomes almost independent of ϕ_i at $\dot{\gamma}_0^*=10$ [see Fig. 5(e)], since the energy barrier of the TT rotation becomes negligible at $\dot{\gamma}_0^* \geq \dot{\gamma}_{\text{tt}}^*$. At high or low $\dot{\gamma}_0^*$, two limit cycles can coexist [see Fig. 2(b)]. With increasing f_{γ}^* , the domain for a new limit cycle appears at $\theta \approx -0.2\pi$. At high $\dot{\gamma}_0^*$, in the limit cycle, which also exist in low f_{γ}^* , θ oscillates between $\pm\theta_{\text{tt}}$, where θ_{tt} is the angle in the steady flow with $\dot{\gamma} = \dot{\gamma}_0$. In the other limit cycle, θ oscillates between θ_{tt} and $\pi - \theta_{\text{tt}}$ [see Fig. 5(a)].

At low $\dot{\gamma}_0^*$ with $f_{\gamma}^* \geq 0.1$, two limit cycles coexist like at high $\dot{\gamma}_0^*$: θ oscillates between $\pm\theta_0$ or between θ_0 and $\pi - \theta_0$ ($\theta_0 \approx 0.1\pi$). In the latter oscillation, θ decreases (increases) at $0 < t < 0.5/f_{\gamma}$ ($0.5/f_{\gamma} < t < 1/f_{\gamma}$) like at high $\dot{\gamma}_0^*$ (see the solid line for a1 in Fig. 5), while the former oscillation around $\theta=0$ is different from that at high $\dot{\gamma}_0^*$. At $\dot{\gamma}_0^* \rightarrow 0$, θ

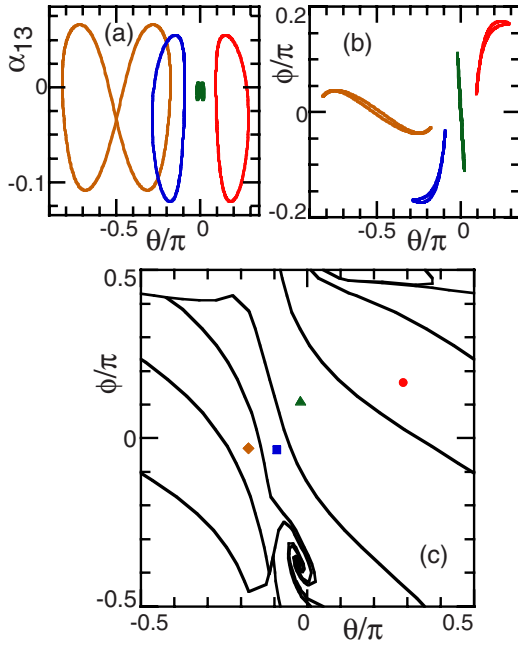


FIG. 6. (Color online) RBC dynamics at high frequency $f_\gamma^* = 0.2$ and $f_\gamma^* = 0.1$. (a), (b) Trajectories of four limit-cycles. (c) Domains of the attractors [initial positions $(\alpha_{13}, \theta, \phi) = (0, \theta_1, \phi_1)$ at $t=0$]. Symbols represent the positions (θ, ϕ) at $t=n/f_\gamma$ in the limit $n \rightarrow \infty$.

decreases at $0 < t < 0.5/f_\gamma$, and has maximum and minimum at $t=0$ and $t=0.5/f_\gamma$, respectively, like for fluid vesicles [41]. As $\dot{\gamma}_0^*$ increases, the times t for the maximum and minimum of θ increase and approach 0.5 and 1, respectively (see Fig. 7). Thus, θ rotates in the opposite direction to the shear despite of the TB phase. This opposite rotation is induced by the temporal ϕ rotation in the shear direction. The maxima and minima of α_{13} and ϕ also increase with increasing $\dot{\gamma}_0^*$. The height of the free-energy barrier for ϕ rotation may be estimated from this $\dot{\gamma}_0^*$ dependence.

At the middle shear amplitudes $\dot{\gamma}_0^* = 1 \sim 3$ with $f_\gamma^* \geq 0.1$, the domains have a complicated shape. Figure 6 shows four limit-cycle oscillations at $(\dot{\gamma}_0^*, f_\gamma^*) = (0.2, 0.1)$. Two limit cycles show similar trajectories of those at $(\dot{\gamma}_0^*, f_\gamma^*) = (0.1, 0.2)$; compare Figs. 5(b) and 5(c) and Figs. 6(a) and 6(b). In addition to four stable fixed points, an unstable fixed point is seen at $(\theta, \phi) = (-0.03\pi, -0.37\pi)$ in Fig. 6(c). Around this unstable point, the angles move away from the unstable point with a spiral orbit and then approach one of the stable points. As f_γ^* increases, the domains of attractors merge or split [see Fig. 2(d)]. These multiple cycles may not be desired for characterizing the mechanical properties in experiments. However, one of the cycles is chosen when f_γ is gradually increased from the TT- or TB-based oscillation, since there is only one cycle at low f_γ . Note that the approach to limit cycles is very slow at $f_\gamma^* \geq 0.1$ and typically takes $f_\gamma t \sim 10^4$ ($t=10$ min to 1 h at $\dot{\gamma}_0^* \sim 1$).

C. Dependence of length ratio

In the experiments in Ref. [39], the length ratio $r_{\text{top}} = L_x/L_3$ of RBCs from the top view was measured at low

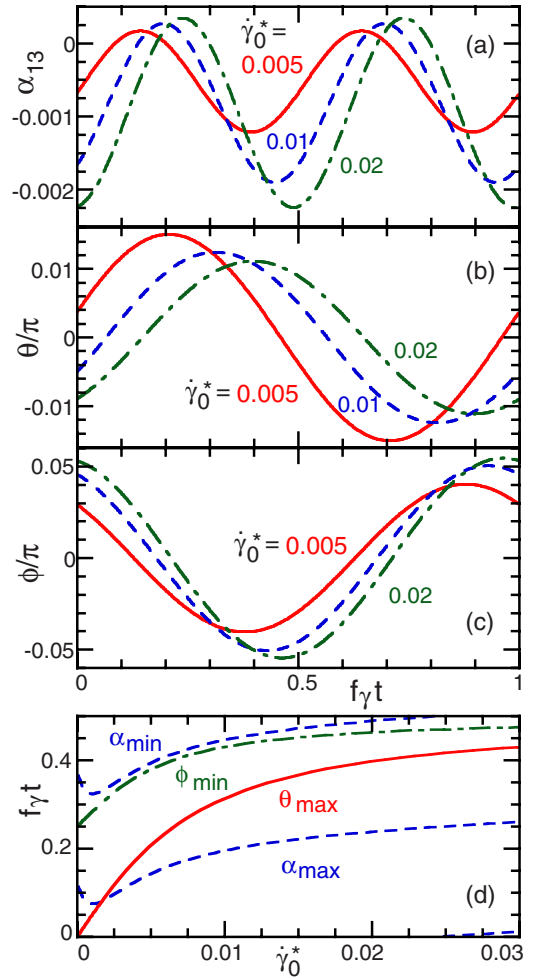


FIG. 7. (Color online) RBC dynamics at high frequency $f_\gamma^* = 0.2$ with low $\dot{\gamma}_0^*$. (a)–(c) Time evolution of limit-cycle oscillations around $\theta=0$. Solid, dashed, and dashed-dotted lines represent $\dot{\gamma}_0^* = 0.005, 0.01$, and 0.02 , respectively. (d) Shear amplitude $\dot{\gamma}_0^*$ dependence of times t at maximum or minimum of α_{13} , θ , and ϕ . The data for $0 \leq t \leq 0.5/f_\gamma$ is only shown because $\alpha_D(t+0.5/f_\gamma) = \alpha_D(t)$, $\theta(t+0.5/f_\gamma) = -\theta(t)$, and $\phi(t+0.5/f_\gamma) = -\phi(t)$.

frequency $f_\gamma^* \ll 1$, where L_x is the length in the x direction projected on the xz plane. In TT-based oscillation, the ratio is approximated as $r_{\text{top}} = \cos(\theta)L_1/L_3 = \cos(\theta)(1 + \alpha_{13})/(1 - \alpha_{13})$, since RBCs are aligned in the x direction with $|\theta| \ll \pi$ [see the inset of Fig. 8(d)].

At high $\dot{\gamma}_0^*$, the r_{top} curves have the same shape at $n < f_\gamma t < n+1/2$ and $n+1/2 < f_\gamma t < n+1$ [see Figs. 8(a) and 8(c)]. At $f_\gamma^* \ll 1$, RBCs have minimum or maximum deformation at $f_\gamma t = 0$ and 0.5 or at $f_\gamma t \approx 0.25$ and 0.75 , where the shear stress $\eta_0 \partial v_x / \partial y = \eta_0 \dot{\gamma}$ is minimum or maximum, respectively. As f_γ^* increases, the oscillation amplitude decreases, and the times t for the maximum and minimum deformations become delayed, since the temporal change of the shear rate becomes faster than the shape relaxation (see Fig. 8). At $f_\gamma^* \approx 1$, the times t for the maximum deformation approach $f_\gamma t = 0.5$ and 1 . We cannot directly compare our results with the experiments [39], since the large shape deformations $r_{\text{top}} \approx 6$ ($\dot{\gamma}_0^* \sim 100$ and $f_\gamma^* = 0.004$) in those experiments are beyond the range of the ellipsoidal-shape

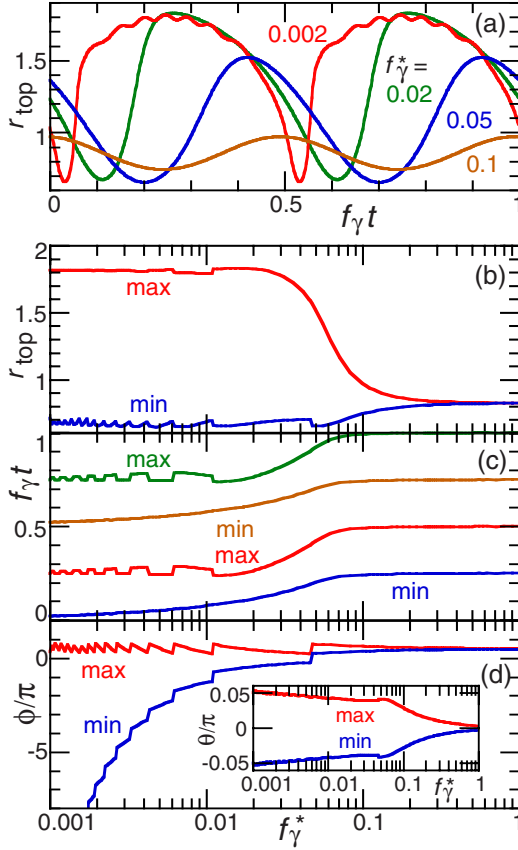


FIG. 8. (Color online) Dependence on shear frequency f_γ^* at $\dot{\gamma}_0^* = 10$ with a gradual increase in f_γ^* . (a) Time evolution of the length ratio $r_{\text{top}} = L_1 \cos(\theta) / L_3$ for various f_γ^* . The frequency f_γ^* dependence is shown for (b) r_{top} and (c) t at maxima and minima of the r_{top} curves in (a). The maximum and minimum angles ϕ and θ are shown in (d) and the inset of (d), respectively.

assumption $r_{\text{top}} \leq 5.3$ of the KS theory. However, our r_{top} curve at $f_\gamma^* = 0.004$ well reproduces those in Ref. [39], except for the amplitude of r_{top} . Thus, we conclude that the shape oscillation observed in their experiments is TT-based shape oscillation for a low frequency $f_\gamma^* \lesssim 0.1$. Furthermore, our theoretical model predicts that TB-based or intermittent oscillations and multiple limit cycles would occur for lower $\dot{\gamma}_0^*$ and higher f_γ^* , respectively.

D. Thermal fluctuations

In this subsection, we describe the effects of thermal fluctuations. A dimensionless quantity, the rotational Peclet number, $\chi = \dot{\gamma}_0 / D_\theta = \dot{\gamma}_0 \zeta_\theta / k_B T$ represents the shear amplitude relative to the thermal fluctuations. In typical experimental conditions, RBCs have very large χ and the thermal fluctuations are negligible; $\chi = 2 \times 10^6 \dot{\gamma}_0^*$ at $\eta_0 = 0.02$ Pa s. At very low shear amplitudes $\dot{\gamma}_0^* \ll 1$, however, the thermal fluctuations can induce large fluctuations of trajectories and transitions between attractors.

Figure 9 shows the dynamics with the thermal fluctuations at $\dot{\gamma}_0^* \ll \dot{\gamma}_{\text{tt}}^*$ and high f_γ^* , where two limit cycle orbits coexist in the absence of the thermal fluctuations. Two peaks around $\theta = 0$ and $\theta = \pi/2$ in Fig. 9(a) indicate that these two orbits

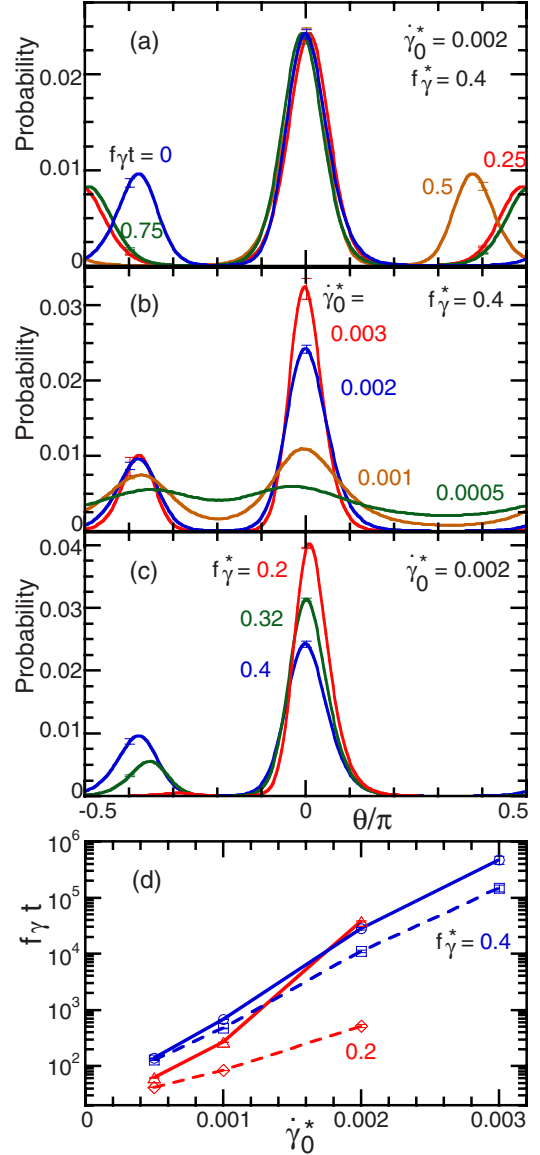


FIG. 9. (Color online) Dynamics with thermal fluctuations at very low $\dot{\gamma}_0^*$. (a)–(c) Probability distributions of the angle θ . (a) Time evolution at $\dot{\gamma}_0^* = 0.002$ and $f_\gamma^* / \dot{\gamma}_m^* = 0.4$: $t = n/f_\gamma^*$ ($n+0.25$)/ f_γ^* , ($n+0.5$)/ f_γ^* , and ($n+0.75$)/ f_γ^* . (b) Dependence on $\dot{\gamma}_0^*$ at $t = n/f_\gamma^*$ and $f_\gamma^* / \dot{\gamma}_m^* = 0.4$. (c) Dependence on f_γ^* at $t = n/f_\gamma^*$ and $\dot{\gamma}_0^* = 0.002$. (d) Mean lifetime of orbits around $\theta = 0$ (solid line) and around $\theta = \pi/2$ (dashed line) at $f_\gamma^* = 0.2$ (Δ, \diamond) and 0.4 (\circ, \square). The error bars are shown at (a)–(c) several and (d) all data points.

are still dominant with the thermal fluctuations. The transitions between these orbits are obtained at very low $\dot{\gamma}_0^*$. With increasing $\dot{\gamma}_0^*$, the lifetime of each orbit exponentially increases and the transition probability exponentially decreases. We calculate the lifetime as the duration from the time to enter the region of one orbit to the time to enter the region of the other orbit, where the regions of the orbits are considered as $-0.15 < \theta/\pi < 0.2$ and $0.4 < \theta/\pi < 0.75$. As f_γ^* decreases, the domain of the orbit around $\theta = \pi/2$ is reduced [see Fig. 2(b)]. Also, its lifetime decreases and the other orbit becomes dominant [see Figs. 9(c) and 9(d)]. Thus, attractors with small domain can be smeared out by the thermal fluctuations.

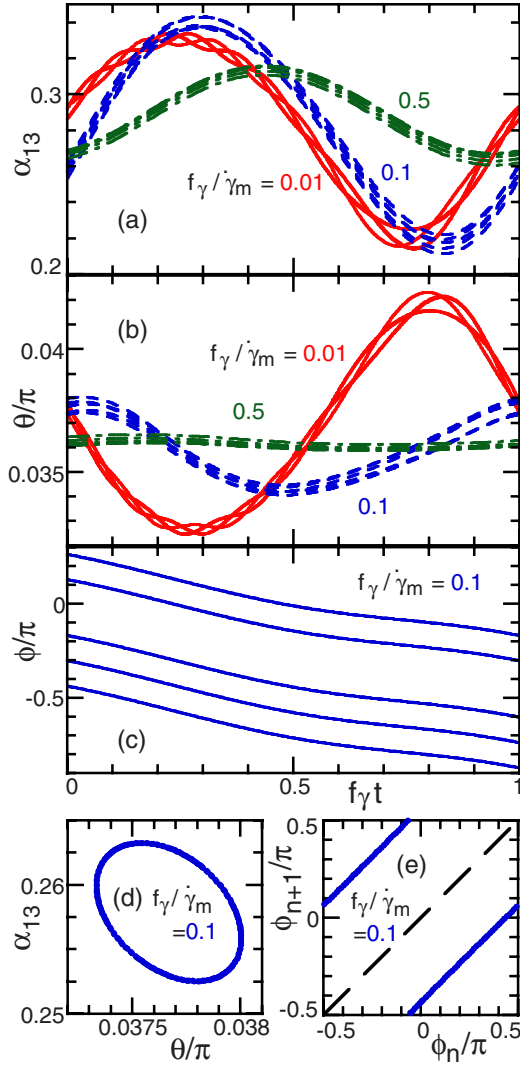


FIG. 10. (Color online) Time evolution of (a) α_{13} , (b) θ , and (c) ϕ in the oscillatory flow with the mean shear rate $\dot{\gamma}_m^* = 10$ and oscillatory amplitude $\dot{\gamma}_m^* = 5$. (d) Stroboscopic map for $t = n/f_\gamma$ at $f_\gamma/\dot{\gamma}_m = 0.1$. (e) Return map sampled stroboscopically for $t = n/f_\gamma$ at $f_\gamma/\dot{\gamma}_m = 0.1$. Dashed line represent $\phi_{n+1} = \phi_n$. The phase of the angle ϕ is not locked to the shear oscillation.

tuations. Since the lifetimes exhibit an exponential increase, it would be very difficult to observe the transitions between orbits in experiments.

V. OSCILLATORY FLOW WITH FINITE MEAN SHEAR RATE

When oscillatory shear is applied with a finite mean shear rate $\dot{\gamma}_m$ as $\dot{\gamma}(t) = \dot{\gamma}_m + \dot{\gamma}_0 \sin(2\pi f_\gamma t)$, a net rotation of θ or ϕ is obtained. At high shear $\dot{\gamma}_m^* - \dot{\gamma}_0^* \gg \dot{\gamma}_{th}^*$, RBCs always show clockwise TT rotation accompanied by α_{13} and θ oscillations with shear frequency f_γ (see Fig. 10). The coupling between the shear oscillation and ϕ rotation is very weak, so that the synchronization can occur only in negligibly narrow ranges of f_γ . Thus, ϕ typically rotates with its own frequency (the curves of $\phi(t)$ and $\phi_{n+1}(\phi_n)$ in Figs. 10(c) and 10(e), respec-

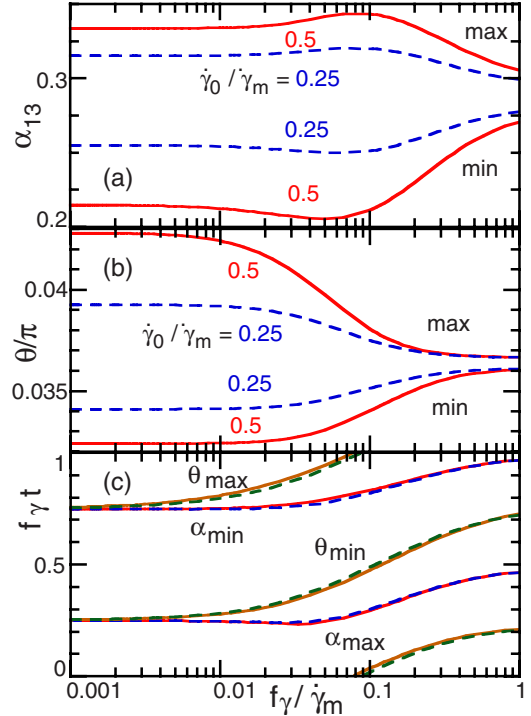


FIG. 11. (Color online) Dependence on shear frequency $f_\gamma/\dot{\gamma}_m$ at $\dot{\gamma}_m^* = 10$. Maximum and minimum values of (a) α_{13} and (b) θ . (c) Time t at maximum and minimum of α_{13} and θ . Dashed and solid lines represent $\dot{\gamma}_0/\dot{\gamma}_m = 0.25$ and 0.5 , respectively.

tively, are close to straight lines), and α_{13} and θ show swinging oscillations with the frequency of the ϕ rotation in addition to the oscillations with f_γ [see Figs. 10(a) and 10(b)].

As f_γ increases, the times t for the maximum and minimum deformations become delayed with respect to the times of the minimum and maximum shear stresses, while multiple limit cycles do not appear (see Fig. 11). A similar time delay of the shape deformation is experimentally observed in Ref. [48]. These time delays are determined by $f_\gamma/\dot{\gamma}_m$ instead of $f_\gamma/\dot{\gamma}_0$. When $\dot{\gamma}_0$ is varied, the oscillation amplitudes are changed, while the times for the minimum and maximum oscillations are almost independent of $\dot{\gamma}_0$. In the low frequency limit, the maximum and minimum of α_{13} appear accompanied by minimum and maximum of θ at $t = 0.25/f_\gamma$ and $t = 0.75/f_\gamma$, respectively. With increasing f_γ , the maximum and minimum of α_{13} approach $t = 0.5/f_\gamma$ and $t = 1/f_\gamma$, respectively, where $\dot{\gamma}(t) = \dot{\gamma}_m$. A similar dependence is obtained for fluid vesicles [41]. The angle θ shows greater delays than α_{13} , since stable θ is varied not directly by $\dot{\gamma}^*$ but by the shape evolution. Thus, the shape deformation is essential for the response to time-dependent flows.

VI. SUMMARY AND DISCUSSION

We have investigated RBC dynamic modes in oscillatory shear flow for a wide range of the shear conditions. For a low shear frequency ($f_\gamma^* \leq 0.1$) with zero mean shear rate, RBCs exhibit TT- or TB-based oscillation at high or low shear amplitude $\dot{\gamma}_0^*$, respectively. In the middle amplitude $\dot{\gamma}_0^*$, intermittent or synchronized oscillations appear. For a high fre-

quency ($f_\gamma^* \gtrsim 0.1$), multiple limit-cycle oscillations appear. Two limit cycles coexist for low and high γ_0^* , and two to four limit cycles coexist for middle γ_0^* . For a finite mean shear rate with small oscillation amplitudes, α_{13} and θ oscillate in addition to the swinging oscillation, and there is only one attractor even at high f_γ^* .

In this paper, the symmetric axis of the RBC discoidal shape is assumed on the vorticity (xy) plane. This assumption is valid in most of the parameter range (including the parameters in the present study). However, a few studies were reported on a spinning motion (a principal axis rotates out of the vorticity plane) in steady shear flow. Lebedev *et al.* predicted that fluid vesicles exhibit the spinning motion at very large $\dot{\gamma}^*$ and large η_{in}^* using the perturbation theory for quasispherical vesicles [19]. Bitbol observed that the symmetric axis of the RBC discoidal shape is oriented in the vorticity (z) direction at low $\dot{\gamma}^*$ and large internal viscosity $\eta_{in}^* = 1 \sim 10$ [49]. In the oscillatory flow, RBCs may exhibit spinning dynamics at large η_{in}^* but it is beyond the scope of our present study.

For fluid vesicles in the oscillatory shear flow with zero mean shear rate, the bifurcation frequency f_γ^* to start coexistence of two limit cycles decreases with increasing η_{in}^* in the TT phase, since the average angular velocity $\dot{\theta}$ to relax to stable angle becomes slower [41]. A similar dependence on η_{in}^* is expected in RBC dynamics. Since the membrane and internal fluid become more viscous on aging of RBCs [42,50], the bifurcation frequency can be shifted on aging.

Recently, the relation of the dynamic modes of RBCs or vesicles to the viscosity of a dilute suspension was studied

[51,52]. The dependence of storage and loss moduli of the dilute suspension [53] on the dynamic modes in the oscillatory shear flow is also an interesting problem for further studies. In high frequencies f_γ^* , the collisions between RBCs may induce a transition between coexisting limit-cycle orbits as the thermal fluctuations at very low $\dot{\gamma}_0^*$.

Watanabe *et al.* [39,40] proposed that the response curve of r_{top} at low f_γ^* is a good quantity for evaluating RBC deformability. Experimental measurement of the dynamic response for a wide range of $\dot{\gamma}_0^*$ and f_γ^* would be a significant help in establishing a quantitative understanding of the mechanical properties of RBCs, in particular the viscoelasticity of RBC membrane. Changes of RBC deformability in different diseases may be able to be separated by their dynamic response. We applied the model to RBCs, but the resulting dynamics would also occur for other elastic capsules by the modification of the free-energy potential F . The oscillatory shear flow is a very useful setup for measuring the viscoelasticity of RBCs and other soft deformable objects such as synthetic capsules and lipid vesicles.

ACKNOWLEDGMENTS

We thank N. Watanabe (Tokyo Med. Dental Univ.) for the helpful discussion. This study is partially supported by a Grant-in-Aid for Scientific Research on Priority Area ‘‘Soft Matter Physics’’ from the Ministry of Education, Culture, Sports, Science, and Technology of Japan.

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