# Critical behavior at nematic – smectic-  $A_1$  phase transtions. II. Preasymptotic three-dimensional XY analysis of x-ray and  $C_p$  data

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X-ray data are reported for the nematic phase near the nematic  $(N)$ -smectic- $A_1$ (Sm- $A_1$ ) transition in a binary mixture of pentylphenylcyanobenzoyloxy benzoate  $(DB_5CN)$  + cyanobenzoyloxypentylstilbene (C<sub>5</sub> stilbene). These data and x-ray data from four other  $N-Sm-A_1$  systems, plus the corresponding high-resolution  $C_p$  data, are analyzed using the exact solutions of preasymptotic three-dimensional (3D) XY theory. The correlation volume  $\xi_{\parallel} \xi_1^2$ , smectic susceptibility  $\sigma$ , and heat capacity  $C_p$  are in good agreement with preasymptotic theoretical predictions. First-order corrections-to-scaling terms were known previously to be important for describing  $C_p$ ; their importance for  $\xi_{\parallel} \xi_1^2$  and  $\sigma$  is demonstrated here. Many universal features of the 3D XY model are confirmed by the present self-consistent analysis, but the critical anisotropy of the individual lengths  $\xi_{\parallel}$  and  $\xi_{\perp}$  and the fact that  $C_p$  exhibits a normal XY amplitude ratio rather than the theoretically predicted inverted ratio are still unresolved issues.

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# I. INTRODUCTION

The nematic  $(N)$ –smectic- $A$  (Sm- $A$ ) transition in liquid crystals involves the development of a onedimensional density modulation in an orientationally ordered fluid of long rodlike organic molecules. The critical behavior at second-order  $N-Sm-A$  transitions is one of the most challenging unresolved problems in the statistical mechanical theory of phase transitions. Extensive theoretical  $[1-6]$  and experimental  $[7-15]$  studies of the N-Sm-A transition have been carried out over the past 20 years. The most detailed theoretical treatments predict that this transition belongs to the three-dimensional  $XY$ universality class  $(d = 3, n = 2$  vector model), albeit with an inverted  $C_p$  amplitude ratio [2,3]. However, there are difficult and not fully resolved issues of Landau-Peierls instability (yielding  $d = 3$  as the lower marginal dimensionality) [3], coupling of the smectic-order parameter with nematic director fiuctuations (implying crossover toward an anisotropic fixed point) [3,6], coupling of the smectic- and the nematic-order parameters (driving the transition first order via a tricritical point) [1], and possible anisotropic corrections-to-scaling terms (not yet considered theoretically).

Experimentally, one must distinguish several Sm- $\vec{A}$ structures. Nonpolar molecules exhibit only a single type of a smectic-A phase, to be denoted by Sm- $A_m$ . Polar molecules, especially those with long aromatic cores and strongly polar head groups, can exhibit smectic- $A$  polymorphism: a monolayer Sm- $A_1$  phase ( $d \simeq L$ ), a partial bilayer Sm- $A_d$  phase (L < d < 2L), and a bilayer Sm- $A_2$ phase  $(d \approx 2L)$ , where d is the layer thickness and L is the molecular length [5]. A wide range of investigations of  $N-Sm-A_m$  and  $N-Sm-A_d$  transitions have shown system-dependent nonuniversal critical behavior [7—10]. However, recent calorimetric studies of several  $N-Sm A_1$  transitions [13,14] show that the behavior of  $C_p$  is in excellent agreement with orthodox (noninverted) threedimensional (3D) XY theory, and these  $C_p$  data clearly demonstrate the importance of including corrections-toscaling terms in the analysis of the critical behavior. The nonuniversal behavior observed previously for  $N-Sm A_m$  and  $N$ –Sm- $A_d$  transitions [7–10] seems to be related to coupling between smectic and nematic order since the nematic range is small in such systems ( $T_{NI} - T_{NA} \leq 40$ K, where  $I$  denotes the isotropic phase). As first proposed by de Gennes [1], smectic-nematic coupling can cause a crossover to tricritical and first-order transitions, and this has been observed when the nematic range is sufficiently small [8,9]. In the case of the  $N-Sm-A_1$  systems with 3D XY heat-capacity behavior, the nematic range is wide. Thus the nematic order is close to saturated near  $T_{NA_1}$ , and smectic-nematic coupling should not play an important role.

In order to establish a global view of  $N-Sm-A_1$  critical behavior in the systems exhibiting  $3D XY$  heat capacities, high-resolution x-ray studies are needed to characterize the behavior of the correlation length parallel to the nematic director  $\xi_{\parallel}$ , the perpendicular correlation length  $\xi_1$ , and the smectic susceptibility  $\sigma$ . Previous  $N-Sm-A_1$  x-ray results are available for mixtures of hexylphenylcyanobenzoyloxy benzoate  $(DB_6CN)$  + terephthal-bis-butylaniline (TBBA) [11], for the compounds T7 and T8 where Tn is alkoxybenzoyloxycyanostilbene [12], and for octyloxyphenylcyanobenzoyloxy benzoate (8OPCBOB) [15]. In the  $DB_6CN+TBBA$  sys-

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System	Nematic range $(K)$	Formula
T8	189	$C_8H_{17}$ — $O$ — $\varphi$ —COO— $\varphi$ —CH = CH— $\varphi$ —CN
T7	167	$C_7H_1, -Q_0$ - $Q_0$ - $Q_0$ - $Q_1$ - $C_1$ - $C_2$ - $C_2$ - $C_N$
DB <sub>s</sub> CN	120	$C_5H_{11}$ — $\omega$ —OOC— $\omega$ —OOC— $\omega$ —CN
$+ Cs$ stilbene	$(X=0.495)$	$C_5H_{11}$ — $\varphi$ —CH = CH— $\varphi$ —OOC— $\varphi$ —CN
DB <sub>s</sub> ONO,	96	$C_8H_{17}$ —O— $\varphi$ —OOC— $\varphi$ —OOC— $\varphi$ —NO <sub>2</sub>
8OPCBOB	45	$C_8H_{17}$ —O— $\varphi$ —OOC— $\varphi$ —O—CH <sub>2</sub> — $\varphi$ —CN

TABLE I. Molecular formulas and nematic range  $T_{NI} - T_{NA_1}$ .  $\varphi$  denotes  $-\heartsuit$ .

tern, heat-capacity data are lacking, the chemical stability is poor, and the phase diagram is rather complex. T7 and T8 are less stable than 8OPCBOB, but all three of these systems provide results suitable for detailed analysis. We have recently completed a high-resolution calorimetric and x-ray study of the  $N-Sm-A_1$  transition in octyloxyphenylnitrobenzoyloxy benzoate  $(DB_8ONO_2)$ , and the results are reported in the preceding paper [16] (to be denoted as paper I).

The present paper reports in Sec. II the results of an xray study of a mixture of  $DB_5CN$  (the pentyl analog of  $DB_6CN$ ) + C<sub>5</sub> stilbene (cyanobenzoyl-oxypentylstilbene) for which heat-capacity data are already available [13,17]. Thus there are five  $N-Sm-A_1$  systems suitable for detailed analysis: T8, T7,  $DB_5CN+C_5$  stilbene,  $DB_8ONO_2$ , 8OPCBOB. For convenience, the molecular formulas of these compounds are given in Table I. Note that they are all typical "frustrated" smectics [5,18] with aromatic cores containing three phenyl rings and strongly polar CN or  $NO<sub>2</sub>$  end groups. The nematic range, defined by  $T_{NI} - T_{NA_1}$ , is very large for four systems and moderately large for 8OPCBOB, as shown in Table I.

In Sec. III, an extensive analysis of the  $N-Sm-A_1$  critical behavior in these five systems is carried out using the exact solutions of preasymptotic 3D XY theory  $[19-21]$ . This requires the inclusion of corrections-to-scaling terms, an aspect that has been neglected in all previous analyses of critical x-ray data in liquid-crystal systems. A preliminary report of such an analysis has been given previously for four of these five systems [22]. Section IV summarizes all the universal features of the 3D XY model confirmed by the  $N-Sm-A_1$  data and addresses unresolved difficulties such as the critical anisotropy in the  $\xi_{\parallel}$  and  $\xi_{\perp}$  behavior and the fact that  $C_p$  data show a normal amplitude ratio rather than the predicted inverted ratio.

# II. EXPERIMENTAL RESULTS

High-resolution x-ray measurements were made on a  $DB_5CN + C_5$  stilbene mixture with  $C_5$  stilbene mole fraction  $X = 0.495$ , for which the nematic range  $T_{NI}-T_{NA}$  is 120 K. Both compounds were synthesized and purified at the Centre Recherche Paul Pascal in Bordeaux. Heatcapacity data are available [13,17] on an essentially identical mixture ( $X = 0.492$ ) of materials from the same synthetic batches [17]. The details of the x-ray experimental work are essentially the same as those described in paper

I. In contrast to the  $DB_8ONO_2$  system, there is no observable diffuse Sm- $A_d$  scattering at  $(0, 0, q_0')$  in the nematic phase of  $DB_5CN+C_5$  stilbene, only a diffuse Sm- $A_1$  peak at (0,0,2 $q_0$ ), where  $2q_0=2\pi/d$  and d is the Sm- $A_1$  layer spacing [23]. The temperature-independent value of 2 $q_0$  is 0.2115  $\text{\AA}^{-1}$ , corresponding to  $d = 29.71$ A.

The sample was magnetically aligned in the  $N$  phase and the resulting mosaic spread determined in the Sm- $A_1$ phase was small (0.12' half width at half maximum). The transition temperature was determined by the appearance of the mosaicity in the transverse profile. The initial  $T_c$ value was found to be 424.302 K, in good agreement with  $T_c = 424.425$  K obtained from the  $C_p$  data [13]. A slow linear drift in the transition temperature,  $dT_c/dt = -32$ mK/day, was observed over the long period of x-ray data collection. A correction for this drift was made in determining the reduced temperature  $\tau = (T - T_c)/T_c$  to be used in subsequent analysis of the critical behavior.

Longitudinal and transverse x-ray scans through  $(0,0,2q_0)$  were carried out at 22 fixed temperatures over the range  $2 \times 10^{-5} \le \tau \le 1.2 \times 10^{-2}$ . The scattering profiles (not shown) look very similar to those shown in Fig. 1 of paper I. Both scans at a given  $T$  were fit simultaneously with the structure factor  $S(q)$  convoluted with the instrumental resolution function. The form used for  $S(q)$  was the standard choice discussed in paper I:

$$
S(\mathbf{q}) = \sigma / [1 + \xi_{\parallel}^2 (q_{\parallel} - 2q_0)^2 + \xi_{\perp}^2 q_{\perp}^2 + c \xi_{\perp}^4 q_{\perp}^4], \qquad (1)
$$

where the coefficient  $c$  of the quartic term is a freely adjustable parameter. Figure <sup>1</sup> shows the dependence of the quantities  $\xi_{\parallel}, \xi_{\perp}$  and  $\sigma$  on the reduced temperature  $\tau$ . As is conventionally done, the critical behavior of these parameters will be described at this point using pure power laws and effective critical exponents:

$$
\xi_{\parallel} = \xi_{\parallel 0} \tau^{-\nu_{\parallel}}, \quad \xi_{\perp} = \xi_{\perp 0} \tau^{-\nu_{\perp}}, \quad \sigma = \sigma_{0} \tau^{-\gamma}.
$$
 (2)

The least-squares values of these fitting parameters are given in Table II, where for later convenience we also give the parameters for a fit to the correlation volume  $\xi_{\parallel} \xi_{\perp}^2$  using

$$
\xi_{\parallel} \xi_1^2 = (\xi_{\parallel} \xi_1^2) \sigma^{-3\nu_{\text{eff}}} \tag{3}
$$

Note that  $\gamma \simeq \gamma_{XY} = 1.316$  but  $\nu_{\parallel} > \nu_{XY} > \nu_{\perp}$ , where  $v_{XY} = 0.669$ . Also  $\Delta v = v_{\parallel} - v_1 = 0.16 \pm 0.03$  is compara-<br>ble to the anisotropy in other N-Sm-A systems [7].

The variations of the quartic term coefficient  $c$  and the



FIG. 1. The dependence on the reduced temperature  $\tau$  of the smectic- $A_1$  susceptibility  $\sigma$  in arbitrary units and the correlation lengths  $\xi_{\parallel}$  and  $\xi_{\perp}$ , as obtained from fitting the x-ray profiles with Eq. (1). The lines represent least-squares fits to these quantities with the pure power-law expressions given in Eqs. (2), and the fitting parameters are given in the first line of Table II. The  $\sigma$  values have been shifted up by a factor of 4 to improve the clarity.

correlation length ratio  $\xi_{\parallel}/\xi_1$  are shown in Fig. 2. The  $\tau$ dependence of  $c$  is essentially the same as that obtained for  $DB_8ONO_2$  (shown in Fig. 3 of paper I) and also that reported for other  $N-Sm-A$  systems [10,12]. As discussed in paper I, we have tested three forms for the structure factor  $S(q)$ : a simple Lorentzian, Eq. (1) with  $c = 0$ ; the non-Lorentzian given by Eq. (1); an empirical Lorentzian with a power-law correction, given by Eq. (3) of paper I. The fits to the profiles with Eq. (I-3) are as good as those with Eq. (1), but the Lorentzian fits are clearly poorer. Table II shows that the effective critical exponents obtained using pure power-law fits for  $\xi_{\parallel}, \xi_{\perp},$ and  $\sigma$  values are about the same with all three choices of  $S(q)$ . Bouwman and de Jeu [15] have proposed an expression for  $S(q)$  in which the quartic term in Eq. (1) is replaced by  $\xi_3^4 q_1^4$ , where  $\xi_s$  is a splay correlation length which is constrained to obey a pure power law near  $T_c$ . As shown in paper I for  $DB_8ONO_2$ , Eq. (1) and this Bouwman-de Jeu form yield identical results for  $\xi_1$ . Using the parameters c and  $\xi_1$  from our fit with Eq. (1), we



FIG. 2. Reduced temperature dependence of (a) quartic coefficient  $c$  obtained when Eq. (1) is used to fit the scattering profile for  $DB_5CN+C_5$  stilbene and (b)  $\xi_{\parallel}/\xi_1$  ratio obtained from  $S(q)$  fits using Eq. (1) and the c values shown. The best-fit line in (b) has a slope  $\Delta v = v_{\parallel} - v_{\perp} = 0.16$ .

ind that  $\xi_{\xi} \equiv c^{0.25} \xi_1$  is well described by  $\xi_{s0} \tau^{-\nu_s}$  with  $\xi_{s0}$ =2.056 Å and  $v_s$  =0.46.

# III. PREASYMPTOTIC ANALYSIS

 $N-Sm-A_1$  critical behavior is analyzed below in terms of preasymptotic  $3D$  XY theory that includes corrections-to-scaling terms. Renormalization-group corrections-to-scaling terms. theory [3,19] provides a description of critical singularities in  $C_p$ , order-parameter susceptibility  $\chi$ , and correlation length  $\xi$  only in the asymptotic (pure power-law) limit. Heat-capacity studies of liquid-crystal transitions show that it is very dificult to access this asymptotic domain, and correction terms play an important role for  $C_p$  analysis over the  $10^{-5} < \tau < 10^{-2}$  reduced temperature range [9,13,14]. However, because of the absence of a theory for anisotropic critical behavior, conventional x-

TABLE II. Least-squares  $DB_5CN+C_5$  stilbene parameters (with the 95% confidence limits) for pure power-law fits to  $\xi_{\parallel}$ ,  $\xi_1$ ,  $\xi_{\parallel} \xi_1^2$ , and  $\sigma$  with Eqs. (2) and (3). The units for  $\xi_{\parallel 0}$  and  $\xi_{10}$  are A and those for  $(4/(\xi_0/\xi_1^2))^2$  are  $\AA^3$ . The units of  $\sigma_0$  are arbitrary. The range of all fits was  $2\times 10^{-5} \le \tau \le 1.2\times 10^{-2}$ . The  $\chi^2$ value applies to the  $\xi_{\parallel} \xi_{\perp}^2$  fit.

Type of $S(q)$ fit	$\xi_{\parallel 0}$	$v_{\parallel}$	$\xi_{10}$	$\nu_1$	$\sigma_0$		$(\xi_{\parallel} \xi_{\perp}^2)_{0}$	$3v_{\text{eff}}$	$\chi^2_{\nu}$
Eq. (1)	7.91	0.73	1.84	0.57	1.22	1.30	23.20	1.88	1.50
$c\neq 0$	$\pm 0.34$	$\pm 0.03$	$\pm 0.08$	$\pm 0.03$	$\pm 0.05$	$\pm 0.05$	$\pm 1.11$	$\pm 0.09$	
Eq. $(1)$	10.42	0.74	2.37	0.59	2.13	1.32	59.68	1.94	1.73
$c=0$	$\pm 0.70$	$\pm 0.05$	$\pm 0.16$	$\pm 0.04$	$\pm 0.11$	$\pm 0.07$	$\pm$ 3.69	$\pm 0.12$	
Eq. $(I-3)$	8.56	0.75	1.17	0.59	1.67	1.30	13.32	1.95	1.65
$\eta_1 \neq 0$	$\pm 0.46$	$\pm 0.04$	$\pm 0.06$	$\pm 0.03$	$\pm 0.09$	$\pm 0.06$	$\pm 0.71$	$\pm 0.10$	

ray analysis has neglected correction terms and used pure power laws with adjustable effective critical exponents, a procedure which yields  $v_{\parallel} > v_1$ . We shall argue below that an analysis using corrections-to-scaling terms may nevertheless be used successfully for the correlation volume  $\xi_{\parallel} \xi_{\perp}^2$ .

Bagnuls and Bervillier [19—21] have carried out an exact nonperturbative analysis of the  $\phi^4$  field-theory model for three-dimensional *n* vector models with  $n = 1, 2, 3$ . They have reported detailed numerical evaluations of the universal aspects of the preasymptotic (first confluent corrections) regime [19] and have tested their predictions for the 3D XY ( $d = 3, n = 2$ ) model with experimental  $C_p$ data for liquid helium near its  $\lambda$  transition [21]. This preasymptotic isotropic theory shows that correctionsto-scaling terms for  $C_p$ , correlation length, and susceptibility all depend on a single nonuniversal temperature scaling parameter  $\theta_0$  that can be evaluated from the  $C_p$ analysis alone. Thus, it is internally inconsistent to ignore correction terms in the x-ray analysis when they are known to be large for  $C_p$ . The essential features of preasymptotic 3D XY theory are summarized below, and the theory is then utilized for the analysis of  $C_p$ ,  $\sigma$ ,  $\xi_{\parallel}$ ,  $\xi_{\perp}$ , and the correlation volume  $\xi_{\parallel} \xi_{\perp}^2$ .

For a scaling analysis of critical behavior there are two dimensionless theoretical scaling fields  $t^*$  and  $h^*$ :

$$
t^* = \theta \tau \text{ and } h^* = \psi H \tag{4}
$$

where  $\tau$  is the experimental reduced temperature and H is the experimental field conjugate to the order parameter, and one coupling parameter  $g_0$  with the dimension inverse length. The experimental free energy per unit volume  $\overline{F}_{\text{expt}}(T)$  is given by

$$
\overline{F}_{\text{expt}}(T) = k_B T F_{\text{theor}}(t^*) + \overline{F}_{\text{reg}}(T) , \qquad (5)
$$

the critical theoretical free energy  $F_{\text{theor}}$  has the dimenwhere  $\overline{F}_{\text{reg}}(T)$  is the regular background contribution and sions (volume) $^{-1}$ . Taking into account analyticity, one obtains near a critical point

$$
t^* = \theta \tau = \theta_0 (1 + \theta_1 \tau + \dots) \tau \tag{6}
$$

$$
g_0 = g_{00}(1 + g_{01}\tau + \dots) \tag{7}
$$

Within the preasymptotic critical domain  $D_{\text{preas}}$  for the  $\phi^4$  model,  $\theta_0$ ,  $\psi$ , and  $g_{00}$  are the only adjustable (nonuniversal system-dependent) parameters and  $t^* = \theta_0 \tau, g_0 = g_{00}$ . Higher-order analytic correction terms can arise due to nonzero  $\theta_1$  and  $g_{01}$  as well as the factor T in Eq.  $(5)$  [21]. Bagnuls and Bervillier [19] show that the preasymptotic form for a dimensionless function  $f^*(t^*)$ , such as  $\xi^*(t^*), \chi^*(t^*),$  or  $C_p^*(t^*),$  is

$$
f^*(t^*) = X_1(t^*)^{-e} [1 + X_2(t^*)^{\Delta_1}]^{X_3}
$$
  
×  $[1 + X_4(t^*)^{\Delta_1}]^{X_5} + X_6$ , (8)

where e is the appropriate critical exponent and  $X_6$  is nonzero only for  $C_p$ . The corrections-to-scaling exponent  $\Delta_1$  = 0.524 ± 0.004 for a 3D XY model [19], and the values of  $X_i$  are well determined numerically in  $D_{\text{preas}}$  as long as  $t^* = \theta_0 \tau \langle 10^{-2} \rangle$ . It is usual to expand the expression in Eq. (8) to obtain

$$
f^*(t^*) \cong X_1(t^*)^{-e} [1 + (X_2 X_3 + X_4 X_5)(t^*)^{\Delta_1}] + X_6 . \tag{9}
$$

Although this approximate form is adequate over the  $\tau$ range of experimental interest for  $C_p$ , it is not sufficiently accurate for  $\xi$  and  $\chi$  due to the large values of  $X_4^{\xi}$  and  $X_4^{\chi}$ accurate for  $\xi$ <br>24]. For  $\xi$ <br>1+X<sub>2</sub>( $t^*$ )<sup>5</sup>1] 24]. For  $\zeta$  and  $\chi$ , one can expand only the term  $1+X_2(t^*)^{\Delta_1}$  in Eq. (8).

Presented below is an analysis of  $C_p$  and the x-ray data for five liquid-crystal systems exhibiting wellcharacterized  $N-Sm-A_1$  transitions:  $DB_8ONO_2$  (paper I),  $DB_5CN+C_5$  stilbene (present paper and Ref. [17]), 8OPCBBOB (Refs. [13] and [15]), T7 (Ref. [12]), and T8 (Ref. [12)). The heat capacity will be analyzed first in order to determine the value of  $\theta_0$ . This value will then be used in the analysis of the x-ray data.

#### A. Heat-capacity analysis

This section presents a reanalysis of previously published  $C_p$  data using nonasymptotic XY theory. The theoretical 3D XY value of the critical exponent for  $C_p$  is  $\alpha = -0.0066\pm0.0030$  [19], and the nonasymptotic expression for the critical heat capacity above  $T_c$  per unit volume  $\Delta \overline{C}_p$  is given by

$$
\Delta \overline{C}_p = g_{00}^3 \theta_0^2 \{ X_1^C (\theta_0 \tau)^{-\alpha} [1 + (X_2^C X_3^C + X_4^C X_5^C) \times (\theta_0 \tau)^{\Delta_1} + D_{2\epsilon \mathbf{f} \mathbf{f}}^+ \tau ] + X_6^C \},
$$
\n(10)

where  $X_1^C = -118, (X_2^C X_3^C + X_4^C X_5^C) = -0.461,$  $X_6^C = 112.7$ , and  $\Delta_1 = 0.524$  [19].  $\Delta \overline{C}_p / k_B = \rho \Delta C_p / k_B$ where  $\rho$  is the mass density and the excess heat capacity  $\Delta C_p$  in J K<sup>-1</sup> g<sup>-1</sup> units is given by

$$
\Delta C_p = C_p(\text{obs.}) - C_p(\text{background})
$$
  
=  $C_p(\text{obs.}) - [B_r + E(T - T_c)]$ . (11)

The usual expression for  $\Delta C_p^{\pm}$ , where the superscripts denote above and below  $T_c$ , is

$$
\Delta C_p^{\pm} = A^{\pm} \tau^{-\alpha} [1 + D_1^{\pm} \tau^{\Delta 1} + D_{2\text{eff}}^{\pm} \tau] + B_c , \qquad (12)
$$

from which it follows that

$$
A^+ = -118g_{00}^3 \theta_0^{2.0066} (k_B/\rho) , \qquad (13a)
$$

$$
B_c = 112.7g_{00}^3 \theta_0^2 (k_B/\rho) , \qquad (13b)
$$

and

$$
D_1^+ = -0.461 \theta_0^{0.524} \tag{14}
$$

The correction term  $D_{2 \text{eff}} \tau$  represents a combination of several higher-order terms that have almost the same  $\tau$ dependence:

$$
D_{2\text{eff}}^+\tau \simeq d_2^C(\theta_0\tau)^{2\Delta_1} + A_1\tau - \left|\frac{X_6^C\theta_0^{\alpha}}{X_1^C}\right|A_2\tau^{1+\alpha}, \quad (15)
$$

where the analytic correction terms have coefficients  $A_1$ and  $A_2$  that are functions of  $\alpha$ ,  $\theta_1$ , and  $g_{01}$  [21]. Previous analysis of  $C_p(NA_1)$  with Eq. (12) using slightly different  $\alpha$  and  $\Delta_1$  values [13] shows that the  $D_{2 \text{eff}}^+ \tau$  term becomes important for  $\tau > 5 \times 10^{-3}$  or, as we shall see,<br> $t^* = \theta_0 \tau \gtrsim 2 \times 10^{-3}$  typically. It should be noted that setting  $D_{2\text{eff}}^{\pm}=0$  has only a small effect on the other parameters, primarily influencing the  $D_1^-/D_1^+$  ratio [13].

Figure 3 presents the temperature variation of the excess heat capacity  $\Delta C_p$  for all five systems and shows the quality of the fits to these data with Eq. (12). The fitting parameters obtained when  $\alpha$  and  $\Delta_1$  are held fixed at the theoretical  $3D$  XY values are given in Table III. It is clear from Fig. 3 and the  $\chi^2$  values in Table III that the fits are of good quality. The amplitude ratios  $A^{-7}/A^{+}$ are in good agreement with the  $3D XY$  universal theoretical value  $A^{-7}/A^{+}=0.9714\pm0.0126$  [20] and are *incon*sistent with an inverted  $XY$  value of 1.0294 (see Ref. 13 for a detailed discussion of this point). The ratios  $D_1^-/D_1^+$  are close to +1, the theoretically expected value [25]. A further test of the universality of the  $C_p$ fitting parameters is the dimensionless ratio  $R_{B_c}^+$  defined by

$$
R_{B_c}^+ \equiv A^+|D_1^+|^{\alpha/\Delta_1}B_c^{-1} , \qquad (16)
$$

which has the 3D XY value  $-1.057\pm0.022$  [19]. The  $R_{B_c}^+$  values given in Table III are in excellent agreement with this universal theoretical value. Note that for the preasymptotic domain, where  $D_{2\text{eff}}^+\tau$  is not important, there are three fitting parameters for  $T > T_c$ . The universal ratio  $R_{B_c}^+$  could be used to reduce these to two independent variables, in agreement with the presence of two nonuniversal quantities  $g_{00}$  and  $\theta_0$  in Eq. (10).

Finally, we have used Eq. (14) to determine the  $\theta_0$ values, and these are also given in Table III. The uncertainties in  $\theta_0$  values have been estimated by stepping  $\theta_0$ through a set of values and using the F test. Since the  $C_p$ data above  $T_c$  are of poorer quality for T7 and T8, these  $\theta_0$  values are more uncertain. The value of  $\theta_0$  is especially ill-defined for T7, where  $C_p$  data extend only 0.7 K above  $T_c$ . We have chosen  $\theta_0 = 0.23$ , in part because of its internal consistency with the x-ray data analysis reported below.

With values established for  $\theta_0$ , we can demonstrate the role of the first corrections-to-scaling term (and the  $D_{2eff}^+\tau$ term) for  $C_p$ . Shown in Fig. 4 is a scaling plot of  $|(\Delta \overline{C}_p / k_B g_{00}^3 \theta_0^2) - X_6^C| \equiv |(\Delta C_p^+ - B_c)X_1^C / A^+ \theta_0^{\alpha}|$  versus the scaling field  $\theta_0 \tau$ . The solid line represents the preasymptotic 3D  $XY$  expression, i.e., Eq. (10) with  $D_{2 \text{eff}}^+=0$ . The dashed line is the asymptotic pure power  $\lim_{\alpha \to \infty} |X_1^C| (\theta_0 \tau)^{-\alpha} = 118(\theta_0 \tau)^{+0.0066}$ . Figure 4 shows that the first-order correction term is important for  $\theta_0 \tau > 5 \times 10^{-6}$ . Note that almost all the liquid-crystally data lie at  $\theta_0 \tau$  values greater than this. The upper bound on the preasymptotic domain  $D_{\text{preas}}$  for  $C_p$  correspond to the  $\theta_0\tau$  value at which the higher-order correction term  $D_{2 \text{eff}}^+ \tau$  becomes important (see Fig. 4 of Ref. [19]). Since  $D_{2\text{eff}}^+\tau$  is an extra nonuniversal term (not a function

of  $\theta_0 \tau$  alone), this bound on  $D_{\text{preas}}$  will vary with the system. As a typical example, the curve generated using the  $DB<sub>5</sub>CN+C<sub>5</sub>$  stilbene value  $D_{\text{2eff}}^{\dagger}=0.87$  is also shown in Fig. 4. For this system,  $D_{\text{preas}}$  ends around  $\theta_0 \tau \approx 2 \times 10^{-3}$ .



FIG. 3. Excess heat capacity  $\Delta C_p$  near the N-Sm- $A_1$  transition in five liquid-crystal systems. Note the use of different  $\Delta C_{p}$ scales. The maximum reduced temperature range for the fits with Eq. (12) is  $\pm 10^{-2}$ ; fitting parameters are given in Table III.

TABLE III. Least-squares values of the adjustable parameters (with their 95% confidence limits) for fitting  $\Delta C_p$  with Eq. (12). For C<sub>p</sub> (background),  $E = 0$  for all fits and B<sub>r</sub> (JK<sup>-1</sup>g<sup>-1</sup>)=2.93 (T8), 2.53 (T7), 2.00 (DB<sub>5</sub>CN+C<sub>5</sub> stilbene), 2.05 (DB<sub>8</sub>ONO<sub>2</sub>), and 1.75 (8OPCBOB).  $\alpha = \alpha_{XY} = -0.0066$  and  $\Delta_1 = \Delta_{XY} = 0.524$  were held fixed. The units for  $A^+$  and  $B_c$  are J K<sup>-1</sup> g<sup>-1</sup>

System	$T_c$ (K)	$A^+$	$A^-/A^+$	$D_1^+$	$D_1^- / D_1^+$	$D_{\rm 2eff}^+$	$\frac{D_{2\text{eff}}^{-}}{D_{2\text{eff}}^{+}}$	$B_c$	$\chi^2_{\nu}$	$R_B^+$	$\theta_0$
T8	367.170	$-3.34$	0.990	$-0.06$	$\lceil 1 \rceil$	$-1.56$	$-0.49$	3.20	0.99	$-1.076$	0.02
	$\pm 0.003$	$\pm 0.20$	$\pm 0.004$	$\pm 0.10$		±4.0	±1.0	$\pm 0.20$		$\pm 0.064$	$\pm 0.03$
T7	401.87	$-1.93$	0.983	$-0.21$	0.74	1.51	1.25	1.86	1.09	$-1.058$	0.23
	$\pm 0.003$	$\pm 0.24$	$\pm 0.004$	$\pm 0.4$	$\pm 1.5$	±4.0	$\pm 3.0$	$\pm 0.24$		$\pm 0.064$	$\pm 0.50$
DB <sub>5</sub> CN	424.426	$-18.59$	0.994	$-0.288$	1.32	0.87	2.02	17.74	0.96	$-1.064$	0.41
$+ C5$ stilbene	$\pm 0.001$	$\pm 0.23$	$\pm 0.003$	$\pm 0.05$	$\pm 0.20$	$\pm 0.13$	$\pm 0.30$	$\pm 0.24$		$\pm 0.029$	$\pm 0.06$
DB <sub>s</sub> ONO,	404.351	$-13.19$	0.986	$-0.314$	0.69	1.27	1.26	12.61	0.94	$-1.062$	0.48
	$\pm 0.001$	$\pm 0.17$	$\pm 0.003$	$\pm 0.07$	$\pm 0.17$	$\pm 0.32$	$\pm 0.32$	$\pm 0.17$		$\pm 0.027$	$\pm 0.11$
8OPCBOB	394.661	$-31.45$	0.988	$-0.282$	1.01	0.69	1.96	29.95	0.83	$-1.067$	0.39
	$\pm 0.001$	$\pm 0.41$	$\pm 0.003$	$\pm 0.04$	$\pm 0.15$	$\pm 0.10$	$\pm 0.29$	$\pm 0.40$		$\pm 0.030$	$\pm 0.06$

It should be noted that the magnitude of  $\theta_0$  is relatively large in these polar liquid crystals compared to the value in helium near its normal-superfluid transition. An analysis of helium  $C_p$  data in Ref. [20] yields  $\theta_0 = 0.015 - 0.016$ .

#### B. X-ray analysis

The theoretical  $3D XY$  values of the correlation length critical exponent  $\nu$  and the susceptibility critical exponent  $\gamma$  are  $\nu = 0.6689 \pm 0.0010$  and  $\gamma = 1.3160 \pm 0.0020$ [19]. The nonasymptotic expressions for  $\xi$  and  $\chi$  [also



FIG. 4. Scaling plot of  $|(\Delta \bar{C}_p / k_B g_{00}^3 \theta_0^2) - X_6^C|$  vs  $\theta_0 \tau$ . The solid line is the preasymptotic  $(D_{2\text{eff}}^+=0)$  expression given by Eq. (10), and the dashed line is the asymptotic pure power law. The dash-dotted line is the nonuniversal curve for  $DB_5CN+C_5$ stilbene with  $D_{2 \text{eff}}^+=0.87$ . The ranges  $\theta_0 \tau$  of available  $C_p$  data for five liquid-crystal systems are also shown.

the intensity  $\sigma$  from Eq. (1), which is proportional to  $\chi$ ] are

$$
\xi = g_{00}^{-1} X_1^{\xi} (\theta_0 \tau)^{-\nu} [1 + X_2^{\xi} X_3^{\xi} (\theta_0 \tau)^{\Delta_1} + D_2^{\xi} \tau] \times [1 + X_4^{\xi} (\theta_0 \tau)^{\Delta_1}]^{X_3^{\xi}},
$$
\n(17)

$$
\xi = \xi_0 \tau^{-\nu} [1 + 0.3754 (\theta_0 \tau)^{\Delta_1} + D_{2}^{\xi} \tau]
$$
  
×[1+29.76( $\theta_0 \tau$ )<sup>\Delta\_1</sup>]<sup>0.232</sup>, (18)

and

$$
\chi = g_{00}^3 \psi^2 X_1^{\chi} (\theta_0 \tau)^{-\gamma} [1 + X_2^{\chi} X_3^{\chi} (\theta_0 \tau)^{\Delta_1} + D_2^{\chi} \tau]
$$
  
×[1+X\_4^{\chi} (\theta\_0 \tau)^{\Delta\_1}]^{X\_5^{\xi}}, (19)

$$
\sigma = \sigma_0 \tau^{-\gamma} [1 + 0.5119 (\theta_0 \tau)^{\Delta_1} + D_{2}^{\gamma} \tau]
$$
  
×[1 + 24.55( $\theta_0 \tau$ )<sup>^{\Delta\_1}</sup>]<sup>0.460</sup>, (20)

where  $\Delta_1 = 0.524$  as before,  $X_1^{\xi} = 0.392$  and  $X_1^{\chi} = 0.185$ , and  $g_{00}$  and  $\psi$  are system-dependent nonuniversal amplitudes [19]. The nonuniversal parameter  $\theta_0$  will be held fixed at the value determined above from fits to  $C_p$ . The higher-order correction terms  $D_2^{\xi} \tau$  and  $D_2^{\chi} \tau$  represent a combination of second corrections-to-scaling terms  $(\sim \tau^{2\Delta_1} = \tau^{1.048})$  and analytic correction terms (  $\sim \tau$ ):

$$
D_{2}^{\xi} \tau \simeq d_{2}^{\xi} (\theta_{0} \tau)^{2\Delta_{1}} - (\nu \theta_{1} + g_{01}) \tau , \qquad (21a)
$$

$$
D_2^{\chi} \tau \simeq d_2^{\chi} (\theta_0 \tau)^{2\Delta_1} - (\gamma \theta_1 - 3g_{01})\tau . \tag{21b}
$$

Both of these terms should be negligible in the preasymptotic domain  $D_{\text{preas}}$ , and they are retained in Eqs.  $(17)$ – $(20)$  for completeness.

An excellent way of visualizing the important role of first-order corrections-to-scaling terms is to display the variation of *effective exponents*  $v_{\text{eff}}$  and  $\gamma_{\text{eff}}$  defined by  $v_{\text{eff}} \equiv -d \ln \zeta / d \ln(\theta_0 \tau)$  and  $\gamma_{\text{eff}} \equiv -d \ln \chi / d \ln(\theta_0 \tau)$  and obtainable from Eqs. (17)–(20) with  $D_2^{\xi} = D_2^{\chi} = 0$ . The resulting values shown in Fig. 5 are valid for  $* = \theta_0 \tau \le 10^{-2}$ , the limit of the Bagnuls-Bervillier numerical evaluation of  $X_i$  values, or to the limit of the preasymptotic domain  $D_{\text{preas}}$ , which is estimated to lie at a smaller  $\theta_0 \tau$  value of about  $2 \times 10^{-3}$ . In any event, it is



FIG. 5. Effective critical exponents  $v_{\text{eff}}$  and  $\gamma_{\text{eff}}$  representing  $\xi$ and  $\chi$ . The true asymptotic 3D XY values are given by the horizontal dashed lines. The dependence of  $v_{\text{eff}}$  and  $\gamma_{\text{eff}}$  on the scaling field  $t^* = \theta_0 \tau$  is due to first-order corrections-to-scaling terms.

clear that the liquid-crystal data lie in a  $\theta_0 \tau$  range outside the limiting asymptotic regime.

Since pure power-law fits to  $N-Sm-A_1$  x-ray data yield experimental effective exponents  $v_{\parallel} > v_{XY} > v_{\perp}$  as shown in Table IV, it is obviously difficult to apply isotropic theory to the correlation lengths. Table IV also shows that preasymptotic (i.e.,  $D_{2}^{\xi} \equiv 0$ ) fits are quite poor for both  $\xi_{\parallel}$  and  $\xi_{\perp}$  when  $\theta_0$  is held fixed at the value obtained from the heat capacity. This is especially true for the

more anisotropic systems. One might speculate that the experimental anisotropy is completely due to large anisoropic second-order terms  $D_{\frac{5}{2}}^{\frac{5}{2}}\tau$ , and we have tested empirical fits with  $\theta_0$  fixed by the  $C_p$  data and  $D\frac{5}{2} \neq 0$  as an independent adjustable parameter for  $\xi_{\parallel}$  and  $\xi_{\perp}$ . Except for 8OPCBOB, there is no significant improvement in the  $\xi_1$  fits and only a modest improvement in the  $\xi_{\parallel}$  fits. For 8OPCBOB we find  $D_{2\parallel}^{\xi} = -69(\chi_v^2=1.98)$  and  $D_{2\perp}^{\xi}$  = 136( $\chi_v^2$ = 2.3), but those  $D_{\xi}^{\xi}$  values seem physically questionable and the  $\chi^2_{\nu}$  values are still much worse than those for pure power-law fits. Another empirical fit was to hold  $D_{\xi}^{\xi}$  =0 but allow  $\theta_0$  to be freely adjustable. The results were not encouraging for  $\xi_{\parallel}$  ( $\theta_0^{\Delta_1} \rightarrow$  nonphysical<br>esults were not encouraging for  $\xi_{\parallel}$  ( $\theta_0^{\Delta_1} \rightarrow$  nonphysical regative values) or  $\xi_1$  ( $\theta_0^{\lambda_1} \rightarrow$  large values, 11.6 in the case of 8OPCBOB) since the  $\chi^2$  values were still large. As a final ad hoc attempt to represent the experimental anisotropy with Eq. (17), we allowed  $g_{00}$  and the coefficient  $X_{\bar{z}}^{\epsilon}X_{\bar{z}}^{\epsilon}$  to be freely adjustable parameters with  $D_{\bar{z}}^{\epsilon}=0$  and  $\theta_0$  fixed at the  $C_p$  value. These two-parameter fits are statistically equivalent to two-parameter pure power fits within 95% confidence limits, but the physical significance of the fitting parameters is unclear [26]. As far as we are aware, the only previous analysis of a quantity related to the correlation length that utilized corrections-to-scaling terms is data fitting for the nematic elastic constant  $K_3$  [27]. This bend constant is given by  $K_3 = K_3^0 + \delta K_3$ , where  $\delta K_3$  is expected to vary like and fits were made with the form  $A\tau^{-\rho_3}(1+D\tau^{0.5})+K_3^0$ . For three nonpolar  $N-Sm-A_m$  systems when D was fixed at zero,  $\rho_3 \approx 0.8$  in good agreement with x-ray  $v_{\parallel}$  values. When D is allowed to be a free parameter,  $\rho_3 \approx 0.67$  and  $D \simeq -20$ . However, such a large negative D is puzzling since it implies that  $\delta K_3$  changes sign at  $\tau \approx 2.5 \times 10^{-3}$ .

In spite of difficulties in analyzing the individual correlation lengths, we believe that one can use the theoretical preasymptotic results for the isotropic 3D XY model to analyze the correlation volume  $\xi_{\parallel} \xi_{\perp}^2$  (as well as the smec-<br>tic susceptibility  $\sigma$ ) of liquid crystals near the N-Sm-A<sub>1</sub> transition. The correlation volume is related quite directly to the free energy per unit volume via two-scale-factor universality, as we shall show just below. Thus, it is pos-

TABLE IV. Least-squares values of the adjustable parameters for fits to  $\xi_{\parallel}$  and  $\xi_{\perp}$  with a pure power law and with Eq. (18). Quantities in brackets were held fixed at the given values. The units for  $\xi_{\parallel 0}$  and  $\xi_{\perp 0}$  are  $\AA$ . The range for these fits is  $2 \times 10^{-5} < \tau < 1.2 \times 10^{-2}$ . The values quoted for T7 and T8 (Ref. [12]), DB<sub>8</sub>ONO<sub>2</sub> (Ref. [16]), and 8OPCBOB (Ref. [15]) were obtained from the reanalysis of published data. In the case of 8OPCBOB, our  $v_1$  value is somewhat smaller than the published value of  $0.56 \pm 0.05$ .

System	$\xi_{\parallel 0}$	$v_{\parallel}$	$\theta_0$	$\chi^2_{\nu}$	$\xi_{10}$	$v_{\perp}$	$\theta_0$	$\chi^2_{\nu}$
T8	14.55	0.699	[0]	0.84	1.49	0.654	[0]	0.84
	17.20	[0.669]	[0.02]	1.26	1.31	[0.669]	[0.02]	0.79
T7	14.83	0.694	[0]	1.37	1.94	0.612	[0]	1.96
	16.24	[0.669]	[0.23]	2.84	1.18	[0.669]	[0.23]	2.21
$DB_5CN+$	7.91	0.732	[0]	1.82	1.84	0.566	[0]	0.89
$C5$ stilbene	10.97	[0.669]	[0.41]	6.33	0.76	[0.669]	[0.41]	3.24
$DB_8ONO_2$	8.74	0.694	[0]	1.59	1.75	0.593	[0]	1.00
	9.33	[0.669]	[0.48]	3.64	0.89	[0.669]	[0.48]	1.67
8OPCBOB	6.76	0.721	[0]	1.23	1.73	0.547	[0]	1.21
	9.42	[0.669]	[0.39]	5.83	0.545	[0.669]	[0.39]	4.52

sible that  $\xi_{\parallel} \xi_{\perp}^2$  may exhibit effectively isotropic behavior even though  $\xi_{\parallel}$  and  $\xi_{\perp}$  separately are anisotropic (i.e.,  $v_1 > v_1$ ). More precise statements are not possible in the absence of a convincing theory for this anisotropy [3,4,6]. The usual statement of two-scale-factor universality is  $\overline{F}_{sing}\xi^3/k_B T = Y$ , where  $\overline{F}_{sing}$  is the singular free energy per unit volume and  $Y$  is a dimensionless universal constant whose value is independent of the system studied in a given universality class [28]. The quantity  $\overline{F}_{sing}$  is  $k_B T$  $F_{\text{theor}}$  that appears in Eq. (5), and  $\xi^3$  is replaced by  $\xi_{\parallel} \xi_{\perp}^2$  in the case of an anisotropic liquid crystal system. Thus,

$$
\xi_{\parallel} \xi_{\perp}^2 = Y/F_{\text{theor}} \tag{22}
$$

Note that  $F_{\text{theor}} = 0$  at  $T_c$  and  $F_{\text{theor}} < 0$  for  $T > T_c$ , which means that  $Y$  is a negative number [29]. The value of  $F_{\text{theor}}(\tau)$  can be obtained by integrating the preasymptotic XY heat-capacity expression once the nonuniversal fitting parameters  $g_{00}$  and  $\theta_0$  are known (for which the mass density  $\rho$  is required).

Precise values of  $\rho$  are not known for the investigate systems, but we can use  $\rho = 1.0 \text{ g cm}^{-3}$  with some confidence since several other polar liquid crystals have densities in the range 0.99—1.04 [30]. Figure 6 shows the variation of  $\xi_{\parallel} \xi_{\perp}^2$  with  $\tau$  for  $DB_8ONO_2$  and  $DB_5 CN + C_5$ stilbene. The line represents  $Y/F_{\text{theor}}$ , where Y is a temperature-independent adjustable constant. The values of Y were  $-0.267$  for  $DB_8ONO_2$  and  $-0.285$  for  $DB<sub>5</sub>CN+C<sub>5</sub>$  stilbene. Fits of comparable quality were also obtained for T7, T8, and 8OPCBOB, which yielded  $Y = -0.299$  (T7),  $-0.310$  (T8), and  $-0.13$  (8OPCBOB). Since the  $C_p$  variations are well described by the isotropic  $3D XY$  model, the good fits shown in Fig. 6 clearly indicate that the temperature dependence of  $\xi_{\parallel} \xi_1^2$  can be represented empirically by this model.

The nonasymptotic expression for  $\xi_{\parallel} \xi_{\perp}^2$  that is a gen-



FIG. 6. Temperature dependence of the Sm- $A_1$  correlation volume  $\xi_{\parallel} \xi_1^2$  for  $DB_8ONO_2$  and  $DB_5 CN + C_5$  stilbene. The solid fitting line  $Y/F_{\text{th}}$  has only one adjustable parameter, the temperature-independent constant Y. The data points for the  $DB_5CN+C_5$  stilbene mixture have been shifted up by a factor of 5 in order to improve the clarity of the display.



FIG. 7. Scaling plot for the Sm-A<sub>1</sub> correlation volume:  $\theta_0 \tau$ is the thermal scaling field and  $F_1(\theta_0\tau) \equiv \xi_{\parallel} \xi_1^2 / (\xi_{\parallel} \xi_2^2) \theta_0^3$ ". The  $\theta_0$ values were determined by  $C_p$  fits, see Table III, and used without further adjustment. The solid line represents the preasymptotic 3D  $XY$  expression given by Eq. (23). The dashed line is the asymptotic pure power law that will hold sufficiently close to  $T_c$ , where corrections-to-scaling terms can be neglected.

eralization of Eq. (18) is

$$
\xi_{\parallel} \xi_{\perp}^2 = (\xi_{\parallel} \xi_{\perp}^2)_{0} \tau^{-3\nu} [1 + 1.126 (\theta_{0} \tau)^{\Delta_1} + D_{2}^{\nu} \tau ]
$$
  
×[1+29.76( $\theta_{0} \tau$ )<sup>^</sup>]<sup>0.696</sup>, (23)

with  $D_2^v \equiv D_{2\parallel}^{\xi} + 2D_{2\perp}^{\xi}$  and

$$
(\xi_{\parallel} \xi_{\perp}^2)_0 = g_{00}^{-3} (X_1^{\xi})^3 \theta_0^{-3\nu} = 0.060 g_{00}^{-3} \theta_0^{-2.0066} .
$$
 (24)

Fits to  $\sigma$  and  $\xi_{\parallel} \xi_1^2$  data have been made with pure power laws, Eqs.  $(2)$  and  $(3)$ , using effective exponents and  $3D$  $XY$  exponents, and with nonasymptotic expressions Eqs. (20) and (23). In the latter case, three variants were test-



FIG. 8. Scaling plot for the Sm- $A_1$  susceptibility  $\sigma: \theta_0 \tau$  is the scaling field and  $F_2(\theta_0\tau) \equiv \sigma/\sigma_0\theta_0^{\gamma}$ . The  $\theta_0$  values are the same as those used in Fig. 7. The solid line represents the preasymptotic 3D  $XY$  expression given by Eq. (20), and the dashed line is the asymptotic pure power law.

TABLE V. Least-squares values of the adjustable parameters for fits to  $\xi_{\parallel} \xi_1^2$  and  $\sigma$  with Eqs. (23) and (20), respectively. Quantities in brackets were held fixed at the given values. The units for  $(\xi_{\parallel} \xi_1^2)$  are  $A$ ; those for  $\sigma_0$  are arbitrary. The number of data points N in each fit and the limiting value of F for  $\chi^2_v$  (fit 2)/ $\chi^2_v$  (fit 1) at the 95% confidence limit are  $N = 20, F = 2.2$  (T8);  $N = 39, F = 1.7$  (T7);  $N=22, F=2.1$  (DB<sub>3</sub>CN+C<sub>3</sub> stilbene);  $N = 33, F = 1.8$  (DB<sub>8</sub>ONO<sub>2</sub>); and  $N = 17, F = 2.4$  (8OPCBOB). The uncertainties for free  $\theta_0$ values are 95% confidence limits.

Correlation volume					Susceptibility					
System	$(\xi_{\parallel} \xi_1^2)_0$	$3\nu$	$\theta_0$	$D_2^v$	$\chi^2_\nu$	$\sigma_0$	$\gamma$	$\theta_0$	$D_2^{\sigma}$	$\chi^2_{\nu}$
T8	37.99 31.28 28.49 28.71 29.06	1.978 [2.007] [2.007] [2.007] [2.007]	[0] [0] [0.02] $[0.02]$ 0.013 $\pm 0.028$	[0] [0] [0] 1.27 [0]	1.87 1.84 1.75 1.84 1.84	0.386 0.267 0.254 0.239 0.231	1.262 [1.316] [1.316] [1.316] [1.316]	[0] [0] $[0.02]$ [0.02] 0.214 $\pm 0.15$	[0] [0] [0] $-22.1$ [0]	1.32 1.66 1.28 0.96 1.08
T7	55.27 26.56 21.45 21.43 21.45	1.911 [2.007] $[2.007]$ [2.007] [2.007]	[0] [0] [0.23] [0.23] 0.231 $\pm 0.16$	[0] [0] [0] $-0.17$ [0]	1.74 2.19 1.76 1.76 1.76	0.648 0.312 0.281 0.275 0.256	1.225 [1.316] [1.316] [1.316] [1.316]	[0] [0] [0.23] [0.23] 0.925 $\pm 0.51$	[0] [0] [0] $-14.3$ [0]	0.88 2.76 1.36 1.40 1.14
$DB_5CN$ $+C_5$ stilbene	23.20 8.64 6.74 7.03 6.98	1.883 [2.007] [2.007] [2.007] [2.007]	[0] [0] [0.41] [0.41] 0.308 $\pm 0.21$	[0] [0] [0] 5.26 [0]	1.50 2.93 1.76 1.72 1.83	1.22 0.980 0.825 0.820 1.07	1.300 [1.316] [1.316] [1.316] [1.316]	[0] [0] [0.41] [0.41] 0.01 $\pm 0.02$	[0] [0] [0] 14.2 [0]	1.10 1.13 1.64 1.31 1.05
DB <sub>s</sub> ONO <sub>2</sub>	26.92 8.94 7.07 7.24 7.04	1.872 [2.007] [2.007] [2.007] [2.007]	[0] [0] [0.48] [0.48] 0.494 $\pm 0.23$	[0] [0] [0] 3.67 [0]	1.71 4.09 1.98 1.98 2.04	1.33 0.937 0.849 0.858 0.832	1.284 [1.316] [1.316] [1.316] [1.316]	[0] [0] [0.48] $[0.48]$ 0.286 $\pm 0.15$	[0] [0] [0] 6.51 [0]	1.75 2.54 1.56 1.54 1.53
8OPCBOB	21.75 3.05 2.98 2.84 2.33	1.805 [2.007] [2.007] [2.007] [2.007]	[0] [0] [0.39] [0.39] 4.49 $\pm 1.92$	[0] [0] [0] $-35.1$ [0]	1.50 4.93 3.25 2.22 1.52	0.187 0.370 0.316 0.365 $\bf{a}$	1.402 [1.316] [1.316] [1.316] [1.316]	[0] [0] [0.39] [0.39]	[0] [0] $\lceil 0 \rceil$ 41.3 [0]	1.15 2.14 4.46 2.86

<sup>a</sup>To achieve a good fit, a *negative* value of  $\theta_0^{0.524}$  would be required. Since  $\theta_0$  is constrained to be positive, this fit gives a result identical to the  $\theta_0=0$  fit.

ed:  $\theta_0$  fixed at the  $C_p$  value with  $D_2 = 0$ ,  $\theta_0$  fixed with  $D_2$ freely adjustable, and  $\theta_0$  freely adjustable with  $D_2=0$ . The results are compared in Table V. We are most confident about the recent measurements on  $DB_8ONO_2$ . and  $DB_5CN+C_5$  stilbene, but the general trends seem to hold for all the systems except perhaps 8OPCBOB. All three variants of the nonasymptotic fits exhibit comparable  $\chi^2$  values, which is related to the fact that when  $\theta_0$  is free its value is reasonably close to the value determined from  $C_p$  and when  $D_2$  is allowed to be nonzero its value is small. Furthermore, the preasymptotic fits to  $\xi_{\parallel} \xi_{\perp}^2$  and  $\sigma$ with  $\theta_0$  fixed (and  $D_2=0$ ) are one-parameter fits that are statistically equivalent to two-parameter power-law fits within 95% confidence limits, as shown by comparing  $\chi^2_{\nu}$ (preas)/ $\chi^2_{\nu}$ (pure power) to the limiting F values given in the caption to Table V. These preasymptotic fits have the great additional advantage of being consistent with 3D

XY theory and high-resolution  $C_p$  data.

Figures 7 and 8 display plots of the scaled correlation volume and the scaled smectic susceptibility versus the scaling field  $\theta_0 \tau$ . The quantity shown in Fig. 7 is F<sub>1</sub>( $\theta_0 \tau$ ) =  $\xi_{\parallel} \xi_1^2$ /( $\xi_{\parallel} \xi_1^2$ )<sub>0</sub> $\theta_0^3$ , and that shown in Fig. 8 is  $F_2(\theta_0 \tau) \equiv \sigma / \sigma_0 \theta_0^{\gamma}$ . In all five systems, these plots were made using the  $\theta_0$  values obtained from the  $C_p$  fits. It should be stressed that there is only a single  $\tau$ independent adjustable parameter for these plots, the nonuniversal amplitude  $(\xi_{\parallel} \xi_1^2)_{0}$  or  $\sigma_0$ . The value of  $\xi_{\parallel} \xi_{\perp}^2$  is dependent on  $g_{00}$ , as shown in Eq. (24); the value of  $\sigma_0$  depends on both  $g_{00}$  and  $\psi$ , i.e.,  $\sigma_0 \propto \chi_0 = g_{00}^3 \psi^2 X_1^{\gamma} \theta_0^{-\gamma}$ , as seen from Eqs. (19) and (20). The quality of the data collapse is fairly good for SOPCBOB and excellent for the other four systems. One can see from the theoretical curves that first-order corrections-to-scaling terms play a role for  $\theta_0 \tau \ge 10^{-5}$ .

#### C. Amplitude universality

A final test can be made of the universality of these liquid-crystal  $C_p$  and x-ray results. Although the amplitude  $A^+$  for  $C_p$  and the amplitude  $(\xi_{\parallel} \xi_1^2)_0$  for the correlation volume are nonuniversal quantities, there is a universal relationship between them. The ratio  $R_t^+$  is defined in an isotropic system by  $(R_{\xi}^+)^3 \equiv \alpha \tau^2 (\Delta \overline{C}_{p}^{ls}/k_B)(\xi^{ls})^3$ , where the superscript ls denotes the leading singularity. The generalization for an anisotropic system obtained from Eqs.  $(10) - (12)$  and  $(23)$  is

$$
(R_{\xi}^{+})^3 = \alpha \tau^2 (\rho A^{+} / k_B) \tau^{-\alpha} (\xi_{\parallel} \xi_1^2)_{0} \tau^{-3\nu} ,
$$
  
=  $\alpha (\rho A^{+} / k_B) (\xi_{\parallel} \xi_1^2)_{0} ,$  (25)

where the final form follows from the hyperscaling relation  $2-\alpha=3\nu$  that is valid for the 3D XY model. From Eqs. (13a) and (24), one obtains the universal expression  $(R \frac{1}{5})^3 = \alpha X_1^C (X_1^2)^3$ , which yields the 3D XY theoretical<br> $(R \frac{1}{5})^3 = \alpha X_1^C (X_1^2)^3$ , which yields the 3D XY theoretical value  $R_{\xi}^{+}=0.3606\pm0.0020$  [19]. This value can be compared with experimental values of  $R_{\xi}^{+}$  calculated from Eq. (25) using  $\rho = 1.0$  g cm<sup>-3</sup> as assumed earlier.

The experimental  $R_{\xi}^{+}$  values are given in Table VI<br>along with a summary of the  $\theta_0$ ,  $A^+$ ,  $(\xi_{\parallel} \xi_1^2)$ <sub>0</sub>, and  $\sigma_0$ along with a summary of the  $\theta_0$ ,  $A^{\pm}$ ,  $(\xi_{\parallel} \xi_1^2)$ , and  $\sigma_0$  values used for our preasymptotic  $C_p$  and x-ray analysis. Agreement between theory and experiment is excellent for T8,  $DB_8ONO_2$  and 8OPCBOB, good for  $DB_5CN+C_5$ stilbene, and reasonably good for  $T7$  in view of problems with the T7 heat-capacity data. It should be noted that the absolute value of  $(\xi_{\parallel} \xi_1^2)$  depends somewhat on the choice of structure factor  $S(q)$ . The values of  $(\xi_{\parallel} \xi_1^2)$ <sub>0</sub> and  $R_{\xi}^{+}$  in Table VI are based on use of the standard  $S(q)$ form given in Eq. (1). Use of a Lorentzian  $S(q)$  yields  $(\xi_{\parallel} \xi_1^2)$ <sub>0</sub>~2.9 times larger and thus  $R_f^+$  values ~1.4 times larger. Use of the power-law corrected Lorentzian  $S(q)$ given by Eq. (3) in paper I yields  $(\xi_{\parallel} \xi_1^2)$ <sub>0</sub> ~ 1.9 times smaller and thus  $R_{\xi}^{+}$  values  $\sim$  1.2 times smaller. However, one must keep in mind the fact that the Lorentzian fits to the x-ray profiles are very poor [16], and Lorentzian  $(\xi_{\parallel} \xi_1^2)$  values are therefore unreliable. The fits with Eq. (I-3) require empirical  $\eta_1$  values that are less well behaved then the  $c$  values obtained with Eq.  $(1)$ ; thus we feel that the smaller  $(\xi_{\parallel} \xi_1^2)$ <sub>0</sub> values obtained with Eq. (I-3) are also less reliable. One could take the view that universality arguments support the choice of the standard form for  $S(q)$  or, more conservatively, the view that systematic errors in  $R_{\xi}^{+}$  could be as large as  $\pm 0.08$ .

#### IV. DISCUSSION

In the past, it has been conventional to analyze  $N-Sm-A$  x-ray data using pure power laws for  $\xi_{\parallel}, \xi_{\perp}$ , and  $\sigma$  with effective nonuniversal critical exponents even when it was clearly established that the heat-capacity data for the system required corrections-to-scaling terms. This practice developed due to the fact that log-log plots of  $\xi_{\parallel}$ ,  $\xi_{\perp}$ , and  $\sigma$  versus the reduced temperature  $\tau$  did not show statistically significant curvature over 2.5—3 decades in  $\tau$ . Furthermore, there was, and still is, no theory for the anisotropic critical behavior of  $\xi_{\parallel}$  and  $\xi_{\perp}$  to guide the choice of correction terms. In addition, the number of data points was usually too small for effective rangeshrinking tests. Most x-ray data sets contain 20-40 data points over the  $10^{-5} < \tau < 10^{-2}$  range, compared to several hundred  $C_p$  data points. Finally, the effective volume exponent  $3v_{\text{eff}} = v_{\parallel} + 2v_1$  and the effective  $C_p$  exbonent  $\alpha_{\text{eff}}$  for  $N$ -Sm- $A_m$  and  $N$ -Sm- $A_d$  transitions seemed to obey hyperscaling  $(\alpha_{\text{eff}}+3\nu_{\text{eff}}=2)$  within the rather large ( $\pm 0.15$ ) experimental uncertainties [7,8].

Recent investigations of several polar Sm- $A_1$  systems have established the following pattern: (1)  $C_p$  has a 3D XY form with  $\alpha = \alpha_{XY} = -0.007$  and fairly large correction terms [13,14], (2) pure power-law values of  $v_{\parallel}$  and  $v_{\perp}$ do not conform to hyperscaling expectations, as described below, (3) the neglect of correction terms for  $\xi_{\parallel} \xi_1^2$ and  $\sigma$  is inconsistent with theory in view of the large  $C_p$ correction terms that yield substantial values for  $\theta_0$  (see Fig. 5).

As an illustration of the failure of  $v_{\parallel}$  and  $v_{\perp}$  values obtained from pure power law as to satisfy hyperscaling, Fig. 9 shows a plot of  $v_{\parallel}$  vs  $v_{\perp}$  for  $N$ –Sm- $A_1$  systems with  $C_p$  data that are known to conform to 3D XY theory. One can write the anisotropic hyperscaling relation in the form  $v_{\parallel} = 2 - \alpha - 2v_{\perp} = 2.0066 - 2v_{\perp}$ . This locus of  $v_{\parallel}, v_{\perp}$ values that satisfy hyperscaling is shown as the dashed

**TABLE VI.** Nonuniversal parameters  $\theta_0$ , ( $\xi_{\parallel} \xi_1^2$ )<sub>0</sub>, and  $\sigma_0$  used to fit the x-ray data with preasymptotic 3D XY theory. The  $\theta_0$  values were held fixed at values obtained from an analysis of  $C_p$  data. Thus there is a single adjustable parameter for the correlation volume and another for the susceptibility. The universal ratio  $R_{\xi}^{+}$  relating  $(\xi_{\parallel} \xi_{1}^{2})_0$  with the  $C_p$  amplitude  $A^{+}$  is also given; its 3D XY value is  $0.361 \pm 0.002$ . The uncertainties quoted in parentheses are 95% confidence limits associated with random errors, as determined from an  $F$  test.

System	$\theta_0$	$-A^+$ ( $JK^{-1}g^{-1}$ )	$(\xi_{\parallel} \xi_{\perp}^2)_{0}$ $(\text{\AA}^3)$	$\sigma_0$ (arb.)	$R_{\epsilon}^{+}$
T8	0.02(0.30)	3.34(0.20)	28.49(1.43)	0.254(0.012)	0.358(0.009)
T7	0.23(0.50)	1.93(0.24)	21.45(1.03)	0.281(0.011)	0.271(0.012)
DB <sub>c</sub>	0.41(0.06)	18.59(0.23)	6.74(0.35)	0.825(0.035)	0.391(0.007)
$+C5$ stilbene					
DB <sub>s</sub> ONO <sub>2</sub>	0.48(0.11)	13.19(0.17)	7.07(0.37)	0.849(0.036)	0.355(0.006)
8OPCBOB	0.39(0.06)	31.45(0.41)	2.80(0.31)	0.316(0.030)	0.348(0.012)



FIG. 9. Plot of  $v_{\parallel}$  vs  $v_{\perp}$ , where these effective exponents are obtained from pure power-law fits with Eq. (2). Standard deviations are indicated by the error bars. The dashed line gives the locus of  $v_{\parallel}, v_{\perp}$  values that satisfy hyperscaling in systems with XY heat-capacity behavior ( $\alpha = \alpha_{XY}$ ). The isotropic 3D XY point  $v_{\parallel} = v_{\perp} = v_{XY}$  is indicated by the open square, and the Patton-Andereck regime [6] where  $v_{\parallel} = \frac{4}{3}v_{\perp} = v_{XY}$  is indicated by the triangle. Data for the nonpolar Sm- $A_m$  compounds 40.7 and  $855$  are taken from Ref. [7].

line in Fig. 9. In addition to the isotropic 3D  $XY$  point and the  $XY$  hyperscaling line, we also plot the position of the effective  $v_{\parallel}$ ,  $v_{\perp}$  values predicted by Patton and Andereck [6] for an intermediate temperature regime where  $v_{\parallel} = v_{XY}$  but  $v_{\perp} = \frac{3}{4} v_{XY} = 0.502$ . The Patton-Anderec calculation predicts an extended crossover from isotropic  $(\nu_{\parallel} = \nu_{\perp} = \nu_{XY})$  to anisotropic  $(\nu_{\parallel} = 2\nu_{\perp} = 2\nu_{XY})$  fixed points but does not consider the role of correction terms. In their model, coupling between the smectic-order parameter and nematic director fluctuations gives rise to the intermediate regime described above. The  $N-Sm-A_1$ results given in Fig. 9 could be consistent with an evolution from very weak-coupling isotropic behavior for T8 toward this Patton-Andereck intermediate anisotropic regime. However, a quantitative calculation of the Patton-Andereck crossover function would be required to substantiate this idea.

Also shown in Fig. 9 are two nonpolar  $N-Sm-A_m$  systems which have  $C_p$  behavior very close to XY theory. It should be noted that these two nonpolar systems with  $\sim$ 25-K nematic ranges deviate from hyperscaling in a different manner than the  $N-Sm-A_1$  systems and exhibit large  $\gamma$  values (  $\sim$  1.5) [7]. Indeed, it seems likely that there are two distinct classes of  $\xi_{\parallel}$ ,  $\xi_1$  and  $\sigma$  behavior in systems with XY-like heat-capacity behavior. For  $N$ -Sm- $A_1$  systems,  $v_{\parallel}$  and  $\gamma$  are close to XY values but  $v_1 < v_{XY}$ . For N-Sm- $A_m$  systems,  $v_1$  is close to  $v_{XY}$  but  $v_{\parallel} > v_{XY}$  and  $\gamma \neq \gamma_{XY}$ . It should be noted that for the splay elastic constant  $K_1 = K_1^0 + \delta K_1$ , the pretransitional excess  $\delta K_1$  is large but nonsingular for nonpolar Sm- $A_m$ materials while  $\delta K_1$  is close to zero for polar materials [31]. Since coupling between the smectic-order parameter and director splay deformations is a possible source of anisotropic behavior [3,6], it is not surprising that a difference in  $\delta K_1$  would be reflected in the behavior of  $\xi_{\parallel}$ and  $\xi_1$ .

In view of the points discussed above and the fact that the Sm  $A_1$  correlation volume  $\xi_{\parallel} \xi_1^2$  can be well described with the 3D XY free energy  $F_{\text{theor}}$  determined only from  $C_p$  data (see Fig. 6), we believe that  $\xi_{\parallel} \xi_1^2$  and  $\sigma$  should be described with preasymptotic 3D  $XY$  theory. Such fits must be restricted to the preasymptotic domain  $D_{\text{preas}}$ , over which higher-order correction terms can be neglected. The extent of  $D_{\text{preas}}$  is difficult to establish for  $\xi_{\parallel} \xi_1^2$ and  $\sigma$  due to the sparse x-ray data. It can be estimated for the heat capacity [13], and for  $C_p$  a typical  $D_{\text{preas}}$  regime extends out to  $\theta_0 \tau \simeq 2 \times 10^{-3}$ .

An internally consistent analysis of  $\Delta C_p$ ,  $\xi_{\parallel} \xi_1^2$ , and  $\sigma$ shows that all of these properties can be mell described by the exact preasymptotic theory of the isotropic  $3D$  XY model [19,21]. Data fitting in the nematic phase has been achieved with a minimal set of adjustable parameters: four for  $\Delta C_p$  (only two of which are independent parameters needed for the preasymptotic range) and one additional parameter for each of  $\xi_{\parallel} \xi_{\perp}^2$  and  $\sigma$ . The essential nonuniversal parameters are given in Table VI. Figures 7 and 8 show that the scaled correlation volume and scaled susceptibility agree very well with 3D XY theory. Fur-<br>hermore, the ratios  $A^{-}/A^{+}$ ,  $D_1^{-}/D_1^{+}$ ,  $R_{B_c}^{+}$ , and the product  $R_{\xi}^{+}$  are all in good agreement with universal 3D XY values; and, of course, hyperscaling is obeyed. Overall agreement between x-ray experiment and 3D XY theory is poorest for SOPCBOB (see Tables IV and V), which may indicate some difhculty with the x-ray measurement or may be due to the fact that the nematic range is only 45 K in this case compared to  $\geq$  96 K in the other four systems.

The agreement between experimental and theoretical values for  $R_{\xi}^{+}$  demonstrates the compatibility between the nonuniversal *amplitudes* for  $C_p$  and  $\xi_{\parallel} \xi_1^2$ . No such test exists for the amplitude of  $\sigma$ . It should be stressed that the agreement among values of  $R_{\xi}^{+}$  is quite remarkable. Such agreement means that one can quantitatively predict, with no adjustable parameters, the behavior of  $\xi_{\parallel} \xi_1^2$  over 6 decades in magnitude from a knowledge of experimental heat-capacity data and preasymptotic XY theory.

There are four major unresolved issues remaining:  $\xi_{\parallel}$ and  $\xi_1$  diverge differently in the N phase over the  $10^{-5} < \tau < 10^{-2}$  range (see Ref. [6]); the correlation lengths measured directly with x rays and those determined indirectly from nematic elastic constant data agree quantitatively [7,32], in spite of predictions of gaugedependent differences [3]; the  $C_p$  amplitude ratio  $A^- / A^+$  agrees with the normal XY value and is inconsistent  $[13]$  with the theoretically expected  $[2]$ inverted-XY value; the behavior of the layer compressional elastic constant  $B$  in the Sm- $A$  phase does not conform to the predictions of the de Gennes model [33]. These features may be related to problems of gauge dependence in the asymptotic limit [3], to very slow crossover between isotropic and anisotropic fixed points [6], or to the size of anisotropic correction terms that restrict the asymptotic regime to very small reduced temperatures (say  $\tau$  < 10<sup>-6</sup>). The subtlety of N-Sm- A behavior is indicated by Monte Carlo simulations [34] on a lattice version of the de Gennes model that corresponds to the extreme type-II superconductor limit. Renormalization analysis in the superconducting gauge for such a model yields an isotropic critical point with an inverted  $C_p$  amplitude ratio [2,3]. However, the Monte Carlo numerical results show anisotropic critical behavior for  $\xi_{\parallel}$  and  $\xi_{\perp}$  $(\xi_{\parallel}/\xi_{\perp})$  calculated in the experimental x-ray gauge increases smoothly on cooling toward  $T_c$ ) and no inversion of  $C_p$  amplitudes [34].

Further experimental x-ray work on systems exhibiting  $3D XY$  heat-capacity behavior is in progress with a study of the  $N-Sm-A_2$  transition in 7APCBB [35], which hopefully will help to clarify the generality of the behavior seen so far only in Sm- $A_1$  systems. A detailed synchrotron x-ray study of the Sm- $A_1$  phase behavior below  $T_c$  would also be of value. In terms of theory, the most important initiatives would seem to be more precise Monte Carlo simulations and the development of a nonasymptotic model which allows one to assess the effects of anisotropy on the correction terms for  $\xi_{\parallel}$  and  $\xi_{\perp}$ . Our assumption that one can use isotropic 3D  $XY$  theory for  $\sigma$  and  $\xi_{\parallel} \xi_1^2$  seems to be validated empirically but needs to be explored theoretically for a model that explains the differing behavior of the individual correlation lengths  $\xi_{\parallel}$ and  $\xi_1$ .

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