


**Energetic constraints on filament-mediated cell polarization**Harmen Wierenga and Pieter Rein ten Wolde <sup>\*</sup>*AMOLF, Science Park 104, 1098 XG Amsterdam, The Netherlands* (Received 30 April 2021; revised 4 April 2022; accepted 13 May 2022; published 6 June 2022)

Cell polarization underlies many cellular processes, such as differentiation, migration, and budding. Many living cells, such as budding yeast and fission yeast, use cytoskeletal structures to actively transport proteins to one location on the membrane and create a high-density spot of membrane-bound proteins. Yet, the thermodynamic constraints on filament-based cell polarization remain unknown. We show by mathematical modeling that cell polarization requires detailed balance to be broken, and we quantify the free-energy cost of maintaining a polarized state of the cell. Our study reveals that detailed balance cannot only be broken via the active transport of proteins along filaments but also via a chemical modification cycle, allowing detailed balance to be broken by the shuttling of proteins between the filament, membrane, and cytosol. Our model thus shows that cell polarization can be established via two distinct driving mechanisms, one based on active transport and one based on nonequilibrium binding. Furthermore, the model predicts that the driven binding process dissipates orders of magnitude less free energy than the transport-based process to create the same membrane spot. Active transport along filaments may be sufficient to create a polarized distribution of membrane-bound proteins, but an additional chemical modification cycle of the proteins themselves is more efficient and less sensitive to the physical exclusion of proteins on the transporting filaments, providing insight in the design principles of the Pom1/Tea1/Tea4 system in fission yeast and the Cdc42 system in budding yeast.

DOI: [10.1103/PhysRevE.105.064406](https://doi.org/10.1103/PhysRevE.105.064406)**I. INTRODUCTION**

Cell polarization, in which a cell generates a distinct front and back, is vital to many cellular processes. For example, cells that perform unidirectional movement need to polarize along a single axis [1]. Lophotrichous bacteria require the placement of multiple flagella on one side of the cell, and crawling eukaryotic cells need to polarize their cytoskeleton to create a protrusive leading edge on one side and a contractile trailing edge on the opposite side of the cell [2,3]. Moreover, epithelial cells are polarized to distinguish the apical and basal sides [1,2], and asymmetric cell division requires cell polarization along the division axis to create different fates for the daughter cells [1,4]. For instance, budding yeast requires the formation of a bud on one spot on its cell membrane [5]. Similarly, fission yeast remains polar after cell division, primarily growing at the old pole initially [5].

Because cell polarization is an essential cellular feature, many different biological processes exist that induce cell polarization [6]. A large class of such processes involves the cytoskeletal filaments [7], because both microtubules and actin filaments have an intrinsically polar structure by which they can act as tracks for the directional transport of cargoes by motor proteins. Because the cytoskeleton itself is often asymmetrically organized, for example in the mitotic spindle, these structures can be used to guide other proteins into a polarized state [2]. For example, motor proteins that walk on central spindle microtubules can transport proteins such as the RHO activator ECT2 [3] toward the membrane, where they

can promote the formation of the cytokinetic ring. In budding yeast, the small GTPase of the Rho family Cdc42 is bound to the membranes of vesicles that are delivered to the membrane along actin cables [5,8], which may produce cell polarization. Furthermore, fission yeast uses microtubule-based transport to place the proteins Tea4 and Tea1 at the membrane, where Tea4 forms a complex with Dis2 and dephosphorylates the DYRK family kinase Pom1, which subsequently binds to the membrane [5,9]. Once Pom1 is on the membrane, it autophosphorylates and unbinds again, leaving a steady-state distribution of Pom1 on the membrane in the neighbourhood of the microtubule tips [9].

The transport of vesicle-bound Cdc42 in budding yeast shows that active transport can play a role in creating a high density of proteins in one spot on the membrane [5,8]. In contrast, the organism fission yeast does not directly transport Pom1, but it uses (de)phosphorylation to drive the protein through a chemical modification cycle where it binds to the membrane preferably near the positions of the microtubule tips where Dis2 is present [5,9]. Here, we investigate which of these two mechanisms, active transport along a filament or the chemical driving of a binding cycle catalysed by the cytoskeleton, is more efficient in creating a polarized distribution of proteins on the membrane. Because a polarized state corresponds to a nonequilibrium distribution of the protein, the maintenance of this distribution requires the constant dissipation of chemical free energy, usually in the form of NTP hydrolysis. To assess the efficiency of both active transport and driven chemical modification in creating a polarized protein distribution, we take into account the chemical free-energy dissipation of each process. Such energetic constraints are typically excluded when discussing

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cellular pattern formation, but they are important because they provide a quantitative measure by which we can compare different mechanisms for polarising a cell. Using a minimal model in which both transport along a filament and nonequilibrium binding can lead to cell polarization, we will show that transport alone can be sufficient for creating a polarized spot on the membrane, but that a chemical modification cycle of the protein itself can dissipate orders of magnitude less free energy to achieve the same quality of polarization. This may explain why many cell polarization systems, including the Cdc42 system in budding yeast and the Pom1 system in fission yeast, contain a chemical modification cycle.

## II. MINIMAL MODEL FOR MEMBRANE SPOT FORMATION

Inspired by the cell polarization systems in fission yeast and budding yeast, we create an analytically solvable minimal model in which a filament can transport proteins and where the proteins bind to a membrane patch at the end of the filament. We consider a single stable filament that is perpendicular to the cell membrane, as shown in Fig. 1. All model parameters are shown and explained in Fig. 1. We do not model the entire cell, but only a finite volume around the filament, because diffusion will smooth out the protein distributions sufficiently far from the filament. By choosing a cylindrical shape for this volume, we can find analytical solutions for the probability densities in each part of the system.

The membrane patch is located on one side of the cylinder, so the proteins can be on the membrane, on the filament, or in the cytosol (bulk). Crucially, the particles are transported along the filament toward the membrane, and because the filament is in direct contact with the membrane, particles can transition between the membrane and the filament. Additionally, we include transitions between bulk and the filament and between the bulk and the membrane. Hence, a particle flux can exist that moves from the bulk along the filament to the membrane, and from there back to the bulk. The transition pathways between the three system parts are memoryless, and we include the microscopic reverse reaction for each transition such that the free-energy dissipation in the system remains finite. These transitions can be out of equilibrium by coupling the chemical reactions to a nonequilibrium NTP bath, such that the binding rates alone break detailed balance and cause a flux of proteins from the bulk to the filament, to the membrane, and back to the bulk again. This mechanism can act independently from and concurrently with active transport to create a polarized distribution of membrane-bound proteins. In the following sections, we will first keep the binding reactions in equilibrium to assess how efficiently the nonequilibrium distribution on the membrane is maintained by active transport along the filament. Subsequently, we will also take into account the effects of a nonequilibrium binding cycle.

We use cylindrical coordinates  $(s, z)$ , where the radial distance  $s$  runs from the filament radius  $r$  to the container radius  $R$ , and the longitudinal coordinate  $z$  runs from 0 to the filament length  $L$ . Because the system is rotationally symmetric, the resulting concentration profiles will be too, and we do not

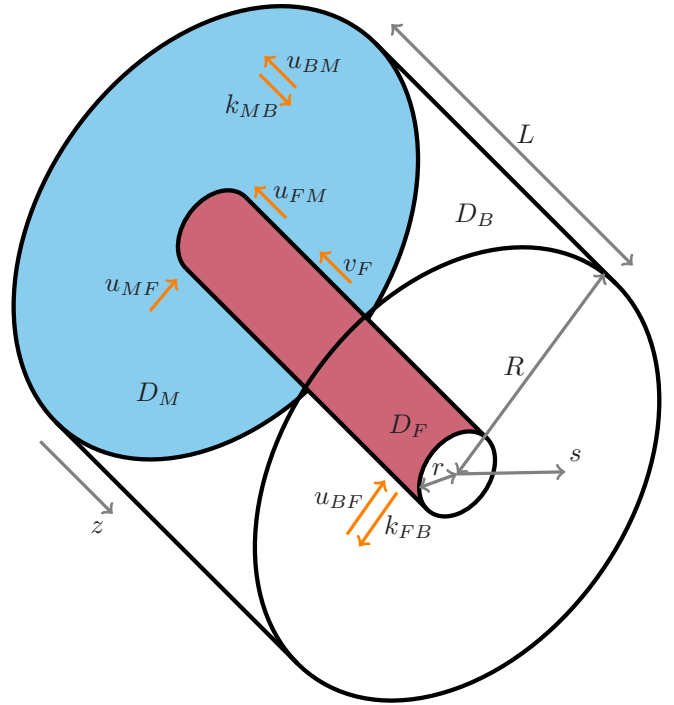


FIG. 1. Minimal model to investigate how protein transport along a filament can create a polarized distribution of membrane bound proteins. We consider a subvolume of a cell in the shape of a closed cylinder with radius  $R$ , with a circular patch of the membrane on one side (blue). A finite filament (red) with a radius  $r$  and a length  $L$  is located in the center of the cylinder, perpendicular to the membrane. We consider a single protein species that can be membrane bound ( $M$ ), dissolved in the bulk ( $B$ ), or connected to the filament by motor proteins ( $F$ ). In each of these parts, the particles diffuse with diffusion constants  $D_M$ ,  $D_B$ , and  $D_F$ , respectively, and on the filament the motor proteins provide an average drift velocity  $v_F$  toward the membrane. The particles can unbind from the membrane and the filament with the Markovian rates  $k_{MB}$  and  $k_{FB}$ . The corresponding reverse binding transitions can occur when a particle that is dissolved in the bulk is in contact with the membrane or the filament, and the transition rates  $u_{BM}$  and  $u_{BF}$  have the dimensions of a velocity, not of a rate. Finally, a particle that is bound to the filament and in contact with the membrane can transition to the membrane with a rate  $u_{FM}$  that is possibly different from  $u_{BM}$ , and similarly the particle can bind from the membrane to the filament with a rate  $u_{MF}$  that can be different from  $u_{BF}$ . We define a coordinate system with  $z$  longitudinal to the filament, such that the membrane is at  $z = 0$ , and with  $s$  as the radial distance, with the microtubule surface at  $s = r$ .

require the azimuth. Having a finite microtubule radius eases the mathematics of membrane-filament transitions compared to a one-dimensional microtubule, by avoiding unphysical divergences of the protein densities. We model the dynamics of the system in time  $t$  by a set of coupled Fokker-Plank equations for the protein densities  $f(z, t)$ ,  $m(s, t)$  and  $b(z, s, t)$ , which cover the filament, the membrane and the bulk, respectively.

To make the equations analytically tractable, we first consider a system with a large bulk diffusion constant  $D_B \rightarrow \infty$ ,

which smears out density fluctuations and turns the bulk field into a single concentration,

$$b(z, s, t) = b(t). \quad (1)$$

The partial differential equations for the protein concentrations read

$$\begin{aligned} \partial_t f(z, t) = D_F \partial_z^2 f(z, t) + v_F \partial_z f(z, t) \\ - k_{FB} f(z, t) + 2\pi r u_{BF} b(t), \end{aligned} \quad (2)$$

$$\begin{aligned} \partial_t m(s, t) = D_M \frac{1}{s} \partial_s (s \partial_s m(s, t)) \\ - k_{MB} m(s, t) + u_{BM} b(t), \end{aligned} \quad (3)$$

$$\begin{aligned} V \partial_t b(t) = \int_0^L [k_{FB} f(z, t) - 2\pi r u_{BF} b(t)] dz \\ + \int_r^R 2\pi s [k_{MB} m(s, t) - u_{BM} b(t)] ds, \end{aligned} \quad (4)$$

where we define volume of the bulk  $V$ ,

$$V = \pi(R^2 - r^2)L. \quad (5)$$

Here, the filament density  $f(z, t)$  represents the protein concentration per unit length along the filament, which is the density per unit surface area of the filament multiplied by the angular factor  $2\pi r$ . Hence, we will use units  $\mu^{-1}\text{m}$  for  $f$ ,  $\mu^{-1}\text{m}^2$  for  $m$ , and  $\mu^{-3}\text{m}$  for  $b$ . The boundary conditions of the partial differential equations are set by the conservation of the number of particles and by the transition rates between the filament and the membrane, which provide relations for the fluxes on the filament and on the membrane at their edges,

$$\begin{aligned} [D_F \partial_z f(z, t) + v_F f(z, t)]_{z=0} \\ = u_{FM} f(0, t) - 2\pi r u_{MF} m(r, t), \end{aligned} \quad (6)$$

$$[D_F \partial_z f(z, t) + v_F f(z, t)]_{z=L} = 0, \quad (7)$$

$$\begin{aligned} [D_M 2\pi s \partial_s m(s, t)]_{s=r} \\ = -u_{FM} f(0, t) + 2\pi r u_{MF} m(r, t), \end{aligned} \quad (8)$$

$$[D_M 2\pi s \partial_s m(s, t)]_{s=R} = 0. \quad (9)$$

The system is greatly simplified by studying it in steady state, setting all time derivatives in Eqs. (2), (3), and (4) to zero and eliminating  $t$  as a variable. In steady state, integrating over Eqs. (2) and (3) and applying the boundary conditions shows that Eq. (4) becomes linearly dependent on Eqs. (2) and (3). The linear dependence is a consequence of the conservation of particles, which imposes that steady-state fluxes have to loop back to their origin. Hence, we omit Eq. (4) from the steady-state equations. Additionally, it is helpful to make the equations nondimensional by defining the following

dimensionless parameters:

$$\begin{aligned} \alpha = \frac{v_F}{\sqrt{D_F k_{FB}}}, \quad \beta = \frac{u_{FM}}{\sqrt{D_F k_{FB}}}, \quad \gamma = \frac{u_{MF}}{\sqrt{D_M k_{MB}}}, \\ \delta = \frac{u_{MF} k_{FB} u_{BM}}{u_{FM} u_{BF} k_{MB}}, \quad \lambda = \sqrt{\frac{k_{FB}}{D_F}} z, \quad \Lambda = \sqrt{\frac{k_{FB}}{D_F}} L, \\ \sigma = \sqrt{\frac{k_{MB}}{D_M}} s, \quad \rho = \sqrt{\frac{k_{MB}}{D_M}} r, \quad P = \sqrt{\frac{k_{MB}}{D_M}} R. \end{aligned} \quad (10)$$

We also rescale the density fields to make them dimensionless,

$$\begin{aligned} \varphi(\lambda) = \frac{k_{FB}}{2\pi r u_{BF} b} f\left(\sqrt{\frac{D_F}{k_{FB}}} \lambda\right), \\ \mu(\sigma) = \frac{k_{MB}}{u_{BM} b} m\left(\sqrt{\frac{D_M}{k_{MB}}} \sigma\right). \end{aligned} \quad (11)$$

Using these definitions and the steady-state condition, Eqs. (2) and (3) become

$$\partial_\lambda^2 \varphi(\lambda) + \alpha \partial_\lambda \varphi(\lambda) - \varphi(\lambda) + 1 = 0, \quad (12)$$

$$\frac{1}{\sigma} \partial_\sigma (\sigma \partial_\sigma) \mu(\sigma) - \mu(\sigma) + 1 = 0. \quad (13)$$

Using the dimensionless variables, the boundary conditions become

$$[\partial_\lambda \varphi(\lambda)]_{\lambda=0} + \alpha \varphi(0) = \beta [\varphi(0) - \delta \mu(\rho)], \quad (14)$$

$$[\partial_\lambda \varphi(\lambda)]_{\lambda=\Lambda} + \alpha \varphi(\Lambda) = 0, \quad (15)$$

$$[\partial_\sigma \mu(\sigma)]_{\sigma=\rho} = -\frac{\gamma}{\delta} [\varphi(0) - \delta \mu(\rho)], \quad (16)$$

$$[\partial_\sigma \mu(\sigma)]_{\sigma=P} = 0. \quad (17)$$

The general solutions of the ordinary differential Eqs. (12) and (13) are found by solving the homogeneous equations, and adding the particular solutions  $\varphi(\lambda) = 1$  and  $\mu(\sigma) = 1$ . The full solutions read

$$\begin{aligned} \varphi(\lambda) = 1 + C_1 \exp\left[-\frac{\lambda}{2}(\sqrt{4 + \alpha^2} + \alpha)\right] \\ + C_2 \exp\left[\frac{\lambda}{2}(\sqrt{4 + \alpha^2} - \alpha)\right], \end{aligned} \quad (18)$$

$$\mu(\sigma) = 1 + C_3 K_0(\sigma) + C_4 I_0(\sigma). \quad (19)$$

Here,  $I_0(\sigma)$  and  $K_0(\sigma)$  are the modified Bessel functions of the first and second kind, respectively. The integration constants  $C_1$ ,  $C_2$ ,  $C_3$ , and  $C_4$  are determined by Eqs. (14)–(17), and are listed in Sec. S.I of the Supplemental Material [10]. We can simply recover the protein density fields in the dimensional representation by using the dimensionless exact solutions and substituting back the expressions listed in Eq. (10), providing exact solutions for the protein densities and for the protein flux in the case of  $D_B \rightarrow \infty$ .

Instead of the 14 parameters of the full model, the dimensionless model with fast bulk diffusion only contains seven independent parameters. Three of those parameters set the system size ( $\rho$ ,  $P$ , and  $\Lambda$ ), and the four remaining independent parameters ( $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$ ) set the system dynamics. Of these,

$\beta$  and  $\gamma$  determine how fast the particles transition between the membrane and the filament.

The parameters  $\alpha$  and  $\delta$  describe the nonequilibrium nature of the system. When  $\alpha = 0$  and  $\delta = 1$ , we find that  $C_1 = C_2 = C_3 = C_4 = 0$ , and the particle densities reach their equilibrium values

$$\varphi_{\text{eq}}(\lambda) = 1, \quad (20)$$

$$\mu_{\text{eq}}(\sigma) = 1. \quad (21)$$

When the system is out of equilibrium, a steady-state flux can exist that on average brings particles from the bulk to the filament, from the filament to the membrane, and from the membrane back to the bulk. Equations (14)–(16) show that this flux is proportional to

$$J_{ss} \propto \varphi(0) - \delta \mu(\rho). \quad (22)$$

Using the exact solution, it can be shown that this flux vanishes if and only if  $\alpha = 0$  and  $\delta = 1$ , so these parameters determine whether detailed balance holds.

Because  $\alpha$  and  $\delta$  can be varied independently, the model contains two essential processes by which detailed balance can be broken. First, a positive value of  $\alpha$  represents a drift velocity on the filament, as shown in Eq. (10). Here, motor proteins on the filament drive the particles and create a flux through the system, where particles bind from the bulk to the filament, are driven toward the membrane to which they bind, diffuse on the membrane moving away from the filament, and finally fall off into the bulk again. Second, the value of  $\delta$ , defined in Eq. (10), describes the extent to which membrane and filament binding are in or out of equilibrium. The particle itself can undergo a chemical modification step along the cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament, which is driven by the dissipation of chemical free energy and leads to a value  $\delta < 1$ . For example, a protein may exist in several phosphorylation states, such as fission yeast Pom1 which has a high affinity for the the membrane when it is dephosphorylated at microtubule tips, but quickly unbinds from the membrane when it is rephosphorylated there [9]. If an NTP molecule is hydrolyzed at any step in the forward direction of the filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament cycle, then the model shows that a membrane spot is formed independent of whether particles are actively transported along the filament. There are thus two distinct mechanisms that can act independently to create a polarized distribution of membrane proteins.

The parameter  $\alpha$  describes how fast the particles are driven on the filament,

$$\alpha = \frac{v_F}{k_{FB}} \bigg/ \sqrt{\frac{D_F}{k_{FB}}} = l_v/l_D. \quad (23)$$

Here,  $l_v$  is the average distance that a particle travels on the filament before it unbinds when it moves with a drift velocity  $v_F$ , and  $l_D$  sets a length scale over which diffusion smooths out the profile of the particle density on the filament  $f(z)$ . Hence,  $\alpha$  measures how much the particle density is shaped by the drift velocity. In Fig. 2, we show that the particle distribution on the filament is reshaped more strongly when  $\alpha$  increases. Particles are transported from the right to the left, where the

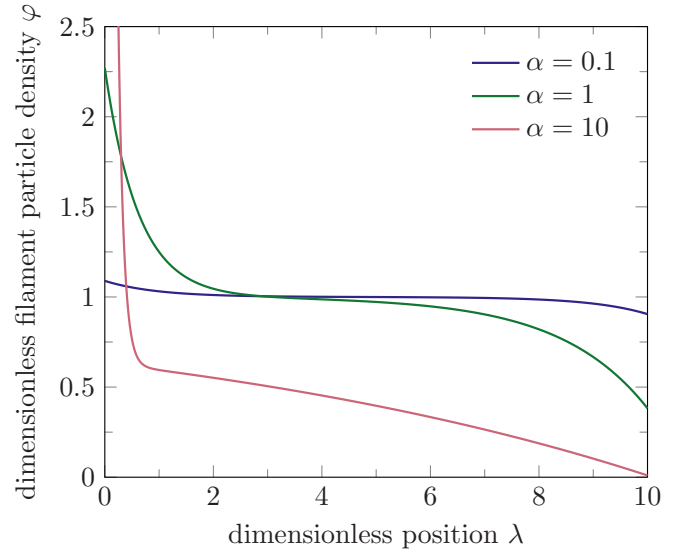


FIG. 2. The dimensionless particle density along the filament  $\varphi(\lambda)$  is shaped by the dimensionless drift velocity  $\alpha = v_F/\sqrt{D_F k_{FB}}$ . The driving force moves particles away from the right border at  $\lambda = \Lambda$  toward the membrane at  $\lambda = 0$ , lowering the particle density close to  $\lambda = \Lambda$ . Due to this reduced density on the right, the binding of new particles exceeds the unbinding as the particles move to the left, and the binding and unbinding balance out after a length scale  $\lambda_{\text{binding}}$ . On the opposite side, particles are crowded against the membrane, which leads to a peak in the distribution with a size of  $\lambda_{\text{crowding}}$ . For low drift velocities ( $\alpha = 0.1$ ), the distribution is close to the equilibrium shape  $\varphi(\lambda) = 1$ , but for larger values ( $\alpha = 1$ ) the shape becomes more pronounced. When the drift velocity increases even more ( $\alpha = 10$ ), the amplitudes of the density deformations increase further, but are now accompanied by a decrease of the length scale  $\lambda_{\text{crowding}}$  and an increase of the length scale  $\lambda_{\text{binding}}$ . For  $\alpha \approx \Lambda$ , the full filament acts as an antenna for the adsorption of particles from the bulk, and roughly all those particles reach the membrane. If  $\alpha < \Lambda$ , many particles fall off the filament before they reach the membrane. We use the parameter values listed in Table S.2 of the Supplemental Material [10], except for  $\alpha$  and  $\Lambda = 10$ .

membrane is located at  $\lambda = 0$ . In the center of the filament, the rate at which particles bind from the bulk equals the rate at which particles unbind from the filament, and transport of particles coming in from the right equals the transport out to the left. Hence, the particle density is simply the equilibrium density there. However, within a distance  $\lambda_{\text{binding}}$  from the end of the filament ( $\lambda = \Lambda$ ), the particle density decreases because no particles can be transported from beyond  $\Lambda$  while particles are still transported toward the left. Similarly, within a distance  $\lambda_{\text{crowding}}$  from the membrane ( $\lambda = 0$ ), the particle density peaks because transport brings in particles from the right while they cannot be transported further to the left. As shown in Eq. (18), the two dimensionless length scales are given by

$$\lambda_{\text{binding}} = \frac{2}{\sqrt{4 + \alpha^2} - \alpha}, \quad (24)$$

$$\lambda_{\text{crowding}} = \frac{2}{\sqrt{4 + \alpha^2} + \alpha}. \quad (25)$$



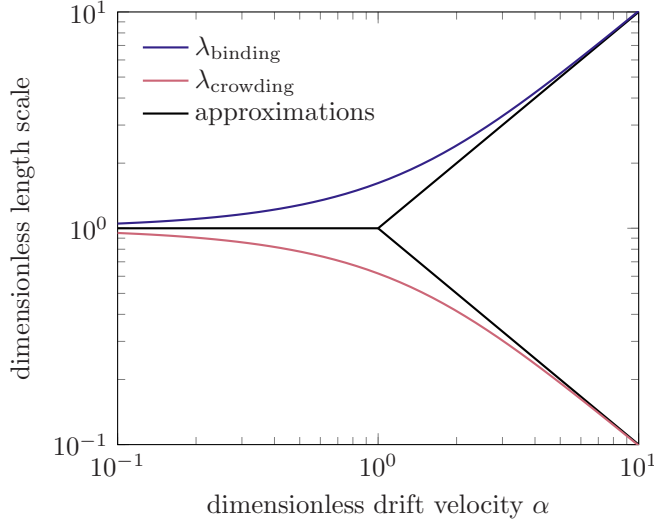


FIG. 3. The dimensionless length scales  $\lambda_{\text{binding}}$  and  $\lambda_{\text{crowding}}$  are roughly equal for  $\alpha < 1$ , but when the driving velocity increases ( $\alpha > 1$ ) the particles can be transported for longer distances, which increases  $\lambda_{\text{binding}} \approx \alpha$ . Furthermore, particles are pushed against the membrane more strongly, decreasing  $\lambda_{\text{crowding}} \approx 1/\alpha$ . The exact expressions are given in Eqs. (24) and (25), while the approximations are given in Eqs. (26)–(29).

These length scales can be approximated in both the small and large limits of  $\alpha$ , showing that up to leading order

$$\lambda_{\text{binding}} \xrightarrow{\alpha \ll 1} 1, \quad (26)$$

$$\lambda_{\text{crowding}} \xrightarrow{\alpha \ll 1} 1, \quad (27)$$

$$\lambda_{\text{binding}} \xrightarrow{\alpha \gg 1} \alpha, \quad (28)$$

$$\lambda_{\text{crowding}} \xrightarrow{\alpha \gg 1} \frac{1}{\alpha}. \quad (29)$$

These approximations are plotted in Fig. 3 along with the exact length scales from Eqs. (24) and (25). The figure shows that for  $\alpha < 1$ , the length scales  $\lambda_{\text{binding}}$  and  $\lambda_{\text{crowding}}$  barely change with  $\alpha$ , and increasing the driving velocity only increases the absolute slopes of the particle densities at both ends of the filament, as seen in Fig. 2. But when  $\alpha > 1$ , the larger drift velocity crowds the proteins tighter against the membrane, creating a peak with a small  $\lambda_{\text{crowding}}$  close to  $\lambda = 0$ . Furthermore, the slope at the back of the filament becomes longer as the average distance that particles travel before they unbind increases. This is known as the antenna effect [11,12], since the microtubule acts as an antenna that transports particles over a distance that equals the motor protein processivity length  $l_v$  [see Eq. (23)]. Hence, protein transport to the membrane is the most efficient when the length of the filament equals the antenna length,  $\Lambda = \lambda_{\text{binding}}$ . If the filament is shorter, then the protein concentration at the membrane will decrease. However, if the filament is longer, then many proteins will fall off the filament before they arrive at the membrane, wasting the chemical energy that was spent on driving them forward.

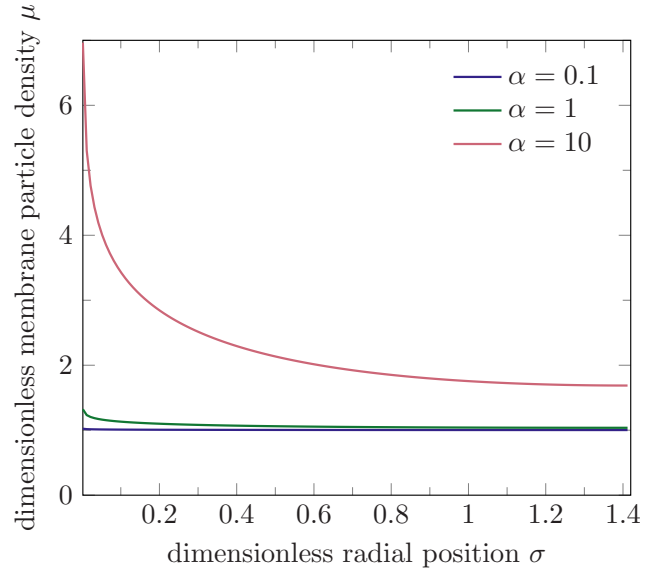


FIG. 4. The dimensionless particle density on the membrane  $\mu(\sigma)$  becomes more peaked when the dimensionless drift velocity  $\alpha$  increases. There is a peak in the particle density on the filament as shown in Fig. 2, which is connected to the membrane at  $\sigma = \rho \approx 1.8 \times 10^{-3}$ . Some of these particles are deposited on the membrane, after which they diffuse away and finally unbind to the bulk. This diffusion and unbinding sets a length scale that equals 1 in the dimensionless representation. If the particles are driven along the filament to the membrane faster (increasing  $\alpha$ ), the height of the particle density on the membrane increases, but the width of the high-density spot does not change. This width equals  $\sqrt{D_M/k_{MB}}$ , or 1 in the dimensionless system. The parameters are the same as in Fig. 2.

Because the motor drift on the filament creates a high protein density on the filament end that is close to the membrane, the interaction between the membrane and the filament will also lead to a higher protein density on the membrane. As shown in Fig. 4, this leads to the formation of a high-density spot on the membrane close to the filament. The density of the spot increases with  $\alpha$ , as the steady-state particle flux around the cycle increases, but the size of the spot  $l_s$  is set by the unbinding rate and the diffusion constant,

$$l_s = \sqrt{\frac{D_M}{k_{MB}}}. \quad (30)$$

Hence, the size of the spot is roughly constant, and the dimensionless density on the membrane close to the filament  $\mu(\rho)$  fully measures how pronounced the membrane spot is. If there is no spot, then  $\mu(\rho) = 1$ , and a value larger than unity shows how much higher the protein density is in the membrane spot compared to the density on the membrane far away from the filament, which is set by the equilibrium dynamics between the bulk and the membrane. Therefore, we will use  $\mu(\rho)$  to assess the quality of polarization, which allows us to measure the impact that different parameters have on cell polarization.

The dimensionless parameter  $\beta$  quantifies how fast the particles move from the microtubule tip to the membrane, and the parameter  $\gamma$  quantifies the speed of the reverse transition.

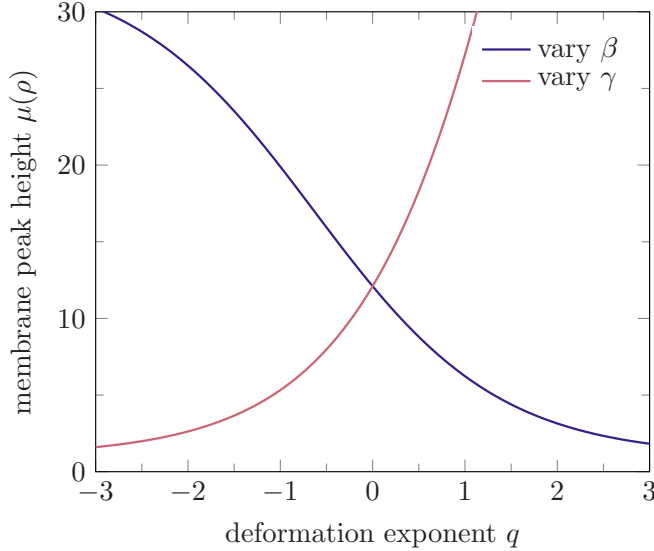


FIG. 5. The measure for the height of the membrane spot,  $\mu(\rho)$ , changes when varying the dimensionless parameters  $\beta$  and  $\gamma$ , which describe the rate of hopping from the filament to the membrane and vice versa, respectively. Here we vary these parameters and keep  $\delta = 1$ , such that there is no free-energy drop associated with the binding and unbinding around the full cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament, and detailed balance is only broken by active transport ( $\alpha \approx 11$ ). We vary either  $\beta = \beta_0 \exp(q)$  and  $\gamma = \gamma_0$  (dark blue), or  $\beta = \beta_0$  and  $\gamma = \gamma_0 \exp(q)$  (light red), where  $\beta_0$  and  $\gamma_0$  are the values shown in Table S.2 of the Supplemental Material [10]. We see that if we increase the rate  $\beta$  to move from the filament to the membrane while keeping  $\delta = 1$ , the height of the spot decreases. Similarly, if we increase the rate  $\gamma$  to move back from the membrane to the filament while keeping  $\delta = 1$ , then the polarization of the membrane distribution improves. Hence, when binding is in equilibrium, the affinity for the membrane should be low compared to the affinity for the microtubule, such that the particles that are deposited on the membrane by the microtubule have a large impact on the membrane distribution.

In Fig. 5, we show that the height of the membrane spot decreases if we increase the rate of binding from the filament to the membrane or decrease the reverse rate, while keeping  $\delta = 1$ . This counterintuitive result holds true precisely because  $\delta = 1$ , such that there is no net free-energy drop along the cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament. Increasing  $\beta$  or decreasing  $\gamma$  increases the affinity for the membrane relative to that for the filament, but the constraint that there is no free-energy drop around the cycle means that this must be accompanied by a decrease of the relative affinity for the filament compared to the bulk or by an increase of the relative affinity for the membrane compared to the bulk. In the former case, the density of particles at the tip of the filament decreases, which reduces the height of the membrane spot because the flux from the filament tip to the membrane is decreased. In the latter case, the equilibrium density on the membrane increases, reducing the contrast between the membrane spot and the density far away. The absolute equilibrium probability that the particle is found in the bulk does not influence the relative likelihoods of finding the particle on the membrane or on the filament when the binding cycle is

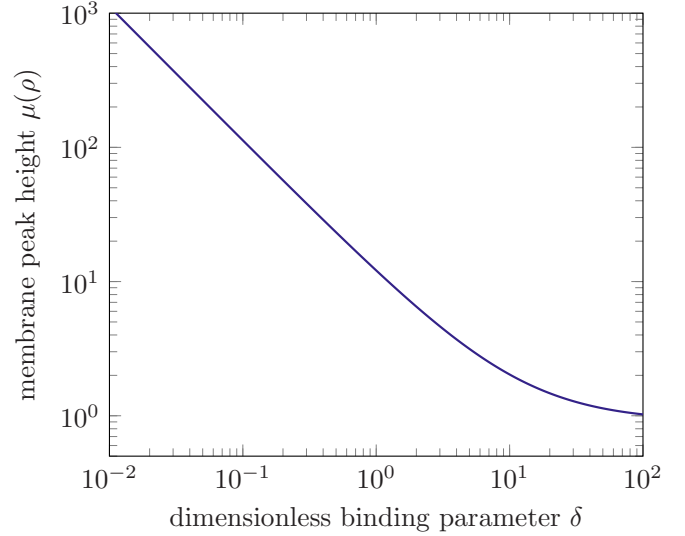


FIG. 6. The measure for the height of the membrane spot relative to the equilibrium density on the membrane,  $\mu(\rho)$ , decreases when  $\delta$  increases while the driving velocity remains constant ( $\alpha \approx 11$ ). To achieve a value  $\delta \neq 0$ , the binding cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament needs to be coupled to the dissipation of chemical free energy. For example, performing an NTP hydrolysis each time the particle moves through the cycle leads to  $\delta < 1$ , and the steady-state flux through the cycle increases. Increasing this flux has a positive effect on the protein density in the membrane spot, and increases the polarization independent of the driving velocity on the filament  $\alpha$ . The scenario  $\delta > 1$  corresponds to a system where the binding cycle is in the opposite direction of the transport cycle, suppressing the flux and hence polarization. Apart from  $\delta$ , the parameter values are given in Table S.2 of the Supplemental Material [10].

in equilibrium, explaining why the details of the transition rates to and from the bulk become irrelevant in the nondimensional representation. Hence, increasing  $\beta$  and decreasing  $\gamma$  decreases  $\mu(\rho)$  because the binding affinity for the filament should be high compared to the binding affinity for the membrane to create a polarized membrane spot.

Finally, we focus on how  $\delta$  affects the formation of a steady-state membrane spot. If  $\delta < 1$ , then there is a free-energy drop each time a particle moves from the bulk to the filament, from the filament to the membrane, and from the membrane back to the bulk. The protein density in the membrane spot depends on the flux that runs through this cycle, so we expect  $\mu(\rho)$  to increase when  $\delta$  decreases. Figure 6 shows that the height of the membrane spot indeed increases when  $\delta < 1$ . Furthermore, the shape of the density profile on the filament barely changes, only slightly reducing the size of the density peak  $\varphi(0)$  when  $\delta < 1$  (data not shown). Hence, breaking detailed balance through a chemical modification cycle in the binding cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament has a strong effect on the formation of a polarized protein distribution on the membrane.

### III. BIOLOGICALLY RELEVANT PARAMETER VALUES

The dimensionful model presented in Sec. II contains fourteen parameters, including one that sets the average

concentration  $b$ . To focus the model analysis, we find a set of biologically relevant parameter values, which also provide values for the dimensionless parameter set. Then, we vary individual parameters to investigate how they influence the polarization of the membrane and the free-energy dissipation.

Because microtubules are roughly 25 nm in diameter [13], we choose a filament radius  $r = 12.5$  nm. Furthermore, we choose a filament length of  $L = 10 \mu\text{m}$ , which is a typical microtubule length. For the container radius, we choose a value of  $R = 10.0125 \mu\text{m}$ , which will be large enough to show the full membrane spots that are created by a single microtubule, and which ensures that  $R - r = 10 \mu\text{m}$ , simplifying the creation of histograms that cover the entire radial space of the membrane. This leads to a container that is large compared to the typical size of a fission yeast cell, which is roughly  $10 \mu\text{m}$  long [14]. However, the exact size of the spot, and thus the required container size, does not influence the conclusions of this chapter. Furthermore, we will discuss in the following paragraphs that we expect that the diffusion constant of proteins on the membrane is effectively lower *in vivo* compared to *in vitro*, creating a smaller spot that would fit on a yeast cell.

The dynamical parameters on the filament describe how a cargo moves on a microtubule under the influence of motor proteins. Single kinesin motors can move between  $0.01 \mu\text{m s}^{-1}$  and  $1 \mu\text{m s}^{-1}$  depending on the ATP concentration, the load, and the kind of kinesin protein [15,16]. Since we consider low load single protein cargoes, we set the average drift velocity to  $v_F = 0.5 \mu\text{m s}^{-1}$ . The dynamics on the filament is defined by this drift velocity in combination with the diffusion constant of kinesin motors running along a microtubule, which has been measured to be roughly between  $0.002 \mu\text{m}^2 \text{s}^{-1}$  [17] and  $0.005 \mu\text{m}^2 \text{s}^{-1}$  [18]. Hence, we set  $D_F = 0.004 \mu\text{m}^2 \text{s}^{-1}$ .

The diffusion constant on the membrane depends on the diffusing protein and the type of lipid bilayer. For a simple membrane *in vitro*, the diffusion constant of proteins with a radius of roughly 2 nm equals around  $8 \mu\text{m}^2 \text{s}^{-1}$  [19]. Because we employ a minimal model, we ignore the compartmentalization of the membrane [20], and choose a diffusion constant on the membrane of  $D_M = 5 \mu\text{m}^2 \text{s}^{-1}$ . In the bulk, we require the diffusion constant of proteins in the cytosol, which was measured to be on average  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  [21].

We assume that the rate at which the cargo unbinds from the microtubule is limited by the unbinding rate of kinesin, which is roughly  $k_{FB} = 0.5 \text{s}^{-1}$  when no external force pulls on the motor [22]. For the rate at which the particles unbind from the membrane, we use the experimental off-rate that was measured for the Rho-GTPase Cdc42, which sets a value of  $k_{MB} = 0.1 \text{s}^{-1}$  [23]. Together with the membrane diffusion constant  $D_M$ , this rate sets a typical length scale for the membrane spot size of

$$l_M = \sqrt{\frac{D_M}{k_{MB}}} \approx 7 \mu\text{m}. \quad (31)$$

The same experiments also provide an order of magnitude for the binding rate of particles from the bulk to the membrane by observing the Cdc42 association with liposomes. In equilib-

rium, the total binding rate from the solution equals

$$k_{\text{on}} = u_{BM} A b, \quad (32)$$

where  $A$  is the area of the membrane on spherical liposomes. Taking a concentration of  $b = 50 \text{nM}$ , a liposome radius of  $0.5 \mu\text{m}$  (giving  $A \approx 3.1 \mu\text{m}^2$ ), and a  $k_{\text{on}} = 1 \text{s}^{-1}$  [23], we find  $u_{BM} \approx 0.01 \mu\text{m s}^{-1}$ .

The binding rate of cargo proteins from the cytosol to the filament is affected by the binding rate of motor proteins to the microtubule and the binding rate of the cargo to motor proteins. We assume that this binding is relatively strong, such that the equilibrium affinity for the microtubule is much higher than for the membrane, which allows the driving on the microtubule to have a pronounced effect on the membrane concentration. Because the rate of unbinding from the filament to the bulk is larger than that from the membrane to the bulk,  $k_{FB} = 5k_{MB}$ , this means that  $u_{BF}$  must be several orders of magnitude larger than  $u_{BM}$  to guarantee a higher equilibrium affinity for the filament. Furthermore, because the area of the microtubule is much smaller than the area of the membrane, the affinity for the microtubule needs to be very large to find a significant fraction of particles on the filament. Hence, we choose  $u_{BF} = 100 \mu\text{m s}^{-1}$ . We can compare our values of  $k_{FB}$  and  $u_{BF}$  to the reported dissociation constant  $K_{D,BF}$  of a kinesin subunit binding to microtubules, which was found to be lower than  $K_{D,BF} < 50 \text{nM} \approx 30 \mu\text{m}^{-1} \text{m}^3$  [24]. This dissociation constant should equal

$$K_{D,BF} = \frac{k_{FB}}{u_{BF} A_{\text{tub}}}, \quad (33)$$

where  $A_{\text{tub}}$  is the surface area of a single tubulin dimer on the microtubule, which we take to be roughly  $50 \text{nm}^2$ . Using the previously mentioned values of  $k_{FB}$  and  $u_{BF}$ , we find  $K_{D,BF} \approx 100 \mu\text{m}^{-1} \text{m}^3$ . Hence, the experimental value of the dissociation constant suggests that we slightly underestimate the binding to the microtubule, but our value is of the right order of magnitude.

Once the particles are driven to the tip of the filament, and are in contact with the membrane, the rate to bind to the membrane  $u_{FM}$  is related to the rate  $u_{BM}$ . If the mechanism of binding from the filament is the same as from the bulk, then we assume  $u_{FM} = u_{BM} = 0.01 \mu\text{m s}^{-1}$ . However, the cell may implement a different mechanism for transporting the cargo from the tip of a microtubule to the membrane, in which case this value could be larger. Hence, it will be interesting to see how the prominence of the membrane spot changes with  $u_{FM}$ .

Finally, detailed balance provides a relation for the reverse rate  $u_{MF}$  at which particles that are on the membrane and close to the filament bind to the filament. If the binding and unbinding is in equilibrium, then we have

$$\delta = \frac{u_{MF} k_{FB} u_{BM}}{u_{FM} u_{BF} k_{MB}} = 1. \quad (34)$$

Using the previously mentioned values for all other (un)binding rates, we find  $u_{MF} = 1 \mu\text{m s}^{-1}$ . In Table S.1 of the Supplemental Material [10], we give an overview of all parameter values, and in Table S.2 of the Supplemental Material [10] we list the values that the dimensionless parameters take in the biologically relevant regime.

#### IV. A FINITE BULK DIFFUSION CONSTANT IS BENEFICIAL FOR CELL POLARIZATION

In steady state, Eqs. (2)–(4) provide a set of ordinary differential equations that can be solved analytically, as shown in Eqs. (18) and (19). However, these equations are valid when the diffusion constant in the bulk is very large,  $D_B \rightarrow \infty$ . When  $D_B$  is finite, we could modify the steady-state differential equations and the corresponding boundary conditions, leading to a set of coupled partial differential equations for  $f(z)$ ,  $m(s)$ , and  $b(z, s)$  that cannot be solved analytically. While these equations could be solved numerically using continuum techniques like finite-element methods, we decided to find the density profiles computationally by performing simple time-step-based Monte Carlo simulations of a single particle moving through the system. We do not require multiple particles since we model an “ideal gas” of proteins that never interact. The benefit of the simulations is their ease of implementation, and the possibility to “measure” the power consumption in two different ways, as we will describe below.

Because of the radial symmetry of the system, we only keep track of the longitudinal position  $z$  and the radial distance  $s$ . To model the particle diffusion, we implement a discrete time random walk in which particles move by a stochastic longitudinal step  $\delta z$  or radial step  $\delta s$  each time step  $\delta t$ . On the filament,  $\delta z$  follows a Gaussian distribution with mean  $-v_F \delta t$  and standard deviation  $\sqrt{2D_F \delta t}$ , while in the bulk the mean of  $\delta z$  vanishes and the standard deviation equals  $\sqrt{2D_B \delta t}$ . For the diffusion in the radial direction, we draw two Gaussian random numbers  $\delta x$  and  $\delta y$  with zero mean and standard deviation  $\sqrt{2D_M \delta t}$  (membrane) or  $\sqrt{2D_B \delta t}$  (bulk). Then, we calculate  $(s + \delta s)^2 = (s + \delta x)^2 + \delta y^2$ , where we use that the  $x$ -axis can always be chosen to point in the radial direction. When either  $\delta z$  or  $\delta s$  brings the particle outside of the container, we reflect the particle position back across the boundary. The algorithm to reflect the particles in a flat or circular surface without breaking detailed balance is described in Sec. S.II of the Supplemental Material [10].

To simulate the particle transitions between the three system parts, we use the same kinetic Monte Carlo algorithm that we used previously [25]. In summary, we integrate the transition rates until a stochastically chosen threshold is passed upon which we perform a reaction [26]. Since the binding rates  $u_{BF}$ ,  $u_{FM}$ ,  $u_{MF}$ , and  $u_{BM}$  contain a spatial dimension as well, we define the reaction length scales  $l_{\text{long}}$  and  $l_{\text{rad}}$ . When a particle is in the bulk and within a distance  $l_{\text{long}}$  from the membrane, it can bind to the membrane with a transition rate

$$k_{BM} = \frac{u_{BM}}{l_{\text{long}}}. \quad (35)$$

This definition ensures that if the bulk concentration is roughly constant within the reaction volume, then the flux is modeled correctly,  $k_{BM} l_{\text{long}} b \approx u_{BM} b$ . Hence, this equation is only valid when  $l_{\text{long}}$  is much smaller than the size of typical density fluctuations. Similarly, we have

$$k_{FM} = \frac{u_{FM}}{l_{\text{long}}}. \quad (36)$$

In the radial direction close to the filament, the reaction volume has a tubular form, and we must have that  $k_{BF} \pi [(r +$

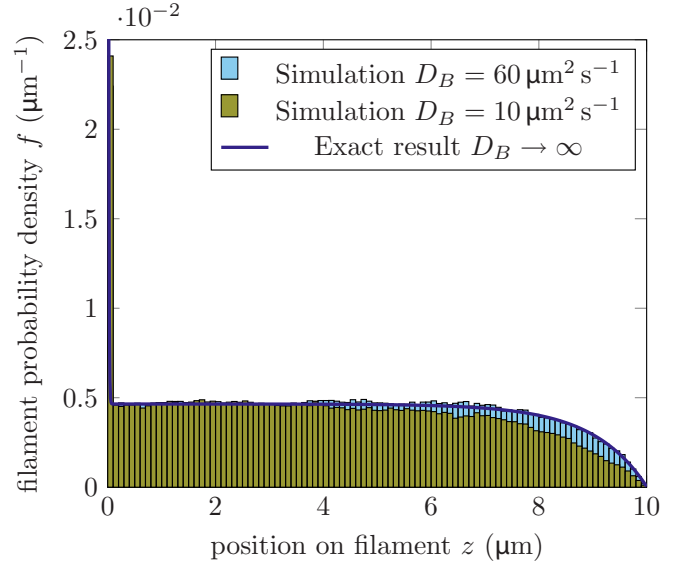


FIG. 7. The probability density  $f(z)$  that a particle is at position  $z$  on the filament, where the membrane is located at  $z = 0$  and motor proteins drive the particles from the right to the left. We use the biologically relevant parameters of Table S.1 of the Supplemental Material [10]. The dark blue line gives the exact result for  $D_B \rightarrow \infty$ , showing that the filament acts as an antenna over a length  $l_{\text{binding}} \approx 1.0 \mu\text{m}$ . After this distance, the unbinding of particles balances out the binding of new particles. When the particles reach the membrane, they are crowded over a length scale  $l_{\text{crowding}} \approx 8 \text{ nm}$ . In light blue, we show a histogram of the particle density in simulations using the parameters of Table S.3 of the Supplemental Material [10], in which the bulk diffusion constant  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$ , which nearly perfectly coincides with the theoretical result. Simulations with a lower bulk diffusion constant  $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$  are shown in green, revealing that the antenna effect acts over a longer length scale when the bulk diffusion constant is lower. The lower diffusion constant allows some particles that unbind from the filament to rapidly rebind to the filament, increasing the effective distance over which the particles can be transported. The density peak in the leftmost bin is predicted to be  $2.1 \times 10^{-2} \mu^{-1} \text{m}$  ( $D_B \rightarrow \infty$ ), and the simulations find  $2.2 \times 10^{-2} \mu^{-1} \text{m}$  ( $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$ ) and  $2.4 \times 10^{-2} \mu^{-1} \text{m}$  ( $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$ ), indicating that the particle density at the filament tip increases when the bulk diffusion constant decreases.

$l_{\text{rad}})^2 - r^2] b \approx 2\pi r u_{BF} b$ . Therefore, we choose

$$k_{BF} = \frac{u_{BF}}{l_{\text{rad}} + l_{\text{rad}}^2/2r} \quad (37)$$

and

$$k_{MF} = \frac{u_{MF}}{l_{\text{rad}} + l_{\text{rad}}^2/2r}. \quad (38)$$

When a reverse reaction occurs, we place the particle uniformly within the reaction volume. For example, when a particle unbinds from the filament to the bulk, it will be placed at a radial position  $r < s < r + l_{\text{rad}}$ , which is uniformly distributed over the area  $\pi [(r + l_{\text{rad}})^2 - r^2]$ . This mechanism ensures that detailed balance holds when  $\alpha = 0$  and  $\delta = 1$ .

We show the simulated protein concentration profiles on the filament and on the membrane in Figs. 7 and 8, respectively, and compare them to the exact solutions for  $f(z)$  and



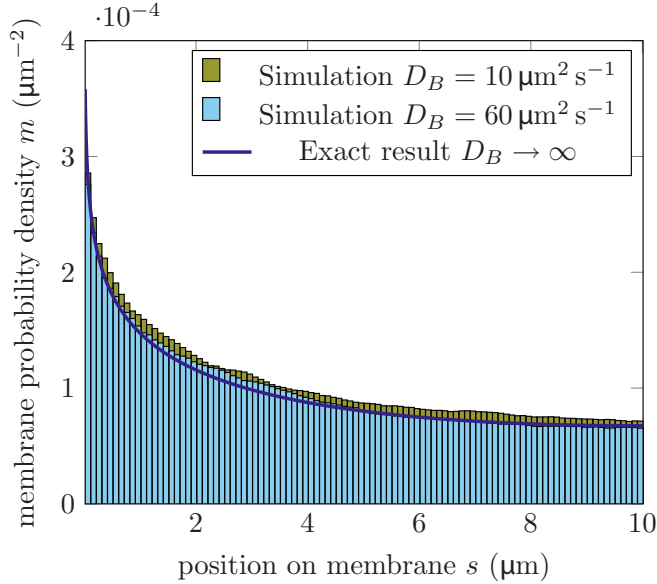


FIG. 8. The probability density  $m(s)$  that a particle is at a radial position  $s$  on the membrane, where the filament is located at  $s = r = 0.0125 \mu\text{m}$ . We use the same parameters and simulation as in Fig. 7. The dark blue line gives the exact result for  $D_B \rightarrow \infty$ , which predicts a spot size of  $\sqrt{D_M/k_{MB}} = 7.1 \mu\text{m}$ . The difference between the simulations with a high bulk diffusion constant ( $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$ ) and the exact solution are likely caused by insufficient sampling. The particle density in the leftmost bin is predicted to be  $2.7 \times 10^{-4} \mu^{-1} \text{m}^2$  ( $D_B \rightarrow \infty$ ), and the simulations find  $2.8 \times 10^{-4} \mu^{-1} \text{m}^2$  ( $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$ ) and  $2.9 \times 10^{-4} \mu^{-1} \text{m}^2$  ( $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$ ). The higher density for the lower bulk diffusion constant persists inside the whole membrane spot (green bins), showing that a lower bulk diffusion constant can slightly improve cell polarization.

$m(s)$  that are valid at  $D_B \rightarrow \infty$ . We use the biologically relevant parameters listed in Table S.1 of the Supplemental Material [10], and the figures show that the simulations with  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  agree with the analytical solutions. In contrast, additional simulations with a bulk diffusion constant  $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$  exhibit small, but statistically significant, deviations from the predicted behavior for  $D_B \rightarrow \infty$ . Specifically, it appears that the length scale  $l_{\text{binding}}$  on the filament increases, improving the antenna effect caused by the motor proteins. Furthermore, the density peak on the filament close to  $z = 0$  is higher when the bulk diffusion constant is lower. This subsequently causes a larger flux of particles to be deposited on the membrane, leading to a slight increase of the particle density in the membrane spot as shown in Fig. 8.

The steady-state particle density in the bulk  $b(z, s)$  is almost homogeneous when  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  (data not shown), explaining why the exact solution for  $D_B \rightarrow \infty$  is nearly identical to the simulation results. To visualize the effects of a lower bulk diffusion constant, we plot how the proteins are distributed in the cytosol for  $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$  in Fig. 9. Close to the filament, which is located at  $s = r$ , the bulk density profile resembles the shape of the density on the filament shown in Fig. 7. The transport along the filament removes particles from the back of the container, lowering the protein density in a region that extends several microns into the bulk. Furthermore, Fig. 9 shows that the particles are concentrated

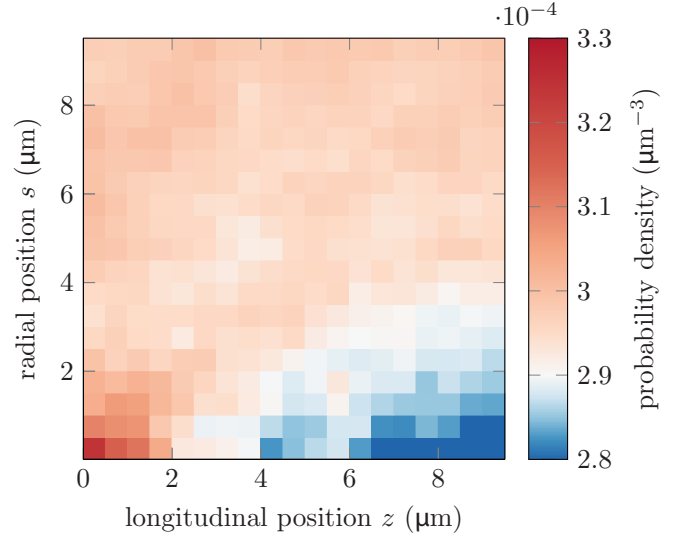


FIG. 9. The probability density  $b(z, s)$  that a particle is at a longitudinal position  $z$  and a radial position  $s$  in the bulk, where the membrane is located at  $z = 0$  and the filament is located at  $s = r = 0.0125 \mu\text{m}$ . We show the histogram produced by simulations with a lower diffusion constant in the bulk,  $D_B = 10 \mu\text{m}^2 \text{s}^{-1}$ , because  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  results in a nearly flat density profile. Otherwise, all parameters are the same as listed in Table S.1 of the Supplemental Material [10]. With a lower diffusion constant, the density profile on the filament shown in Fig. 7 persists for some distance into the bulk, improving the effects of active transport on the polarized particle distribution.

more densely close to  $z = 0$  and  $s = r$ , which is close to the density peak on the filament and on the membrane. Since a finite diffusion constant does not smear out the protein distributions instantly, lowering the diffusion constant in the bulk is beneficial for the formation of a strongly polarized nonequilibrium distribution on the membrane.

## V. FREE ENERGY IS DISSIPATED TO FORM A MEMBRANE SPOT

Using the exact solutions of the protein densities on the filament and the membrane in Eqs. (18) and (19), we saw that the flux through the system vanishes if and only if  $\delta = 1$  and  $\alpha = 0$ . The parameter  $\delta$  can break detailed balance when the particles undergo a driven chemical modification cycle when they revolve through the filament, membrane, and bulk. On the other hand, a nonzero value for the parameter  $\alpha$  is caused by motor proteins driving the movement of the particles along the filament. Both processes dissipate free energy, and we can find lower bounds for the free-energy dissipation caused by each process.

On the filament, the particles have a drift velocity  $v_F$  and a diffusion constant  $D_F$ . Using the Einstein relation [27], these two parameters define the average drift force  $F_F$  that acts on particles bound to the filament,

$$F_F = k_B T \frac{v_F}{D_F}. \quad (39)$$

We can calculate the work performed on a particle by multiplying this force with the net distance that the particle travels on the filament.

For the binding reactions, we make use of the local detailed balance relation [28]. Because the individual transitions can also involve the changing of dimensions, for example from the bulk to the membrane, it is nonsensical to assign free-energy differences between particle states on the membrane or in the bulk,

$$\Delta\mathcal{F}_{BM} \neq k_B T \log \left[ \frac{u_{BM}}{k_{MB}} \right]. \quad (40)$$

In this expression, we would take the logarithm of a factor that has a dimension of length. Still, because a particle that moves through a loop has to pass the dimensional transitions along the  $z$  and  $s$  axis in both directions, these length scales have to cancel in the ratio  $\delta$ . Only a multiplicative constant  $c$  may survive because the length scales of the transitions from the filament to the membrane and from the bulk to the membrane, or the length scales in the transitions from the membrane to the filament and from the bulk to the filament could differ. Hence,

$$\begin{aligned} \Delta\mathcal{F}_{FMB} &= k_B T \log \left[ \frac{u_{FM} k_{MB} u_{BF}}{u_{MF} u_{BM} k_{FB}} \right] + k_B T \log[c] \\ &= -k_B T \log[\delta] + k_B T \log[c]. \end{aligned} \quad (41)$$

Here, we take the dissipated free energy to be positive, such that a positive free-energy drop around the loop  $\Delta\mathcal{F}_{FMB}$  drives a flux through the loop filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament. Because we have shown that detailed balance holds if and only if  $\delta = 1$ , and we require  $\Delta\mathcal{F}_{FMB} = 0$  in that case, we see that the length scale  $c = 1$ , showing that

$$\Delta\mathcal{F}_{FMB} = -k_B T \log[\delta]. \quad (42)$$

We can use Eqs. (39) and (42) to define free-energy differences, but to compare the two we require the average dissipated power per particle. In the simulations, we can keep track of the net distance traveled on the filament  $d_{\text{net}}$ , which increases if motor proteins drive the particle toward  $z = 0$ , but decreases again if the diffusion with diffusion constant  $D_F$  increases  $z$ . Hence, we take into account that motor proteins that hydrolyze ATP have a small chance of moving back, reattaching a phosphate group to an ADP molecule. Similarly, we record the net number of times the particle moves forward in the transition filament-membrane  $N_{FM}$ . We run the simulations for a time  $t$ , after which the total average dissipated power  $P_{\text{sim}}$  equals

$$P_{\text{sim}} = \frac{F_F d_{\text{net}} + N_{FM} \Delta\mathcal{F}_{FMB}}{t}. \quad (43)$$

If the simulated time  $t$  is long enough, we will find a reliable measurement of the power.

Using the steady-state versions of Eqs. (2)–(9) and their analytical solutions, we can also calculate the exact dissipated power per particle for  $D_B \rightarrow \infty$ . First, we see that the

steady-state flux that moves through the filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament cycle equals

$$J_{ss} = u_{FM} f(0) - 2\pi r u_{MF} m(r). \quad (44)$$

Then, the power dissipated by the binding dynamics equals

$$P_{\text{exact}, FMB} = J_{ss} \Delta\mathcal{F}_{FMB}. \quad (45)$$

Then, the power dissipated by the driving on the filaments follows from the flux along the filament,

$$P_{\text{exact}, F} = \int_0^L [D_F \partial_z f(z) + v_F f(z)] F_F dz, \quad (46)$$

where the diffusive term includes the free energy that is returned if a motor protein makes a backward step. By integrating over the differential equations Eqs. (2)–(4), we can find expressions for the number of particles in each part of the system,

$$\begin{aligned} N_F &= \int_0^L f(z) dz \\ &= \frac{1}{k_{FB}} (2\pi r L u_{BF} b - J_{ss}), \end{aligned} \quad (47)$$

$$\begin{aligned} N_M &= \int_r^R m(s) 2\pi s ds \\ &= \frac{1}{k_{MB}} (\pi (R^2 - r^2) u_{BM} b + J_{ss}), \end{aligned} \quad (48)$$

$$\begin{aligned} N_B &= \int_0^L \int_r^R b 2\pi s ds dz \\ &= \pi (R^2 - r^2) L b, \end{aligned} \quad (49)$$

$$N_{\text{tot}} = N_F + N_M + N_B. \quad (50)$$

Using these definitions, we find the power per particle to equal

$$\begin{aligned} P_{\text{exact}} &= \frac{D_F [f(L) - f(0)] + v_F N_F}{N_{\text{tot}}} F_F \\ &\quad + \frac{J_{ss}}{N_{\text{tot}}} \Delta\mathcal{F}_{FMB}. \end{aligned} \quad (51)$$

This solution is exact, but only valid when the diffusion constant in the bulk is very large,  $D_B \rightarrow \infty$ .

In Sec. IV, we showed that lowering the bulk diffusion constant slightly improves the quality of polarization, but we also expect that it improves its efficiency. Particles that are transported along the filament and fall off before they reach the membrane instantly diffuse away when  $D_B \rightarrow \infty$ . Hence, the free energy that is dissipated in transporting these particles is wasted as soon as they fall off the filament. However, a lower bulk diffusion constant allows the particles to rebound to the filament, reducing the number of wastefully transported particles. For the parameter values listed in Table S.1 of the Supplemental Material [10], we predict that the power of active transport equals  $2.61 k_B T s^{-1}$  for  $D_B \rightarrow \infty$ , and we find a power of  $2.6 k_B T s^{-1}$  in simulations with  $D_B = 60 \mu\text{m}^2 s^{-1}$  and a power of  $2.4 k_B T s^{-1}$  in simulations with  $D_B = 10 \mu\text{m}^2 s^{-1}$ . Hence, simulations show that lowering the bulk diffusion constant can indeed improve the efficiency of active transport by increasing the quality of polarization and decreasing wasteful free-energy dissipation.

## VI. DRIVEN BINDING KINETICS IS MORE EFFICIENT THAN MOTOR TRANSPORT

Using the results of Sec. V, we can quantify both the dissipated power by the motor proteins and by the binding kinetics of the cargo proteins. Furthermore, we showed in Sec. II that the quality of the polarized protein distribution on the membrane can be quantified by  $\mu(\rho)$ , which compares the protein density in the center of the membrane spot to the density on the periphery of the membrane. Starting from the biologically relevant set of parameter values listed in Table S.1 of the Supplemental Material [10], we investigate how varying some parameters influences the membrane spot. First, we vary the drift velocity on the filament  $v_F$  between 0 and  $1 \mu\text{m s}^{-1}$ , and calculate both the dissipated power  $P_{\text{exact}}$  [see Eq. (51)] and the quality of the membrane spot  $\mu(\rho)$  for each value of  $v_F$ . The results of the exact solutions are plotted in Fig. 10 (dark blue line) together with the values obtained in simulations with  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  (dark blue dots). We see that the membrane spot becomes more pronounced if we increase  $v_F$ , but that the dissipation per particle also increases strongly. Combined, the quality of the membrane spot appears to increase with the dissipated power as a power law when it is only driven by active transport.

Instead of increasing the drift velocity, the system may also break detailed balance in the binding cycle filament  $\rightarrow$  membrane  $\rightarrow$  bulk  $\rightarrow$  filament, lowering the value of the nondimensional parameter  $\delta < 1$ . In Sec. III, we noted that the rate to bind from the filament to the membrane  $u_{FM}$  may be different from the rate to bind from the bulk to the membrane  $u_{BM}$ . For example, the motor proteins that transport the particles on the filament may also provide a mechanism to deliver them to the membrane. Furthermore, the chemical state of the proteins may be different when they are on the filament, for example, by strongly favoring the binding of (de)phosphorylated particles to the motor proteins, or by packing the proteins on a vesicle before transport. Hence, we vary the rate  $u_{FM}$  while maintaining the driving velocity  $v_F = 0.5 \mu\text{m s}^{-1}$ , and calculate both  $\mu(\rho)$  and  $P_{\text{exact}}$ . Figure 10 (light blue line and dots) shows that increasing  $u_{FM}$  improves the quality of the polarized spot, but it does not cost more free energy. In fact, the power per particle caused by the binding cycle  $P_{\text{exact},FMB}$  becomes nonzero and remains small, but increasing  $u_{FM}$  also reduces the time that particles spend on the filament, which reduces the probability that a particle unbinds from the filament to the bulk and wastes the free energy that was spent in transporting it. Hence, the power per particle caused by transport on the filament  $P_{\text{exact},F}$  decreases more strongly than  $P_{\text{exact},F}$  increases when we increase  $u_{FM}$ , leading to a lower overall power consumption.

The boundary condition Eq. (6) indicates that the derivative of  $f(z)$  at  $z = 0$ , the density on the filament near the membrane, becomes less negative or even positive when  $u_{FM}$  increases. Faster transmission of the particles from the filament to the membrane lowers the high-density peak on the filament, which is the spike at  $z = 0$  in Fig. 7. However, if  $u_{FM}$  becomes much larger than  $v_F$ , then the density  $f(0)$  becomes zero, and the flux to the membrane becomes limited by the speed at which new particles are transported by the motor proteins. Figure 11 shows that the quality of membrane

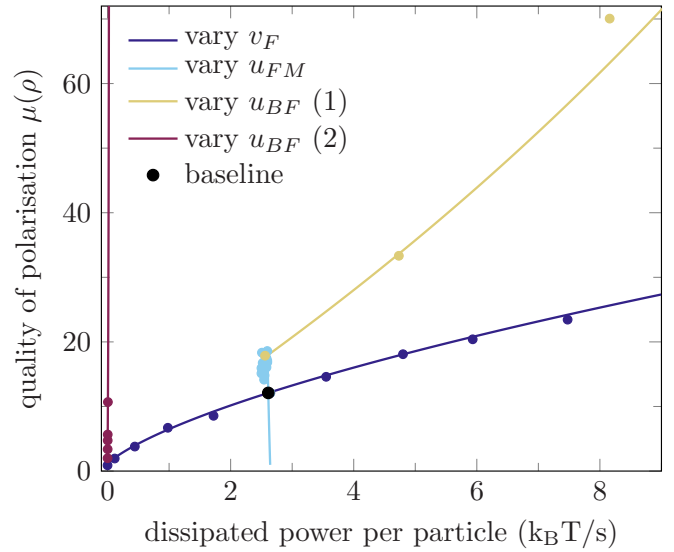


FIG. 10. The quality of polarization, given by the protein density at the center of the spot relative to the equilibrium density on the membrane,  $\mu(\rho)$ , as a function of the dissipated power in the system. The black point corresponds to the biologically relevant parameter values shown in Table S.1 of the Supplemental Material [10], providing a baseline from where to change parameters. We vary the drift velocity on the filament  $v_F$  between 0 and  $1 \mu\text{m s}^{-1}$ , where the baseline value is given by  $v_F = 0.5 \mu\text{m s}^{-1}$ , and we keep the binding in equilibrium (dark blue line, power law). We also vary the binding rate from the filament to the membrane  $u_{FM}$  between  $7 \times 10^{-5} \mu\text{m s}^{-1}$  and  $1.5 \mu\text{m s}^{-1}$  (baseline  $u_{FM} = 0.01 \mu\text{m s}^{-1}$ , light blue vertical line). This binding lowers the highly crowded density at the front of the filament, improving polarization while slightly saving on dissipated power. However, increasing  $u_{FM}$  past  $v_F$  does not influence the polarization. Hence, we keep the optimal value  $u_{FM} = 0.5 \mu\text{m s}^{-1}$  and increase the power dissipated through binding by increasing the rate to bind to the filament  $u_{BF}$  from the baseline value  $100 \mu\text{m s}^{-1}$  to  $425 \mu\text{m s}^{-1}$  (yellow exponential line, 1), or set  $v_F = 0$  and vary  $u_{BF}$  between  $100 \mu\text{m s}^{-1}$  and  $5250 \mu\text{m s}^{-1}$  (red vertical line, 2). All theoretical curves are compared to simulations with a bulk diffusion constant  $D_B = 60 \mu\text{m}^2 \text{s}^{-1}$  (points), confirming the agreement with the assumption  $D_B \rightarrow \infty$ . We see that the quality of the membrane polarization can be improved much more efficiently by dissipating power in the binding cycle than by transporting the proteins on the filament.

polarization  $\mu(\rho)$  reaches an asymptote before reaching a value of  $u_{FM} \approx v_F$ . Even though increasing  $u_{FM}$  increases polarization at almost no cost, it is only beneficial as long as  $u_{FM} < v_F$ .

To further investigate if increasing the dissipation in the binding cycle is efficient, we choose to vary the rate to bind from the bulk to the filament,  $u_{BF}$ . In Sec. III, we showed that there is some freedom in choosing this rate. We increase the rate from  $u_{BF} = 100 \mu\text{m s}^{-1}$  to  $350 \mu\text{m s}^{-1}$ , while setting  $u_{FM} = v_F = 0.5 \mu\text{m s}^{-1}$ . As shown in Fig. 10 (yellow line, 1), the quality of polarization  $\mu(\rho)$  increases exponentially with the power dissipated, which is more efficient than simply increasing the drift velocity on the filament. The dissipation mainly increases because the number of particles on the

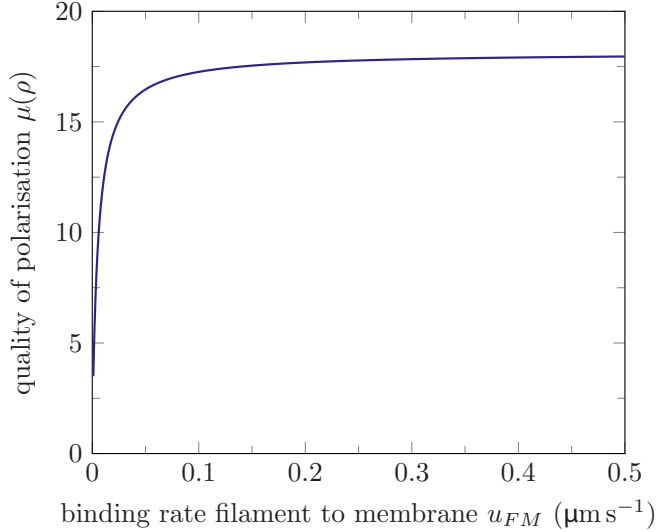


FIG. 11. The quality of polarization as a function of the rate to move from the filament to the membrane,  $u_{FM}$ . All other parameter values are listed in Table S.1 of the Supplemental Material [10]. Increasing  $u_{FM}$  to a value larger than  $v_F = 0.5 \mu\text{m s}^{-1}$  has no influence on the high-density spot on the membrane, only reducing the crowding at the end of the filament.

filament increases with  $u_{BF}$ , and this leads to a larger dissipation by the motor proteins.

To see if a nonequilibrium binding cycle can cause a polarized distribution of particles on the membrane, we also vary  $u_{BF}$  while keeping  $v_F = 0$ . Figure 10 (red line, 2) shows the model does show a strong polarization when only the binding cycle breaks detailed balance, and that the free-energy dissipation is orders of magnitude lower than with a finite drift velocity. For  $u_{BF} = 4300 \mu\text{m s}^{-1}$  and  $v_F = 0$ , we find  $\mu(\rho) = 60$  and  $P_{\text{exact}} = 0.02 \text{ k}_B T \text{ s}^{-1}$ , whereas for  $u_{BF} = 350 \mu\text{m s}^{-1}$  and  $v_F = 0.5 \mu\text{m s}^{-1}$ , we find the same polarization  $\mu(\rho) = 60$  but with a dissipated power of  $P_{\text{exact}} = 7.8 \text{ k}_B T \text{ s}^{-1}$ . Hence, by driving the binding cycle out of equilibrium, via e.g. a phosphorylation-dephosphorylation cycle as in the Pom1/Tea1/Tea4 system, the cell can make the binding from the filament to the membrane more likely without increasing the backward rate from the membrane to the filament. This process is probably highly efficient in terms of its free-energy consumption.

In summary, the effect of varying the elementary parameters of the model on cell polarization can be understood via the dedimensionalized parameters in Eq. (10). Varying  $u_{FM}$  affects both  $\delta$  and  $\beta$  [see Eq. (10)]. When  $u_{FM}$  is increased such that  $\beta$  is increased while another parameter is changed too to keep  $\delta = 1$  (and the binding cycle is thus in thermodynamic equilibrium), then the quality of cell polarization decreases (Fig. 5). In contrast, when  $u_{FM}$  is increased only, such that  $\beta$  increases and  $\delta$  decreases, then the degree of cell polarization first rises because of the larger protein flux to the membrane, but then plateaus because the delivery of proteins to the membrane becomes limited by the binding of proteins from the bulk to the filament (Fig. 11). The effect of varying  $u_{MF}$  is opposite to that of  $u_{FM}$ . Varying  $k_{MB}$  affects both  $\delta$  and  $\gamma$  [Eq. (10)]. Increasing  $k_{MB}$  (and this parameter

only) decreases  $\delta$  and  $\gamma$  and enhances cell polarization via two effects: it makes the polarization spot sharper by driving the particles more rapidly back into the cytoplasm, and it also lowers the background concentration on the membrane. The parameter  $u_{BM}$  only affects  $\delta$ ; increasing it weakens polarization by increasing the background concentration on the membrane. The parameter  $u_{BF}$  also only affects  $\delta$ . Increasing  $u_{BF}$  enhances cell polarization by increasing the flux from the bulk onto the filament (Fig. 10); increasing this parameter is particularly powerful because the membrane spot is fed via the particles on the filament, which acts as an antenna. The parameter  $k_{FB}$  affects  $\delta$ ,  $\alpha$ , and  $\beta$ , and increasing it is indeed very detrimental: it lowers the density of the particles on the filament (the effect of  $\delta$ ); it lowers the distance over which particles are transported on the filament, thus driving them back to the cytoplasm earlier (the effect of  $\alpha$ ); and it decreases the probability that a particle near the tip of the filament actually binds the membrane instead of dissociating back into the bulk (the effect of  $\beta$ ).

## VII. DISCUSSION

Cytoskeletal filaments are often organized in nonhomogeneous structures within the cell. For example, the mitotic spindle is a structure in which microtubules point radially outward from two microtubule organizing centers. Together with the intrinsically polarized structure of the filaments, the cell can use its cytoskeleton to provide directional transport of other particles and break the symmetry of the distribution of particles. Fission yeast makes use of microtubule-based transport of Tea1 and Tea4 to polarize the distribution of Pom1 on its membrane [9], and budding yeast transports vesicles with membrane-bound Cdc42 along actin cables, leading to a polarized distribution of Cdc42 on the outer membrane [5,8]. Hence, if the filaments point toward the membrane and the transported cargoes bind to the membrane, transport by motor proteins along filaments offers a mechanism to polarize a distribution of proteins on the membrane of the cell. Using a minimal model, we showed that transport along a filament can create a polarized steady-state distribution on the membrane, and that the motor proteins dissipate a reasonable amount of chemical free energy to maintain this nonequilibrium state. Using biologically relevant parameter values, the protein concentration on the membrane can increase by a factor 12 compared to the equilibrium density on the membrane, forming a high-density spot close to the filament while the cell dissipates on average  $2.6 \text{ k}_B T \text{ s}^{-1}$  of chemical free energy per particle. In comparison, the hydrolysis of a single ATP molecule releases roughly  $18 \text{ k}_B T$  [29], so a motor protein moving at  $0.5 \mu\text{m s}^{-1}$  that hydrolyses one ATP molecule per 8 nm step dissipates more than  $1000 \text{ k}_B T \text{ s}^{-1}$ . Hence, a polarized distribution of filament orientations that is used for directed transport can be sufficient to create a polarized distribution of cargo proteins on the membrane.

The model also includes a second mechanism to break detailed balance besides active transport. The particles transition reversibly between the bulk (cytosol) and filament, between the filament and membrane, and between the membrane and bulk. Those reactions can break detailed balance by modifying



the particles as they pass through the loop. For example, fission yeast Pom1 is dephosphorylated before it binds to the membrane, but its autophosphorylation then increases its dissociation rate from the membrane [5,9]. Furthermore, budding yeast Cdc42 switches between GDP and GTP bound states, binding to the membrane as Cdc42-GDP and unbinding after Cdc24 and Bem1 exchange the bound nucleotide for GTP [8]. The model shows that such a nonequilibrium binding cycle would dissipate around  $7 \times 10^{-3} k_B T s^{-1}$  per particle to generate the same level of polarization [ $\mu(\rho) = 12$ ] as active transport, which dissipates  $2.6 k_B T s^{-1}$  per particle. Even though a driven binding cycle can thus dissipate orders of magnitude less free energy than active transport along the filament to create the same membrane spot, the absolute dissipation of active transport could still be low compared to other cellular processes such as protein translation, and therefore evade evolutionary selection pressure. Previously, it was estimated that budding yeast experiences selection pressure against genes that require more than  $1 \times 10^4$  molecules of ATP per cell cycle [30]. We can make an estimate of the energy costs of cell polarization via transport alone by assuming that the polarization machinery is active for 30 min per cell cycle, which is a quarter of the minimal duration of the cell cycle of yeast [30]. Furthermore, we assume that 1000 copies of the polarizing protein are involved, similar to the copy number of Cdc42 in budding yeast [31], and that ATP hydrolysis releases  $18 k_B T$  [29]. Then, the power predicted by our model in the biologically relevant regime ( $2.6 k_B T s^{-1}$ ) leads to the estimate that active transport would hydrolyze around  $2.6 \times 10^5$  molecules of ATP per cell cycle to create cell polarization. Hence, ignoring other fitness effects of the different polarization mechanisms, the energetic requirements alone can be sufficient to stimulate the formation of a driven binding cycle.

In fact, our work provides a new perspective on the design logic of these systems. In particular, experiments indicate that Pom1 itself is not actively transported along the microtubule filaments [5,9]. Instead, the filaments only mark the location where Pom1 is delivered to the membrane by transporting Tea4 to the microtubule tip, specifying the position where the phosphatase Dis2 becomes active in dephosphorylating Pom1, allowing Pom1 to bind to the membrane [5,9]. The filaments could in principle also be used to actively transport Pom1, but our work suggests that this would only marginally enhance polarization while it would significantly increase energy dissipation. When active transport of the polarizing protein does occur, this transport may be sufficient to create a polarized protein density on the membrane, but it is still more efficient to implement an additional driven binding cycle, which is the case for the Cdc42 system in budding yeast.

The nonequilibrium binding cycle is more efficient because the particles only dissipate free energy when they participate in the flux that moves from the filament to the membrane, and it is this flux that causes the spot on the membrane. In contrast, the motor proteins drive proteins along the entire filament, and many unbind from the filament before they reach the membrane. These cycles waste the free energy that was invested by the motor proteins, because the high diffusion constant in the bulk almost immediately homogenizes the protein distribution in the bulk again. However, the binding

cycle will likely also lead to the wasteful release of chemical free energy that is not captured by our model. For example, a phosphorylation cycle will typically include erroneous dephosphorylation steps, dissipating the free energy obtained from hydrolyzing an NTP molecule. Integrating such wasteful processes in the minimal model presented here, it is likely that breaking detailed balance in the cycle of binding reactions also leads to a free-energy cost. In addition, simulations show that the transport along filaments is less wasteful when the finite magnitude of the diffusion constant in the bulk is taken into account, bringing the efficiencies of transport and binding closer together. Nonetheless, it is fundamentally difficult to create a membrane spot when binding is in equilibrium, because detailed balance causes the binding rate from the bulk to the membrane to be large when the binding rate from the filament to the membrane is large. This increased binding rate from the bulk leads to a large homogeneous equilibrium concentration of proteins on the membrane, lowering the relative effect of the proteins deposited by the filament. Furthermore, we saw in Fig. 7 that transport generates a high-density spot on the membrane via a direct reversible interchange of particles between this membrane spot and a strongly compressed density of particles on the tip of the filament. When exclusion effects between the proteins on the filament are taken into account, it may not be possible to create such a high-density concentration on the tip of the filament [32,33], preventing the formation of a membrane spot. In Sec. VI, we show that increasing the rate to bind from the filament to the membrane reduces the protein density at the front of the filament, but then an active binding cycle is required to still create a significant spot on the membrane. Hence, it is theoretically possible to create a polarized distribution of proteins on the membrane by active transport alone, but both in cells or in synthetic systems, membrane polarization would likely be superior using a dissipative mechanism that biases the binding from the filament to the membrane.

Here we have focused on the scenario  $\delta < 1$ , which means that the transport and binding cycle act in concert, driving the particles in the same direction. The scenario  $\delta > 1$  corresponds to a system in which the transport cycle drives the particles against the direction of the binding cycle. Could in this scenario NTP, e.g., ATP, molecules be generated? In principle one cycle could be used to drive the other cycle in reverse, akin to how the  $F_0 - F_1$  ATP synthase employs a proton gradient over the membrane to make ATP. To enable fuel production, at least two conditions must be fulfilled: First, the two cycles should be driven by different fuels, so that the chemical driving force of one cycle can overcome the opposing force of the other cycle. Second, the two cycles must be tightly coupled; the  $F_0 - F_1$  ATP synthase can be driven in reverse, using ATP hydrolysis to pump the protons out, which is a hallmark of the tight coupling of the two cycles. The first condition may be met. Motor proteins are typically fueled by ATP hydrolysis, but signaling systems either employ ATP or GTP hydrolysis, as in the Pom1 [5,9] or Cdc42 system [5,8], respectively. In addition, while we have focused here on active transport via motor proteins along filaments, many proteins are also transported actively by tip-tracking growing microtubules [34], fueled by GTP hydrolysis. The second condition seems harder to satisfy. We do not know of a system with a

binding cycle that is driven against the transport cycle—this would impede cell polarization—but such a system could perhaps be designed synthetically. The key challenge will then become to tightly couple these cycles, such that the transport cycle drives the binding cycle backwards efficiently, generating NTP.

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