Instability of the body-centered cubic lattice within the sticky hard sphere and Lennard-Jones model obtained from exact lattice summations

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A smooth path of rearrangement from the body-centered cubic (bcc) to the face-centered cubic (fcc) lattice is obtained by introducing a single parameter to lattice vectors of a cuboidal unit cell. As a result, we obtain analytical expressions in terms of lattice sums for the cohesive energy where the interaction is described by a Lennard-Jones (LJ) interaction potential or a sticky hard-sphere (SHS) model with a r^{-n} long-range attractive term. These lattice sums are evaluated to computer precision by expansions in terms of a fast converging Bessel function series. Applying the whole range of lattice parameters for the SHS and LJ potentials we prove that the bcc phase is unstable (or, at best, metastable) toward distortion into the fcc phase in the low temperature and pressure limit. Even if more accurate potentials are used, such as the extended LJ potential for argon or chromium, the bcc phase remains unstable. This strongly indicates that the appearance of a low temperature bcc phase for several elements in the periodic table is due to higher than two-body forces in atomic interactions.

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I. INTRODUCTION

The stability of different bulk phases and their possible connections through distortions and rearrangements in phase transitions remain an open and challenging field in solid-state physics [1]. Solid-to-solid phase transitions are commonly modeled by computer intensive molecular dynamic or Monte Carlo simulations at finite temperatures and pressures [2,3] or by various algorithms to find phase transition paths on a Born-Oppenheimer hypersurface [4]. For example, the relative stability of the face-centered cubic (fcc) versus the hexagonal close packing (hcp) and possible transition mechanisms between these two phases for the rare gas elements has been a matter of a long-standing controversy [5-12]. Although fcc has a higher excess entropy compared to hcp by a rather small difference (for the hard-sphere model it is $0.00115 \pm 0.00004k_B$ per sphere [5]), the energetic stability of the fcc over the hcp phase for the rare gas solid argon (at low temperatures and pressures) is due to quantum effects (phonon dispersion) [9,11]. Similarly, the transformation between the body-centered cubic (bcc) \leftrightarrow fcc phases and their relative stabilities have been the subject of many discussions [13,14] as the exact martensitic type of transformation path for a solid, such as in iron-based materials, or in clusters, is still being debated [15–18].

It is commonly believed that strong repulsive forces favor close-packed arrangements, such as fcc or hcp, whereas soft repulsion favors less dense packed structures, such as bcc [19–22]. Laird showed that the bcc phase is unstable within

the hard-sphere model [23], whereas Hoover *et al.* and later Agrawi and Kofke showed that soft repulsive potentials of the form ar^{-n} with small n values are required to stabilize the bcc phase [19,24]. Very recently Ono and Ito used phonon dispersion curves to show that soft Lennard-Jones (LJ) forces are required to turn the bcc phase into a minimum [25]. However, as minima can be very shallow on an energy hypersurface, one requires accurate numerical or analytical methods to determine if the bcc phase represents a (metastable) minimum for a two-body potential or not. Moreover, the bcc phase was absent in recent LJ lattice dynamic simulations of Travesset [8]. Inverse power law potentials, such as the LJ potential have the advantage that properties, such as the cohesive energy can be evaluated analytically through lattice sums [26,27]. If a single path through a lattice parameter can be found [4] describing smoothly the bcc \leftrightarrow fcc transition (not necessarily a minimum energy path), one gains valuable insight into the stability of the bcc phase.

Conway and Sloane introduced the isodual mean-centered cuboidal lattice (mcc) which can be seen as an average between the bcc and the fcc lattices [28]. They introduced lattice vectors depending on two parameters connecting the bcc, mcc, and fcc lattices. Recently we were able to find fast converging lattice sums for these cuboidal lattices derived from their corresponding Gram matrices and quadratic forms using a single parameter [29]. These lattice sums, which can be evaluated to computer precision, will be introduced in the next section and applied to analyze the energy profile of the bcc lattice distortion into the fcc densest packing using LJ and sticky hard-sphere (SHS) interaction potentials. For more realistic two-body forces we apply extended LJ potentials (ELJ) [12,30] for Ar_2 and Cr_2 and briefly discuss Li₂.

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II. METHOD

Lattice vectors for the unit cell of a cuboidal lattice depending on a single parameter A are defined by

$$\boldsymbol{b}_{1}^{\top}(A) = (1, 0, 0), \quad \boldsymbol{b}_{2}^{\top}(A) = \left(\frac{A}{A+1}, \frac{\sqrt{2A+1}}{A+1}, 0\right),$$
$$\boldsymbol{b}_{3}^{\top}(A) = \left(\frac{1}{A+1}, \frac{1}{(A+1)\sqrt{2A+1}}, \sqrt{\frac{4A}{(A+1)(2A+1)}}\right).$$
(1)

The corresponding Gram matrix for the quadratic form is given by the scalar product between these lattice vectors,

$$G_{ij}(A) = \langle \boldsymbol{b}_i(A), \boldsymbol{b}_j(A) \rangle = \frac{1}{A+1} \begin{pmatrix} A+1 & A & 1\\ A & A+1 & 1\\ 1 & 1 & 2 \end{pmatrix}.$$
(2)

The cuboidal lattices are defined in the range $A \in [\frac{1}{3}, 1]$ [29] and for the special values of $A = \frac{1}{3}$, $A = \frac{1}{2}$, $A = \frac{1}{\sqrt{2}}$, and A = 1 lattice vectors for the axial centered cuboidal (acc) [28], bcc, mcc, and fcc lattices are obtained with the number of nearest neighbors of 10, 8, 8, and 12, respectively. This sets the minimal distance between two lattice points to 1 for the range $A \in [\frac{1}{3}, 1]$, which ensures that the lattice deformation is compatible with the hard-sphere model. The volume spanned by these three vectors is

$$V(A) = \sqrt{\det G(A)} = 2A^{1/2}(A+1)^{-3/2},$$
 (3)

with a maximum volume at the bcc structure $(A = \frac{1}{2})$. The lattices with the corresponding lattice vectors (1) are shown in Fig. 1.

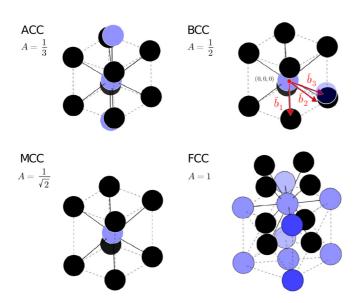


FIG. 1. The four lattices acc, bcc, mcc, and fcc along the cuboidal transition path. The corresponding primitive cell basis vectors according to Eq. (1) are shown for the bcc lattice. For the fcc lattice the lighter colored atoms moving towards the central atom (0,0,0) become nearest neighbors with the overall cuboidal fcc structure displayed.

The choice of the basis vectors (1) has the advantage that only b_2 and b_3 move in this three dimensional lattice transformation. The length of b_1 and b_2 is 1 for all A values considered, and the angle between b_1 and b_3 is the same as between b_2 and b_3 . From the Gram matrix one obtains the atomic packing fraction or packing density [31] for the cuboidal lattices [29],

$$\rho(A) = \frac{\pi}{12} \sqrt{\frac{(A+1)^3}{A}}.$$
(4)

This yields the well known values for fcc $(\rho(1) = \frac{\pi\sqrt{2}}{6})$ and bcc $[\rho(\frac{1}{2}) = \frac{\pi\sqrt{3}}{8}]$. In fact, from this formula we deduce that bcc is the least packed arrangement of all the cuboidal lattices considered here.

Using an (a, b) LJ potential in its most general form [32,33]

$$V_{\rm LJ}(r,a,b) = \frac{ab}{a-b} \epsilon \left[\frac{1}{a} \left(\frac{r_e}{r} \right)^a - \frac{1}{b} \left(\frac{r_e}{r} \right)^b \right], \qquad (5)$$

where r_e is the minimum (equilibrium) distance, $\epsilon > 0$ is the dissociation energy, and a > b > 3 are real numbers, we obtain an analytical expression for the cohesive energy in terms of lattice sums L(a, A) and the nearest neighbor distance R in the lattice [27],

$$E_{\rm LJ}(R, a, b, A) = \frac{ab\epsilon}{2(a-b)} \left[\frac{1}{a} L(a, A) \left(\frac{r_e}{R} \right)^a - \frac{1}{b} L(b, A) \left(\frac{r_e}{R} \right)^b \right].$$
(6)

Here, b > 3 is required to avoid the singularity in L(b, A) at b = 3 [30] (although these lattice sums can be analytically continued [26,29,34]). The lattice sums L(a, A) are defined through their corresponding quadratic forms $i^{\top}Gi$, $i \in \mathbb{Z}^3$ by [31]

$$L(a, A) = \sum_{i \in \mathbb{Z}^{3}} \left(\frac{1}{i^{\top} G i} \right)^{a/2}$$
$$= \sum_{i, j, k} \left(\frac{A+1}{A(i+j)^{2} + (j+k)^{2} + (i+k)^{2}} \right)^{a/2}, \quad (7)$$

where the prime symbol indicates that the term corresponding to $i^{\top} = (0, 0, 0)$ is omitted in the summation. For small values of *a*, these triple sums are slowly convergent, and one needs to find expansions in terms of fast converging series to obtain computer precision [26]. A number of methods to achieve this have recently been introduced by our group [27,29]. A program to evaluate these lattice sums including the cuboidal lattices considered here is freely available from our web site [35]. For this paper we use either the Terras decomposition of the Epstein ζ function [27,36] or the decomposition in terms of Jacobi θ functions and integral transforms to produce series expansions in terms of Bessel functions [27,29]. More details are given in Appendix A.

The SHS model can easily be obtained in the limit of $a \rightarrow \infty$ of the LJ potential [37], and the cohesive energy given

by the expression,

$$E_{\rm SHS}(R, b, A) = \lim_{a \to \infty} E_{\rm LJ}(R, a, b, A) = -\frac{\epsilon}{2} L(b, A) \left(\frac{r_e}{R}\right)^b,$$
(8)

with $R \ge r_e$. This gives a direct relation between the SHS energy of the solid and the corresponding lattice sum.

It is convenient to introduce dimensionless units, i.e., $R^* = R/r_e$ and $E^* = E/\epsilon$. The minimum nearest neighbor distance for a cuboidal lattice can be found from (6),

$$R_{\min}^{*}(a, b, A) = \left[\frac{L(a, A)}{L(b, A)}\right]^{1/(a-b)}.$$
(9)

For the SHS model this reduces to $R_{\min}^* = 1$. The cohesive energy at minimum becomes

$$E^*(R^*_{\min}, a, b, A) = -\frac{1}{2} \left[\frac{L(b, A)^a}{L(a, A)^b} \right]^{1/(a-b)}, \qquad (10)$$

and for the SHS model we attain $E^*(R_{\min}^* = 1; b, A) = -L(b, A)/2$. Finally, a more realistic two-body potential is used where lattice sum techniques can still be applied. This requirement is fulfilled by the ELJ potential, which is an inverse power series expansion in terms of the distance *R*,

$$E_{\rm ELJ}(R, c_n, A) = \frac{1}{2} \sum_{n=1}^{n_{\rm max}} c_n L(a_n, A) R^{-a_n}, \qquad (11)$$

with $\sum_{n} c_{n} = -\epsilon$ and $a_{n} > 3$ [12,30].

III. RESULTS AND DISCUSSION

Starting with the discussion of the SHS model, the difference in cohesive energies between the A-dependent cuboidal lattices and the fcc lattice (A = 1) as a function of the two parameters *b* and *A*,

$$\Delta E^*(b,A) = \frac{1}{2} [L(b,A=1) - L(b,A)], \quad (12)$$

at $R_{\min}^* = 1.0$ is shown in Fig. 2. It is evident that the SHS model predicts a maximum in energy at the bcc structure. In fact, it was proved recently that $\partial L(b, A)/\partial A = 0$ and $\partial^2 L(b, A)/\partial A^2 > 0$ at $A = \frac{1}{2}$ (bcc) for all $b \in (3, \infty)$'s [29].

The path chosen along the *A* parameter may not represent the true minimum energy path for the bcc \rightarrow fcc phase transition, but what matters here is that it is clearly downhill energetically towards the fcc structure. As a result, the bcc lattice is unstable with respect to distortion to fcc within the SHS model. There is also the opposite path towards the acc crystal $(A = \frac{1}{3})$, which has to our knowledge not been observed in nature. Figure 2 shows that for low *b* values, $\Delta E^*(b, A) \rightarrow \infty$ for $b \rightarrow 3$). The most stable bcc lattice is observed at $\Delta E^*(b =$ 5.493 634 06..., $\frac{1}{2}$) = 1.090 510 595... with a *b* value close to the exponent b = 6 used for dispersive type of forces [38].

As the SHS model clearly has its limitations, we turn to the more accurate (a, b) LJ potential, i.e., we introduce softer repulsive walls into the SHS model. This will also remove the discontinuity in the $\Delta E^*(a, b, A)$ curve at the fcc point (A = 1). Due to the attractive long-range lattice forces, the minimum distance between two neighboring lattice points in Eq. (9) is $R^*_{\min}(a, b, A) < 1$, provided that a > b > 3 for a

5.492.0 1.5 1.5 $\Delta E^*(b,A)$ 1.0 0.5 0.5 1.0 0.4 0.5 0 0.6 b 10 07 A 0.8 0.9 1.0 14

FIG. 2. Difference in cohesive energies $\Delta E^*(b, A) = \frac{1}{2}[L(b, A = 1) - L(b, A)]$ between the cuboidal lattices and fcc for various exponents *b* and lattice parameter *A* of the SHS model. The contour interval chosen is 0.1. The vertical black line at b = 5.493634 shows the point of least instability for the bcc lattice.

finite (a, b) combination. Figure 3 shows that $R_{\min}^*(a, b, A)$ does not vary much with changing A for a fixed (a, b) combination. The minimum distance for the (12,6) LJ potential is $R_{\min}^*(12, 6, \frac{1}{2}) = 0.951\,864\,819$ for the bcc lattice compared to $R_{\min}^*(12, 6, 1.0) = 0.971\,233\,6910$ for fcc. For large a values the minimum bcc nearest neighbor distance R_{\min}^* turns into a very shallow maximum and finally approaches the SHS limit of $R_{\min}^* = 1.0$. From Eq. (9) and $\partial L(b, A)/\partial A = 0$ at $A = \frac{1}{2}$ [29], it follows that $\partial R_{\min}^*(a, b, A)/\partial A = 0$ at $A = \frac{1}{2}$, and the bcc point remains a critical point for all (a, b) values in the allowed range.

Shorter distances are usually associated with greater stability of the lattice. This is, however, not the case for the bcc compared to the fcc lattice as Fig. 4 shows. In fact, the bcc lattice is *not* a stable lattice compared

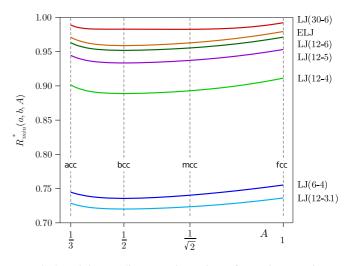


FIG. 3. Minimum distance $R^*_{\min}(a, b, A)$ for various (a, b) LJ potentials and for the ELJ potential for argon (taken from Ref. [9]) dependent on the lattice parameter *A*.

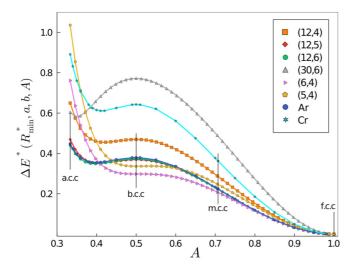


FIG. 4. Cohesive energy differences $\Delta E^*(R_{\min}^*, a, b, A) = E^*(R_{\min}^*, a, b, A) - E^*(R_{\min}^*, a, b; A = 1)$ for the (a, b) LJ potential dependent on the lattice parameter A and for the two ELJ potentials of argon and chromium (see Appendix C).

to fcc, i.e., $\Delta E_{\text{bcc,fcc}}^*(a, b) = E^*(R_{\min,\text{bcc}}^*, a, b, \frac{1}{2}) - E^*(R_{\min,\text{fcc}}^*, a, b, 1) > 0$ for all a > b > 3. The bcc lattice will continuously distort by lowering the energy toward the most densely packed fcc lattice except for a very small (a, b) range where the bcc phase becomes metastable. In this case, the minimum at $A < \frac{1}{2}$ shifts toward the bcc structure, see Fig. 5.

The (a, b) phase transition line from the unstable to the metastable bcc lattice is approximately described by the polynomial $a_{\rm PT} = -6.382\,9845 \times 10^{-4} b_{\rm PT}^3 + 3.818\,6745 \times 10^{-2} b_{\rm PT}^2 - 1.346\,6248 b_{\rm PT} + 1.137\,3783 \times 10^1$ with $a_{\rm PT} > b_{\rm PT} \in (3, 5.256\,73]$ (see Appendix B), and we see an almost linear

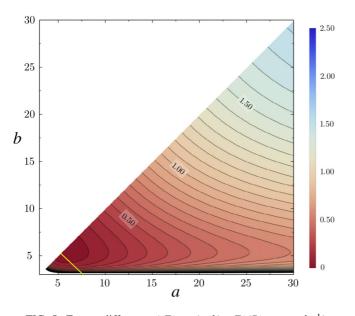


FIG. 5. Energy difference $\Delta E_{\text{bcc,fcc}}^*(a, b) = E^*(R_{\min,\text{bcc}}^*, a, b, \frac{1}{2}) - E^*(R_{\min,\text{fcc}}^*, a, b, 1)$ between the bcc and the fcc lattice for the (a, b) LJ potential. The contour interval chosen is 0.0625. The almost linear (yellow) curve in the lower left corner of the plot describes the phase transition line to a metastable bcc state.

behavior as shown as a yellow line in the left lower corner of Fig. 5. This also explains why Ono and Ito obtained imaginary phonon frequencies for some low (a, b) combinations [25] (their results have to be taken with some care as the r^{-3} potential used leads to a singularity in the cohesive energy). In fact, the bcc structure becomes metastable if and only if $L(a, A)\partial^2 L(a, A)/\partial A^2 < L(b, A)\partial^2 L(b, A)/\partial A^2$ for $A = \frac{1}{2}$ and a > b > 3 (see Appendix B). However, these minima appear at energies $\Delta E^*_{\text{bcc,fcc}}(a, b) > 0.2 \ (a < 7.660\ 388)$ for rather unphysical potentials with low ΔE^* values only if $a \approx b$. As an example, for a (4,3.1) LJ potential the bcc structure is a minimum at $\Delta E^*_{\rm bcc,fcc} = 170.2$ with an activation barrier of $\Delta E^{*\#} = 12.2$ situated at A = 0.6 on the path toward the distortion to the fcc structure. As $\partial L(b, A)/\partial A = 0$ at $A = \frac{1}{2}$ [29] we obtain $\partial E^*(R_{\min}^*, a, b, A)/\partial A = 0$ at $A = \frac{1}{2}$ (see Appendix B), and the bcc structure remains a critical point for all (a, b) combinations. Moreover, if the exponent a responsible for the repulsive wall increases, we approach the limit of the SHS potential with much higher energies compared to the LJ potential.

By applying an inverse power law potential for the repulsive wall (opposed to the long-range part in the SHS model) in Monte Carlo simulations, Agrawal and Kofke also showed that the bcc phase is unstable [19]. An interesting point of this bcc \rightarrow fcc phase transition is that the Einstein frequency ω_E , obtained analytically in terms of lattice sums from a single atom moving in the field of all other atoms [12], remains positive, $\omega_E(a, b, A) > 0$, for all $A \in [\frac{1}{3}, 1]$ and a > b > 3(see Appendix C). As a consequence, a single atom is locked and more than one atom has to move simultaneously along the $bcc \rightarrow fcc$ path similar to a Zener or Bain martensitic transformation [17,39]. As Fig. 4 shows, the distortion along the A parameter away from bcc can also occur towards a metastable lattice with $A < \frac{1}{2}$ and higher packing density. Using a (12,6) LJ potential the metastable minimum sits at a lattice with $A = 0.396\,2483\cdots$ and packing density $\rho = 0.686\,1655\cdots$, a cuboidal lattice in-between bcc and acc. Finally, the mcc lattice is just a lattice along the energetic downward path towards fcc as it is for the SHS model.

The question remains as to why low temperature bcc lattices are observed in nature given their instability, large volume and small bulk modulus within the cuboidal structures. It is clear that two-body forces favor dense packings with the largest kissing number for an atom, that is fcc or hcp. The answer, therefore, lies in the failure of the two-body potential to correctly describe the interactions in the crystal, i.e., neglecting important higher than two-body interactions (and perhaps quantum effects for quantum solids, such as helium). It is well known that the many-body expansion is only slowly convergent for metallic systems [40,41].

To see if the form of the LJ potential limits our conclusion, a more accurate ELJ two-body potential is taken, derived from relativistic coupled cluster theory for argon [9,42], see Fig. 6. As in the case for the (12,6) LJ potential, the ELJ potential has a minimum $R^*_{min}(A)$ value at the bcc structure (see Appendix D). More importantly, the $E^*_{ELJ}(A)$ curve does not change substantially in shape and is only slightly shifted compared to the (12,6) LJ potential as shown in Fig. 4. This is perhaps expected from the comparison between the

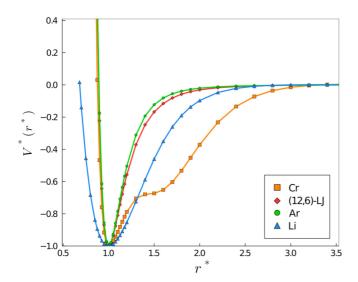


FIG. 6. Potential energy curves $V^*(r^*)$ (in dimensionless units) for a (12-6) LJ potential, and for Ar₂, Li₂, and Cr₂ (see Appendix C).

two potentials, and from the fact that for the fcc structure $E^*(R^*_{\min}, 1.0) = -7.8532$ [12] for the ELJ potential and close to $E^*(R^*_{\min}, 12, 6, 1.0) = -L_6^2/(2L_{12}) = -8.6102$ for the (12,6) LJ potential (expt. $E^* = -6.4951$ using the data from Ref. [43]).

To underscore our argument even further the unusual potential energy curve for Cr_2 is considered. Here we use experimental potential values of Casey and Leopold [44] but attenuated for the long-range dispersion using the C_6 coefficient of Pavlović and co-workers [45], and finally fitted to an extended Lennard-Jones potential (see Appendix D). This potential curve, shown in Fig. 6, is extremely broad and has a large dip in the medium distance range $r \in [1.3, 1.7]r_e$ and, therefore, deviates substantially from a typical potential energy curve, such as LJ or Morse [46]. As it turns out, this potential leads to far too short solid-state distances and far too high cohesive energies for the solid state. However, the chromium $\Delta E^*(R_{\min}^*, a, b, A)$ curve in Fig. 4 shows that bcc remains a transition state along the distortion parameter A in line with all the other two-body potentials.

We also looked at lithium, which adopts a bcc structure at normal conditions. Lithium has an extremely broad potential energy curve (see Fig. 6) even in the repulsive region [47], which leads surprisingly to a collapse of the crystal to a very small nearest neighbor distance (see Appendix D). It is clear that N-body forces describing correctly the confinement of the atoms in the solid state become very important here, i.e., the N-body expansion is not converging smoothly with increasing N for metals, such as lithium or chromium [41]. One may argue that a broad potential energy curve, such as for Li₂ gives lower exponents for LJ potential energy curves typical for metallic systems. It should be pointed out, however, that the long range has to be correctly described and potential curves containing terms of r^{-n} , $n \leq 3$ in the interaction between atoms in the solid lead to divergent series (if not analytically continued). Moreover, the correct description for the cuboidal transformation for lithium [18], for example, by ab initio or density functional theory, requires the inclusion of vibrational and thermal effects, which is currently a subject of

IV. CONCLUSIONS

our investigating.

From exact lattice summations we were able to derive cohesive energies within the SHS and LJ models analytically and compute them as Bessel function expansions to computer precision. Both potentials result in an unstable bcc phase distorting toward the fcc phase or toward a phase in-between acc and bcc. The metastable bcc phase for an (a, b) LJ potential occurs for unphysical potentials with very low (a, b) values. The situation does not change if accurate two-body potentials are used, such as for argon or chromium, the latter known to crystallize in the bcc phase. As a result, the bcc phase (at low temperatures and pressures) is stabilized only by higher than two-body forces, which have to be large enough to compete with the fcc (or hcp) structure. High pressures will most likely destabilize the bcc phase in this simple model, which we are currently exploring. The mcc lattice unknown in nature and introduced by Conway and Sloane [28] is merely a point on an energetic $bcc \rightarrow fcc$ downhill path. How well effective two-body potentials [48,49], which incorporate many-body terms, will work for the bcc problem remains to be seen.

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APPENDIX A: LATTICE SUMS AND THEIR DERIVATIVES

The Gram matrix G in Eq. (2) leads to the following lattice sum:

$$L(a, A) = L(2s, A) = \mathcal{L}(s, A) = \sum_{\vec{i} \in \mathbb{Z}^3} {}^{\prime} (\vec{i}^{\top} G \vec{i})^{-s}$$
$$= \sum_{i, j, k \in \mathbb{Z}} {}^{\prime} \left(\frac{A+1}{A(i+j)^2 + (j+k)^2 + (i+k)^2} \right)^s,$$
(A1)

with the prime indicating that the term with $\vec{i}^{\top} = (0, 0, 0)$ is not included and $A \in [\frac{1}{3}, 1]$ for the cuboidal lattices considered here. These sums are important for inverse power law potentials, such as the LJ potential [29]. Here the exponent *s* is set to $s = \frac{a}{2}$ for simplicity compared to the main paper. The lattice sums for the acc, bcc, mcc, and fcc lattices are obtained for the values of $A = \frac{1}{3}$, $A = \frac{1}{2}$, $A = \frac{1}{\sqrt{2}}$, and A = 1, respectively. We split the lattice sum into two sums according to Ref. [29],

$$\mathcal{L}(s,A) = \frac{(A+1)^{s}}{2} [S_{1}(s,A) + S_{2}(s,A)] \quad \text{with}$$

$$S_{1}(s,A) = \sum_{i,j,k\in\mathbb{Z}} {}^{\prime} (Ai^{2} + j^{2} + k^{2})^{-s}$$
and
$$S_{2}(s,A) = \sum_{i,j,k\in\mathbb{Z}} {}^{\prime} (-1)^{i+j+k} (Ai^{2} + j^{2} + k^{2})^{-s}.$$
(A2)

For the special case of A = 1, the sum $S_1(1, s)$ represents the lattice sum for the simple cubic lattice, and the alternating sum $S_2(1, s)$ is known as the Madelung constant when $s = \frac{1}{2}$ [50]. In the following, we only consider $s > \frac{3}{2}$, keeping in mind

that the lattice sums are valid for all $s \in \mathbb{R}$ through analytical continuation and that $S_1(s, A)$ [and, therefore, $\mathcal{L}(s, A)$] has a singularity at $s = \frac{3}{2}$.

The two lattice sums can be expanded in terms of modified Bessel functions of the second kind $K_s(x)$ [29],

$$S_{1}(s,A) = a_{1}(s) + a_{2}(s)A^{1-s} + a_{3}(s)A^{(1-s)/2}$$
$$\times \sum_{i=1}^{\infty} \sum_{N=1}^{\infty} c_{iN}(s)K_{s-1}[d_{iN}(s)\sqrt{A}], \quad (A3)$$

$$S_{2}(s,A) = b_{1}(s) + a_{3}(s)A^{(1-s)/2}$$
$$\times \sum_{i=1}^{\infty} \sum_{N=0}^{\infty} p_{iN}(s)K_{s-1}[q_{iN}(s)\sqrt{A}], \quad (A4)$$

with the following coefficients:

$$a_{1}(s) = 4\zeta(s)\beta(s), \quad a_{2}(s) = \frac{2\pi}{(s-1)}\zeta(2s-2),$$

$$a_{3}(s) = \frac{4\pi^{s}}{\Gamma(s)}, \quad b_{1}(s) = -4(1-2^{1-s})\zeta(s)\beta(s),$$

$$c_{iN}(s) = r_{2}(N)(i^{-2}N)^{(s-1)/2}, \quad d_{iN}(s) = 2\pi i\sqrt{N},$$

$$p_{iN}(s) = (-1)^{i}r_{2}(4N+1)\left(\frac{4N+1}{2i^{2}}\right)^{(s-1)/2},$$

$$q_{iN}(s) = \pi i\sqrt{8N+2}.$$
(A5)

 $\zeta(s)$ is the Riemann ζ function, $\beta(s)$ is the Dirichlet β function, and $r_2(N)$ is the number of representations of number N as a sum of two squares.

We are interested in the first and second derivatives, $\partial_A \mathcal{L}(s, A) := \partial \mathcal{L}(s, A)/\partial A$ and $\partial_A^2 \mathcal{L}(s, A) := \partial^2 \mathcal{L}(s, A)/\partial A^2$ of the lattice sums. It was already proven directly from (A2) that $\partial_A \mathcal{L}(s, A)|_{A=1/2} = 0$ and $\partial_A^2 \mathcal{L}(s, A)|_{A=1/2} > 0$ if $s > \frac{3}{2}$ [29]. We, therefore, derive from Eq. (A2) the following expressions:

$$\partial_A \mathcal{L}(s, A) = \frac{s}{A+1} \mathcal{L}(s, A) + \frac{(A+1)^s}{2} \\ \times [\partial_A S_1(s, A) + \partial_A S_2(s, A)], \qquad (A6)$$

and

$$\partial_{A}^{2} \mathcal{L}(s, A) = -\frac{s(s+1)}{(A+1)^{2}} \mathcal{L}(s, A) + \frac{2s}{A+1} \partial_{A} \mathcal{L}(s, A) + \frac{(A+1)^{s}}{2} [\partial_{A}^{2} S_{1}(s, A) + \partial_{A}^{2} S_{2}(s, A)].$$
(A7)

The derivatives $\partial_A S_1(s, A)$, $\partial_A S_2(s, A)$, $\partial_A^2 S_1(s, A)$, and $\partial_A^2 S_2(s, A)$ are evaluated from the Bessel function expansions (A3) and (A4). For this, the following relations are required:

$$K_{s}(x) = K_{s+2}(x) - \frac{2(s+1)}{x}K_{s+1}(x)$$
(A8)

$$\partial_x K_s(x) = \frac{s}{x} K_s(x) - K_{s+1} = -\frac{s}{x} K_s(x) - K_{s-1}$$
$$= -\frac{1}{2} [K_{s-1}(x) + K_{s+1}(x)].$$
(A9)

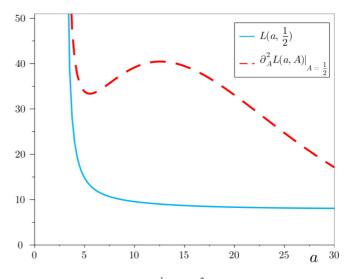


FIG. 7. Lattice sums $L(a, \frac{1}{2})$ and $\partial_A^2 L(a, A)|_{A=1/2}$ (bcc lattice) as a function of the exponent *a*. Note that $\partial_A L(a, A)|_{A=1/2} = 0$ for all *a* values.

After some algebraic manipulations the following expressions are obtained:

$$\partial_A S_1(s,A) = -(s-1)a_2(s)A^{-s} - \frac{a_3(s)}{2}A^{-(s/2)}$$
$$\times \sum_{i=1}^{\infty} \sum_{N=1}^{\infty} c_{iN}(s)d_{iN}(s)K_s[d_{iN}(s)\sqrt{A}], \quad (A10)$$
$$a_3(s) = c_{iN}(s)A^{-s} - \frac{a_3(s)}{2}A^{-(s/2)}$$

$$\partial_A S_2(s, A) = -\frac{\alpha_{3(3)}}{2} A^{-(s/2)} \\ \times \sum_{i=1}^{\infty} \sum_{N=0}^{\infty} p_{iN}(s) q_{iN}(s) K_s[q_{iN}(s)\sqrt{A}], \quad (A11)$$

$$\partial_A^2 S_1(s,A) = s(s-1)a_2(s)A^{-s-1} + \frac{a_3(s)}{4}A^{-[(s+1)/2]}$$
$$\times \sum_{i=1}^{\infty} \sum_{N=1}^{\infty} c_{iN}(s)d_{iN}^2(s)K_{s+1}[d_{iN}(s)\sqrt{A}], \quad (A12)$$

$$\partial_A^2 S_2(s, A) = \frac{a_3(s)}{4} A^{-[(s+1)/2]} \\ \times \sum_{i=1}^{\infty} \sum_{N=0}^{\infty} p_{iN}(s) q_{iN}^2(s) K_{s+1}[q_{iN}(s)\sqrt{A}].$$
(A13)

The Bessel function sums are fast converging, therefore, making the evaluation of lattice sums and their derivatives to computer precision attainable within less than a second on a modern laptop computer [35].

APPENDIX B: CRITICAL POINTS FOR THE BCC STRUCTURE

For the following, we set *a* to 2*s*, making the lattice sum L(a, A) = L(2s, A), which is more convenient for the LJ potential. Figure 7 shows the lattice sums and their second derivative for $A = \frac{1}{2}$ (bcc lattice) as a function of the exponent *a*. It is clear that $\partial_A^2 L(A, a)|_{A=1/2}$ has a peculiar form with a minimum at a = 5.52534 and a maximum at a = 12.57676, this becomes important in the discussion of the bcc stability

for Lennard-Jones systems detailed below. However, it is illustrative to evaluate the minimum distance derivatives $\partial_A^n R_{\min}^*(a, b, A)$ for $A = \frac{1}{2}$ and n = 1, 2 (using dimensionless quantities as discussed in the main paper). The first derivative of $R_{\min}^*(a, b, A)$ defined in Eq. (3) for a > b > 3 is given by

$$\partial_A R^*_{\min}(a, b, A) = \frac{R^*_{\min}(a, b, A)}{a - b} \left(\frac{\partial_A L(a, A)}{L(a, A)} - \frac{\partial_A L(b, A)}{L(b, A)} \right),\tag{B1}$$

which for the bcc lattice $(A = \frac{1}{2})$ is zero because $\partial^A L(a, A)|_{A=1/2} = 0$ identically for all values of a > 3 [29]. The second derivative evaluated at $A = \frac{1}{2}$ is given by

$$\partial_{A}^{2} R_{\min}^{*}(a, b, A)|_{A=1/2} = \left[\frac{R_{\min}^{*}(a, b, A)}{a - b} \left(\frac{\partial_{A}^{2} L(a, A)}{L(a, A)} - \frac{\partial_{A}^{2} L(b, A)}{L(b, A)} \right) \right]_{A=1/2}.$$
(B2)

Evaluating the expression in parentheses in (B2) shows that $R_{\min}^*(a, b, A)$ has a minimum at $A = \frac{1}{2}$ if a < 14.17598. For values a > 14.17598 we have a certain range of *b* values where $R_{\min}^*(a, b, A)$ becomes a shallow maximum as is the case for the (30,6) LJ potential shown in Fig. 3.

In a similar way we evaluate the cohesive energy for an (a, b) LJ potential at $R^*_{\min}(a, b, A)$,

$$E^{*}(R_{\min}^{*}, a, b, A) = \frac{1}{2(a-b)} \left[bL(a, A) \left(\frac{L(b, A)}{L(a, A)} \right)^{a/(a-b)} - aL(b, A) \left(\frac{L(b, A)}{L(a, A)} \right)^{b/(a-b)} \right]$$
$$= -\frac{1}{2} \left[\frac{L(b, A)^{1/b}}{L(a, A)^{1/a}} \right]^{ab/(a-b)}.$$
(B3)

The first and second derivatives are evaluated as

$$\partial_A E^*(R^*_{\min}, a, b, A) = E^*(R^*_{\min}, a, b, A) \frac{ab}{(a-b)} \times \left[\frac{1}{b} \frac{\partial_A L(b, A)}{L(b, A)} - \frac{1}{a} \frac{\partial_A L(a, A)}{L(a, A)}\right],$$
(B4)

and

$$\partial_{A}^{2}E^{*}(R_{\min}^{*}, a, b, A) = \frac{\{\partial_{A}E^{*}(R_{\min}^{*}, a, b, A)\}^{2}}{E^{*}(R_{\min}^{*}, a, b, A)} + \frac{ab}{a-b}E^{*}(R_{\min}^{*}, a, b, A) \left[\frac{1}{b}\frac{\partial_{A}^{2}L(b, A)}{L(b, A)} - \frac{1}{a}\frac{\partial_{A}^{2}L(a, A)}{L(a, A)} - \frac{1}{b}\frac{\{\partial_{A}L(b, A)\}^{2}}{L(b, A)^{2}} + \frac{1}{a}\frac{\{\partial_{A}L(a, A)\}^{2}}{L(a, A)^{2}}\right].$$
(B5)

The first derivative is zero for the bcc lattice $(A = \frac{1}{2})$ because $\partial^A L(a, A)|_{A=1/2} = 0$ as mentioned above. This makes the bcc point strictly an extremum along the *A* coordinate for any (a, b) combination of the LJ potential. The second derivative

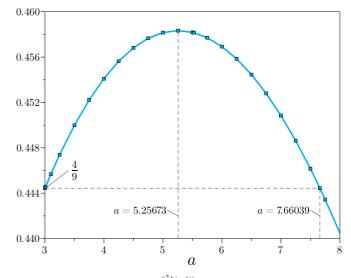


FIG. 8. Lattice sum ratio $\frac{\partial_A^2 L(a,A)|_{A=1/2}}{aL(a,\frac{1}{2})}$ against the exponent *a* at lattice parameter $A = \frac{1}{2}$.

evaluated at $A = \frac{1}{2}$ gives

$$\partial_A^2 E^*(R_{\min}^*, a, b, A)|_{A=1/2} = \frac{ab}{a-b} E^*(R_{\min}^*, a, b, \frac{1}{2}) \\ \times \left[\frac{1}{b} \frac{\partial_A^2 L(b, A)|_{A=1/2}}{L(b, \frac{1}{2})} - \frac{1}{a} \frac{\partial_A^2 L(a, A)|_{A=1/2}}{L(a, \frac{1}{2})}\right].$$
(B6)

Hence, the bcc instability can be a maximum or a (metastable) minimum depending on the sign of the expression in the square brackets. The transition to a metastable phase occurs at

$$\frac{\partial_A^2 L(b,A)|_{A=1/2}}{bL(b,\frac{1}{2})} = \frac{\partial_A^2 L(a,A)|_{A=1/2}}{aL(a,\frac{1}{2})},$$
 (B7)

with b < a. For the singularity at a = 3 we get from computation,

$$\lim_{a \to 3} \frac{\partial_A^2 L(a, A)|_{A=1/2}}{aL(a, \frac{1}{2})} = \frac{4}{9},$$
 (B8)

which is shown in Fig. 8. This can be proven using a Laurent expansion around the simple pole at a = 3 [29],

$$L(A;s) = \frac{2c_{-1}(A)}{a-3} + c_0(A) + \sum_{n=1}^{\infty} 2^{-n} c_n(A)(a-3)^n, \quad (B9)$$

with

$$c_{-1}(A) = \pi \sqrt{\frac{(A+1)^3}{A}}$$
 and $\partial_A^2 c_{-1}(A) = \frac{3\pi}{4A^2 \sqrt{A(A+1)}}.$
(B10)

This gives

$$\frac{\partial_A^2 L(a,A)}{L(a,A)} = \frac{\partial_A^2 c_{-1}(A)}{c_{-1}(A)} + O(a-3)$$
$$= \frac{3}{4A^2(A+1)^2} + O(a-3), \tag{B11}$$

which results in (B8) for a = 3 and $A = \frac{1}{2}$.

From this limit it is clear that a metastable minimum can only exist if $a < a_{\rm MS} = 7.66039$ but with a limited range of small *b* values evident from (B7) and Fig. 8. The maximum of the curve shown in Fig. 8 is at $a_{\rm max} = 5.25673$ for which all $b < a < a_{\rm max}$ values result in a metastable state. We note that the curve in Fig. 8 is almost (but not quite) symmetric around the maximum. This makes the phase transition line from the unstable to the metastable bcc phase almost linear on the (a, b)plane.

APPENDIX C: EINSTEIN FREQUENCY

We consider the Einstein frequency of a single atom of mass M moving in the field of other atoms (in atomic units) for an (a, b) LJ potential [12],

$$\omega_{E}(R, a, b, A) = \frac{1}{3r_{e}} \sqrt{\frac{3\epsilon}{M}} \sqrt{\frac{ab}{a-b}} \left(\frac{r_{e}}{R}\right)^{(a/2)+1} \times \left[(a-1)L(a+2, A) - (b-1)L(b+2, A) \left(\frac{R}{r_{e}}\right)^{a-b} \right]^{1/2}.$$
(C1)

It is clear that $\omega_E(R)$ describes the instability of lattice by moving a single atom as opposed to a collective movement of several atoms in the lattice. However, at R_{\min} we always arrive at $\omega_E(R_{\min}) > 0$ for a finite mass M. To prove this we have to show that the term in the square brackets stays positive at R_{\min} for a fixed A value, that is

$$\frac{L(a+2,A)}{L(b+2,A)} > \frac{(b-1)L(a,A)}{(a-1)L(b,A)}.$$
 (C2)

As a > b it suffices to show that

$$\frac{L(a+2,A)}{L(b+2,A)} \geqslant \frac{L(a,A)}{L(b,A)},$$

or, more generally,

$$\frac{L(b,A)}{L(b+h,A)} \ge \frac{L(a,A)}{L(a+h,A)}$$
(C3)

for any h > 0 and a > b > 3. The proof goes as follows.

A function g(x) is said to be logarithmically convex on an interval if g(x) > 0 and $\ln g(x)$ is convex on the interval. It can be shown that the sum of logarithmically convex functions is logarithmically convex, e.g., see Ref. [51, p. 19]. It follows that the lattice sum L(x, A) is a logarithmically convex function of x because it is a sum of terms of the form n^{-x} , each of which is logarithmically convex.

Now suppose that f(x) is a convex function and $x_1, x_2 > 0$. By applying the definition of convexity to the interval $[0, x_1 + x_2]$ we have

$$f(x_1) \leqslant \frac{x_2}{x_1 + x_2} f(0) + \frac{x_1}{x_1 + x_2} f(x_1 + x_2),$$

whereas interchanging x_1 and x_2 gives

$$f(x_2) \leqslant \frac{x_1}{x_1 + x_2} f(0) + \frac{x_2}{x_1 + x_2} f(x_1 + x_2).$$

Adding the inequalities gives

$$f(x_1) + f(x_2) \leq f(0) + f(x_1 + x_2).$$
 (C4)

Incidentally, it can be shown from this using mathematical induction that

$$f(x_1) + f(x_2) + \dots + f(x_n)$$

$$\leq (n-1)f(0) + f(x_1 + x_2 + \dots + x_n).$$

a result known as Petrović's inequality, e.g., see p. 22 of Ref. [52] and Ref. [53]. We will only require the case n = 2 as given by (C4).

Suppose a > b, h > 0, and g(x) is a convex function for $x \ge b$. Let f(x) = g(x + b) and take $x_1 = h$ and $x_2 = a - b$. Then Petrović's inequality (C4) gives

$$f(h) + f(a-b) \leqslant f(0) + f(a-b+h).$$

This implies

$$g(b+h) + g(a) \leq g(a+h) + g(b),$$

which is equivalent to

$$g(b+h) - g(b) \leqslant g(a+h) - g(a).$$

It follows that if G(x) is logarithmically convex, then

$$\ln G(b+h) - \ln G(b) \leq \ln G(a+h) - \ln G(a).$$
(C5)

This can be rearranged to give

$$\frac{G(b+h)}{G(b)} \leqslant \frac{G(a+h)}{G(a)},$$

which is exactly the inequality we seek for the lattice sums.

APPENDIX D: EXTENDED LENNARD-JONES POTENTIALS FOR Li₂, Ar₂, AND Cr₂

The extended Lennard-Jones potential is defined by

$$V_{\text{ELJ}}(r, c_n) = \sum_{n=1}^{n_{\text{max}}} c_n r^{-a_n} \quad \text{with } \sum_{n=1}^{n_{\text{max}}} c_n r_e^{-a_n} = -D_e.$$
(D1)

It then follows that the cohesive energy for an extended Lennard-Jones potential becomes

$$E_{\text{ELJ}}(R, c_n, A) = \frac{1}{2} \sum_{n=1}^{n_{\text{max}}} c_n L(a_n, A) R^{-a_n}, \qquad (D2)$$

with *R* being the nearest neighbor distance in the solid. The corresponding parameters for the potential energy curves in reduced units, $V^*(r^*)$, for Ar₂, Li₂, and Cr₂ are listed in Table I. For Ar₂ the extended LJ potential from Ref. [9] has been converted to dimensionless units for this paper ($r^* = r/r_e$, $V^*(r) = V^*(r)/D_e$ from which follows that $r^*_{\min} = 1$ and $V^*_{\min} = -1$).

For Cr₂ we took the potential curve from experimental data of Casey and Leopold, who obtained the potential energy curve V(r) from vibrational data through the Rydberg-Klein-Rees (RKR) method [44]. This potential curve only describes the medium range of the potential energy curve. We, therefore, attenuated the long range by matching the last point $R_{\text{max}} = 3.35$ Å to a $-C_6/r^{-6}$ dispersion curve. Finally, the points are used to fit an inverse power potential (extended Lennard-Jones) to the potential energy curve fixing the Van der Waals coefficient to $C_6 = 800$ a.u. according to Pavlović and co-workers [45]. Because of the peculiar

TABLE I. Potential parameters for the Ar, Li, and Cr dimers obtained from a least-squares fit to the (a) analytical form of Cybulski and Toczyłowski for Ar₂ [9,42], (b) expt. determined potential of Barakat *et al.* [47], and (c) expt. determined potential of Casey and Leopold [44] as described in detail in the text. Dimensionless units are used. For Li₂ and Cr₂ the potential parameters are only valid for the region $V^*(r^*) < 0$.

n	a_n	C _n	n	a_n	C _n
Ar					
1	6	-2.112319339	2	8	7.126409258
3	10	-21.30053312	3	12	24.42390886
5	14	-10.89025935	6	16	1.752793693
Li					
1	6	-2.185099402	2	8	1588.743093
3	9	-13096.66094	4	10	44937.24250
5	11	-85547.67477	6	12	100055.5130
7	13	-74450.14624	8	14	35150.12854
9	15	-10264.39581	10	16	1744.182010
11	17	25.87885791	12	18	-237.6273332
13	19	114.6392978	14	20	-18.63705649
Cr					
1	6	-15.20122639	2	8	13471.86476
3	9	-124591.4050	4	10	464698.3696
5	11	-888076.6787	6	12	854878.9650
7	13	-190568.3900	8	14	-441981.1016
9	15	487340.5171	10	16	-209652.8384
11	17	34494.89857	12	18	0.000016589

shape of the Cr₂ potential energy curve the fit was rather difficult to achieve but is accurate enough ($R^2 = 0.9984$) for the discussion of the bcc instability. The potential energy curve for Cr₂ was then converted to dimensionless units. For the ELJ form we obtain $E^* = -24.0$ and $E^* = -23.3$ for the fcc and bcc structures, respectively. These values are

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unusually large but perhaps not surprising given the broad potential energy curve of Cr₂. In fact, using the original potential energy curve we obtain a nearest neighbor distance for bcc chromium of $R_{\rm min} = 1.479$ Å, just above the hardsphere radius of the diatomic potential energy curve with $\sigma = 1.467$ Å and a cohesive energy $E_{\rm coh} = 33.6$ eV. This is in stark disagreement with the experimental values of $R_{\rm min} =$ 2.52 Å and $E_{\rm coh} = 4.1$ eV [54]. It clearly demonstrates that the direct use of potential curves from the free unconfined diatomic is not useful to describe the solid state of metals as the many-body expansion is not converging fast and smoothly.

We briefly discuss lithium. For Li₂ we used the RKR potential curve of Barakat et al. [47] and fixed the Van der Waals coefficient $C_6 = 1408$ a.u. [55]. For the fit to an extended LJ potential we obtained with an R^2 value of 0.999 97, but only by including terms up to $1/r^{20}$. However, the situation here is even worse compared to chromium as the Li2 potential energy curve is so broad in both the repulsive and the attractive regions that crystal optimizations entered the repulsive wall well below the hard-sphere radius of $\sigma = 1.822$ Å where our extended LJ potential is not accurate anymore. In general, a fit to an extended LJ form works reasonably well for the whole distance region if it deviates not too much from an ideal (a, b)-LJ potential, which is certainly not the case for Li₂. In fact, if we optimize the exponents a, b for the LJ potential we get $a \approx b < 3$ left of the singularity at b = 3and, therefore, an unphysical result. Using the far more accurate extended Morse potential by Le Roy and co-workers [56], which correctly describes the repulsive region, we obtain from crystal optimizations [57] a nearest neighbor distance of $R_{\rm min} = 0.21$ Å and a cohesive energy of $E_{\rm coh} = 9.2 \times 10^3$ eV for bcc lithium. This can be best described as a collapse of the crystal to small internuclear distances with large overbinding and clearly demonstrates that many-body forces in a confined bulk system cannot be neglected.

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